QUARTERLY STATEMENT

OF THE

AMEX ASSURANCE COMPANY

Of

Chicago
in the state of IL

to the Insurance Department
of the State of

For the Period Ended

March 31, 2007

2007

PROPERTY AND CASUALTY COMPANIES - ASSOCIATION EDITION

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QUARTERLY STATEMENT

As of March 31, 2007

of the Condition and Affairs of the

AMEX ASSURANCE COMPANY

NAIC Group Code..... 4, 4 (Current Period) (Prior Period) NAIC Company Code..... 27928 Employer's ID Number..... 36-2760101

Organized under the Laws of Illinois State of Domicile or Port of Entry Illinois Country of Domicile US

Incorporated/Organized..... January 30, 1973 Commenced Business..... February 5, 1973

Statutory Home Office	115 South LaSalle Street….. Chicago ..... IL ..... 60603 *(Street and Number) (City or Town, State and Zip Code)*	
Main Administrative Office	3500 Packerland Drive….. De Pere ..... WI ..... 54115-9070 *(Street and Number) (City or Town, State and Zip Code)*	920-330-5100 *(Area Code) (Telephone Number)*
Mail Address	PO Box 19020….. Green Bay ..... WI ..... 54307-9020 *(Street and Number or P. O. Box) (City or Town, State and Zip Code)*	
Primary Location of Books and Records	3500 Packerland Drive….. De Pere ..... WI ..... 54115-9070 *(Street and Number) (City or Town, State and Zip Code)*	920-431-4050 *(Area Code) (Telephone Number)*
Internet Website Address	www.ameriprise .com	
Statutory Statement Contact	Nancy Ann Clifford *(Name)*	920-431-4067 *(Area Code) (Telephone Number) (Extension)*
	nancy.a.clifford@aexp.com *(E-Mail Address)*	920-431-4087 *(Fax Number)*
Policyowner Relations Contact	3500 Packerland Drive….. De Pere ..... WI ..... 54115 *(Street and Number) (City or Town, State and Zip Code)*	920-330-5150 *(Area Code) (Telephone Number) (Extension)*

OFFICERS

Name	Title	Name	Title
1. Kenneth John Ciak	President	2. Thomas Richard Moore	Secretary
3. Richard Alan Laiderman #	Treasurer	4.	

OTHER

Thomas John Boogaard	Vice President	Thomas Scott Botsford #	Vice President
Richard Norman Bush	Senior Vice President	Debra Marie Conrad	Vice President
Larry William Frazier	Vice President	Michelle Marie Keeley	Vice President
Thomas William Murphy	Vice President	Rebecca Ann Nash	Senior Vice President
Rebecca Lea Roever #	Vice President	Bruce Howard Saul	Vice President
Dianne Lynn Wilson	Senior Vice President		

DIRECTORS OR TRUSTEES

Lawrence Anthony Belmonte	Thomas Scott Botsford	Kenneth John Ciak	Larry William Frazier
Joy Ann Hanson	Richard Andrew Hemmings	Christopher Joseph Lolli #	Naeemah Ruffin
Michael Ralph Streit	Jeffrey Alan Williams	Dianne Lynn Wilson	

State of..... Wisconsin
County of..... Brown

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions* and *Accounting Practices and Procedures* manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

(Signature)	(Signature)	(Signature)
Kenneth J Ciak	Joy A Hanson	Larry W Frazier
1. (Printed Name)	2. (Printed Name)	3. (Printed Name)
President	Asst Secretary	Asst Treasurer
(Title)	(Title)	(Title)

Subscribed and sworn to before me
This 30th day of April 2007

a. Is this an original filing? Yes [X] No [ ]
b. If no: 1. State the amendment number
2. Date filed
3. Number of pages attached

ASSETS

	Current Statement Date 1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	4 December 31 Prior Year Net Admitted Assets
1. Bonds	86,792,927		86,792,927	86,828,739
2. Stocks:				
2.1 Preferred stocks			0	
2.2 Common stocks	81,541		81,541	81,541
3. Mortgage loans on real estate:				
3.1 First liens			0	
3.2 Other than first liens			0	
4. Real estate:				
4.1 Properties occupied by the company (less $.....0 encumbrances)			0	
4.2 Properties held for the production of income (less $.....0 encumbrances)			0	
4.3 Properties held for sale (less $.....0 encumbrances)			0	
5. Cash ($.....731,598), cash equivalents ($.....18,876,305) and short-term investments ($.....0)	19,607,902		19,607,902	20,699,642
6. Contract loans (including $.....0 premium notes)			0	
7. Other invested assets			0	
8. Receivables for securities	10,500		10,500	9,375
9. Aggregate write-ins for invested assets	0	0	0	0
10. Subtotals, cash and invested assets (Lines 1 to 9)	106,492,870	0	106,492,870	107,619,298
11. Title Plants less $.....0 charged off (for Title insurers only)			0	
12. Investment income due and accrued	1,178,492		1,178,492	1,329,424
13. Premiums and considerations:				
13.1 Uncollected premiums and agents' balances in the course of collection	20,941,257	12,688	20,928,569	16,432,537
13.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including $.....0 earned but unbilled premiums)			0	
13.3 Accrued retrospective premiums			0	
14. Reinsurance:				
14.1 Amounts recoverable from reinsurers			0	
14.2 Funds held by or deposited with reinsured companies			0	
14.3 Other amounts receivable under reinsurance contracts			0	
15. Amounts receivable relating to uninsured plans			0	
16.1 Current federal and foreign income tax recoverable and interest thereon	1,343,813		1,343,813	1,744,408
16.2 Net deferred tax asset			0	
17. Guaranty funds receivable or on deposit			0	
18. Electronic data processing equipment and software			0	
19. Furniture and equipment, including health care delivery assets ($.....0)			0	
20. Net adjustment in assets and liabilities due to foreign exchange rates			0	
21. Receivables from parent, subsidiaries and affiliates	2,187,468		2,187,468	794,562
22. Health care ($.....0) and other amounts receivable			0	
23. Aggregate write-ins for other than invested assets	15,912,458	0	15,912,458	14,662,458
24. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 10 through 23)	148,056,358	12,688	148,043,670	142,582,687
25. From Separate Accounts, Segregated Accounts and Protected Cell Accounts			0	
26. TOTALS (Lines 24 and 25)	148,056,358	12,688	148,043,670	142,582,687

DETAILS OF WRITE-INS

0901.			0	
0902.			0	
0903.			0	
0998. Summary of remaining write-ins for Line 9 from overflow page	0	0	0	0
0999. Totals (Lines 0901 thru 0903 plus 0998) (Line 9 above)	0	0	0	0
2301. Premium Accrual EBNR - IBNR offset	15,911,875		15,911,875	14,661,875
2302. Miscellaneous Assets	583		583	583
2303.			0	
2398. Summary of remaining write-ins for Line 23 from overflow page	0	0	0	0
2399. Totals (Lines 2301 thru 2303 plus 2398) (Line 23 above)	15,912,458	0	15,912,458	14,662,458

LIABILITIES, SURPLUS AND OTHER FUNDS

		1 Current Statement Date	2 December 31 Prior Year
1.	Losses (current accident year $.....0)		0
2.	Reinsurance payable on paid losses and loss adjustment expenses		
3.	Loss adjustment expenses		
4.	Commissions payable, contingent commissions and other similar charges		
5.	Other expenses (excluding taxes, licenses and fees)	3,334,384	8,243,393
6.	Taxes, licenses and fees (excluding federal and foreign income taxes)	2,890,566	2,523,264
7.1	Current federal and foreign income taxes (including $..... on realized capital gains (losses))		
7.2	Net deferred tax liability	101,311	92,588
8.	Borrowed money $.....0 and interest thereon $.....0		
9.	Unearned premiums (after deducting unearned premiums for ceded reinsurance of $.....83,730,144 and including warranty reserves of $.....0)		
10.	Advance premium		
11.	Dividends declared and unpaid:		
	11.1 Stockholders		
	11.2 Policyholders		
12.	Ceded reinsurance premiums payable (net of ceding commissions)	19,833,109	12,875,415
13.	Funds held by company under reinsurance treaties		
14.	Amounts withheld or retained by company for account of others		
15.	Remittances and items not allocated		
16.	Provision for reinsurance	9,751	9,751
17.	Net adjustments in assets and liabilities due to foreign exchange rates		
18.	Drafts outstanding		
19.	Payable to parent, subsidiaries and affiliates	2,187,468	1,049,883
20.	Payable for securities		
21.	Liability for amounts held under uninsured plans		
22.	Capital notes $.....0 and interest thereon $.....0		
23.	Aggregate write-ins for liabilities	(47,621)	(46,931)
24.	Total liabilities excluding protected cell liabilities (Lines 1 through 23)	28,308,968	24,747,363
25.	Protected cell liabilities		
26.	Total liabilities (Lines 24 and 25)	28,308,968	24,747,363
27.	Aggregate write-ins for special surplus funds	0	0
28.	Common capital stock	4,597,875	4,597,875
29.	Preferred capital stock		
30.	Aggregate write-ins for other than special surplus funds	0	0
31.	Surplus notes		
32.	Gross paid in and contributed surplus	115,136,828	113,237,449
33.	Unassigned funds (surplus)		
34.	Less treasury stock, at cost:		
	34.1 .....0.000 shares common (value included in Line 28 $.....0)		
	34.2 .....0.000 shares preferred (value included in Line 29 $.....0)		
35.	Surplus as regards policyholders (Lines 27 to 33, less 34)	119,734,703	117,835,324
36.	TOTALS	148,043,671	142,582,687

DETAILS OF WRITE-INS

2301.	Miscellaneous Liabilities	(48,315)	(47,438)
2302.	Unclaimed Property	694	507
2303.			
2398.	Summary of remaining write-ins for Line 23 from overflow page	0	0
2399.	Totals (Lines 2301 thru 2303 plus 2398) (Line 23 above)	(47,621)	(46,931)
2701.			
2702.			
2703.			
2798.	Summary of remaining write-ins for Line 27 from overflow page	0	0
2799.	Totals (Lines 2701 thru 2703 plus 2798) (Line 27 above)	0	0
3001.			
3002.			
3003.			
3098.	Summary of remaining write-ins for Line 30 from overflow page	0	0
3099.	Totals (Lines 3001 thru 3003 plus 3098) (Line 30 above)	0	0

STATEMENT OF INCOME

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
UNDERWRITING INCOME			
1. Premiums earned:			
1.1 Direct (written $.....141,114,049)	160,912,429	204,755,856	807,569,195
1.2 Assumed (written $.....0)		1,084,676	3,756,229
1.3 Ceded (written $.....141,114,049)	160,912,429	205,840,531	811,325,424
1.4 Net (written $.....0)	0	0	0
DEDUCTIONS:			
2. Losses incurred (current accident year $.....0):			
2.1 Direct	80,468,996	113,917,633	411,800,580
2.2 Assumed	(96)	(29)	(141)
2.3 Ceded	80,468,900	113,917,604	411,800,439
2.4 Net	0	0	0
3. Loss expenses incurred			
4. Other underwriting expenses incurred	(1,018,770)	(896,156)	(3,279,994)
5. Aggregate write-ins for underwriting deductions	0	0	0
6. Total underwriting deductions (Lines 2 through 5)	(1,018,770)	(896,156)	(3,279,994)
7. Net income of protected cells			
8. Net underwriting gain (loss) (Line 1 minus Line 6 + Line 7)	1,018,770	896,156	3,279,994
INVESTMENT INCOME			
9. Net investment income earned	1,452,185	1,380,314	5,899,770
10. Net realized capital gains (losses) less capital gains tax of $.....(54)	20,443	447,561	467,969
11. Net investment gain (loss) (Lines 9 + 10)	1,472,628	1,827,875	6,367,739
OTHER INCOME			
12. Net gain or (loss) from agents' or premium balances charged off (amount recovered $..... amount charged off $.....0)	0		
13. Finance and service charges not included in premiums			
14. Aggregate write-ins for miscellaneous income	0	18	0
15. Total other income (Lines 12 through 14)	0	18	0
16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15)	2,491,397	2,724,049	9,647,732
17. Dividends to policyholders			
18. Net income after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17)	2,491,397	2,724,049	9,647,732
19. Federal and foreign income taxes incurred	632,587	545,170	2,554,239
20. Net income (Line 18 minus Line 19) (to Line 22)	1,858,811	2,178,880	7,093,493
CAPITAL AND SURPLUS ACCOUNT			
21. Surplus as regards policyholders, December 31 prior year	117,835,322	115,232,856	115,232,856
22. Net income (from Line 20)	1,858,811	2,178,880	7,093,493
23. Net transfers (to) from Protected Cell accounts			
24. Change in net unrealized capital gains or losses less capital gains tax of $.....			
25. Change in net unrealized foreign exchange capital gain (loss)	41,843	(711,343)	(710,074)
26. Change in net deferred income tax	(6,721)	(10,908)	21,347
27. Change in nonadmitted assets	5,444	(956)	(6,340)
28. Change in provision for reinsurance		(0)	204,041
29. Change in surplus notes			
30. Surplus (contributed to) withdrawn from protected cells			
31. Cumulative effect of changes in accounting principles			
32. Capital changes:			
32.1 Paid in			
32.2 Transferred from surplus (Stock Dividend)			
32.3 Transferred to surplus			
33. Surplus adjustments:			
33.1 Paid in			
33.2 Transferred to capital (Stock Dividend)			
33.3 Transferred from capital			
34. Net remittances from or (to) Home Office			
35. Dividends to stockholders			(4,000,000)
36. Change in treasury stock			
37. Aggregate write-ins for gains and losses in surplus	0	0	0
38. Change in surplus as regards policyholders (Lines 22 through 37)	1,899,377	1,455,673	2,602,466
39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38)	119,734,699	116,688,529	117,835,322

DETAILS OF WRITE-INS

0501.			
0502.			
0503.			
0598. Summary of remaining write-ins for Line 5 from overflow page	0	0	0
0599. Totals (Lines 0501 thru 0503 plus 0598) (Line 5 above)	0	0	0
1401. Administration Fees			
1402. Miscellaneous Income		18	
1403.			
1498. Summary of remaining write-ins for Line 14 from overflow page	0	0	0
1499. Totals (Lines 1401 thru 1403 plus 1498) (Line 14 above)	0	18	0
3701.			
3702.			
3703.			
3798. Summary of remaining write-ins for Line 37 from overflow page	0	0	0
3799. Totals (Lines 3701 thru 3703 plus 3798) (Line 37 above)	0	0	0

CASH FLOW

		1 Current Year to Date	2 Prior Year Ended December 31
	CASH FROM OPERATIONS		
1.	Premiums collected net of reinsurance	2,497,106	5,044,748
2.	Net investment income	1,603,116	6,001,128
3.	Miscellaneous income		
4.	Total (Lines 1 through 3)	4,100,222	11,045,875
5.	Benefit and loss related payments	0	(10,075)
6.	Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts		
7.	Commissions, expenses paid and aggregate write-ins for deductions	3,522,938	3,411,530
8.	Dividends paid to policyholders		
9.	Federal and foreign income taxes paid (recovered) net of $.....(54) tax on capital gains (losses)	232,046	5,332,967
10.	Total (Lines 5 through 9)	3,754,983	8,734,423
11.	Net cash from operations (Line 4 minus Line 10)	345,239	2,311,452
	CASH FROM INVESTMENTS		
12.	Proceeds from investments sold, matured or repaid:		
	12.1 Bonds	595,389	18,104,907
	12.2 Stocks		
	12.3 Mortgage loans		
	12.4 Real estate		
	12.5 Other invested assets		
	12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	(155)	(5,806)
	12.7 Miscellaneous proceeds		
	12.8 Total investment proceeds (Lines 12.1 to 12.7)	595,234	18,099,101
13.	Cost of investments acquired (long-term only):		
	13.1 Bonds	515,389	24,411,608
	13.2 Stocks		
	13.3 Mortgage loans		
	13.4 Real estate		
	13.5 Other invested assets		
	13.6 Miscellaneous applications	1,125	5,250
	13.7 Total investments acquired (Lines 13.1 to 13.6)	516,514	24,416,858
14.	Net increase (decrease) in contract loans and premium notes		
15.	Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	78,720	(6,317,757)
	CASH FROM FINANCING AND MISCELLANEOUS SOURCES		
16.	Cash provided (applied):		
	16.1 Surplus notes, capital notes		
	16.2 Capital and paid in surplus, less treasury stock		
	16.3 Borrowed funds		
	16.4 Net deposits on deposit-type contracts and other insurance liabilities		
	16.5 Dividends to stockholders		4,000,000
	16.6 Other cash provided (applied)	(1,515,699)	(320,358)
17.	Net cash from financing and miscellaneous sources (Lines 16.1 to 16.4 minus Line 16.5 plus Line 16.6)	(1,515,699)	(4,320,358)
	RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS		
18.	Net change in cash, cash equivalents and short-term investments (Line 11 plus Line 15 plus Line 17)	(1,091,740)	(8,326,663)
19.	Cash, cash equivalents and short-term investments:		
	19.1 Beginning of year	20,699,642	29,026,305
	19.2 End of period (Line 18 plus Line 19.1)	19,607,903	20,699,642

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001			

NOTES TO FINANCIAL STATEMENTS

Note 1 - Summary of Significant Accounting Policies

No significant change.

Note 2 - Accounting Changes and Corrections of Errors

No significant change.

Note 3 - Business Combinations and Goodwill

No significant change.

Note 4 - Discontinued Operations

No significant change.

Note 5 - Investments

No significant change.

Note 6 - Joint Ventures, Partnerships and Limited Liability Companies

No significant change.

Note 7 - Investment Income

No significant change.

Note 8 - Derivative Instruments

No significant change.

Note 9 - Income Taxes

No significant change.

Note 10 - Information Concerning Parent, Subsidiaries and Affiliates

No significant change.

Note 11 - Debt

No significant change.

Note 12 - Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

No significant change.

Note 13 - Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations

No significant change.

Note 14 - Contingencies

No significant change.

Note 15 - Leases

No significant change.

NOTES TO FINANCIAL STATEMENTS

Note 16 - Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk

No significant change.

Note 17 - Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

C. Not Applicable.

Note 18 - Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

No significant change.

Note 19 - Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

No significant change.

Note 20 - September 11 Events

No significant change.

Note 21 - Other Items

No significant change.

Note 22 - Events Subsequent

No significant change.

Note 23 - Reinsurance

No significant change.

Note 24 - Retrospectively Rated Contracts & Contracts Subject to Redetermination

No significant change.

Note 25 - Change in Incurred Losses and Loss Adjustment Expenses

No significant change.

Note 26 - Intercompany Pooling Arrangements

No significant change.

Note 27 - Structured Settlements

No significant change.

Note 28 - Health Care Receivables

No significant change.

Note 29 - Participating Policies

No significant change.

Note 30 - Premium Deficiency Reserves

NOTES TO FINANCIAL STATEMENTS

No significant change.

Note 31 - High Deductibles

No significant change.

Note 32 - Discounting of Liabilities for Unpaid Losses or Unpaid Loss Adjustment Expenses

No significant change.

Note 33 - Asbestos/Environmental Reserves

No significant change.

Note 34 - Subscriber Savings Accounts

No significant change.

Note 35 - Multiple Peril Crop Insurance

No significant change.

GENERAL INTERROGATORIES

(Responses to these interrogatories should be based on changes that have occurred since prior year end unless otherwise noted)

PART 1 - COMMON INTERROGATORIES

GENERAL

1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [ ] No [X]

1.2 If yes, has the report been filed with the domiciliary state? Yes [ ] No [ ]

2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [ ] No [X]

2.2 If yes, date of change: .....

3. Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [ ] No [X]
If yes, complete the Schedule Y-Part 1 - Organizational chart.

4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [ ] No [X]

4.2 If yes, provide name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [ ] No [X] N/A [ ]
If yes, attach an explanation.

6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2003.....

6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2003.....

6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 06/15/2005.....

6.4 By what department or departments?
Illinois Insurance Department

7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [ ] No [X]

7.2 If yes, give full information:

8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes [ ] No [X]

8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [X] No [ ]

8.4 If the response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Office of Thrift Supervision (OTS), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator].

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 OTS	6 FDIC	7 SEC
American Enterprise Investment Ser	Minneapolis, MN					YES
American Express Asset Manageme	Minneapolis, MN					YES
American Express Asset Manageme	Minneapolis, MN					YES
Ameriprise Bank, FSB	New York, NY			YES		
Ameriprise Certificate Company	Minneapolis, MN					YES
Ameriprise Financial Services, Inc.	Minneapolis, MN					YES
Ameriprise Financial, Inc.	Minneapolis, MN					YES
IDS Capital Holdings, Inc.	Minneapolis, MN					YES
Kenwood Capital Management LLC	Minneapolis, MN					YES
RiverSource Distributors	Minneapolis, MN					YES
RiverSource Investments LLC	Minneapolis, MN					YES
RiverSource Service Corporation	Minneapolis, MN					YES
Securities America Advisors, Inc.	Omaha, NE					YES
Securities America, Inc.	Omaha, NE					YES

GENERAL INTERROGATORIES

(Responses to these interrogatories should be based on changes that have occurred since prior year end unless otherwise noted)

PART 1 - COMMON INTERROGATORIES

9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [X] No [ ]

(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
(c) Compliance with applicable governmental laws, rules and regulations;
(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
(e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain:

9.2 Has the code of ethics for senior managers been amended? Yes [ ] No [X]

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

9.3 Have any provisions of the code of ethics been waived for any of the specified officers: Yes [ ] No [X]

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [X] No [ ]

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount. $.....2,187,468

INVESTMENT

11.1 Has there been any change in the reporting entity's own preferred or common stock? Yes [ ] No [X]

11.2 If yes, explain:

12.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes [ ] No [X]

12.2 If yes, give full and complete information relating thereto:

13. Amount of real estate and mortgages held in other invested assets in Schedule BA: $.....0

14. Amount of real estate and mortgages held in short-term investments: $.....0

15.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes [ ] No [X]

15.2 If yes, please complete the following:

	1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
15.21 Bonds	$.....0	$.....0
15.22 Preferred Stock	$.....0	$.....0
15.23 Common Stock	$.....0	$.....0
15.24 Short-Term Investments	$.....0	$.....0
15.25 Mortgages, Loans or Real Estate	$.....0	$.....0
15.26 All Other	$.....0	$.....0
15.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 15.21 to 15.26)	$.....0	$.....0
15.28 Total Investment in Parent included in Lines 15.21 to 15.26 above	$.....0	$.....0

16.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes [ ] No [X]

16.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [ ] No [ ]
If no, attach a description with this statement.

GENERAL INTERROGATORIES

(Responses to these interrogatories should be based on changes that have occurred since prior year end unless otherwise noted)

PART 1 - COMMON INTERROGATORIES

17. Excluding items in Schedule E, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Part 1-General, Section IV. H-Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes [X] No [ ]

17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
The Northern Trust Company	50 South LaSalle Street, B-7, Chicago, IL 60675

17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation.

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [ ] No [X]

17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Identify all investment advisors, broker/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1 Central Registration Depository	2 Name(s)	3 Address

18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Securities Valuation Office been followed? Yes [X] No [ ]

18.2 If no, list exceptions:

GENERAL INTERROGATORIES (continued)

PART 2
PROPERTY & CASUALTY INTERROGATORIES

1. If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change? Yes [ ] No [X] N/A [ ]
   If yes, attach an explanation.

2. Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured? Yes [ ] No [X]
   If yes, attach an explanation.

3.1 Have any of the reporting entity's primary reinsurance contracts been canceled? Yes [ ] No [X]
3.2 If yes, give full and complete information thereto:

4.1 Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation liabilities tabular reserves (see Annual Statement Instructions pertaining to disclosure of discounting for definition of "tabular reserves,") discounted at a rate of interest greater than zero? Yes [ ] No [X]
4.2 If yes, complete the following schedule:

1	2	3	Total Discount				Discount Taken During Period			
			4	5	6	7	8	9	10	11
Line of Business	Maximum Interest	Disc. Rate	Unpaid Losses	Unpaid LAE	IBNR	Total	Unpaid Losses	Unpaid LAE	IBNR	Total
						0				0
Total	XXX	XXX	0	0	0	0	0	0	0	0

SCHEDULE A - VERIFICATION

Real Estate

NONE

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	0	
2. Increase (decrease) by adjustment		
3. Cost of acquired		
4. Cost of additions to and permanent improvements		
5. Total profit (loss) on sales		
6. Increase (decrease) by foreign exchange adjustment		
7. Amount received on sales		
8. Book/adjusted carrying value at end of current period	0	0
9. Total valuation allowance		
10. Subtotal (Lines 8 plus 9)	0	0
11. Total nonadmitted amounts		
12. Statement value, current period (Page 2, real estate lines, net admitted assets column)	0	0

SCHEDULE B - VERIFICATION

Mortgage Loans

NONE

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest on mortgages owned, December 31 of prior year	0	
2. Amount loaned during period:		
2.1 Actual cost at time of acquisitions		
2.2 Additional investment made after acquisitions		
3. Accrual of discount and mortgage interest points and commitment fees		
4. Increase (decrease) by adjustment		
5. Total profit (loss) on sale		
6. Amounts paid on account or in full during the period		
7. Amortization of premium		
8. Increase (decrease) by foreign exchange adjustment		
9. Book value/recorded investment excluding accrued interest on mortgages owned at end of current period	0	0
10. Total valuation allowance		
11. Subtotal (Lines 9 plus 10)	0	0
12. Total nonadmitted amounts		
13. Statement value of mortgages owned at end of current period (Page 2, mortgage lines, net admitted assets column)	0	0

SCHEDULE BA - VERIFICATION

Other Invested Assets

NONE

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of long-term invested assets owned, December 31 of prior year	0	
2. Cost of acquisitions during period:		
2.1 Actual cost at time of acquisitions		
2.2 Additional investment made after acquisitions		
3. Accrual of discount		
4. Increase (decrease) by adjustment		
5. Total profit (loss) on sale		
6. Amounts paid on account or in full during the period		
7. Amortization of premium		
8. Increase (decrease) by foreign exchange adjustment		
9. Book adjusted/carrying value of long-term invested assets at end of current period	0	0
10. Total valuation allowance		
11. Subtotal (Lines 9 plus 10)	0	0
12. Total nonadmitted amounts		
13. Statement value of long-term invested assets at end of current period (Page 2, Line 7, Column 3)	0	0

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	86,910,281	80,703,722
2. Cost of bonds and stocks acquired	515,389	24,411,608
3. Accrual of discount	5,723	23,915
4. Increase (decrease) by adjustment		
5. Increase (decrease) by foreign exchange adjustment	43,846	(702,922)
6. Total profit (loss) on disposal	20,389	710,372
7. Consideration for bonds and stocks disposed of	595,389	18,104,907
8. Amortization of premium	25,771	131,507
9. Book/adjusted carrying value, current period	86,874,468	86,910,281
10. Total valuation allowance		
11. Subtotal (Lines 9 plus 10)	86,874,468	86,910,281
12. Total nonadmitted amounts		
13. Statement value	86,874,468	86,910,281

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity

During the Current Quarter for all Bonds and Preferred Stock by Rating Class

	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. Class 1	105,503,126	118,944,059	119,156,678	(746,664)	104,543,842			105,503,126
2. Class 2				1,105,000	1,105,000			
3. Class 3								
4. Class 4								
5. Class 5								
6. Class 6								
7. Total Bonds	105,503,126	118,944,059	119,156,678	358,336	105,648,842	0	0	105,503,126
PREFERRED STOCK								
8. Class 1								
9. Class 2								
10. Class 3								
11. Class 4								
12. Class 5								
13. Class 6								
14. Total Preferred Stock	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock	105,503,126	118,944,059	119,156,678	358,336	105,648,842	0	0	105,503,126

SCHEDULE DA - PART 1

Short-Term Investments Owned End of Current Quarter

NONE

	1 Book/Adjusted Carrying Value	2 Fair Value	3 Actual Cost	4 Interest Collected Year To Date	5 Paid for Accrued Interest Year To Date
8299999. Totals		XXX			

SCHEDULE DA - PART 2 - Verification

Short-Term Investments Owned

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	0	
2. Cost of short-term investments acquired		1,381,357
3. Increase (decrease) by adjustment		18,643
4. Increase (decrease) by foreign exchange adjustment		
5. Total profit (loss) on disposal of short-term investments		
6. Consideration received on disposal of short-term investments		1,400,000
7. Book/adjusted carrying value, current period	0	0
8. Total valuation allowance		
9. Subtotal (Lines 7 plus 8)	0	0
10. Total nonadmitted amounts		
11. Statement value (Lines 9 minus 10)	0	0
12. Income collected during period		18,643
13. Income earned during period		18,643

Sch. DB-Part F-Section 1
NONE

Sch. DB-Part F-Section 2
NONE

Sch. F
NONE

SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN

Current Year to Date - Allocated by States and Territories

	States, Etc.	1 Is Insurer Licensed? (Yes or No)	Direct Premiums Written 2 Current Year to Date	 3 Prior Year to Date	Direct Losses Paid (Deducting Salvage) 4 Current Year to Date	 5 Prior Year to Date	Direct Losses Unpaid 6 Current Year to Date	 7 Prior Year to Date
1.	Alabama AL	YES	862,216	1,435,475	162,537	264,070	312,251	1,598,654
2.	Alaska AK	YES	203,801	202,295	18,192	12,396	117,736	70,089
3.	Arizona AZ	YES	1,394,686	7,937,254	2,650,061	3,684,302	(1,569,820)	13,338,522
4.	Arkansas AR	YES	358,213	818,960	275,934	366,296	526,069	1,548,459
5.	California CA	YES	58,075,318	59,763,968	31,460,947	30,254,300	124,987,140	79,660,747
6.	Colorado CO	YES	1,093,328	4,937,058	1,137,333	3,168,811	5,067,880	9,419,197
7.	Connecticut CT	YES	1,526,388	3,901,600	998,167	1,493,900	6,070,165	6,284,868
8.	Delaware DE	YES	193,071	869,289	286,036	271,140	1,817,899	1,263,803
9.	District of Columbia DC	YES	528,268	667,474	156,958	199,906	284,354	383,209
10.	Florida FL	YES	16,312,330	17,278,572	8,320,199	8,790,181	41,508,514	24,705,374
11.	Georgia GA	YES	2,789,998	7,447,635	2,704,558	3,798,800	12,928,590	8,605,353
12.	Hawaii HI	YES	431,980	1,029,056	260,546	210,065	1,392,853	1,773,719
13.	Idaho ID	YES	193,358	438,712	305,739	67,133	159,092	431,605
14.	Illinois IL	YES	2,573,604	6,110,337	2,041,098	2,092,300	7,746,496	6,088,441
15.	Indiana IN	YES	708,529	2,265,075	789,594	877,613	(716,616)	3,001,120
16.	Iowa IA	YES	154,463	1,004,305	81,160	202,594	(307,811)	1,420,249
17.	Kansas KS	YES	335,848	954,357	223,159	682,186	2,965,103	922,512
18.	Kentucky KY	YES	477,450	624,963	346,406	54,868	2,351,647	1,264,526
19.	Louisiana LA	YES	969,672	818,696	72,952	185,793	491,653	250,041
20.	Maine ME	YES	210,851	291,196	239,077	22,763	1,133,507	46,337
21.	Maryland MD	YES	1,725,533	5,468,648	1,966,587	2,572,148	8,305,579	7,058,632
22.	Massachusetts MA	YES	2,559,549	3,597,539	201,087	432,163	1,055,726	987,341
23.	Michigan MI	YES	1,518,691	9,628,302	3,049,005	5,679,207	19,276,437	24,282,557
24.	Minnesota MN	YES	1,380,353	4,988,024	2,088,149	2,058,557	696,714	8,666,739
25.	Mississippi MS	YES	438,548	532,341	130,645	175,058	504,603	325,015
26.	Missouri MO	YES	696,797	1,954,249	454,230	887,633	800,869	2,611,470
27.	Montana MT	YES	165,201	254,885	22,045	18,493	89,422	68,472
28.	Nebraska NE	YES	135,863	671,825	293,131	178,132	812,353	883,161
29.	Nevada NV	YES	705,326	3,231,713	1,223,994	1,623,991	4,593,263	7,766,063
30.	New Hampshire NH	YES	377,877	601,057	39,587	33,615	177,503	113,508
31.	New Jersey NJ	YES	5,707,012	7,237,465	1,995,570	1,135,423	6,857,145	5,300,686
32.	New Mexico NM	YES	367,368	657,525	153,483	376,902	(28,913)	661,981
33.	New York NY	YES	14,644,979	16,179,374	7,398,046	6,535,474	22,011,966	23,032,802
34.	North Carolina NC	YES	1,345,861	2,657,423	443,913	548,567	1,350,814	2,223,922
35.	North Dakota ND	YES	37,230	100,822	3,235	834	59,476	10,759
36.	Ohio OH	YES	1,705,043	4,620,183	1,331,469	1,239,037	2,335,560	4,658,033
37.	Oklahoma OK	YES	400,856	788,274	239,931	189,412	1,617,813	800,777
38.	Oregon OR	YES	534,403	4,573,239	1,901,416	3,045,729	5,237,537	9,802,818
39.	Pennsylvania PA	YES	3,393,964	5,778,694	1,613,473	1,713,022	5,146,823	9,763,026
40.	Rhode Island RI	YES	323,261	448,774	34,970	26,981	98,671	147,550
41.	South Carolina SC	YES	822,508	1,668,680	372,317	556,945	(261,359)	1,208,893
42.	South Dakota SD	YES	277,079	501,115	33,939	9,389	464	113,725
43.	Tennessee TN	YES	973,139	2,189,405	1,052,787	618,258	2,725,212	3,072,776
44.	Texas TX	YES	6,144,915	8,357,451	1,498,037	2,628,909	4,324,804	7,032,225
45.	Utah UT	YES	676,887	1,767,541	528,328	422,405	1,750,330	1,406,696
46.	Vermont VT	YES	109,086	164,199	22,494	15,922	21,933	47,404
47.	Virginia VA	YES	2,157,858	4,418,044	958,689	1,534,247	5,905,128	3,424,006
48.	Washington WA	YES	1,050,316	11,495,883	5,539,846	7,018,198	20,093,995	21,876,404
49.	West Virginia WV	YES	148,905	189,302	13,888	42,103	354,340	6,137,462
50.	Wisconsin WI	YES	418,201	1,646,214	334,233	466,136	(845,181)	1,937,103
51.	Wyoming WY	YES	106,636	88,744	18,073	6,165	35,764	26,153
52.	American Samoa AS	NO						
53.	Guam GU	NO	18,074	24,810	2,659	3,064	2,100	2,949
54.	Puerto Rico PR	YES	139,588	118,997	81,611	76,853	7,959	6,473
55.	US Virgin Islands VI	NO	34,399	30,884	6,179	9,146	4,022	4,019
56.	Northern Mariana Islands MP	NO						
57.	Canada CN	YES	434,245	444,499	11,614	4,207	157	89
58.	Aggregate Other Alien OT	XXX	45,126	81,076	56,186	92,228	0	0
59.	Totals	(a) 52	141,114,049	225,953,480	87,645,500	98,673,971	322,385,731	317,538,516

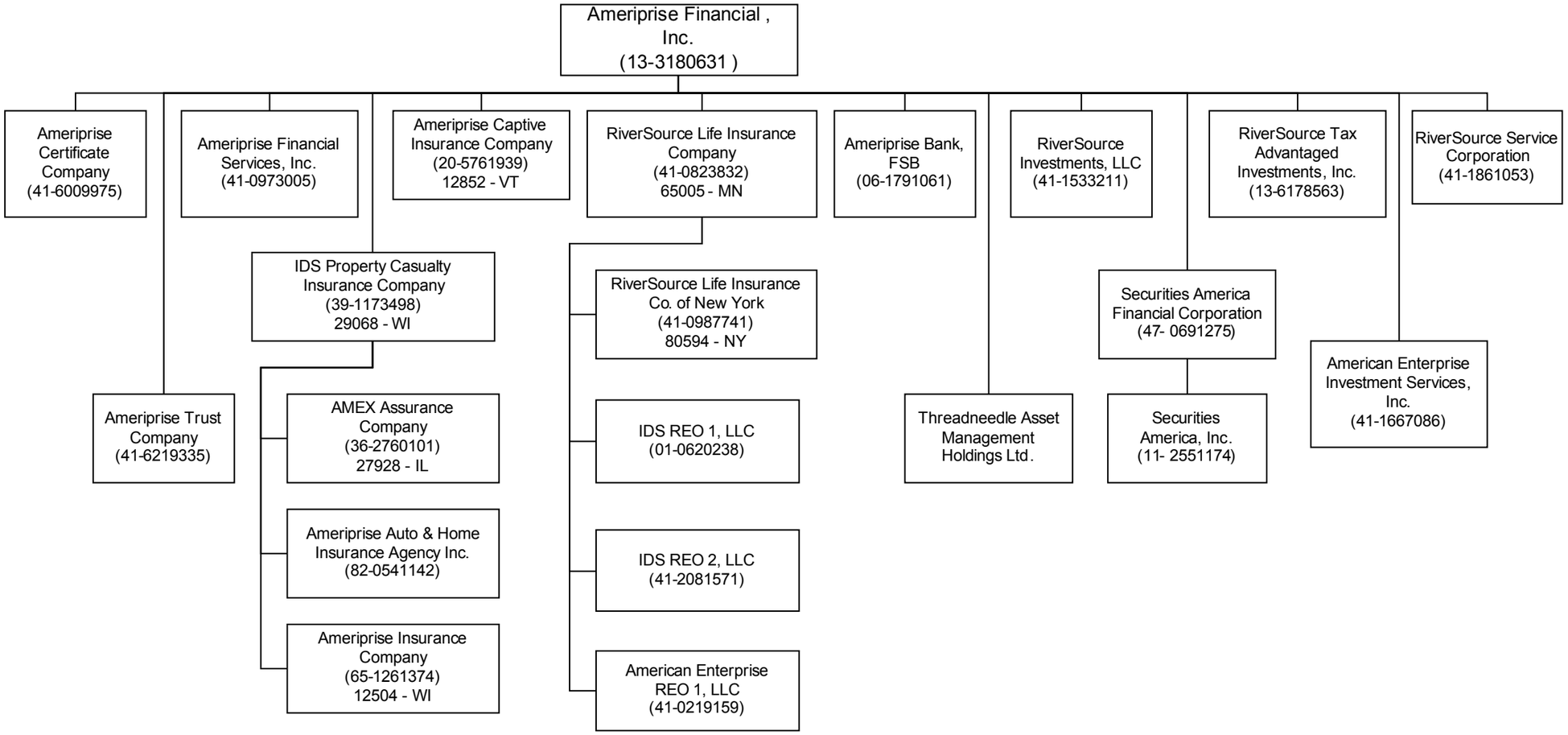
DETAILS OF WRITE-INS

5801.	Alien(01)	XXX	45,126	81,076	56,186	92,228		
5802.		XXX						
5803.		XXX						
5898.	Summary of remaining write-ins for Line 58 from overflow page	XXX	0	0	0	0	0	0
5899.	Totals (Lines 5801 thru 5803 + Line 5898) (Line 58 above)	XXX	45,126	81,076	56,186	92,228	0	0

(a) Insert the number of yes responses except for Canada and Other Alien.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART



PART 1 - LOSS EXPERIENCE

Lines of Business	Current Year to Date 1 Direct Premiums Earned	2 Direct Losses Incurred	3 Direct Loss Percentage	4 Prior Year to Date Direct Loss Percentage
1. Fire			0.0	
2. Allied lines			0.0	
3. Farmowners multiple peril			0.0	
4. Homeowners multiple peril	12,292,287	805,748	6.6	50.8
5. Commercial multiple peril			0.0	
6. Mortgage guaranty			0.0	
8. Ocean marine			0.0	
9. Inland marine	36,691,457	11,119,988	30.3	31.8
10. Financial guaranty			0.0	
11.1. Medical malpractice-occurrence			0.0	
11.2. Medical malpractice-claims made			0.0	
12. Earthquake			0.0	
13. Group accident and health	42,971,803	7,450,187	17.3	14.8
14. Credit accident and health			0.0	
15. Other accident and health	19,082	75,806	397.3	1,777.4
16. Workers' compensation			0.0	
17.1 Other liability-occurrence	249,344	475,000	190.5	89.6
17.2 Other liability-claims made			0.0	93.2
18.1 Products liability-occurrence			0.0	
18.2 Products liability-claims made		(6,490,202)	0.0	
19.1, 19.2 Private passenger auto liability	38,530,345	44,228,699	114.8	82.6
19.3, 19.4 Commercial auto liability			0.0	
21. Auto physical damage	30,158,111	22,803,770	75.6	68.3
22. Aircraft (all perils)			0.0	
23. Fidelity			0.0	
24. Surety			0.0	
26. Burglary and theft			0.0	
27. Boiler and machinery			0.0	
28. Credit			0.0	
29. International			0.0	
30. Reinsurance-nonproportional assumed property	XXX	XXX	XXX	XXX
31. Reinsurance-nonproportional assumed liability	XXX	XXX	XXX	XXX
32. Reinsurance-nonproportional assumed financial lines	XXX	XXX	XXX	XXX
33. Aggregate write-ins for other lines of business	0	0	0.0	
34. Totals	160,912,429	80,468,996	50.0	55.6

DETAILS OF WRITE-INS

3301.			0.0	
3302.			0.0	
3303.			0.0	
3398. Sum. of remaining write-ins for Line 33 from overflow page	0	0	0.0	XXX
3399. Totals (Lines 3301 thru 3303 plus 3398) (Line 33)	0	0	0.0	

PART 2 - DIRECT PREMIUMS WRITTEN

	1 Current Quarter	2 Current Year to Date	3 Prior Year Year to Date
1. Fire			
2. Allied lines			
3. Farmowners multiple peril			
4. Homeowners multiple peril	(345,315)	(345,315)	17,441,256
5. Commercial multiple peril			
6. Mortgage guaranty			
8. Ocean marine			
9. Inland marine	36,779,888	36,779,888	32,894,163
10. Financial guaranty			
11.1. Medical malpractice-occurrence			
11.2. Medical malpractice-claims made			
12. Earthquake			
13. Group accident and health	43,721,492	43,721,492	38,295,499
14. Credit accident and health			
15. Other accident and health	14,079	14,079	(1,301)
16. Workers' compensation			
17.1. Other liability-occurrence	(1,561)	(1,561)	419,092
17.2. Other liability-claims made			22,098,150
18.1. Products liability-occurrence			
18.2. Products liability-claims made			
19.1, 19.2 Private passenger auto liability	33,926,546	33,926,546	65,661,283
19.3, 19.4 Commercial auto liability			
21. Auto physical damage	27,018,919	27,018,919	49,145,339
22. Aircraft (all perils)			
23. Fidelity			
24. Surety			
26. Burglary and theft			
27. Boiler and machinery			
28. Credit			
29. International			
30. Reinsurance-nonproportional assumed property	XXX	XXX	XXX
31. Reinsurance-nonproportional assumed liability	XXX	XXX	XXX
32. Reinsurance-nonproportional assumed financial lines	XXX	XXX	XXX
33. Aggregate write-ins for other lines of business	0	0	0
34. Totals	141,114,049	141,114,049	225,953,481

DETAILS OF WRITE-INS

3301.			
3302.			
3303.			
3398. Sum. of remaining write-ins for Line 33 from overflow page	0	0	0
3399. Totals (Lines 3301 thru 3303 plus 3398) (Line 33)	0	0	0

PART 3 (000 omitted)

LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE

Years in Which Losses Occurred	1 Prior Year-End Known Case Loss and LAE Reserves	2 Prior Year-End IBNR Loss and LAE Reserves	3 Total Prior Year-End Loss and LAE Reserves (Cols. 1 + 2)	4 2007 Loss and LAE Payments on Claims Reported as of Prior Year-End	5 2007 Loss and LAE Payments on Claims Unreported as of Prior Year-End	6 Total 2007 Loss and LAE Payments (Cols. 4 + 5)	7 Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year-End	8 Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year-End	9 Q.S. Date IBNR Loss and LAE Reserves	10 Total Q.S. Loss and LAE Reserves (Cols. 7 + 8 + 9)	11 Prior Year-End Known Case Loss and LAE Reserves Developed (Savings)/Deficiency (Cols. 4 + 7 minus Col. 1)	12 Prior Year-End IBNR Loss and LAE Reserves Developed (Savings)/Deficiency (Cols. 5 + 8 + 9 minus Col. 2)	13 Prior Year-End Total Loss and LAE Reserve Developed (Savings)/Deficiency (Cols. 11 + 12)
1. 2004 + Prior			0			0				0	0	0	0
2. 2005			0			0				0	0	0	0
3. Subtotals 2005 + Prior	0	0	0	0	0	0	0	0	0	0	0	0	0
4. 2006			0			0				0	0	0	0
5. Subtotals 2006 + Prior	0	0	0	0	0	0	0	0	0	0	0	0	0
6. 2007	XXX	XXX	XXX	XXX		0	XXX			0	XXX	XXX	XXX
7. Totals	0	0	0	0	0	0	0	0	0	0	0	0	0
8. Prior Year-End's Surplus As Regards Policyholders	117,835										Col. 11, Line 7 As % of Col. 1, Line 7	Col. 12, Line 7 As % of Col. 2, Line 7	Col. 13, Line 7 As % of Col. 3, Line 7
											1. 0.0 %	2. 0.0 %	3. 0.0 %
													Col. 13, Line 7 Line 8
													4. 0.0 %

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason, enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

		Response
1.	Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2.	Will Supplement A to Schedule T (Medical Malpractice Supplement) be filed with this statement?	NO
3.	Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO

Explanation:

Bar Code:

* 2 7 9 2 8 2 0 0 7 4 9 0 0 0 0 0 1 *

* 2 7 9 2 8 2 0 0 7 4 5 0 0 0 0 0 1 *

* 2 7 9 2 8 2 0 0 7 3 6 5 0 0 0 0 1 *

Overflow Page
NONE

Sch. A-Part 2
NONE

Sch. A-Part 3
NONE

Sch. B-Part 1
NONE

Sch. B-Part 2
NONE

Sch. BA-Part 1
NONE

Sch. BA-Part 2
NONE

SCHEDULE D - PART 3

Show all Long-Term Bonds and Stock Acquired by the Company During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation or Market Indicator (a)
Bonds - Special Revenue and Special Assessment									
707481 DV 6	PENN HARRIS MADISON IN 5.000% 07/15/13		03/02/2007	Tax Free Exchange		275,915	265,000	1,730	1FE
707481 ES 2	PENN HARRIS MADISON IN 5.000% 07/15/13		03/02/2007	Tax Free Exchange		239,474	230,000	1,501	1FE
3199999.	Total - Bonds - Special Revenue & Special Assessments					515,389	495,000	3,231	XXX
6099997.	Total - Bonds - Part 3					515,389	495,000	3,231	XXX
6099999.	Total - Bonds					515,389	495,000	3,231	XXX
7499999.	Total - Bonds, Preferred and Common Stocks					515,389	XXX	3,231	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues: 0.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of by the Company During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value At Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Maturity Date	NAIC Designation or Market Indicator (a)
Bonds - Special Revenue and Special Assessment																					
575930 6W 7	MASSACHUSETTS ST HSG FI 4.750% 12	...	.01/10/2007	Call 100.0000		25,000	25,000	25,000	25,000				0		25,000			0	129	12/01/2031	1FE
60636X RG 0	MO ST HSG DEV COMM 4.700% 09/01/30	...	.03/01/2007	Call 100.0000		5,000	5,000	5,000	5,000				0		5,000			0	118	09/01/2030	1FE
60636X RJ 4	MO ST HSG DEV COMM 4.750% 03/01/36	...	.03/01/2007	Call 100.0000		5,000	5,000	5,000	5,000				0		5,000			0	119	03/01/2036	1FE
647199 F9 4	NEW MEXICO MTG FIN AUTH 5.400% 09	...	.03/01/2007	Call 100.0000		10,000	10,000	10,000	10,000				0		10,000			0	270	09/01/2033	1FE
707481 BD 8	PENN HARRIS MADISON IN 5.000% 07/1	...	.03/02/2007	Tax Free Exchange		515,389	495,000	495,000	495,000				0		495,000		20,389	20,389	15,606	07/15/2013	1FE
880459 SV 5	TENNESSEE HSG DEV AGCY 5.450% 01	...	.01/01/2007	Call 100.0000		20,000	20,000	20,000	20,000				0		20,000			0	545	01/01/2033	1FE
917436 NU 7	UTAH HSG CORP SINGLE FA 4.750% 07/	...	.01/01/2007	Call 100.0000		15,000	15,000	15,000	15,000				0		15,000			0	356	07/01/2027	1FE
3199999.	Total - Bonds - Special Revenue & Assessment					595,389	575,000	575,000	575,000	0	0	0	0	0	575,000	0	20,389	20,389	17,143	XXX	XXX
6099997.	Total - Bonds - Part 4					595,389	575,000	575,000	575,000	0	0	0	0	0	575,000	0	20,389	20,389	17,143	XXX	XXX
6099999.	Total - Bonds					595,389	575,000	575,000	575,000	0	0	0	0	0	575,000	0	20,389	20,389	17,143	XXX	XXX
7499999.	Total - Bonds, Preferred and Common Stocks					595,389	XXX	575,000	575,000	0	0	0	0	0	575,000	0	20,389	20,389	17,143	XXX	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues: 0.

Sch. DB-Part A-Section 1
NONE

Sch. DB-Part B-Section 1
NONE

Sch. DB-Part C-Section 1
NONE

Sch. DB-Part D-Section 1
NONE

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1		2	3	4	5	Book Balance at End of Each Month During Current Quarter			9
						6	7	8	
Depository		Code	Rate of Interest	Amount of Interest Received During Current Quarter	Amount of Interest Accrued at Current Statement Date	First Month	Second Month	Third Month	*
Open Depositories									
Wells Fargo Bank	Green Bay, WI					(20,319,423)	(28,797,708)	(22,608,784)	XXX
The Northern Trust Company	Chicago, IL			9,250		20,978,731	29,120,729	21,880,698	XXX
Royal Trust Bank	Toronto, Ontario, Canada			1,023		89,190	17,388	69,316	XXX
Royal Trust Bank	Toronto, Ontario, Canada					1,317,917	1,474,857	1,390,299	XXX
Royal Trust Bank	Toronto, Ontario, Canada					66	67	68	XXX
0199999. Total Open Depositories		XXX	XXX	10,273	0	2,066,481	1,815,332	731,598	XXX
0399999. Total Cash on Deposit		XXX	XXX	10,273	0	2,066,481	1,815,332	731,598	XXX
0599999. Total Cash		XXX	XXX	10,273	0	2,066,481	1,815,332	731,598	XXX

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1 Description	2 Code	3 Date Acquired	4 Rate of Interest	5 Maturity Date	6 Book/Adjusted Carrying Value	7 Amount of Interest Due & Accrued	8 Gross Investment Income
ABCP-AMSTERDAM FUNDING CORP		03/09/2007	5.285	04/10/2007	2,996,042		10,068
ABCP - CAFCO LLC		02/27/2007	5.287	04/09/2007	1,498,240		7,217
ABCP-CC USA INC/CENTAURI CORP		03/05/2007	5.288	04/10/2007	2,496,699		9,849
ABCP - CHARIOT FUNDING LLC		02/20/2007	5.287	04/09/2007	1,498,240		8,740
ABCP - CHESHAM FINANCE LLC		03/09/2007	5.285	04/10/2007	1,997,361		6,712
ABCP-CIESCO-LLC		02/13/2007	5.292	04/09/2007	599,295		4,108
ABCP - DEER VALLEY FUNDING LLC		03/06/2007	5.302	04/10/2007	1,697,750		6,468
ABCP-DORADA CORP/DORADA FINANC		03/08/2007	5.301	04/10/2007	1,997,353		7,024
ABCP - FALCON DTC PV		03/13/2007	5.282	04/10/2007	599,209		1,663
FANNIE MAE		03/05/2007	5.249	04/10/2007	599,228		2,318
ABCP-OLD LINE FUNDING CORP		02/12/2007	5.298	04/09/2007	898,942		6,299
ABCP - THAMES ASSET GLOBAL SEC		03/12/2007	5.282	04/10/2007	599,209		1,751
ABCP-VARIABLE FD DTC PV		03/23/2007	5.280	04/05/2007	799,531		1,053
ABCP-WHITE PINE FINANCE LLC		03/27/2007	5.291	04/10/2007	599,207		439
0199999. Total Cash Equivalents					18,876,306	0	73,709