



QUARTERLY STATEMENT

As of June 30, 2007
of the Condition and Affairs of the

AMEX ASSURANCE COMPANY

NAIC Group Code..... 4, 4 (Current Period) (Prior Period)	NAIC Company Code..... 27928	Employer's ID Number..... 36-2760101
Organized under the Laws of Illinois Incorporated/Organized..... January 30, 1973	State of Domicile or Port of Entry Illinois Commenced Business..... February 5, 1973	Country of Domicile US
Statutory Home Office	115 South LaSalle Street..... Chicago IL 60603 <i>(Street and Number) (City or Town, State and Zip Code)</i>	
Main Administrative Office	3500 Packerland Drive..... De Pere WI 54115-9070 <i>(Street and Number) (City or Town, State and Zip Code)</i>	920-330-5100 <i>(Area Code) (Telephone Number)</i>
Mail Address	PO Box 19020..... Green Bay WI 54307-9020 <i>(Street and Number or P. O. Box) (City or Town, State and Zip Code)</i>	
Primary Location of Books and Records	3500 Packerland Drive..... De Pere WI 54115-9070 <i>(Street and Number) (City or Town, State and Zip Code)</i>	920-431-4050 <i>(Area Code) (Telephone Number)</i>
Internet Website Address	www.ameriprise .com	
Statutory Statement Contact	Nancy Ann Clifford <i>(Name)</i> nancy.a.clifford@aexp.com <i>(E-Mail Address)</i>	920-431-4067 <i>(Area Code) (Telephone Number) (Extension)</i> 920-431-4087 <i>(Fax Number)</i>
Policyowner Relations Contact	3500 Packerland Drive..... De Pere WI 54115 <i>(Street and Number) (City or Town, State and Zip Code)</i>	920-330-5150 <i>(Area Code) (Telephone Number) (Extension)</i>

OFFICERS

Name	Title	Name	Title
1. Kenneth John Ciak	President	2. Thomas Richard Moore	Secretary
3. Richard Alan Laiderman #	Treasurer	4.	

OTHER

Thomas John Boogaard	Vice President	Thomas Scott Botsford #	Vice President
Richard Norman Bush	Senior Vice President	Debra Marie Conrad	Vice President
Larry William Frazier	Vice President	Michelle Marie Keeley	Vice President
Thomas William Murphy	Vice President	Rebecca Ann Nash	Senior Vice President
Rebecca Lea Roever #	Vice President	Bruce Howard Saul	Vice President
Dianne Lynn Wilson	Senior Vice President		

DIRECTORS OR TRUSTEES

Lawrence Anthony Belmonte	Thomas Scott Botsford	Kenneth John Ciak	Larry William Frazier
Joy Ann Hanson	Richard Andrew Hemmings	Christopher Joseph Lolli #	Naeemah Ruffin
Michael Ralph Streit	Jeffrey Alan Williams	Dianne Lynn Wilson	

State of..... Wisconsin
County of..... Brown

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions and Accounting Practices and Procedures* manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

_____ (Signature) Kenneth J Ciak 1. (Printed Name) President (Title)	_____ (Signature) Joy A Hanson 2. (Printed Name) Asst Secretary (Title)	_____ (Signature) Larry W Frazier 3. (Printed Name) Asst Treasurer (Title)
---	--	---

Subscribed and sworn to before me

This 30th day of July 2007

a. Is this an original filing? Yes [X] No []
b. If no: 1. State the amendment number _____
2. Date filed _____
3. Number of pages attached _____

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds.....	85,258,600		85,258,600	86,828,739
2. Stocks:				
2.1 Preferred stocks.....			0	
2.2 Common stocks.....	81,541		81,541	81,541
3. Mortgage loans on real estate:				
3.1 First liens.....			0	
3.2 Other than first liens.....			0	
4. Real estate:				
4.1 Properties occupied by the company (less \$.....0 encumbrances).....			0	
4.2 Properties held for the production of income (less \$.....0 encumbrances).....			0	
4.3 Properties held for sale (less \$.....0 encumbrances).....			0	
5. Cash (\$.....2,931,123), cash equivalents (\$.....28,430,000) and short-term investments (\$.....0).....	31,361,123		31,361,123	20,699,642
6. Contract loans (including \$.....0 premium notes).....			0	
7. Other invested assets.....			0	
8. Receivables for securities.....	4,125		4,125	9,375
9. Aggregate write-ins for invested assets.....	0	0	0	0
10. Subtotals, cash and invested assets (Lines 1 to 9).....	116,705,389	0	116,705,389	107,619,298
11. Title Plants less \$.....0 charged off (for Title insurers only).....			0	
12. Investment income due and accrued.....	1,365,245		1,365,245	1,329,424
13. Premiums and considerations:				
13.1 Uncollected premiums and agents' balances in the course of collection.....	17,858,661	19,044	17,839,617	16,432,537
13.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$.....0 earned but unbilled premiums).....			0	
13.3 Accrued retrospective premiums.....			0	
14. Reinsurance:				
14.1 Amounts recoverable from reinsurers.....	94,040		94,040	
14.2 Funds held by or deposited with reinsured companies.....			0	
14.3 Other amounts receivable under reinsurance contracts.....			0	
15. Amounts receivable relating to uninsured plans.....			0	
16.1 Current federal and foreign income tax recoverable and interest thereon.....	1,911,772		1,911,772	1,744,408
16.2 Net deferred tax asset.....			0	
17. Guaranty funds receivable or on deposit.....			0	
18. Electronic data processing equipment and software.....			0	
19. Furniture and equipment, including health care delivery assets (\$.....0).....			0	
20. Net adjustment in assets and liabilities due to foreign exchange rates.....			0	
21. Receivables from parent, subsidiaries and affiliates.....	213,365		213,365	794,562
22. Health care (\$.....0) and other amounts receivable.....			0	
23. Aggregate write-ins for other than invested assets.....	14,212,251	0	14,212,251	14,662,458
24. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 10 through 23).....	152,360,723	19,044	152,341,679	142,582,687
25. From Separate Accounts, Segregated Accounts and Protected Cell Accounts.....			0	
26. TOTALS (Lines 24 and 25).....	152,360,723	19,044	152,341,679	142,582,687

DETAILS OF WRITE-INS

0901.....			0	
0902.....			0	
0903.....			0	
0998. Summary of remaining write-ins for Line 9 from overflow page.....	0	0	0	0
0999. Totals (Lines 0901 thru 0903 plus 0998) (Line 9 above).....	0	0	0	0
2301. Premium Accrual EBNR - IBNR offset.....	14,211,875		14,211,875	14,661,875
2302. Miscellaneous Assets.....	376		376	583
2303.....			0	
2398. Summary of remaining write-ins for Line 23 from overflow page.....	0	0	0	0
2399. Totals (Lines 2301 thru 2303 plus 2398) (Line 23 above).....	14,212,251	0	14,212,251	14,662,458

LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31 Prior Year
1. Losses (current accident year \$.....0).....		0
2. Reinsurance payable on paid losses and loss adjustment expenses.....		
3. Loss adjustment expenses.....		
4. Commissions payable, contingent commissions and other similar charges.....		
5. Other expenses (excluding taxes, licenses and fees).....	2,726,989	8,243,393
6. Taxes, licenses and fees (excluding federal and foreign income taxes).....	(1,517,878)	2,523,264
7.1 Current federal and foreign income taxes (including \$.....0 on realized capital gains (losses)).....		
7.2 Net deferred tax liability.....	109,883	92,588
8. Borrowed money \$.....0 and interest thereon \$.....0.....		
9. Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$....32,086,495 and including warranty reserves of \$....).....		
10. Advance premium.....		
11. Dividends declared and unpaid:		
11.1 Stockholders.....		
11.2 Policyholders.....		
12. Ceded reinsurance premiums payable (net of ceding commissions).....	28,939,652	12,875,415
13. Funds held by company under reinsurance treaties.....		
14. Amounts withheld or retained by company for account of others.....		
15. Remittances and items not allocated.....		
16. Provision for reinsurance.....	9,751	9,751
17. Net adjustments in assets and liabilities due to foreign exchange rates.....		
18. Drafts outstanding.....		
19. Payable to parent, subsidiaries and affiliates.....	213,365	1,049,883
20. Payable for securities.....		
21. Liability for amounts held under uninsured plans.....		
22. Capital notes \$.....0 and interest thereon \$.....0.....		
23. Aggregate write-ins for liabilities.....	(45,010)	(46,931)
24. Total liabilities excluding protected cell liabilities (Lines 1 through 23).....	30,436,753	24,747,363
25. Protected cell liabilities.....		
26. Total liabilities (Lines 24 and 25).....	30,436,753	24,747,363
27. Aggregate write-ins for special surplus funds.....	0	0
28. Common capital stock.....	4,597,875	4,597,875
29. Preferred capital stock.....		
30. Aggregate write-ins for other than special surplus funds.....	0	0
31. Surplus notes.....		
32. Gross paid in and contributed surplus.....	117,307,051	113,237,449
33. Unassigned funds (surplus).....		
34. Less treasury stock, at cost:		
34.10.000 shares common (value included in Line 28 \$.....0).....		
34.20.000 shares preferred (value included in Line 29 \$.....0).....		
35. Surplus as regards policyholders (Lines 27 to 33, less 34).....	121,904,926	117,835,324
36. TOTALS.....	152,341,679	142,582,687

DETAILS OF WRITE-INS

2301. Miscellaneous Liabilities.....	(45,704)	(47,438)
2302. Unclaimed Property.....	.694	.507
2303.		
2398. Summary of remaining write-ins for Line 23 from overflow page.....	0	0
2399. Totals (Lines 2301 thru 2303 plus 2398) (Line 23 above).....	(45,010)	(46,931)
2701.		
2702.		
2703.		
2798. Summary of remaining write-ins for Line 27 from overflow page.....	0	0
2799. Totals (Lines 2701 thru 2703 plus 2798) (Line 27 above).....	0	0
3001.		
3002.		
3003.		
3098. Summary of remaining write-ins for Line 30 from overflow page.....	0	0
3099. Totals (Lines 3001 thru 3003 plus 3098) (Line 30 above).....	0	0

STATEMENT OF INCOME

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
UNDERWRITING INCOME			
1. Premiums earned:			
1.1 Direct..... (written \$.....220,140,832).....	291,552,860	408,773,574	807,569,195
1.2 Assumed..... (written \$.....0).....		2,050,173	3,756,229
1.3 Ceded..... (written \$.....220,140,832).....	291,552,860	410,823,746	811,325,424
1.4 Net..... (written \$.....(0)).....	0	0	0
DEDUCTIONS:			
2. Losses incurred (current accident year \$.....0):			
2.1 Direct.....	87,541,755	209,055,435	411,800,580
2.2 Assumed.....	(96)	(109)	(141)
2.3 Ceded.....	87,541,659	209,055,326	411,800,439
2.4 Net.....	0	0	0
3. Loss expenses incurred.....			
4. Other underwriting expenses incurred.....	(1,933,006)	(1,523,049)	(3,279,994)
5. Aggregate write-ins for underwriting deductions.....	0	0	0
6. Total underwriting deductions (Lines 2 through 5).....	(1,933,006)	(1,523,049)	(3,279,994)
7. Net income of protected cells.....			
8. Net underwriting gain (loss) (Line 1 minus Line 6 + Line 7).....	1,933,006	1,523,049	3,279,994
INVESTMENT INCOME			
9. Net investment income earned.....	2,961,514	2,891,346	5,899,770
10. Net realized capital gains (losses) less capital gains tax of \$.....9,097.....	9,976	454,944	467,969
11. Net investment gain (loss) (Lines 9 + 10).....	2,971,490	3,346,289	6,367,739
OTHER INCOME			
12. Net gain or (loss) from agents' or premium balances charged off (amount recovered \$.....0 amount charged off \$.....0).....	0		
13. Finance and service charges not included in premiums.....			
14. Aggregate write-ins for miscellaneous income.....	0	65	0
15. Total other income (Lines 12 through 14).....	0	65	0
16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15).....	4,904,496	4,869,403	9,647,732
17. Dividends to policyholders.....			
18. Net income after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17).....	4,904,496	4,869,403	9,647,732
19. Federal and foreign income taxes incurred.....	1,227,319	1,104,985	2,554,239
20. Net income (Line 18 minus Line 19) (to Line 22).....	3,677,177	3,764,418	7,093,493
CAPITAL AND SURPLUS ACCOUNT			
21. Surplus as regards policyholders, December 31 prior year.....	117,835,322	115,232,856	115,232,856
22. Net income (from Line 20).....	3,677,177	3,764,418	7,093,493
23. Net transfers (to) from Protected Cell accounts.....			
24. Change in net unrealized capital gains or losses less capital gains tax of \$.....0.....			
25. Change in net unrealized foreign exchange capital gain (loss).....	406,780	(513,592)	(710,074)
26. Change in net deferred income tax.....	(13,441)	(21,815)	21,347
27. Change in nonadmitted assets.....	(912)	(3,596)	(6,340)
28. Change in provision for reinsurance.....		(0)	204,041
29. Change in surplus notes.....			
30. Surplus (contributed to) withdrawn from protected cells.....			
31. Cumulative effect of changes in accounting principles.....			
32. Capital changes:			
32.1 Paid in.....			
32.2 Transferred from surplus (Stock Dividend).....			
32.3 Transferred to surplus.....			
33. Surplus adjustments:			
33.1 Paid in.....			
33.2 Transferred to capital (Stock Dividend).....			
33.3 Transferred from capital.....			
34. Net remittances from or (to) Home Office.....			
35. Dividends to stockholders.....			(4,000,000)
36. Change in treasury stock.....			
37. Aggregate write-ins for gains and losses in surplus.....	0	0	0
38. Change in surplus as regards policyholders (Lines 22 through 37).....	4,069,604	3,225,415	2,602,466
39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38).....	121,904,926	118,458,270	117,835,322

DETAILS OF WRITE-INS

0501.			
0502.			
0503.			
0598. Summary of remaining write-ins for Line 5 from overflow page.....	0	0	0
0599. Totals (Lines 0501 thru 0503 plus 0598) (Line 5 above).....	0	0	0
1401. Administration Fees.....			
1402. Miscellaneous Income.....		65	
1403.			
1498. Summary of remaining write-ins for Line 14 from overflow page.....	0	0	0
1499. Totals (Lines 1401 thru 1403 plus 1498) (Line 14 above).....	0	65	0
3701.			
3702.			
3703.			
3798. Summary of remaining write-ins for Line 37 from overflow page.....	0	0	0
3799. Totals (Lines 3701 thru 3703 plus 3798) (Line 37 above).....	0	0	0

CASH FLOW

	1 Current Year to Date	2 Prior Year Ended December 31
CASH FROM OPERATIONS		
1. Premiums collected net of reinsurance.....	14,656,245	5,044,748
2. Net investment income.....	2,925,693	6,001,128
3. Miscellaneous income.....		
4. Total (Lines 1 through 3).....	17,581,938	11,045,875
5. Benefit and loss related payments.....	94,040	(10,075)
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts.....		
7. Commissions, expenses paid and aggregate write-ins for deductions.....	7,624,540	3,411,530
8. Dividends paid to policyholders.....		
9. Federal and foreign income taxes paid (recovered) net of \$.....9,097 tax on capital gains (losses).....	1,399,925	5,332,967
10. Total (Lines 5 through 9).....	9,118,505	8,734,423
11. Net cash from operations (Line 4 minus Line 10).....	8,463,433	2,311,452
CASH FROM INVESTMENTS		
12. Proceeds from investments sold, matured or repaid:		
12.1 Bonds.....	2,502,712	18,104,907
12.2 Stocks.....		
12.3 Mortgage loans.....		
12.4 Real estate.....		
12.5 Other invested assets.....		
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments.....	(606)	(5,806)
12.7 Miscellaneous proceeds.....	5,250	
12.8 Total investment proceeds (Lines 12.1 to 12.7).....	2,507,356	18,099,101
13. Cost of investments acquired (long-term only):		
13.1 Bonds.....	515,389	24,411,608
13.2 Stocks.....		
13.3 Mortgage loans.....		
13.4 Real estate.....		
13.5 Other invested assets.....		
13.6 Miscellaneous applications.....		5,250
13.7 Total investments acquired (Lines 13.1 to 13.6).....	515,389	24,416,858
14. Net increase (decrease) in contract loans and premium notes.....		
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14).....	1,991,967	(6,317,757)
CASH FROM FINANCING AND MISCELLANEOUS SOURCES		
16. Cash provided (applied):		
16.1 Surplus notes, capital notes.....		
16.2 Capital and paid in surplus, less treasury stock.....		
16.3 Borrowed funds.....		
16.4 Net deposits on deposit-type contracts and other insurance liabilities.....		
16.5 Dividends to stockholders.....		4,000,000
16.6 Other cash provided (applied).....	206,080	(320,358)
17. Net cash from financing and miscellaneous sources (Lines 16.1 to 16.4 minus Line 16.5 plus Line 16.6).....	206,080	(4,320,358)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS		
18. Net change in cash, cash equivalents and short-term investments (Line 11 plus Line 15 plus Line 17).....	10,661,480	(8,326,663)
19. Cash, cash equivalents and short-term investments:		
19.1 Beginning of year.....	20,699,642	29,026,305
19.2 End of period (Line 18 plus Line 19.1).....	31,361,123	20,699,642

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001		
---------------	--	--

NOTES TO FINANCIAL STATEMENTS

Note 1 - Summary of Significant Accounting Policies

No significant change.

Note 2 - Accounting Changes and Corrections of Errors

No significant change.

Note 3 - Business Combinations and Goodwill

No significant change.

Note 4 - Discontinued Operations

No significant change.

Note 5 - Investments

No significant change.

Note 6 - Joint Ventures, Partnerships and Limited Liability Companies

No significant change.

Note 7 - Investment Income

No significant change.

Note 8 - Derivative Instruments

No significant change.

Note 9 - Income Taxes

No significant change.

Note 10 - Information Concerning Parent, Subsidiaries and Affiliates

No significant change.

Note 11 - Debt

No significant change.

Note 12 - Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

No significant change.

Note 13 - Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations

No significant change.

Note 14 - Contingencies

No significant change.

Note 15 - Leases

No significant change.

NOTES TO FINANCIAL STATEMENTS

Note 16 - Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk

No significant change.

Note 17 - Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

C. Not Applicable.

Note 18 - Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

No significant change.

Note 19 - Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

No significant change.

Note 20 - September 11 Events

No significant change.

Note 21 - Other Items

No significant change.

Note 22 - Events Subsequent

No significant change.

Note 23 - Reinsurance

No significant change.

Note 24 - Retrospectively Rated Contracts & Contracts Subject to Redetermination

No significant change.

Note 25 - Change in Incurred Losses and Loss Adjustment Expenses

No significant change.

Note 26 - Intercompany Pooling Arrangements

No significant change.

Note 27 - Structured Settlements

No significant change.

Note 28 - Health Care Receivables

No significant change.

Note 29 - Participating Policies

No significant change.

Note 30 - Premium Deficiency Reserves

NOTES TO FINANCIAL STATEMENTS

No significant change.

Note 31 - High Deductibles

No significant change.

Note 32 - Discounting of Liabilities for Unpaid Losses or Unpaid Loss Adjustment Expenses

No significant change.

Note 33 - Asbestos/Environmental Reserves

No significant change.

Note 34 - Subscriber Savings Accounts

No significant change.

Note 35 - Multiple Peril Crop Insurance

No significant change.

GENERAL INTERROGATORIES

(Responses to these interrogatories should be based on changes that have occurred since prior year end unless otherwise noted)

PART 1 - COMMON INTERROGATORIES

GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [] No [X]
- 1.2 If yes, has the report been filed with the domiciliary state? Yes [] No []
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [] No [X]
- 2.2 If yes, date of change:
- 3. Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [] No [X]
If yes, complete the Schedule Y-Part 1 - Organizational chart.
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [] No [X]
- 4.2 If yes, provide name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

- 5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [] No [X] N/A []
If yes, attach an explanation.

- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2003.....
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2003.....
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 06/15/2005.....
- 6.4 By what department or departments?

Illinois Insurance Department

- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [] No [X]
- 7.2 If yes, give full information:

- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes [] No [X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [X] No []

- 8.4 If the response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Office of Thrift Supervision (OTS), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator].

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 OTS	6 FDIC	7 SEC
American Enterprise Investment Ser	Minneapolis, MN					YES
American Express Asset Managemen	Minneapolis, MN					YES
American Express Asset Managemen	Minneapolis, MN					YES
Ameriprise Bank, FSB	New York, NY			YES		
Ameriprise Certificate Company	Minneapolis, MN					YES
Ameriprise Financial Services, Inc.	Minneapolis, MN					YES
Ameriprise Financial, Inc.	Minneapolis, MN					YES
IDS Capital Holdings, Inc.	Minneapolis, MN					YES
Kenwood Capital Management LLC	Minneapolis, MN					YES
RiverSource Distributors	Minneapolis, MN					YES
RiverSource Investments LLC	Minneapolis, MN					YES
RiverSource Service Corporation	Minneapolis, MN					YES
Securities America Advisors, Inc.	Omaha, NE					YES
Securities America, Inc.	Omaha, NE					YES

GENERAL INTERROGATORIES

(Responses to these interrogatories should be based on changes that have occurred since prior year end unless otherwise noted)

PART 1 - COMMON INTERROGATORIES

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes No
- (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
 - (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
 - (c) Compliance with applicable governmental laws, rules and regulations;
 - (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
 - (e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain:

- 9.2 Has the code of ethics for senior managers been amended? Yes No

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers: Yes No

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes No
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount. \$.....213,365

INVESTMENT

- 11.1 Has there been any change in the reporting entity's own preferred or common stock? Yes No
- 11.2 If yes, explain:

- 12.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes No

12.2 If yes, give full and complete information relating thereto:

13. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$.....0
14. Amount of real estate and mortgages held in short-term investments: \$.....0
- 15.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes No

15.2 If yes, please complete the following:

	1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
15.21 Bonds.....	\$.....0	\$.....0
15.22 Preferred Stock.....	\$.....0	\$.....0
15.23 Common Stock.....	\$.....0	\$.....0
15.24 Short-Term Investments.....	\$.....0	\$.....0
15.25 Mortgages, Loans or Real Estate.....	\$.....0	\$.....0
15.26 All Other.....	\$.....0	\$.....0
15.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 15.21 to 15.26).....	\$.....0	\$.....0
15.28 Total Investment in Parent included in Lines 15.21 to 15.26 above	\$.....0	\$.....0

- 16.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes No
- 16.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes No
 If no, attach a description with this statement.

GENERAL INTERROGATORIES

(Responses to these interrogatories should be based on changes that have occurred since prior year end unless otherwise noted)

PART 1 - COMMON INTERROGATORIES

17. Excluding items in Schedule E, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Part 1-General, Section IV. H-Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook?

Yes [X] No []

- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
The Northern Trust Company	50 South LaSalle Street, B-7, Chicago, IL 60675

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation.

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter?

Yes [] No [X]

- 17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

- 17.5 Identify all investment advisors, broker/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1 Central Registration Depository	2 Name(s)	3 Address

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Securities Valuation Office been followed?

Yes [X] No []

- 18.2 If no, list exceptions:

GENERAL INTERROGATORIES (continued)

PART 2

PROPERTY & CASUALTY INTERROGATORIES

1. If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change? Yes [] No [] N/A [X]
 If yes, attach an explanation.

2. Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured? Yes [] No [X]
 If yes, attach an explanation.

3.1 Have any of the reporting entity's primary reinsurance contracts been canceled? Yes [] No [X]

3.2 If yes, give full and complete information thereto:

4.1 Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation liabilities tabular reserves (see Annual Statement Instructions pertaining to disclosure of discounting for definition of "tabular reserves,") discounted at a rate of interest greater than zero? Yes [] No [X]

4.2 If yes, complete the following schedule:

1 Line of Business	2 Maximum Interest	3 Disc. Rate	Total Discount				Discount Taken During Period			
			4 Unpaid Losses	5 Unpaid LAE	6 IBNR	7 Total	8 Unpaid Losses	9 Unpaid LAE	10 IBNR	11 Total
XXX..XXX.....00000000
TotalXXX..XXX.....00000000

SCHEDULE A - VERIFICATION

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	0	
2. Increase (decrease) by adjustment.....		
3. Cost of acquired.....		
4. Cost of additions to and permanent improvements.....		
5. Total profit (loss) on sales.....		
6. Increase (decrease) by foreign exchange adjustment.....		
7. Amount received on sales.....		
8. Book/adjusted carrying value at end of current period.....	0	0
9. Total valuation allowance.....		
10. Subtotal (Lines 8 plus 9).....	0	0
11. Total nonadmitted amounts.....		
12. Statement value, current period (Page 2, real estate lines, net admitted assets column).....	0	0

SCHEDULE B - VERIFICATION

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest on mortgages owned, December 31 of prior year.....	0	
2. Amount loaned during period:		
2.1 Actual cost at time of acquisitions.....		
2.2 Additional investment made after acquisitions.....		
3. Accrual of discount and mortgage interest points and commitment fees.....		
4. Increase (decrease) by adjustment.....		
5. Total profit (loss) on sale.....		
6. Amounts paid on account or in full during the period.....		
7. Amortization of premium.....		
8. Increase (decrease) by foreign exchange adjustment.....		
9. Book value/recorded investment excluding accrued interest on mortgages owned at end of current period.....	0	0
10. Total valuation allowance.....		
11. Subtotal (Lines 9 plus 10).....	0	0
12. Total nonadmitted amounts.....		
13. Statement value of mortgages owned at end of current period (Page 2, mortgage lines, net admitted assets column).....	0	0

SCHEDULE BA - VERIFICATION

Other Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of long-term invested assets owned, December 31 of prior year.....	0	
2. Cost of acquisitions during period:		
2.1 Actual cost at time of acquisitions.....		
2.2 Additional investment made after acquisitions.....		
3. Accrual of discount.....		
4. Increase (decrease) by adjustment.....		
5. Total profit (loss) on sale.....		
6. Amounts paid on account or in full during the period.....		
7. Amortization of premium.....		
8. Increase (decrease) by foreign exchange adjustment.....		
9. Book adjusted/carrying value of long-term invested assets at end of current period.....	0	0
10. Total valuation allowance.....		
11. Subtotal (Lines 9 plus 10).....	0	0
12. Total nonadmitted amounts.....		
13. Statement value of long-term invested assets at end of current period (Page 2, Line 7, Column 3).....	0	0

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year.....	86,910,281	80,703,722
2. Cost of bonds and stocks acquired.....	515,389	24,411,608
3. Accrual of discount.....	11,013	23,915
4. Increase (decrease) by adjustment.....	(1,317)	
5. Increase (decrease) by foreign exchange adjustment.....	410,634	(702,922)
6. Total profit (loss) on disposal.....	46,986	710,372
7. Consideration for bonds and stocks disposed of.....	2,502,712	18,104,907
8. Amortization of premium.....	50,133	131,507
9. Book/adjusted carrying value, current period.....	85,340,141	86,910,281
10. Total valuation allowance.....		
11. Subtotal (Lines 9 plus 10).....	85,340,141	86,910,281
12. Total nonadmitted amounts.....		
13. Statement value.....	85,340,141	86,910,281

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by Rating Class

	1	2	3	4	5	6	7	8
	Book/Adjusted Carrying Value Beginning of Current Quarter	Acquisitions During Current Quarter	Dispositions During Current Quarter	Non-Trading Activity During Current Quarter	Book/Adjusted Carrying Value End of First Quarter	Book/Adjusted Carrying Value End of Second Quarter	Book/Adjusted Carrying Value End of Third Quarter	Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. Class 1.....	104,543,842	144,829,787	137,356,031	566,001	104,543,842	112,583,599		105,503,126
2. Class 2.....	1,105,000				1,105,000	1,105,000		
3. Class 3.....								
4. Class 4.....								
5. Class 5.....								
6. Class 6.....								
7. Total Bonds.....	105,648,842	144,829,787	137,356,031	566,001	105,648,842	113,688,599	0	105,503,126
PREFERRED STOCK								
8. Class 1.....								
9. Class 2.....								
10. Class 3.....								
11. Class 4.....								
12. Class 5.....								
13. Class 6.....								
14. Total Preferred Stock.....	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock.....	105,648,842	144,829,787	137,356,031	566,001	105,648,842	113,688,599	0	105,503,126

SCHEDULE DA - PART 1

Short-Term Investments Owned End of Current Quarter

	1 Book/Adjusted Carrying Value	2 NONE	3 Actual Cost	4 Interest Collected Year To Date	5 Paid for Accrued Interest Year To Date
8299999. Totals.....		XXX			

SCHEDULE DA - PART 2 - Verification

Short-Term Investments Owned

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	0	
2. Cost of short-term investments acquired.....		1,381,357
3. Increase (decrease) by adjustment.....		18,643
4. Increase (decrease) by foreign exchange adjustment.....		
5. Total profit (loss) on disposal of short-term investments.....		
6. Consideration received on disposal of short-term investments.....		1,400,000
7. Book/adjusted carrying value, current period.....	0	0
8. Total valuation allowance.....		
9. Subtotal (Lines 7 plus 8).....	0	0
10. Total nonadmitted amounts.....		
11. Statement value (Lines 9 minus 10).....	0	0
12. Income collected during period.....		18,643
13. Income earned during period.....		18,643

Sch. DB-Part F-Section 1
NONE

Sch. DB-Part F-Section 2
NONE

Sch. F
NONE

SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN

Current Year to Date - Allocated by States and Territories

States, Etc.	1 Is Insurer Licensed? (Yes or No)	Direct Premiums Written		Direct Losses Paid (Deducting Salvage)		Direct Losses Unpaid	
		2 Current Year to Date	3 Prior Year to Date	4 Current Year to Date	5 Prior Year to Date	6 Current Year to Date	7 Prior Year to Date
1. Alabama.....AL	YES	1,663,603	2,615,016	435,220	1,115,532	1,349,699	1,327,326
2. Alaska.....AK	YES	392,059	381,267	38,719	24,562	191,336	62,085
3. Arizona.....AZ	YES	2,826,981	15,227,566	4,602,100	8,014,759	7,907,059	12,038,413
4. Arkansas.....AR	YES	660,682	1,531,677	369,271	660,339	710,690	1,211,624
5. California.....CA	YES	68,846,527	116,267,589	58,377,823	58,244,271	72,299,516	75,243,226
6. Colorado.....CO	YES	2,166,694	9,569,707	2,000,765	5,224,257	4,911,656	9,590,132
7. Connecticut.....CT	YES	2,999,891	7,670,684	2,244,816	3,124,705	4,395,454	6,785,329
8. Delaware.....DE	YES	371,848	1,574,208	388,779	734,981	1,274,018	2,096,993
9. District of Columbia.....DC	YES	1,012,286	1,307,870	282,528	367,018	301,702	569,127
10. Florida.....FL	YES	24,991,009	33,627,795	15,719,352	15,416,861	27,316,580	25,205,060
11. Georgia.....GA	YES	5,479,052	13,818,485	4,447,135	6,504,889	6,801,005	9,438,398
12. Hawaii.....HI	YES	851,767	1,839,824	361,033	450,174	1,214,286	2,532,208
13. Idaho.....ID	YES	396,451	812,607	349,898	135,923	288,200	428,540
14. Illinois.....IL	YES	4,936,000	11,299,580	3,494,249	4,010,762	5,193,574	5,921,202
15. Indiana.....IN	YES	1,340,715	4,023,819	1,134,304	2,283,306	3,633,461	3,727,045
16. Iowa.....IA	YES	293,594	1,530,529	146,404	554,928	432,553	728,460
17. Kansas.....KS	YES	642,993	1,512,116	329,851	953,401	1,103,107	745,303
18. Kentucky.....KY	YES	961,076	1,061,642	428,816	101,624	738,064	3,579,718
19. Louisiana.....LA	YES	1,856,724	1,635,788	563,742	298,611	2,188,010	353,501
20. Maine.....ME	YES	345,714	396,666	268,291	40,535	118,683	46,340
21. Maryland.....MD	YES	4,996,444	10,214,945	4,358,487	4,700,440	5,844,351	7,873,701
22. Massachusetts.....MA	YES	5,020,370	5,801,543	703,143	963,150	1,262,245	857,794
23. Michigan.....MI	YES	2,888,952	18,272,608	4,643,703	12,225,366	24,643,267	25,010,454
24. Minnesota.....MN	YES	1,865,678	8,550,164	3,495,962	3,908,053	7,303,170	9,738,551
25. Mississippi.....MS	YES	827,503	1,042,355	628,877	280,440	408,249	854,107
26. Missouri.....MO	YES	1,358,886	3,567,028	671,168	1,956,186	2,701,950	2,062,664
27. Montana.....MT	YES	344,384	424,829	51,584	55,876	184,296	59,915
28. Nebraska.....NE	YES	268,357	1,192,875	356,679	491,094	484,326	529,589
29. Nevada.....NV	YES	1,375,090	6,448,362	2,687,447	3,416,868	4,220,583	8,034,173
30. New Hampshire.....NH	YES	710,995	913,924	101,543	69,289	218,500	104,327
31. New Jersey.....NJ	YES	11,156,313	13,662,250	3,282,534	2,655,952	4,577,544	3,096,637
32. New Mexico.....NM	YES	716,590	1,305,706	213,564	616,471	461,783	614,766
33. New York.....NY	YES	24,960,481	31,865,330	12,929,716	11,402,562	19,722,154	23,709,138
34. North Carolina.....NC	YES	2,572,563	4,662,058	743,249	1,103,746	1,765,694	2,201,515
35. North Dakota.....ND	YES	73,047	127,732	13,231	4,059	57,925	10,711
36. Ohio.....OH	YES	3,261,283	8,017,332	2,098,283	2,946,773	3,901,137	6,371,232
37. Oklahoma.....OK	YES	801,070	1,516,534	462,269	360,549	1,120,311	832,856
38. Oregon.....OR	YES	1,064,667	8,978,388	2,858,714	5,856,962	5,469,282	9,594,972
39. Pennsylvania.....PA	YES	5,831,040	9,855,622	2,715,201	3,822,034	6,105,968	8,804,881
40. Rhode Island.....RI	YES	629,231	733,812	80,804	63,485	146,952	94,789
41. South Carolina.....SC	YES	1,588,968	3,048,969	602,789	1,025,987	1,776,086	1,518,296
42. South Dakota.....SD	YES	548,145	875,443	38,321	44,646	91,800	120,191
43. Tennessee.....TN	YES	1,875,227	3,934,791	1,811,468	1,390,955	4,122,892	3,926,894
44. Texas.....TX	YES	11,674,356	14,669,203	2,616,689	4,458,552	5,400,974	6,984,946
45. Utah.....UT	YES	1,406,800	3,132,460	730,501	1,064,188	1,596,916	1,352,551
46. Vermont.....VT	YES	247,575	287,631	43,261	43,716	60,301	44,702
47. Virginia.....VA	YES	4,215,981	9,005,495	1,880,395	2,949,567	4,791,547	3,806,945
48. Washington.....WA	YES	2,139,224	22,474,608	8,227,119	12,473,107	17,056,668	23,985,341
49. West Virginia.....WV	YES	294,167	317,239	32,119	56,327	250,028	3,404,559
50. Wisconsin.....WI	YES	797,646	2,750,060	520,598	1,142,537	1,661,013	1,725,189
51. Wyoming.....WY	YES	205,539	178,515	24,917	13,247	42,172	181,805
52. American Samoa.....AS	NO	33,954	-	4,198	-	2,050	-
53. Guam.....GU	NO	262,851	52,225	149,265	8,427	7,923	3,017
54. Puerto Rico.....PR	YES	68,404	243,391	16,828	170,479	4,123	6,525
55. US Virgin Islands.....VI	NO	927,804	73,855	112,421	32,228	160	3,935
56. Northern Mariana Islands.....MP	NO	95,584	-	118,686	-	-	-
57. Canada.....CN	YES	-	884,085	-	15,906	-	94
58. Aggregate Other Alien.....OT	XXX	0	173,931	0	215,165	0	0
59. Totals.....(a)	52	220,140,832	426,959,736	156,008,830	190,265,826	269,832,989	319,151,795

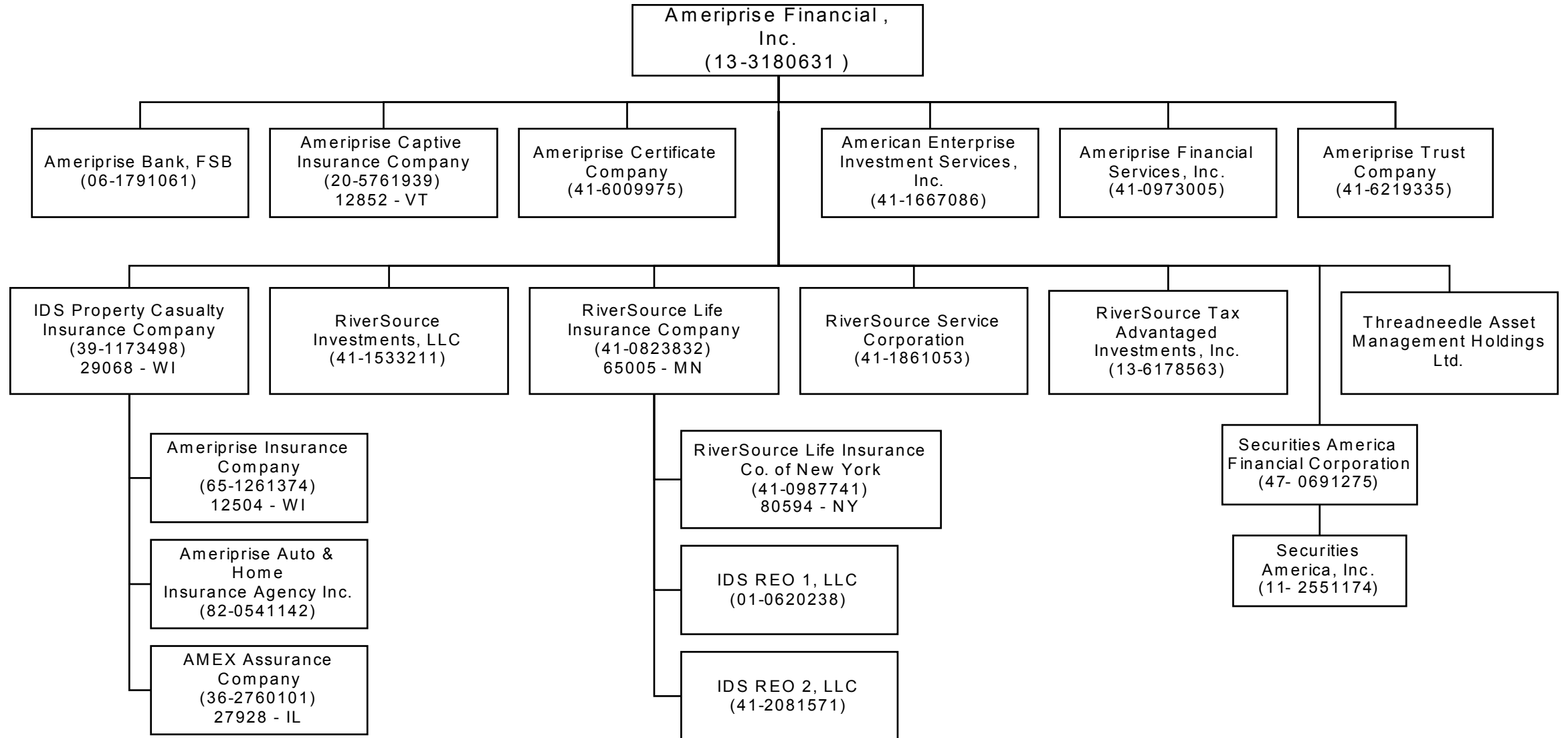
DETAILS OF WRITE-INS

5801. Alien(01).....	XXX		173,931		215,165		
5802.	XXX						
5803.	XXX						
5898. Summary of remaining write-ins for Line 58 from overflow page.....	XXX	0	0	0	0	0	0
5899. Totals (Lines 5801 thru 5803 + Line 5898) (Line 58 above).....	XXX	0	173,931	0	215,165	0	0

(a) Insert the number of yes responses except for Canada and Other Alien.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART



PART 1 - LOSS EXPERIENCE

Lines of Business	Current Year to Date			4 Prior Year to Date Direct Loss Percentage
	1 Direct Premiums Earned	2 Direct Losses Incurred	3 Direct Loss Percentage	
1. Fire.....			0.0	
2. Allied lines.....			0.0	
3. Farmowners multiple peril.....			0.0	
4. Homeowners multiple peril.....	19,928,631	8,622,789	43.3	76.8
5. Commercial multiple peril.....			0.0	
6. Mortgage guaranty.....			0.0	
8. Ocean marine.....			0.0	
9. Inland marine.....	73,254,596	22,955,467	31.3	31.5
10. Financial guaranty.....			0.0	
11.1. Medical malpractice-occurrence.....			0.0	
11.2. Medical malpractice-claims made.....			0.0	
12. Earthquake.....			0.0	
13. Group accident and health.....	84,622,566	13,608,267	16.1	9.4
14. Credit accident and health.....			0.0	
15. Other accident and health.....	46,646	108,689	233.0	202.9
16. Workers' compensation.....			0.0	
17.1. Other liability-occurrence.....	392,405	475,000	121.0	43.9
17.2. Other liability-claims made.....		(9,743,477)	0.0	90.1
18.1. Products liability-occurrence.....			0.0	
18.2. Products liability-claims made.....			0.0	
19.1, 19.2 Private passenger auto liability.....	63,372,855	22,765,585	35.9	61.8
19.3, 19.4 Commercial auto liability.....			0.0	
21. Auto physical damage.....	49,935,161	28,749,434	57.6	69.1
22. Aircraft (all perils).....			0.0	
23. Fidelity.....			0.0	
24. Surety.....			0.0	
26. Burglary and theft.....			0.0	
27. Boiler and machinery.....			0.0	
28. Credit.....			0.0	
29. International.....			0.0	
30. Reinsurance-nonproportional assumed property.....	XXX	XXX	XXX	XXX
31. Reinsurance-nonproportional assumed liability.....	XXX	XXX	XXX	XXX
32. Reinsurance-nonproportional assumed financial lines.....	XXX	XXX	XXX	XXX
33. Aggregate write-ins for other lines of business.....	0	0	0.0	
34. Totals.....	291,552,860	87,541,755	30.0	51.1

DETAILS OF WRITE-INS

3301.			0.0	
3302.			0.0	
3303.			0.0	
3398. Sum. of remaining write-ins for Line 33 from overflow page.....	0	0	0.0	XXX
3399. Totals (Lines 3301 thru 3303 plus 3398) (Line 33).....	0	0	0.0	

PART 2 - DIRECT PREMIUMS WRITTEN

Lines of Business	1	2	3
	Current Quarter	Current Year to Date	Prior Year Year to Date
1. Fire.....			
2. Allied lines.....			
3. Farmowners multiple peril.....			
4. Homeowners multiple peril.....	1,081,436	736,121	39,513,908
5. Commercial multiple peril.....			
6. Mortgage guaranty.....			
8. Ocean marine.....			
9. Inland marine.....	36,867,126	73,647,015	67,395,734
10. Financial guaranty.....			
11.1. Medical malpractice-occurrence.....			
11.2. Medical malpractice-claims made.....			
12. Earthquake.....			
13. Group accident and health.....	41,806,142	85,527,634	72,664,534
14. Credit accident and health.....			
15. Other accident and health.....	26,877	40,957	48,204
16. Workers' compensation.....			
17.1. Other liability-occurrence.....	(413)	(1,974)	858,899
17.2. Other liability-claims made.....			22,098,150
18.1. Products liability-occurrence.....			
18.2. Products liability-claims made.....			
19.1, 19.2 Private passenger auto liability.....	(515,146)	33,411,400	128,604,997
19.3, 19.4 Commercial auto liability.....			
21. Auto physical damage.....	(239,239)	26,779,680	95,775,311
22. Aircraft (all perils).....			
23. Fidelity.....			
24. Surety.....			
26. Burglary and theft.....			
27. Boiler and machinery.....			
28. Credit.....			
29. International.....			
30. Reinsurance-nonproportional assumed property.....	XXX	XXX	XXX
31. Reinsurance-nonproportional assumed liability.....	XXX	XXX	XXX
32. Reinsurance-nonproportional assumed financial lines.....	XXX	XXX	XXX
33. Aggregate write-ins for other lines of business.....	0	0	0
34. Totals.....	79,026,783	220,140,832	426,959,736

DETAILS OF WRITE-INS

3301.			
3302.			
3303.			
3398. Sum. of remaining write-ins for Line 33 from overflow page.....	0	0	0
3399. Totals (Lines 3301 thru 3303 plus 3398) (Line 33).....	0	0	0

PART 3 (000 omitted)

LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE

	1	2	3	4	5	6	7	8	9	10	11	12	13
Years in Which Losses Occurred	Prior Year-End Known Case Loss and LAE Reserves	Prior Year-End IBNR Loss and LAE Reserves	Total Prior Year-End Loss and LAE Reserves (Cols. 1 + 2)	2007 Loss and LAE Payments on Claims Reported as of Prior Year-End	2007 Loss and LAE Payments on Claims Unreported as of Prior Year-End	Total 2007 Loss and LAE Payments (Cols. 4 + 5)	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year-End	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year-End	Q.S. Date IBNR Loss and LAE Reserves	Total Q.S. Loss and LAE Reserves (Cols. 7 + 8 + 9)	Prior Year-End Known Case Loss and LAE Reserves Developed (Savings)/Deficiency (Cols. 4 + 7 minus Col. 1)	Prior Year-End IBNR Loss and LAE Reserves Developed (Savings)/Deficiency (Cols. 5 + 8 + 9 minus Col. 2)	Prior Year-End Total Loss and LAE Reserve Developed (Savings)/Deficiency (Cols. 11 + 12)
1. 2004 + Prior		0		0			0000
2. 2005		0		0			0000
3. Subtotals 2005 + Prior0000000000000
4. 2006		0		0			0000
5. Subtotals 2006 + Prior0000000000000
6. 2007XXX.....XXX.....XXX.....XXX.....	0XXX.....		0XXX.....XXX.....XXX.....
7. Totals0000000000000
8. Prior Year-End's Surplus As Regards Policyholders117,835										Col. 11, Line 7 As % of Col. 1, Line 7	Col. 12, Line 7 As % of Col. 2, Line 7	Col. 13, Line 7 As % of Col. 3, Line 7
											1.0.0 %	2.0.0 %	3.0.0 %
													Col. 13, Line 7 Line 8
													4.0.0 %

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason, enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO _____
2. Will Supplement A to Schedule T (Medical Malpractice Supplement) be filed with this statement?	NO _____
3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO _____

Explanation:

Bar Code:



**Overflow Page
NONE**

**Sch. A-Part 2
NONE**

**Sch. A-Part 3
NONE**

**Sch. B-Part 1
NONE**

**Sch. B-Part 2
NONE**

**Sch. BA-Part 1
NONE**

**Sch. BA-Part 2
NONE**

**Sch. D-Part 3
NONE**

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of by the Company During the Current Quarter

1 CUSIP Identification	2 Description	3 F o r e i g n	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consideration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change in Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value At Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Maturity Date	22 NAIC Design- ation or Market Indicator (a)
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amortization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recognized	14 Total Change in B./A.C.V. (11+12-13)	15 Total Foreign Exchange Change in B./A.C.V.							
Bonds - Political Subdivisions of States																					
121403 RR 2	BURLESON TX INDPT SCH D 5.375% 08/...		.04/19/2007	Call	100.0000	1,235,000	1,235,000	1,200,148	1,207,964		451		451		1,208,415		26,585	26,585	47,573	08/01/2019	1FE.....
25476A QV 4	DISTRICT OF COLUMBIA 6.750% 06/01/0...		.06/01/2007	Call	100.0000	5,000	5,000	4,911	4,983		5		5		4,988		12	12	169	06/01/2008	1FE.....
2499999.	Total - Bonds - Political Subdivisions.....					1,240,000	1,240,000	1,205,059	1,212,947	0	456	0	456	0	1,213,403	0	26,597	26,597	47,742	XXX	XXX
Bonds - Special Revenue and Special Assessment																					
575930 6W 7	MASSACHUSETTS ST HSG FI 4.750% 12/...		.06/01/2007	Call	100.0000	20,000	20,000	20,000	20,000				0		20,000			0	475	12/01/2031	1FE.....
68608R V7 5	OREGON ST HSG & CMNTY S 5.250% 07/...		.06/01/2007	Call	100.0000	75,000	75,000	75,000	75,000				0		75,000			0	3,609	07/01/2032	1FE.....
762212 Q8 4	RHODE ISLAND HSG & MTG 4.800% 10/...		.04/01/2007	Call	100.0000	5,000	5,000	5,000	5,000				0		5,000			0	120	10/01/2035	1FE.....
3199999.	Total - Bonds - Special Revenue & Assessment.....					100,000	100,000	100,000	100,000	0	0	0	0	0	100,000	0	0	0	4,204	XXX	XXX
Bonds - Industrial and Miscellaneous																					
97189@ AA 5	WILSHIRE NEW YORK II NE 10.000% 04/1...		.04/13/2007	Maturity		567,323	567,323	607,044	569,649		(2,326)		(2,326)		567,323			0	18,596	04/13/2007	1.....
4599999.	Total - Bonds - Industrial & Miscellaneous.....					567,323	567,323	607,044	569,649	0	(2,326)	0	(2,326)	0	567,323	0	0	0	18,596	XXX	XXX
6099997.	Total - Bonds - Part 4.....					1,907,323	1,907,323	1,912,103	1,882,596	0	(1,870)	0	(1,870)	0	1,880,726	0	26,597	26,597	70,542	XXX	XXX
6099999.	Total - Bonds.....					1,907,323	1,907,323	1,912,103	1,882,596	0	(1,870)	0	(1,870)	0	1,880,726	0	26,597	26,597	70,542	XXX	XXX
7499999.	Total - Bonds, Preferred and Common Stocks.....					1,907,323	XXX	1,912,103	1,882,596	0	(1,870)	0	(1,870)	0	1,880,726	0	26,597	26,597	70,542	XXX	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues:.....0.

**Sch. DB-Part A-Section 1
NONE**

**Sch. DB-Part B-Section 1
NONE**

**Sch. DB-Part C-Section 1
NONE**

**Sch. DB-Part D-Section 1
NONE**

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
Open Depositories								
Wells Fargo Bank.....	Green Bay, WI.....(1,991,523)(8,845,219)(12,362,083)	XXX
The Northern Trust Company.....	Chicago, IL.....2,6844,018,93410,314,95913,932,764	XXX
Royal Trust Bank.....	Toronto, Ontario, Canada.....73,64587,76958,624	XXX
Royal Trust Bank.....	Toronto, Ontario, Canada.....11,1501,204,1981,332,0051,301,743	XXX
Royal Trust Bank.....	Toronto, Ontario, Canada.....717375	XXX
0199999. Total Open Depositories.....	XXX.....	XXX.....13,83403,305,3252,889,5882,931,123	XXX
0399999. Total Cash on Deposit.....	XXX.....	XXX.....13,83403,305,3252,889,5882,931,123	XXX
0599999. Total Cash.....	XXX.....	XXX.....13,83403,305,3252,889,5882,931,123	XXX

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1 Description	2 Code	3 Date Acquired	4 Rate of Interest	5 Maturity Date	6 Book/Adjusted Carrying Value	7 Amount of Interest Due & Accrued	8 Gross Investment Income
CALIFORNIA INTRASTRUCTURE & ED.....		06/04/2007	3.680	12/01/2036	2,200,000	6,578	7,240
CALIFORNIA STATE DEPT WTR RES.....		05/15/2007	3.700	05/01/2022	3,000,000	8,988	14,252
CLARKSVILLE TN PUB BLDG AUTH L.....		05/16/2007	3.770	07/01/2031	2,000,000	6,121	9,487
CLARKSVILLE TN PUB BLDG AUTH L.....		05/25/2007	3.770	07/01/2034	1,400,000	4,285	6,265
CLARKSVILLE TN PUB BLDG AUTH L.....		05/15/2007	3.770	11/01/2035	3,000,000	9,182	14,550
COLUMBIA AL INDL DEV BRD.....		06/22/2007	3.800	10/01/2022	700,000	2,146	650
EAST BATON ROUGE LA PCR.....		06/01/2007	3.690	03/01/2022	200,000	598	1,084
FARMINGTON NM PCR.....		05/16/2007	3.750	09/01/2024	1,300,000	3,968	6,191
IRVINE CA IMPT BD ACT OF 1915.....		06/04/2007	3.680	09/02/2029	2,203,000	6,603	7,452
IRVINE CA IMPT BD ACT OF 1915.....		06/04/2007	3.680	09/02/2030	1,527,000	4,567	5,615
JACKSON CNTY MS PCR.....		05/16/2007	3.770	06/01/2023	2,300,000	7,034	10,952
LINCOLN CNTY WY PRC.....		05/16/2007	3.690	11/01/2014	100,000	299	4,655
MONTGOMER CNTY TNN PUB BLDG.....		05/16/2007	3.770	07/01/2034	800,000	2,448	3,795
NEW YORK CITY GO-LT.....		05/15/2007	3.750	03/01/2034	3,000,000	9,084	14,419
NEW YORK CITY GO-LT.....		05/16/2007	3.700	08/01/2034	2,000,000	6,042	9,376
PORT ARTHUR NAV DIS OF JEFFRS.....		05/29/2007	3.770	10/01/2024	900,000	2,753	3,037
VALDEZ ALASKA.....		05/15/2007	3.690	10/01/2025	1,800,000	5,386	9,751
0199999. Total Cash Equivalents.....					28,430,000	86,082	128,771