National Investor Relations Institute



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GENERAL GUIDELINES FOR PROVIDING CONTINUING PROFESSIONAL EDUCATION (CPE) PROGRAMS

- 1. Program sponsor should inform participants in advance of learning objectives, prerequisites, program level, program content, advance preparations, instructional methods, recommended CPE credit and relevant administrative policies.
- 2. Sponsors should ensure that the number of participants and physical facilities are appropriate for the instructional methods.
- 3. Sponsors should employ an effective means of evaluating program quality with respect to content and presentation.
- 4. All programs should be measured in fifty-minute contact hours. For example, a group program lasting one hundred minutes would count for two contact hours.
- 5. Instructors or discussion leaders should be given CPE credit for their preparation presentation time.
- 6. Program certificate should include: 1) program sponsor, 2) title al1d description of content, 3) date, 4) location, and 5) number of CPE contact hours.
- 7. Records must be kept for up to five years.