



LIFE AND ACCIDENT AND HEALTH COMPANIES - ASSOCIATION EDITION

ANNUAL STATEMENT

FOR THE YEAR ENDED DECEMBER 31, 2012
OF THE CONDITION AND AFFAIRS OF THE

RiverSource Life Insurance Co. of New York

NAIC Group Code 0004 (Current) 0004 (Prior) NAIC Company Code 80594 Employer's ID Number 41-0987741

Organized under the Laws of New York, State of Domicile or Port of Entry New York

Country of Domicile United States of America

Incorporated/Organized 07/10/1972 Commenced Business 10/25/1972

Statutory Home Office 20 Madison Avenue Extension Albany, NY, US 12203-5326

Main Administrative Office 20 Madison Avenue Extension Albany, NY, US 12203-5326 518-869-8613

Mail Address 227 Ameriprise Financial Center Minneapolis, MN, US 55474

Primary Location of Books and Records 20 Madison Avenue Extension Albany, NY, US 12203-5326 612-671-3969

Internet Website Address ameriprise.com

Statutory Statement Contact Jill L. Rickheim 612-671-3969
jill.l.rickheim@ampf.com 612-671-2154

OFFICERS

Chairman, President & CEO Maureen Ann Buckley Secretary Thomas Richard Moore
Appointed Actuary Stephen Paul Blaske VP & Controller David Kent Stewart

OTHER

Lynn Murphy Abbott VP - National Accts & Fund Mgmt Gumer Cruz Alvero EVP - Annuities Richard Norman Bush SVP - Corporate Tax
Steve Michael Gathje SVP & Chief Actuary Mark Gorham VP - Insurance Product Development James Louis Hamalainen SVP & Treasurer
Kirk Michael Moore # VP - Fixed Income Research Thomas William Murphy VP - Investments

DIRECTORS OR TRUSTEES

Gumer Cruz Alvero Maureen Ann Buckley Douglas Kelly Dunning
Mark Gorham Robert Ralph Grew Ronald Louis Guziar
Jean Burhardt Keffeler Jeryl Ann Millner Thomas Vincent Nicolosi

State of Minnesota SS:
County of Hennepin

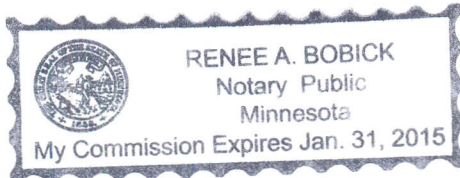
The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Handwritten signatures of Maureen Ann Buckley, Thomas Richard Moore, and David Kent Stewart with their respective titles.

Subscribed and sworn to before me this 20th day of February, 2013

Renee A. Bobick
Notary Public - Minnesota
January 31, 2015

- a. Is this an original filing? Yes [ X ] No [ ]
b. If no,
1. State the amendment number.....
2. Date filed .....
3. Number of pages attached.....



**ASSETS**

	Current Year			Prior Year
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	4 Net Admitted Assets
1. Bonds (Schedule D) .....	1,682,296,015		1,682,296,015	1,682,421,411
2. Stocks (Schedule D):				
2.1 Preferred stocks .....				
2.2 Common stocks .....				
3. Mortgage loans on real estate (Schedule B):				
3.1 First liens .....	157,329,280		157,329,280	155,330,956
3.2 Other than first liens .....				
4. Real estate (Schedule A):				
4.1 Properties occupied by the company (less \$ .....				
encumbrances) .....				
4.2 Properties held for the production of income (less				
\$ .....				
encumbrances) .....				
4.3 Properties held for sale (less \$ .....				
encumbrances) .....				
5. Cash (\$ .....				
(1,641,597) , Schedule E - Part 1), cash equivalents				
(\$ .....				
29,899,765 , Schedule E - Part 2) and short-term				
investments (\$ .....	28,258,168		28,258,168	75,770,551
, Schedule DA) .....				
6. Contract loans (including \$ .....	39,388,642	5,164	39,383,478	37,366,989
premium notes) .....				
7. Derivatives (Schedule DB) .....	62,808,787		62,808,787	85,817,496
8. Other invested assets (Schedule BA) .....	120,704		120,704	121,172
9. Receivables for securities .....	211,756		211,756	219,448
10. Securities lending reinvested collateral assets (Schedule DL) .....				
11. Aggregate write-ins for invested assets .....				
12. Subtotals, cash and invested assets (Lines 1 to 11) .....	1,970,413,353	5,164	1,970,408,189	2,037,048,023
13. Title plants less \$ .....				
charged off (for Title insurers				
only) .....				
14. Investment income due and accrued .....	20,730,312		20,730,312	21,863,131
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection .....	536,051	77,410	458,641	431,612
15.2 Deferred premiums and agents' balances and installments booked but				
deferred and not yet due (including \$ .....				
earned but unbilled premiums) .....				
15.3 Accrued retrospective premiums .....				
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers .....	523,477		523,477	405,850
16.2 Funds held by or deposited with reinsured companies .....				
16.3 Other amounts receivable under reinsurance contracts .....				
17. Amounts receivable relating to uninsured plans .....				
18.1 Current federal and foreign income tax recoverable and interest thereon .....				
18.2 Net deferred tax asset .....	44,316,576	16,139,003	28,177,573	18,457,928
19. Guaranty funds receivable or on deposit .....	3,173,877		3,173,877	
20. Electronic data processing equipment and software .....				
21. Furniture and equipment, including health care delivery assets				
(\$ .....	56,097	56,097		
) .....				
22. Net adjustment in assets and liabilities due to foreign exchange rates .....				
23. Receivables from parent, subsidiaries and affiliates .....	2,312,425		2,312,425	2,901,367
24. Health care (\$ .....				
) and other amounts receivable .....				
25. Aggregate write-ins for other than invested assets .....	8,989,097	21,029	8,968,068	8,805,278
26. Total assets excluding Separate Accounts, Segregated Accounts and				
Protected Cell Accounts (Lines 12 to 25) .....	2,051,051,264	16,298,703	2,034,752,561	2,089,913,189
27. From Separate Accounts, Segregated Accounts and Protected Cell				
Accounts .....	3,787,175,379		3,787,175,379	3,413,678,929
28. Total (Lines 26 and 27) .....	5,838,226,643	16,298,703	5,821,927,940	5,503,592,118
<b>DETAILS OF WRITE-INS</b>				
1101. ....				
1102. ....				
1103. ....				
1198. Summary of remaining write-ins for Line 11 from overflow page .....				
1199. Totals (Lines 1101 thru 1103 plus 1198)(Line 11 above) .....				
2501. Bank owned life insurance .....	6,380,207		6,380,207	6,211,953
2502. Miscellaneous assets .....	509,514	21,029	488,485	528,607
2503. Reinsurance premium asset .....	2,099,376		2,099,376	2,064,718
2598. Summary of remaining write-ins for Line 25 from overflow page .....				
2599. Totals (Lines 2501 thru 2503 plus 2598)(Line 25 above) .....	8,989,097	21,029	8,968,068	8,805,278

**LIABILITIES, SURPLUS AND OTHER FUNDS**

	1 Current Year	2 Prior Year
1. Aggregate reserve for life contracts \$ ..... 1,674,209,186 (Exh. 5, Line 9999999) less \$ ..... included in Line 6.3 (including \$ ..... Modco Reserve) .....	1,674,209,186	1,707,780,372
2. Aggregate reserve for accident and health contracts (including \$ ..... Modco Reserve) .....	182,121,219	172,194,244
3. Liability for deposit-type contracts (Exhibit 7, Line 14, Col. 1) (including \$ ..... Modco Reserve) .....	3,722,419	4,012,759
4. Contract claims:		
4.1 Life (Exhibit 8, Part 1, Line 4.4, Col. 1 less sum of Cols. 9, 10 and 11) .....	3,707,817	2,916,186
4.2 Accident and health (Exhibit 8, Part 1, Line 4.4, sum of Cols. 9, 10 and 11) .....	954,304	841,706
5. Policyholders' dividends \$ ..... and coupons \$ ..... due and unpaid (Exhibit 4, Line 10) .....		
6. Provision for policyholders' dividends and coupons payable in following calendar year - estimated amounts:		
6.1 Dividends apportioned for payment (including \$ ..... Modco) .....		
6.2 Dividends not yet apportioned (including \$ ..... Modco) .....		
6.3 Coupons and similar benefits (including \$ ..... Modco) .....		
7. Amount provisionally held for deferred dividend policies not included in Line 6 .....		
8. Premiums and annuity considerations for life and accident and health contracts received in advance less \$ ..... discount; including \$ ..... 238,213 accident and health premiums (Exhibit 1, Part 1, Col. 1, sum of lines 4 and 14) .....	357,636	257,305
9. Contract liabilities not included elsewhere:		
9.1 Surrender values on canceled contracts .....		
9.2 Provision for experience rating refunds, including the liability of \$ ..... accident and health experience rating refunds of which \$ ..... is for medical loss ratio rebate per the Public Health Service Act .....	118,139	207,532
9.3 Other amounts payable on reinsurance including \$ ..... assumed and \$ ..... 396,618 ceded .....	396,618	418,354
9.4 Interest maintenance reserve (IMR, Line 6) .....	8,298,298	10,238,639
10. Commissions to agents due or accrued-life and annuity contracts \$ ..... 1,576 accident and health \$ ..... and deposit-type contract funds \$ .....	1,576	801
11. Commissions and expense allowances payable on reinsurance assumed .....		
12. General expenses due or accrued (Exhibit 2, Line 12, Col. 6) .....	430,491	429,709
13. Transfers to Separate Accounts due or accrued (net) (including \$ ..... (153,929,133) accrued for expense allowances recognized in reserves, net of reinsured allowances) .....	(157,089,756)	(157,557,047)
14. Taxes, licenses and fees due or accrued, excluding federal income taxes (Exhibit 3, Line 9, Col. 5) .....	5,059,939	96,578
15.1 Current federal and foreign income taxes including \$ ..... on realized capital gains (losses) .....	6,342,042	10,169,998
15.2 Net deferred tax liability .....		
16. Unearned investment income .....	405,579	425,727
17. Amounts withheld or retained by company as agent or trustee .....	38,418	25,278
18. Amounts held for agents' account, including \$ ..... agents' credit balances .....		
19. Remittances and items not allocated .....	719,761	1,597,243
20. Net adjustment in assets and liabilities due to foreign exchange rates .....		
21. Liability for benefits for employees and agents if not included above .....		
22. Borrowed money \$ ..... and interest thereon \$ .....		
23. Dividends to stockholders declared and unpaid .....		
24. Miscellaneous liabilities:		
24.01 Asset valuation reserve (AVR, Line 16, Col. 7) .....	3,433,953	18,618,561
24.02 Reinsurance in unauthorized and certified (\$ ..... ) companies .....		
24.03 Funds held under reinsurance treaties with unauthorized and certified (\$ ..... ) reinsurers .....		
24.04 Payable to parent, subsidiaries and affiliates .....	4,392,541	4,645,205
24.05 Drafts outstanding .....		
24.06 Liability for amounts held under uninsured plans .....		
24.07 Funds held under coinsurance .....		
24.08 Derivatives .....	21,833,210	10,310,633
24.09 Payable for securities .....	3,733,260	21,296,921
24.10 Payable for securities lending .....		
24.11 Capital notes \$ ..... and interest thereon \$ .....		
25. Aggregate write-ins for liabilities .....	19,032,460	45,713,062
26. Total Liabilities excluding Separate Accounts business (Lines 1 to 25) .....	1,782,219,108	1,854,639,765
27. From Separate Accounts Statement .....	3,786,931,205	3,413,475,124
28. Total Liabilities (Lines 26 and 27) .....	5,569,150,313	5,268,114,889
29. Common capital stock .....	2,000,000	2,000,000
30. Preferred capital stock .....		
31. Aggregate write-ins for other than special surplus funds .....		
32. Surplus notes .....		
33. Gross paid in and contributed surplus (Page 3, Line 33, Col. 2 plus Page 4, Line 51.1, Col. 1) .....	106,750,836	106,659,395
34. Aggregate write-ins for special surplus funds .....		8,886,158
35. Unassigned funds (surplus) .....	144,026,791	117,931,676
36. Less treasury stock, at cost:		
36.1 ..... shares common (value included in Line 29 \$ ..... ) .....		
36.2 ..... shares preferred (value included in Line 30 \$ ..... ) .....		
37. Surplus (Total Lines 31+32+33+34+35-36) (including \$ ..... 244,174 in Separate Accounts Statement) .....	250,777,627	233,477,229
38. Totals of Lines 29, 30 and 37 (Page 4, Line 55) .....	252,777,627	235,477,229
39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3) .....	5,821,927,940	5,503,592,118
<b>DETAILS OF WRITE-INS</b>		
2501. Derivative collateral .....	19,032,460	45,713,062
2502. ....		
2503. ....		
2598. Summary of remaining write-ins for Line 25 from overflow page .....		
2599. Totals (Lines 2501 thru 2503 plus 2598)(Line 25 above) .....	19,032,460	45,713,062
3101. ....		
3102. ....		
3103. ....		
3198. Summary of remaining write-ins for Line 31 from overflow page .....		
3199. Totals (Lines 3101 thru 3103 plus 3198)(Line 31 above) .....		
3401. Other surplus funds from deferred income tax election .....		8,886,158
3402. ....		
3403. ....		
3498. Summary of remaining write-ins for Line 34 from overflow page .....		
3499. Totals (Lines 3401 thru 3403 plus 3498)(Line 34 above) .....		8,886,158

**SUMMARY OF OPERATIONS**

	1 Current Year	2 Prior Year
1. Premiums and annuity considerations for life and accident and health contracts (Exhibit 1, Part 1, Line 20.4, Col. 1, less Col. 11) .....	417,163,342	482,232,955
2. Considerations for supplementary contracts with life contingencies .....		
3. Net investment income (Exhibit of Net Investment Income, Line 17) .....	107,445,513	109,818,343
4. Amortization of interest maintenance reserve (IMR, Line 5) .....	2,603,533	2,892,247
5. Separate Accounts net gain from operations excluding unrealized gains or losses .....	18,707	(3,104)
6. Commissions and expense allowances on reinsurance ceded (Exhibit 1, Part 2, Line 26.1, Col. 1) .....	2,187,438	2,233,027
7. Reserve adjustments on reinsurance ceded .....		
8. Miscellaneous Income:		
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts .....	66,883,751	62,471,332
8.2 Charges and fees for deposit-type contracts .....		
8.3 Aggregate write-ins for miscellaneous income .....	23,908,392	22,221,992
9. Total (Lines 1 to 8.3) .....	620,210,676	681,866,792
10. Death benefits .....	15,751,335	15,732,739
11. Matured endowments (excluding guaranteed annual pure endowments) .....		
12. Annuity benefits (Exhibit 8, Part 2, Line 6.4, Cols. 4 + 8) .....	68,617,913	65,931,819
13. Disability benefits and benefits under accident and health contracts .....	10,950,008	9,720,554
14. Coupons, guaranteed annual pure endowments and similar benefits .....		
15. Surrender benefits and withdrawals for life contracts .....	327,098,125	376,256,053
16. Group conversions .....		
17. Interest and adjustments on contract or deposit-type contract funds .....	(302,245)	341,588
18. Payments on supplementary contracts with life contingencies .....		
19. Increase in aggregate reserves for life and accident and health contracts .....	(21,944,210)	68,171,269
20. Totals (Lines 10 to 19) .....	400,170,926	536,154,022
21. Commissions on premiums, annuity considerations, and deposit-type contract funds (direct business only) (Exhibit 1, Part 2, Line 31, Col. 1) .....	28,482,577	30,091,148
22. Commissions and expense allowances on reinsurance assumed (Exhibit 1, Part 2, Line 26.2, Col. 1) .....		
23. General insurance expenses (Exhibit 2, Line 10, Cols. 1, 2, 3 and 4) .....	28,990,317	30,918,112
24. Insurance taxes, licenses and fees, excluding federal income taxes (Exhibit 3, Line 7, Cols. 1 + 2 + 3) .....	5,793,018	3,781,292
25. Increase in loading on deferred and uncollected premiums .....	15,082	(1,633)
26. Net transfers to or (from) Separate Accounts net of reinsurance .....	45,971,017	66,435,295
27. Aggregate write-ins for deductions .....	193,862	855,635
28. Totals (Lines 20 to 27) .....	509,616,799	668,233,871
29. Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus Line 28) .....	110,593,877	13,632,921
30. Dividends to policyholders .....		
31. Net gain from operations after dividends to policyholders and before federal income taxes (Line 29 minus Line 30) .....	110,593,877	13,632,921
32. Federal and foreign income taxes incurred (excluding tax on capital gains) .....	17,417,215	17,483,342
33. Net gain from operations after dividends to policyholders and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32) .....	93,176,662	(3,850,421)
34. Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital gains tax of \$ .....(5,794,129) (excluding taxes of \$ .....357,103 transferred to the IMR) .....	(10,760,525)	(2,370,532)
35. Net income (Line 33 plus Line 34) .....	82,416,137	(6,220,953)
<b>CAPITAL AND SURPLUS ACCOUNT</b>		
36. Capital and surplus, December 31, prior year (Page 3, Line 38, Col. 2) .....	235,477,229	287,772,755
37. Net income (Line 35) .....	82,416,137	(6,220,953)
38. Change in net unrealized capital gains (losses) less capital gains tax of \$ .....(14,345,972) .....	(26,642,987)	20,678,508
39. Change in net unrealized foreign exchange capital gain (loss) .....	617,063	(175,237)
40. Change in net deferred income tax .....	(15,735,806)	14,889,529
41. Change in nonadmitted assets .....	11,470,796	(347,913)
42. Change in liability for reinsurance in unauthorized and certified companies .....		
43. Change in reserve on account of change in valuation basis, (increase) or decrease (Exh. 5A, Line 9999999, Col. 4) .....		
44. Change in asset valuation reserve .....	15,184,608	(15,339,463)
45. Change in treasury stock (Page 3, Lines 36.1 and 36.2, Col. 2 minus Col. 1) .....		
46. Surplus (contributed to) withdrawn from Separate Accounts during period .....	(21,662)	25,964
47. Other changes in surplus in Separate Accounts Statement .....	21,662	(25,964)
48. Change in surplus notes .....		
49. Cumulative effect of changes in accounting principles .....		
50. Capital changes:		
50.1 Paid in .....		
50.2 Transferred from surplus (Stock Dividend) .....		
50.3 Transferred to surplus .....		
51. Surplus adjustment:		
51.1 Paid in .....	91,441	27,642
51.2 Transferred to capital (Stock Dividend) .....		
51.3 Transferred from capital .....		
51.4 Change in surplus as a result of reinsurance .....		
52. Dividends to stockholders .....	(50,000,000)	(78,577,275)
53. Aggregate write-ins for gains and losses in surplus .....	(100,854)	12,769,636
54. Net change in capital and surplus for the year (Lines 37 through 53) .....	17,300,398	(52,295,526)
55. Capital and surplus, December 31, current year (Lines 36 + 54) (Page 3, Line 38) .....	252,777,627	235,477,229
<b>DETAILS OF WRITE-INS</b>		
08.301. Miscellaneous income .....	23,908,392	22,221,992
08.302. ....		
08.303. ....		
08.398. Summary of remaining write-ins for Line 8.3 from overflow page .....		
08.399. Totals (Lines 08.301 thru 08.303 plus 08.398)(Line 8.3 above) .....	23,908,392	22,221,992
2701. Purchase payment credits .....	168,488	276,336
2702. Experience rating refunds .....	25,374	579,299
2703. ....		
2798. Summary of remaining write-ins for Line 27 from overflow page .....		
2799. Totals (Lines 2701 thru 2703 plus 2798)(Line 27 above) .....	193,862	855,635
5301. Change in other surplus funds from deferred income tax election .....		(841,202)
5302. Prior period adjustments .....	(100,854)	13,610,838
5303. ....		
5398. Summary of remaining write-ins for Line 53 from overflow page .....		
5399. Totals (Lines 5301 thru 5303 plus 5398)(Line 53 above) .....	(100,854)	12,769,636

**CASH FLOW**

	1	2
	Current Year	Prior Year
<b>Cash from Operations</b>		
1. Premiums collected net of reinsurance .....	417,107,572	482,150,828
2. Net investment income .....	110,998,649	110,607,894
3. Miscellaneous income .....	93,587,230	86,117,998
4. Total (Lines 1 through 3) .....	621,693,451	678,876,720
5. Benefit and loss related payments .....	421,350,270	467,422,086
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts .....	45,503,726	63,873,899
7. Commissions, expenses paid and aggregate write-ins for deductions .....	61,921,398	65,848,765
8. Dividends paid to policyholders .....		
9. Federal and foreign income taxes paid (recovered) net of \$ ..... (5,437,025) tax on capital gains (losses) .....	17,517,559	12,023,368
10. Total (Lines 5 through 9) .....	546,292,953	609,168,118
11. Net cash from operations (Line 4 minus Line 10) .....	75,400,498	69,708,602
<b>Cash from Investments</b>		
12. Proceeds from investments sold, matured or repaid:		
12.1 Bonds .....	260,425,844	230,970,891
12.2 Stocks .....		
12.3 Mortgage loans .....	20,770,873	15,298,917
12.4 Real estate .....		
12.5 Other invested assets .....		1,250,000
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments .....	(188)	1,077
12.7 Miscellaneous proceeds .....		4,437,510
12.8 Total investment proceeds (Lines 12.1 to 12.7) .....	281,196,529	251,958,395
13. Cost of investments acquired (long-term only):		
13.1 Bonds .....	261,855,836	223,797,955
13.2 Stocks .....		
13.3 Mortgage loans .....	22,769,197	2,126,848
13.4 Real estate .....		
13.5 Other invested assets .....		100,000
13.6 Miscellaneous applications .....	66,163,697	
13.7 Total investments acquired (Lines 13.1 to 13.6) .....	350,788,729	226,024,803
14. Net increase (decrease) in contract loans and premium notes .....	2,021,533	883,173
15. Net cash from investments (Line 12.8 minus Line 13.7 minus Line 14) .....	(71,613,733)	25,050,419
<b>Cash from Financing and Miscellaneous Sources</b>		
16. Cash provided (applied):		
16.1 Surplus notes, capital notes .....		
16.2 Capital and paid in surplus, less treasury stock .....		
16.3 Borrowed funds .....		
16.4 Net deposits on deposit-type contracts and other insurance liabilities .....	(290,340)	(679,680)
16.5 Dividends to stockholders .....	50,000,000	78,577,275
16.6 Other cash provided (applied) .....	(1,008,807)	(888,547)
17. Net cash from financing and miscellaneous sources (Lines 16.1 to 16.4 minus Line 16.5 plus Line 16.6) .....	(51,299,147)	(80,145,502)
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>		
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) .....	(47,512,382)	14,613,519
19. Cash, cash equivalents and short-term investments:		
19.1 Beginning of year .....	75,770,551	61,157,032
19.2 End of year (Line 18 plus Line 19.1) .....	28,258,168	75,770,551
<b>Note: Supplemental disclosures of cash flow information for non-cash transactions:</b>		
20.0001. Capital contribution to subsidiary .....		1,385,827

**ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York**  
**ANALYSIS OF OPERATIONS BY LINES OF BUSINESS**

	1 Total	2 Industrial Life	Ordinary			6 Credit Life (Group and Individual)	Group		Accident and Health			12 Aggregate of All Other Lines of Business
			3 Life Insurance	4 Individual Annuities	5 Supplementary Contracts		7 Life Insurance (a)	8 Annuities	9 Group	10 Credit (Group and Individual)	11 Other	
1. Premiums and annuity considerations for life and accident and health contracts	417,163,342		54,377,882	329,107,961			214	18,526,798			15,150,487	
2. Considerations for supplementary contracts with life contingencies												
3. Net investment income	107,445,513		10,083,990	66,198,177			3,349,353	15,599,780			12,093,959	120,254
4. Amortization of Interest Maintenance Reserve (IMR)	2,603,533		195,151	1,728,361			90,369	377,461			212,191	
5. Separate Accounts net gain from operations excluding unrealized gains or losses	18,707		135	18,497				75				
6. Commissions and expense allowances on reinsurance ceded	2,187,438		1,397,868								789,570	
7. Reserve adjustments on reinsurance ceded												
8. Miscellaneous Income:												
8.1 Fees associated with income from investment management, administration and contract guarantees from Separate Accounts	66,883,751		18,405,121	48,420,118				58,512				
8.2 Charges and fees for deposit-type contracts												
8.3 Aggregate write-ins for miscellaneous income	23,908,392		1,968,985	21,763,833			10,934	57,133				107,507
9. Totals (Lines 1 to 8.3)	620,210,676		86,429,132	467,236,947			3,450,870	34,619,759			28,246,207	227,761
10. Death benefits	15,751,335		14,017,036				1,734,299					
11. Matured endowments (excluding guaranteed annual pure endowments)												
12. Annuity benefits	68,617,913			65,136,786				3,481,127				
13. Disability benefits and benefits under accident and health contracts	10,950,008										10,950,008	
14. Coupons, guaranteed annual pure endowments and similar benefits												
15. Surrender benefits and withdrawals for life contracts	327,098,125		24,277,975	277,911,007			135,890	24,773,253				
16. Group conversions												
17. Interest and adjustments on contract or deposit-type contract funds	(302,245)		148,655	(466,704)			15,201	142			461	
18. Payments on supplementary contracts with life contingencies												
19. Increase in aggregate reserves for life and accident and health contracts	(21,944,210)		9,917,672	(47,432,213)			(670,458)	6,313,587			9,927,202	
20. Totals (Lines 10 to 19)	400,170,926		48,361,338	295,148,876			1,214,932	34,568,109			20,877,671	
21. Commissions on premiums, annuity considerations and deposit-type contract funds (direct business only)	28,482,577		3,937,039	23,612,472				60,851			872,215	
22. Commissions and expense allowances on reinsurance assumed												
23. General insurance expenses	28,990,317		7,482,501	17,700,963				1,401,732			2,405,121	
24. Insurance taxes, licenses and fees, excluding federal income taxes	5,793,018		2,006,369	2,887,162				228,633			670,854	
25. Increase in loading on deferred and uncollected premiums	15,082		15,082									
26. Net transfers to or (from) Separate Accounts net of reinsurance	45,971,017		7,073,054	41,039,795				(2,141,832)				
27. Aggregate write-ins for deductions	193,862		26,066	167,796								
28. Totals (Lines 20 to 27)	509,616,799		68,901,449	380,557,064			1,214,932	34,117,493			24,825,861	
29. Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus Line 28)	110,593,877		17,527,683	86,679,883			2,235,938	502,266			3,420,346	227,761
30. Dividends to policyholders												
31. Net gain from operations after dividends to policyholders and before federal income taxes (Line 29 minus Line 30)	110,593,877		17,527,683	86,679,883			2,235,938	502,266			3,420,346	227,761
32. Federal income taxes incurred (excluding tax on capital gains)	17,417,215		2,766,097	13,679,218			352,860	79,264			539,776	
33. Net gain from operations after dividends to policyholders and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	93,176,662		14,761,586	73,000,665			1,883,078	423,002			2,880,570	227,761
<b>DETAILS OF WRITE-INS</b>												
08.301. Miscellaneous income	23,908,392		1,968,985	21,763,833			10,934	57,133				107,507
08.302.												
08.303.												
08.398. Summary of remaining write-ins for Line 8.3 from overflow page												
08.399. Totals (Lines 08.301 thru 08.303 plus 08.398) (Line 8.3 above)	23,908,392		1,968,985	21,763,833			10,934	57,133				107,507
2701. Purchase payment credits	168,488		692	167,796								
2702. Experience rating refunds	25,374		25,374									
2703.												
2798. Summary of remaining write-ins for Line 27 from overflow page												
2799. Totals (Lines 2701 thru 2703 plus 2798) (Line 27 above)	193,862		26,066	167,796								

(a) Includes the following amounts for FEGLI/SGLI: Line 1, Line 10, Line 16, Line 23, Line 24

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York  
**ANALYSIS OF INCREASE IN RESERVES DURING THE YEAR**

	1 Total	2 Industrial Life	Ordinary			6 Credit Life (Group and Individual)	Group	
			3 Life Insurance	4 Individual Annuities	5 Supplementary Contracts		7 Life Insurance	8 Annuities
Involving Life or Disability Contingencies (Reserves)  (Net of Reinsurance Ceded)								
1. Reserve December 31, prior year .....	1,707,780,371		196,523,339	1,144,612,720			39,391,783	327,252,529
2. Tabular net premiums or considerations .....	407,617,311		59,982,551	329,107,961				18,526,798
3. Present value of disability claims incurred .....	222,670		222,670		XXX			
4. Tabular interest .....	90,270,834		9,049,400	59,142,938			2,181,223	19,897,273
5. Tabular less actual reserve released .....	(28,592,285)		(379,508)	(22,214,841)				(5,997,935)
6. Increase in reserve on account of change in valuation basis .....								
7. Other increases (net) .....	(30,892,683)		188,000	(31,080,683)				
8. Totals (Lines 1 to 7) .....	2,146,406,217		265,586,453	1,479,568,095			41,573,006	359,678,664
9. Tabular cost .....	25,652,406		24,412,540		XXX		1,239,865	
10. Reserves released by death .....	4,916,839		3,367,022	XXX	XXX		1,549,817	XXX
11. Reserves released by other terminations (net) .....	327,038,856		24,292,598	277,911,007			61,998	24,773,253
12. Annuity, supplementary contract and disability payments involving life contingencies .....	68,617,913			65,136,786				3,481,127
13. Net transfers to or (from) Separate Accounts .....	45,971,017		7,073,054	41,039,795				(2,141,832)
14. Total Deductions (Lines 9 to 13) .....	472,197,031		59,145,214	384,087,588			2,851,681	26,112,548
15. Reserve December 31, current year	1,674,209,186		206,441,238	1,095,480,507			38,721,324	333,566,116

**EXHIBIT OF NET INVESTMENT INCOME**

	1 Collected During Year	2 Earned During Year
1. U.S. Government bonds	(a) 3,470,188	3,177,909
1.1 Bonds exempt from U.S. tax	(a)	
1.2 Other bonds (unaffiliated)	(a) 85,622,196	84,846,093
1.3 Bonds of affiliates	(a)	
2.1 Preferred stocks (unaffiliated)	(b)	
2.11 Preferred stocks of affiliates	(b)	
2.2 Common stocks (unaffiliated)		
2.21 Common stocks of affiliates		
3. Mortgage loans	(c) 9,036,228	8,971,791
4. Real estate	(d)	
5. Contract loans	1,892,671	1,892,671
6. Cash, cash equivalents and short-term investments	(e) 249,259	249,259
7. Derivative instruments	(f) 9,791,840	9,992,400
8. Other invested assets		
9. Aggregate write-ins for investment income	349,068	349,068
10. Total gross investment income	110,411,450	109,479,191
11. Investment expenses		(g) 1,946,221
12. Investment taxes, licenses and fees, excluding federal income taxes		(g) 87,457
13. Interest expense		(h)
14. Depreciation on real estate and other invested assets		(i)
15. Aggregate write-ins for deductions from investment income		
16. Total deductions (Lines 11 through 15)		2,033,678
17. Net investment income (Line 10 minus Line 16)		107,445,513
<b>DETAILS OF WRITE-INS</b>		
0901. Commitment Fees	389,968	389,968
0902. Interest Returned to Broker	(40,946)	(40,946)
0903. Miscellaneous Income	46	46
0998. Summary of remaining write-ins for Line 9 from overflow page		
0999. Totals (Lines 0901 thru 0903 plus 0998) (Line 9, above)	349,068	349,068
1501.		
1502.		
1503.		
1598. Summary of remaining write-ins for Line 15 from overflow page		
1599. Totals (Lines 1501 thru 1503 plus 1598) (Line 15, above)		

- (a) Includes \$ 4,199,174 accrual of discount less \$ 6,639,637 amortization of premium and less \$ 1,198,376 paid for accrued interest on purchases.
- (b) Includes \$ accrual of discount less \$ amortization of premium and less \$ paid for accrued dividends on purchases.
- (c) Includes \$ accrual of discount less \$ amortization of premium and less \$ paid for accrued interest on purchases.
- (d) Includes \$ for company's occupancy of its own buildings; and excludes \$ interest on encumbrances.
- (e) Includes \$ 249,259 accrual of discount less \$ amortization of premium and less \$ paid for accrued interest on purchases.
- (f) Includes \$ accrual of discount less \$ amortization of premium.
- (g) Includes \$ investment expenses and \$ investment taxes, licenses and fees, excluding federal income taxes, attributable to segregated and Separate Accounts.
- (h) Includes \$ interest on surplus notes and \$ interest on capital notes.
- (i) Includes \$ depreciation on real estate and \$ depreciation on other invested assets.

**EXHIBIT OF CAPITAL GAINS (LOSSES)**

	1 Realized Gain (Loss) On Sales or Maturity	2 Other Realized Adjustments	3 Total Realized Capital Gain (Loss) (Columns 1 + 2)	4 Change in Unrealized Capital Gain (Loss)	5 Change in Unrealized Foreign Exchange Capital Gain (Loss)
1. U.S. Government bonds					
1.1 Bonds exempt from U.S. tax					
1.2 Other bonds (unaffiliated)	906,441	(443,377)	463,064	422,014	
1.3 Bonds of affiliates					
2.1 Preferred stocks (unaffiliated)					
2.11 Preferred stocks of affiliates					
2.2 Common stocks (unaffiliated)					
2.21 Common stocks of affiliates					
3. Mortgage loans					
4. Real estate					
5. Contract loans					
6. Cash, cash equivalents and short-term investments	(188)		(188)		
7. Derivative instruments	(15,997,235)		(15,997,235)	(41,410,506)	949,328
8. Other invested assets				(468)	
9. Aggregate write-ins for capital gains (losses)					
10. Total capital gains (losses)	(15,090,982)	(443,377)	(15,534,359)	(40,988,959)	949,328
<b>DETAILS OF WRITE-INS</b>					
0901.					
0902.					
0903.					
0998. Summary of remaining write-ins for Line 9 from overflow page					
0999. Totals (Lines 0901 thru 0903 plus 0998) (Line 9, above)					

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York

**EXHIBIT - 1 PART 1 - PREMIUMS AND ANNUITY CONSIDERATIONS FOR LIFE AND ACCIDENT AND HEALTH CONTRACTS**

	1 Total	2 Industrial Life	Ordinary		5 Credit Life (Group and Individual)	Group		Accident and Health			11 Aggregate of All Other Lines of Business
			3 Life Insurance	4 Individual Annuities		6 Life Insurance	7 Annuities	8 Group	9 Credit (Group and Individual)	10 Other	
<b>FIRST YEAR (other than single)</b>											
1. Uncollected	(295,844)		(290,115)								(5,729)
2. Deferred and accrued											
3. Deferred, accrued and uncollected:											
3.1 Direct	(295,844)		(290,115)								(5,729)
3.2 Reinsurance assumed											
3.3 Reinsurance ceded											
3.4 Net (Line 1 + Line 2)	(295,844)		(290,115)								(5,729)
4. Advance	9,342										3,527
5. Line 3.4 - Line 4	(305,186)		(295,930)								(9,256)
6. Collected during year:											
6.1 Direct	288,600,768		11,191,707	277,082,451			(1,355)				327,965
6.2 Reinsurance assumed											
6.3 Reinsurance ceded	485,851		485,851								
6.4 Net	288,114,917		10,705,856	277,082,451			(1,355)				327,965
7. Line 5 + Line 6.4	287,809,731		10,409,926	277,082,451			(1,355)				318,709
8. Prior year (uncollected + deferred and accrued - advance)	(296,658)		(293,583)								(3,075)
9. First year premiums and considerations:											
9.1 Direct	288,592,241		11,189,360	277,082,451			(1,355)				321,785
9.2 Reinsurance assumed											
9.3 Reinsurance ceded	485,851		485,851								
9.4 Net (Line 7 - Line 8)	288,106,390		10,703,509	277,082,451			(1,355)				321,785
<b>SINGLE</b>											
10. Single premiums and considerations:											
10.1 Direct	13,218,344			13,218,344							
10.2 Reinsurance assumed											
10.3 Reinsurance ceded											
10.4 Net	13,218,344			13,218,344							
<b>RENEWAL</b>											
11. Uncollected	(199,994)		(521,764)								321,770
12. Deferred and accrued											
13. Deferred, accrued and uncollected:											
13.1 Direct	43,485		(278,285)								321,770
13.2 Reinsurance assumed											
13.3 Reinsurance ceded	243,479		243,479								
13.4 Net (Line 11 + Line 12)	(199,994)		(521,764)								321,770
14. Advance	348,294		113,608								234,686
15. Line 13.4 - Line 14	(548,288)		(635,372)								87,084
16. Collected during year:											
16.1 Direct	131,043,112		54,320,193	38,813,018		11,291	18,528,153				19,370,457
16.2 Reinsurance assumed											
16.3 Reinsurance ceded	15,144,751		10,614,495	5,852		11,077					4,513,327
16.4 Net	115,898,361		43,705,698	38,807,166		214	18,528,153				14,857,130
17. Line 15 + Line 16.4	115,350,073		43,070,326	38,807,166		214	18,528,153				14,944,214
18. Prior year (uncollected + deferred and accrued - advance)	(488,534)		(604,047)								115,513
19. Renewal premiums and considerations:											
19.1 Direct	130,980,149		54,285,658	38,813,018		11,291	18,528,153				19,342,029
19.2 Reinsurance assumed											
19.3 Reinsurance ceded	15,141,541		10,611,285	5,852		11,077					4,513,327
19.4 Net (Line 17 - Line 18)	115,838,608		43,674,373	38,807,166		214	18,528,153				14,828,702
<b>TOTAL</b>											
20. Total premiums and annuity considerations:											
20.1 Direct	432,790,734		65,475,018	329,113,813		11,291	18,526,798				19,663,814
20.2 Reinsurance assumed											
20.3 Reinsurance ceded	15,627,392		11,097,136	5,852		11,077					4,513,327
20.4 Net (Lines 9.4 + 10.4 + 19.4)	417,163,342		54,377,882	329,107,961		214	18,526,798				15,150,487

**EXHIBIT - 1 PART 2 - DIVIDENDS AND COUPONS APPLIED, REINSURANCE COMMISSIONS AND EXPENSE ALLOWANCES AND COMMISSIONS INCURRED (Direct Business Only)**

	1 Total	2 Industrial Life	Ordinary		5 Credit Life (Group and Individual)	Group		Accident and Health			11 Aggregate of All Other Lines of Business
			3 Life Insurance	4 Individual Annuities		6 Life Insurance	7 Annuities	8 Group	9 Credit (Group and Individual)	10 Other	
<b>DIVIDENDS AND COUPONS APPLIED (included in Part 1)</b>											
21. To pay renewal premiums .....											
22. All other .....											
<b>REINSURANCE COMMISSIONS AND EXPENSE ALLOWANCES INCURRED</b>											
23. First year (other than single):											
23.1 Reinsurance ceded .....	449,503		449,503								
23.2 Reinsurance assumed .....											
23.3 Net ceded less assumed .....	449,503		449,503								
24. Single:											
24.1 Reinsurance ceded .....											
24.2 Reinsurance assumed .....											
24.3 Net ceded less assumed .....											
25. Renewal:											
25.1 Reinsurance ceded .....	1,737,935		948,365							789,570	
25.2 Reinsurance assumed .....											
25.3 Net ceded less assumed .....	1,737,935		948,365							789,570	
26. Totals:											
26.1 Reinsurance ceded (Page 6, Line 6) .....	2,187,438		1,397,868							789,570	
26.2 Reinsurance assumed (Page 6, Line 22) .....											
26.3 Net ceded less assumed .....	2,187,438		1,397,868							789,570	
<b>COMMISSIONS INCURRED (direct business only)</b>											
27. First year (other than single) .....	14,435,760		2,026,347	12,158,800							250,613
28. Single .....	952,110			952,110							
29. Renewal .....	13,094,707		1,910,692	10,501,562			60,851				621,602
30. Deposit-type contract funds .....											
31. Totals (to agree with Page 6, Line 21)	28,482,577		3,937,039	23,612,472			60,851				872,215

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York

**EXHIBIT 2 - GENERAL EXPENSES**

	Insurance				5 Investment	6 Total
	1 Life	2 Accident and Health		4 All Other Lines of Business		
		2 Cost Containment	3 All Other			
1. Rent	915,179		82,795		181	998,155
2. Salaries and wages	17,439,037		1,577,682		1,506,078	20,522,797
3.11 Contributions for benefit plans for employees	1,120,273		101,349		104,999	1,326,621
3.12 Contributions for benefit plans for agents						
3.21 Payments to employees under non-funded benefit plans						
3.22 Payments to agents under non-funded benefit plans						
3.31 Other employee welfare	249,232		22,548		11,726	283,506
3.32 Other agent welfare	63,152		5,713			68,865
4.1 Legal fees and expenses	162,675		14,717			177,392
4.2 Medical examination fees	260,290		23,548			283,838
4.3 Inspection report fees	12,736		1,152			13,888
4.4 Fees of public accountants and consulting actuaries	263,092		23,802			286,894
4.5 Expense of investigation and settlement of policy claims	4,432		401			4,833
5.1 Traveling expenses	792,151		71,665		10,546	874,362
5.2 Advertising	732,785		66,294			799,079
5.3 Postage, express, telegraph and telephone	755,816		68,377		1	824,194
5.4 Printing and stationery	775,786		70,184		1,768	847,738
5.5 Cost or depreciation of furniture and equipment	235,734		21,327			257,061
5.6 Rental of equipment	37,041		3,351		26	40,418
5.7 Cost or depreciation of EDP equipment and software	1,607,308		145,411		303,634	2,056,353
6.1 Books and periodicals	15,281		1,382		421	17,084
6.2 Bureau and association fees	107,219		9,700		65	116,984
6.3 Insurance, except on real estate	385,528		34,878			420,406
6.4 Miscellaneous losses	49,324		4,462			53,786
6.5 Collection and bank service charges	188,477		17,051			205,528
6.6 Sundry general expenses	318,057		28,775		162	346,994
6.7 Group service and administration fees						
6.8 Reimbursements by uninsured plans						
7.1 Agency expense allowance						
7.2 Agents' balances charged off (less \$ recovered)	1,979		179			2,158
7.3 Agency conferences other than local meetings	900		81			981
9.1 Real estate expenses	83,460		7,550		46	91,056
9.2 Investment expenses not included elsewhere	8,252		747		6,568	15,567
9.3 Aggregate write-ins for expenses						
10. General expenses incurred	26,585,196		2,405,121		1,946,221	(a) 30,936,538
11. General expenses unpaid December 31, prior year	355,961		31,467		42,281	429,709
12. General expenses unpaid December 31, current year	368,274		33,317		28,900	430,491
13. Amounts receivable relating to uninsured plans, prior year						
14. Amounts receivable relating to uninsured plans, current year						
15. General expenses paid during year (Lines 10+11-12-13+14)	26,572,883		2,403,271		1,959,602	30,935,756
<b>DETAILS OF WRITE-INS</b>						
09.301.						
09.302.						
09.303.						
09.398. Summary of remaining write-ins for Line 9.3 from overflow page						
09.399. Totals (Lines 09.301 thru 09.303 plus 09.398) (Line 9.3 above)						

(a) Includes management fees of \$ 18,418,985 to affiliates and \$ to non-affiliates.

**EXHIBIT 3 - TAXES, LICENSES AND FEES (EXCLUDING FEDERAL INCOME TAXES)**

	Insurance			4 Investment	5 Total
	1 Life	2 Accident and Health	3 All Other Lines of Business		
1. Real estate taxes					
2. State insurance department licenses and fees	2,221,283	290,923		226	2,512,432
3. State taxes on premiums	1,604,392	210,129			1,814,521
4. Other state taxes, including \$ for employee benefits	477,574	62,548			540,122
5. U.S. Social Security taxes	809,234	105,986		87,231	1,002,451
6. All other taxes	9,681	1,268			10,949
7. Taxes, licenses and fees incurred	5,122,164	670,854		87,457	5,880,475
8. Taxes, licenses and fees unpaid December 31, prior year	76,825	16,485		3,268	96,578
9. Taxes, licenses and fees unpaid December 31, current year	4,406,434	577,115		76,390	5,059,939
10. Taxes, licenses and fees paid during year (Lines 7 + 8 - 9)	792,555	110,224		14,335	917,114

**EXHIBIT 4 - DIVIDENDS OR REFUNDS**

	1 Life	2 Accident and Health
	1. Applied to pay renewal premiums	
2. Applied to shorten the endowment or premium-paying period		
3. Applied to provide paid-up additions		
4. Applied to provide paid-up annuities		
5. Total Lines 1 through 4		
6. Paid in cash		
7. Left on deposit		
8. Aggregate write-ins for dividend or refund options		
9. Total Lines 5 through 8		
10. Amount due and unpaid		
11. Provision for dividends or refunds payable in the following calendar year		
12. Terminal dividends		
13. Provision for deferred dividend contracts		
14. Amount provisionally held for deferred dividend contracts not included on Line 13		
15. Total Lines 10 through 14		
16. Total from prior year		
17. Total dividends or refunds (Lines 9 + 15 - 16)		
<b>DETAILS OF WRITE-INS</b>		
0801.		
0802.		
0803.		
0898. Summary of remaining write-ins for Line 8 from overflow page		
0899. Totals (Lines 0801 thru 0803 plus 0898) (Line 8 above)		

NONE

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York  
**EXHIBIT 5 - AGGREGATE RESERVE FOR LIFE CONTRACTS**

1	2	3	4	5	6
Valuation Standard	Total	Industrial	Ordinary	Credit (Group and Individual)	Group
0100001. 58 CSO 3% CRVM ANB	17,044		17,044		
0100002. 58 CSO 3% NLP ANB	934,026		934,026		
0100003. 58 CSO 3.5% CRVM ANB	287,534		287,534		
0100004. 58 CSO 3.5% NLP ANB	49,319		49,319		
0100005. 58 CSO 4% CRVM ANB	1,080,066		1,080,066		
0100006. 58 CSO 4% NLP ANB	165,046		165,046		
0100007. 58 CSO 4% NSP ANB	235,046		235,046		
0100008. 80 CSO 4.0% NSP ALB	326,477		326,477		
0100009. 58 CSO 4.5% CRVM ANB	11,391,403		11,391,403		
0100010. 58 CSO 4.5% NLP ANB	264,027		264,027		
0100011. 80 CSO 4.5% NSP ALB	2,513,174		2,513,174		
0100012. 58 CSO 5.5% NSP ANB	26,974,751				26,974,751
0100013. 58 CSO 6.0% NSP ANB	3,618,569				3,618,569
0100014. 80 CSO 4.5% CRVM ANB	33,800,105		33,800,105		
0100015. 80 CSO 4.5% CRVM ALB	43,043,681		43,043,681		
0100016. 80 CSO 4.0% CRVM ALB	42,579,734		42,579,734		
0100017. 80 CSO 4.5% NLP ANB	39,365		39,365		
0100018. 80 CSO 4% NLP ANB	12,091		12,091		
0100019. 80 CSO 5.0% CRVM ANB	6,945,015		6,945,015		
0100020. 80 CSO 5.0% CRVM ALB	10,283,572		10,283,572		
0100021. 80 CSO 5.5% CRVM ANB	8,770,169		8,770,169		
0100022. 80 CSO 5.5% NSP ALB	8,045,504				8,045,504
0100023. 2001 CSO 4.0% CRVM ANB NB	30,564,762		30,564,762		
0100024. 2001 CSO 4.0% CRVM ALB NB	16,752,737		16,752,737		
0100025. 2001 CSO PREF 4.0% CRVM ALB NB	15,575,379		15,575,379		
0100026. 2001 CSO PREF 4.5% CRVM ALB	163,073		163,073		
0100027. 2001 CSO PREF 4.0% CRVM ANB NB	44,486		44,486		
0100028. Group Life Extended Death Benefit IPC	82,500				82,500
0100029. Guaranteed Ins Rider CRF (81) IPC	85		85		
0100030. 58 CET 3% NLP ANB CRF (71-74) IPC	1,540		1,540		
0100031. 58 CSO 3% CRVM ANB CRF (71-77) IPC	69,462		69,462		
0100032. 58 CSO 3% NLP ALB CRF (76-82) IPC	11,935		11,935		
0100033. 58 CSO 3.5% CRVM ANB CRF (75-84) IPC	48,187		48,187		
0100034. 58 CSO 3.5% NLP ANB CRF (72-81) IPC	76,161		76,161		
0100035. 58 CSO 4% CRVM ANB CRF (80) IPC	135,349		135,349		
0100036. 58 CSO 4.5% CRVM ANB CRF (84) IPC	421		421		
0100037. 58 CSO 4.5% NLP ALB CRF (83-88) IPC	256,300		256,300		
0100038. 80 CSO 4.5% NLP ANB CRF (01-03) IPC	2,924		2,924		
0100039. 80 CSO 4.5% CRVM ALB CRF (95-03) IPC	63,986		63,986		
0100040. 80 CSO 4.5% CRVM ANB CRF (95-03) IPC	585		585		
0100041. 80 CSO 5.0% CRVM ANB CRF (93-94)	10,057		10,057		
0100042. 80 CSO 5.5% CRVM ALB CRF (90-91)	7,605		7,605		
0100043. EXTENDED TERM REINSURANCE ASSUMED:					
0100044. American Experience (Standard) 3% ALB IPC CRF	106,171		106,171		
0100045. 41 CSO 2.5% ANB IPC CRF	2,482,922		2,482,922		
0100046. 41 CSO (150%) 2.5% ANB IPC CRF	545		545		
0100047. 58 CET 2.5% ANB IPC CRF	1,924,227		1,924,227		
0100048. 58 CET 3% ANB IPC CRF	1,474,580		1,474,580		
0100049. 58 CET 4%/3% ANB IPC CRF	88,221		88,221		
0100050. 80 CET Standard - Male 5%/4% ANB IPC CRF	65,925		65,925		
0199997. Totals (Gross)	271,385,847		232,664,522		38,721,324
0199998. Reinsurance ceded	39,052,549		39,052,549		
0199999. Life Insurance: Totals (Net)	232,333,298		193,611,973		38,721,324
0200001. GA 1951 ANB CRF 3.5% Immediate (1971)	136,609	XXX		XXX	136,609
0200002. CARVM 4% (1980-86)	518,944	XXX	518,944	XXX	
0200003. SINGLE PREMIUM (Load), DEF - CARVM	3,274,268	XXX	3,274,268	XXX	
0200004. INSTALLMENT/FLEX PREMIUM (Load), DEF - CARVM	3,243,899	XXX	3,243,899	XXX	
0200005. SINGLE PREMIUM NO-LOAD, DEF - CARVM, NB	572,699,256	XXX	569,586,934	XXX	3,112,322
0200006. INSTALLMENT/FLEX NO-LOAD, DEF - CARVM, NB	672,040,790	XXX	341,597,482	XXX	330,443,308
0200007. 71 IAM 6.0% SPI FI - CARVM (1974-1983)	5,860	XXX	5,860	XXX	
0200008. 71 IAM 6.0% Due FI - CARVM (1974-1983)	70,467	XXX	70,467	XXX	
0200009. 83a 6.50% SPI FI - CARVM (1994)	243,905	XXX	243,905	XXX	
0200010. 83a 6.75% SPI FI - CARVM (1996-1997)	1,010,120	XXX	1,010,120	XXX	
0200011. 83a 7.00% SPI FI - CARVM (1993)	275,354	XXX	275,354	XXX	
0200012. 83a 7.25% SPI FI - CARVM (1987, 1995)	806,627	XXX	806,627	XXX	
0200013. 83a 7.75% SPI FI - CARVM (1992)	308,474	XXX	308,474	XXX	
0200014. 83a 8.00% SPI FI - CARVM (1987)	167,229	XXX	167,229	XXX	
0200015. 83a 8.25% SPI FI - CARVM (1990-1991)	505,697	XXX	505,697	XXX	
0200016. 83a 8.75% SPI FI - CARVM (1988-1989)	414,530	XXX	414,530	XXX	
0200017. 83a 9.25% SPI FI - CARVM (1986)	150,644	XXX	150,644	XXX	
0200018. 83a 11.00% SPI FI - CARVM (1985)	9,289	XXX	9,289	XXX	
0200019. 83a 11.25% SPI FI - CARVM (1984)	15,589	XXX	15,589	XXX	
0200020. 83a 6.50% Due FI - CARVM (1994)	1,197,255	XXX	1,197,255	XXX	
0200021. 83a 6.75% Due FI - CARVM (1996-1997)	4,565,211	XXX	4,565,211	XXX	
0200022. 83a 7.00% Due FI - CARVM (1993)	1,333,866	XXX	1,333,866	XXX	
0200023. 83a 7.25% Due FI - CARVM (1987, 1995)	2,401,542	XXX	2,401,542	XXX	
0200024. 83a 7.75% Due FI - CARVM (1992)	1,637,870	XXX	1,637,870	XXX	
0200025. 83a 8.00% Due FI - CARVM (1987)	398,786	XXX	398,786	XXX	
0200026. 83a 8.25% Due FI - CARVM (1990-1991)	2,983,626	XXX	2,983,626	XXX	
0200027. 83a 8.75% Due FI - CARVM (1988-1989)	1,596,006	XXX	1,596,006	XXX	
0200028. 83a 9.25% Due FI - CARVM (1986)	112,765	XXX	112,765	XXX	
0200029. 83a 11.00% Due FI - CARVM (1985)	7,698	XXX	7,698	XXX	
0200030. A2000 6.25% SPI FI - CARVM (1998-99)	682,325	XXX	682,325	XXX	
0200031. A2000 6.25% Due FI - CARVM (1998-99)	5,024,575	XXX	5,024,575	XXX	
0200032. A2000 7.00% SPI FI - CARVM (2000)	1,115,445	XXX	1,115,445	XXX	
0200033. A2000 7.00% Due FI - CARVM (2000)	2,676,465	XXX	2,676,465	XXX	
0200034. A2000 6.75% SPI FI - CARVM (2001)	1,009,689	XXX	1,009,689	XXX	
0200035. A2000 6.75% Due FI - CARVM (2001)	5,711,954	XXX	5,711,954	XXX	
0200036. A2000 6.50% SPI FI - CARVM (2002)	2,373,214	XXX	2,373,214	XXX	
0200037. A2000 6.50% Due FI - CARVM (2002)	5,107,943	XXX	5,107,943	XXX	
0200038. A2000 6.00% SPI FI - CARVM (2003, 2009)	3,647,131	XXX	3,647,131	XXX	

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York  
**EXHIBIT 5 - AGGREGATE RESERVE FOR LIFE CONTRACTS**

1	2	3	4	5	6
Valuation Standard	Total	Industrial	Ordinary	Credit (Group and Individual)	Group
0200039. A2000 6.00% Due FI - CARVM (2003, 2009) .....	7,853,907	XXX	7,853,907	XXX	
0200040. A2000 5.50% SPI FI - CARVM (2004, 2007-08) ..	5,465,656	XXX	5,465,656	XXX	
0200041. A2000 5.50% Due FI - CARVM (2004, 2007-08) ..	12,588,837	XXX	12,588,837	XXX	
0200042. A2000 5.25% SPI FI - CARVM (2005-06, 2010) ..	6,429,901	XXX	6,429,901	XXX	
0200043. A2000 5.25% Due FI - CARVM (2005-06, 2010) ..	14,570,183	XXX	14,570,183	XXX	
0200044. A2000 5.00% SPI FI - CARVM (2011) .....	2,359,761	XXX	2,359,761	XXX	
0200045. A2000 5.00% Due FI - CARVM (2011) .....	5,720,515	XXX	5,720,515	XXX	
0200046. A2000 4.25% SPI FI - CARVM (2012) NB .....	3,540,512	XXX	3,540,512	XXX	
0200047. A2000 4.25% Due FI - CARVM (2012) NB .....	7,196,766	XXX	7,196,766	XXX	
0299997. Totals (Gross)	1,365,206,956	XXX	1,031,514,717	XXX	333,692,239
0299998. Reinsurance ceded	655,553	XXX	518,944	XXX	136,609
0299999. Annuities: Totals (Net)	1,364,551,403	XXX	1,030,995,773	XXX	333,555,630
0399998. Reinsurance ceded					
0399999. SCWLC: Totals (Net)					
0400001. 59 ADB WITH 58 CSO 3% .....	5,743		5,743		
0400002. 59 ADB 3.5% CRF .....	32		32		
0400003. 59 ADB WITH 2001 CSO PREF 4% NB .....	27		27		
0499997. Totals (Gross)	5,801		5,801		
0499998. Reinsurance ceded	32		32		
0499999. Accidental Death Benefits: Totals (Net)	5,769		5,769		
0500001. 52 INTERCO DISA WITH 58 CSO 2.5% .....	5,120		5,120		
0500002. 52 INTERCO DISA with 80 CSO ALB 4.5% .....	302,268		302,268		
0500003. 52 INTERCO DISA with 80 CSO ALB 5.0% .....	5,331		5,331		
0500004. 52 INTERCO DISA with 80 CSO ALB 5.5% .....	23,159		23,159		
0500005. 52 INTERCO DISA with 2001 CSO ALB 4.0% NB ..	211,087		211,087		
0500006. 52 INTERCO DISA 3.5% .....	583		583		
0599997. Totals (Gross)	547,548		547,548		
0599998. Reinsurance ceded	2,225		2,225		
0599999. Disability-Active Lives: Totals (Net)	545,322		545,322		
0600001. 52 INTERCO DISA WITH 58 CSO 2.5% .....	148,166		148,166		
0600002. 52 INTERCO DISA with 80 CSO ALB 4.5% .....	2,369,282		2,369,282		
0600003. 52 INTERCO DISA with 80 CSO ALB 5.0% .....	306,763		306,763		
0600004. 52 INTERCO DISA with 80 CSO ALB 5.5% .....	2,293,602		2,293,602		
0600005. 52 INTERCO DISA with 80 CSO ALB 6.0% .....	492,173		492,173		
0600006. 52 INTERCO DISA with 2001 CSO ALB 4.0% NB ..	472,755		472,755		
0600007. 64 CDT 3%CRF .....	20,862		20,862		
0699997. Totals (Gross)	6,103,603		6,103,603		
0699998. Reinsurance ceded	59,149		59,149		
0699999. Disability-Disabled Lives: Totals (Net)	6,044,454		6,044,454		
0700001. Minimum Death Benefit Guarantee-Variable Life ..					
0700002. Deficiency Reserve .....	1,078,143		1,078,143		
0700003. Substandard Reserve .....	6,079,108		6,079,108		
0700004. Non-Deduction .....	377		377		
0700005. IPC .....	23,061		23,061		
0700006. Guaranteed Benefit Reserve - Variable Annuity ..	13,628		13,628		
	64,495,220		64,484,733		10,486
0799997. Totals (Gross)	71,689,536		71,679,050		10,486
0799998. Reinsurance ceded	960,598		960,598		
0799999. Miscellaneous Reserves: Totals (Net)	70,728,938		70,718,452		10,486
9999999. Totals (Net) - Page 3, Line 1	1,674,209,186		1,301,921,745		372,287,441

**EXHIBIT 5 - INTERROGATORIES**

- 1.1 Has the reporting entity ever issued both participating and non-participating contracts?..... Yes [ ] No [ X ]
- 1.2 If not, state which kind is issued.  
 Non-participating .....
- 2.1 Does the reporting entity at present issue both participating and non-participating contracts?..... Yes [ ] No [ X ]
- 2.2 If not, state which kind is issued.  
 Non-participating .....
- 3. Does the reporting entity at present issue or have in force contracts that contain non-guaranteed elements?..... Yes [ X ] No [ ]  
 If so, attach a statement that contains the determination procedures, answers to the interrogatories and an actuarial opinion as described in the instructions.
- 4. Has the reporting entity any assessment or stipulated premium contracts in force? ..... Yes [ ] No [ X ]  
 If so, state:  
 4.1 Amount of insurance? ..... \$ .....  
 4.2 Amount of reserve? ..... \$ .....  
 4.3 Basis of reserve: .....
- 4.4 Basis of regular assessments: .....
- 4.5 Basis of special assessments: .....
- 4.6 Assessments collected during the year ..... \$ .....
- 5. If the contract loan interest rate guaranteed in any one or more of its currently issued contracts is less than 5%, not in advance, state the contract loan rate guarantees on any such contracts. ....
- 6. Does the reporting entity hold reserves for any annuity contracts that are less than the reserves that would be held on a standard basis? ..... Yes [ ] No [ X ]  
 6.1 If so, state the amount of reserve on such contracts on the basis actually held: ..... \$ .....  
 6.2 That would have been held (on an exact or approximate basis) using the actual ages of the annuitants; the interest rate(s) used in 6.1; and the same mortality basis used by the reporting entity for the valuation of comparable annuity benefits issued to standard lives. If the reporting entity has no comparable annuity benefits for standard lives to be valued, the mortality basis shall be the table most recently approved by the state of domicile for valuing individual annuity benefits: ..... \$ .....  
 Attach statement of methods employed in their valuation.
- 7. Does the reporting entity have any Synthetic GIC contracts or agreements in effect as of December 31 of the current year? ..... Yes [ ] No [ X ]  
 7.1 If yes, state the total dollar amount of assets covered by these contracts or agreements ..... \$ .....  
 7.2 Specify the basis (fair value, amortized cost, etc.) for determining the amount: .....
- 7.3 State the amount of reserves established for this business: ..... \$ .....  
 7.4 Identify where the reserves are reported in the blank: .....
- 8. Does the reporting entity have any Contingent Deferred Annuity contracts or agreements in effect as of December 31 of the current year? ..... Yes [ ] No [ X ]  
 8.1 If yes, state the total dollar amount of account value covered by these contracts or agreements: ..... \$ .....  
 8.2 State the amount of reserves established for this business: ..... \$ .....  
 8.3 Identify where the reserves are reported in the blank: .....
- 9. Does the reporting entity have any Guaranteed Lifetime Income Benefit contracts, agreements or riders in effect as of December 31 of the current year? ..... Yes [ ] No [ X ]  
 9.1 If yes, state the total dollar amount of any account value associated with these contracts, agreements or riders: ..... \$ .....  
 9.2 State the amount of reserves established for this business: ..... \$ .....  
 9.3 Identify where the reserves are reported in the blank: .....

**EXHIBIT 5A - CHANGES IN BASES OF VALUATION DURING THE YEAR**

1 Description of Valuation Class	Valuation Basis		4 Increase in Actuarial Reserve Due to Change
	2 Changed From	3 Changed To	
<b>NONE</b>			
9999999 - Total (Column 4, only)			

**EXHIBIT 6 - AGGREGATE RESERVES FOR ACCIDENT AND HEALTH CONTRACTS**

	1 Total	2 Group Accident and Health	3 Credit Accident and Health (Group and Individual)	4 Collectively Renewable	Other Individual Contracts				
					5 Non-Cancelable	6 Guaranteed Renewable	7 Non-Renewable for Stated Reasons Only	8 Other Accident Only	9 All Other
<b>ACTIVE LIFE RESERVE</b>									
1. Unearned premium reserves .....	5,384,288				407,554	4,976,734			
2. Additional contract reserves (a) .....	222,685,022				8,707,968	213,977,054			
3. Additional actuarial reserves-Asset/Liability analysis .....	6,000,000					6,000,000			
4. Reserve for future contingent benefits .....									
5. Reserve for rate credits .....									
6. Aggregate write-ins for reserves .....									
7. Totals (Gross) .....	234,069,310				9,115,522	224,953,788			
8. Reinsurance ceded .....	85,772,068				6,019	85,766,049			
9. Totals (Net) .....	148,297,242				9,109,503	139,187,739			
<b>CLAIM RESERVE</b>									
10. Present value of amounts not yet due on claims .....	40,069,028				13,966,304	26,102,724			
11. Additional actuarial reserves-Asset/Liability analysis .....									
12. Reserve for future contingent benefits .....									
13. Aggregate write-ins for reserves .....	1,542,514				412,241	1,130,274			
14. Totals (Gross) .....	41,611,542				14,378,545	27,232,998			
15. Reinsurance ceded .....	7,787,564					7,787,564			
16. Totals (Net) .....	33,823,978				14,378,545	19,445,433			
17. TOTAL (Net) .....	182,121,219				23,488,047	158,633,172			
18. TABULAR FUND INTEREST .....	7,611,530				971,609	6,639,922			
<b>DETAILS OF WRITE-INS</b>									
0601. ....									
0602. ....									
0603. ....									
0698. Summary of remaining write-ins for Line 6 from overflow page .....									
0699. TOTALS (Lines 0601 thru 0603 plus 0698) (Line 6 above) .....									
1301. Claim Adjustment Expense Reserve .....	1,542,514				412,241	1,130,274			
1302. ....									
1303. ....									
1398. Summary of remaining write-ins for Line 13 from overflow page .....									
1399. TOTALS (Lines 1301 thru 1303 plus 1398) (Line 13 above) .....	1,542,514				412,241	1,130,274			

(a) Attach statement as to valuation standard used in calculating this reserve, specifying reserve bases, interest rates and methods.

**EXHIBIT 7 - DEPOSIT TYPE CONTRACTS**

	1	2	3	4	5	6
	Total	Guaranteed Interest Contracts	Annuities Certain	Supplemental Contracts	Dividend Accumulations or Refunds	Premium and Other Deposit Funds
1. Balance at the beginning of the year before reinsurance .....	4,012,827		4,012,759			68
2. Deposits received during the year .....	1,133,555		1,133,555			
3. Investment earnings credited to the account .....	203,475		203,475			
4. Other net change in reserves .....	(676,609)		(676,541)			(68)
5. Fees and other charges assessed .....						
6. Surrender charges .....						
7. Net surrender or withdrawal payments .....	950,829		950,829			
8. Other net transfers to or (from) Separate Accounts .....						
9. Balance at the end of current year before reinsurance (Lines 1+2+3+4-5-6-7-8) .....	3,722,419		3,722,419			
10. Reinsurance balance at the beginning of the year .....	(68)					(68)
11. Net change in reinsurance assumed .....						
12. Net change in reinsurance ceded .....	(68)					(68)
13. Reinsurance balance at the end of the year (Lines 10+11-12) .....						
14. Net balance at the end of current year after reinsurance (Lines 9 + 13)	3,722,419		3,722,419			

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York  
**EXHIBIT 8 - CLAIMS FOR LIFE AND ACCIDENT AND HEALTH CONTRACTS**

**PART 1 - Liability End of Current Year**

	1 Total	2 Industrial Life	Ordinary			6 Credit Life (Group and Individual)	Group		Accident and Health		
			3 Life Insurance	4 Individual Annuities	5 Supplementary Contracts		7 Life Insurance	8 Annuities	9 Group	10 Credit (Group and Individual)	11 Other
1. Due and unpaid:											
1.1 Direct .....											
1.2 Reinsurance assumed .....											
1.3 Reinsurance ceded .....											
1.4 Net .....											
2. In course of settlement:											
2.1 Resisted .....											
2.11 Direct .....											
2.12 Reinsurance assumed .....											
2.13 Reinsurance ceded .....											
2.14 Net .....			(b)	(b)		(b)	(b)				
2.2 Other .....	4,706,903		3,385,554				121,342				1,200,007
2.21 Direct .....											
2.22 Reinsurance assumed .....											
2.23 Reinsurance ceded .....	573,963		328,260								245,703
2.24 Net .....	4,132,940		(b) 3,057,294	(b)		(b)	(b) 121,342	(b)	(b)	(b)	(b) 954,304
3. Incurred but unreported:											
3.1 Direct .....	722,392		720,112				2,280				
3.2 Reinsurance assumed .....	64,181		64,181								
3.3 Reinsurance ceded .....	257,392		255,112				2,280				
3.4 Net .....	529,181		(b) 529,181	(b)		(b)	(b)	(b)	(b)	(b)	(b)
4. TOTALS .....											
4.1 Direct .....	5,429,295		4,105,666				123,622				1,200,007
4.2 Reinsurance assumed .....	64,181		64,181								
4.3 Reinsurance ceded .....	831,355		583,372				2,280				245,703
4.4 Net .....	4,662,121	(a)	(a) 3,586,475				(a) 121,342				954,304

(a) Including matured endowments (but not guaranteed annual pure endowments) unpaid amounting to \$ ..... in Column 2, \$ ..... in Column 3 and \$ ..... in Column 7.

(b) Include only portion of disability and accident and health claim liabilities applicable to assumed "accrued" benefits. Reserves (including reinsurance assumed and net of reinsurance ceded) for unaccrued benefits for Ordinary Life Insurance \$ .....6,044,454  
 Individual Annuities \$ ....., Credit Life (Group and Individual) \$ ....., and Group Life \$ ....., are included in Page 3, Line 1, (See Exhibit 5, Section on Disability Disabled Lives); and for Group Accident and Health \$ .....  
 Credit (Group and Individual) Accident and Health \$ ....., and Other Accident and Health \$ .....33,823,978 are included in Page 3, Line 2 (See Exhibit 6, Claim Reserve).

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York  
**EXHIBIT 8 - CLAIMS FOR LIFE AND ACCIDENT AND HEALTH CONTRACTS**

**PART 2 - Incurred During the Year**

	1 Total	2 Industrial Life (a)	Ordinary			6 Credit Life (Group and Individual)	Group		Accident and Health		
			3 Life Insurance (b)	4 Individual Annuities	5 Supplementary Contracts		7 Life Insurance (c)	8 Annuities	9 Group	10 Credit (Group and Individual)	11 Other
1. Settlements During the Year:											
1.1 Direct .....	101,042,289		17,091,013	65,136,786			1,612,957	3,481,127			13,720,406
1.2 Reinsurance assumed .....	616,753		616,753								
1.3 Reinsurance ceded .....	7,126,387		4,226,440								2,899,947
1.4 Net .....	(d) 94,532,655		13,481,326	65,136,786			1,612,957	3,481,127			10,820,459
2. Liability December 31, current year from Part 1:											
2.1 Direct .....	5,429,295		4,105,666				123,622				1,200,007
2.2 Reinsurance assumed .....	64,181		64,181								
2.3 Reinsurance ceded .....	831,355		583,372				2,280				245,703
2.4 Net .....	4,662,121		3,586,475				121,342				954,304
3. Amounts recoverable from reinsurers December 31, current year .....	523,478		334,130								189,348
4. Liability December 31, prior year:											
4.1 Direct .....	4,288,149		3,224,127				2,280				1,061,742
4.2 Reinsurance assumed .....	30,939		30,939								
4.3 Reinsurance ceded .....	561,196		338,880				2,280				220,036
4.4 Net .....	3,757,892		2,916,186								841,706
5. Amounts recoverable from reinsurers December 31, prior year .....	405,850		199,551								206,299
6. Incurred Benefits											
6.1 Direct .....	102,183,435		17,972,552	65,136,786			1,734,299	3,481,127			13,858,671
6.2 Reinsurance assumed .....	649,995		649,995								
6.3 Reinsurance ceded .....	7,514,174		4,605,511								2,908,663
6.4 Net .....	95,319,256		14,017,036	65,136,786			1,734,299	3,481,127			10,950,008

- (a) Including matured endowments (but not guaranteed annual pure endowments) amounting to \$ ..... in Line 1.1, \$ ..... in Line 1.4.  
 \$ ..... in Line 6.1, and \$ ..... in Line 6.4.
- (b) Including matured endowments (but not guaranteed annual pure endowments) amounting to \$ ..... in Line 1.1, \$ ..... in Line 1.4.  
 \$ ..... in Line 6.1, and \$ ..... in Line 6.4.
- (c) Including matured endowments (but not guaranteed annual pure endowments) amounting to \$ ..... in Line 1.1, \$ ..... in Line 1.4.  
 \$ ..... in Line 6.1, and \$ ..... in Line 6.4.
- (d) Includes \$ ..... premiums waived under total and permanent disability benefits.

**EXHIBIT OF NON-ADMITTED ASSETS**

	1	2	3
	Current Year Total Nonadmitted Assets	Prior Year Total Nonadmitted Assets	Change in Total Nonadmitted Assets (Col. 2 - Col. 1)
1. Bonds (Schedule D) .....			
2. Stocks (Schedule D):			
2.1 Preferred stocks .....			
2.2 Common stocks .....			
3. Mortgage loans on real estate (Schedule B):			
3.1 First liens .....			
3.2 Other than first liens.....			
4. Real estate (Schedule A):			
4.1 Properties occupied by the company .....			
4.2 Properties held for the production of income.....			
4.3 Properties held for sale .....			
5. Cash (Schedule E - Part 1), cash equivalents (Schedule E - Part 2) and short-term investments (Schedule DA) .....			
6. Contract loans .....	5,164	120	(5,044)
7. Derivatives (Schedule DB) .....			
8. Other invested assets (Schedule BA) .....			
9. Receivables for securities .....			
10. Securities lending reinvested collateral assets (Schedule DL) .....			
11. Aggregate write-ins for invested assets .....			
12. Subtotals, cash and invested assets (Lines 1 to 11) .....	5,164	120	(5,044)
13. Title plants (for Title insurers only) .....			
14. Investment income due and accrued .....			
15. Premiums and considerations:			
15.1 Uncollected premiums and agents' balances in the course of collection .....	77,410	87,471	10,061
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due .....			
15.3 Accrued retrospective premiums .....			
16. Reinsurance:			
16.1 Amounts recoverable from reinsurers .....			
16.2 Funds held by or deposited with reinsured companies .....			
16.3 Other amounts receivable under reinsurance contracts .....			
17. Amounts receivable relating to uninsured plans .....			
18.1 Current federal and foreign income tax recoverable and interest thereon .....			
18.2 Net deferred tax asset .....	16,139,003	27,580,747	11,441,744
19. Guaranty funds receivable or on deposit .....			
20. Electronic data processing equipment and software .....			
21. Furniture and equipment, including health care delivery assets .....	56,097	87,595	31,498
22. Net adjustment in assets and liabilities due to foreign exchange rates .....			
23. Receivables from parent, subsidiaries and affiliates .....			
24. Health care and other amounts receivable .....			
25. Aggregate write-ins for other than invested assets .....	21,029	13,566	(7,463)
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) .....	16,298,703	27,769,499	11,470,796
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts .....			
28. Total (Lines 26 and 27) .....	16,298,703	27,769,499	11,470,796
<b>DETAILS OF WRITE-INS</b>			
1101. ....			
1102. ....			
1103. ....			
1198. Summary of remaining write-ins for Line 11 from overflow page .....			
1199. Totals (Lines 1101 thru 1103 plus 1198)(Line 11 above)			
2501. ....			
2502. Miscellaneous assets .....	21,029	13,566	(7,463)
2503. ....			
2598. Summary of remaining write-ins for Line 25 from overflow page .....			
2599. Totals (Lines 2501 thru 2503 plus 2598)(Line 25 above)	21,029	13,566	(7,463)

## NOTES TO FINANCIAL STATEMENTS

## 1. Summary of Significant Accounting Policies

## A. Accounting Practices

The accompanying financial statements of RiverSource Life Insurance Co. of New York (“the Company” or “RiverSource Life of NY”) have been prepared in conformity with accounting practices prescribed or permitted by the State of New York. New York has adopted the National Association of Insurance Commissioners, (“NAIC”) Accounting Practices and Procedures Manual as a component of its prescribed statutory accounting principles (“SAP”). The State has also prescribed specific practices that deviate from NAIC SAP.

A reconciliation of the Company’s net income and capital and surplus between practices prescribed by the State of New York and NAIC SAP is shown below:

	<u>December 31,</u>	
	<u>2012</u>	<u>2011</u>
	(in millions)	
<b>Net income (loss):</b>		
(1) State of New York basis (Page 4, Line 35, Columns 1 & 2).....	\$ 82.4	\$ (6.2)
(2) New York prescribed practices that increase (decrease) NAIC SAP:		
Additional long term care asset adequacy reserves required under New York regulation 56	(1.5)	(1.0)
(3) New York permitted practices that increase (decrease) NAIC SAP .....	—	—
(4) NAIC SAP basis (1–2–3=4).....	<u>\$ 83.9</u>	<u>\$ (5.2)</u>
<b>Capital and surplus:</b>		
(5) State of New York basis (Page 3, Line 38, Columns 1 & 2) .....	\$ 252.8	\$ 235.5
(6) New York prescribed practices that increase (decrease) NAIC SAP:		
Additional long term care asset adequacy reserves required under New York regulation 56.....	(6.0)	(4.5)
(7) New York permitted practices that increase (decrease) NAIC SAP .....	—	—
(8) NAIC SAP basis (5–6–7=8).....	<u>\$ 258.8</u>	<u>\$ 240.0</u>

## B. Use of Estimates in the Preparation of the Financial Statements

The preparation of financial statements in conformity with SAP requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities. It also requires disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during the period. Actual results could differ from those estimates.

## C. Accounting Policy

Premium revenue

Universal life-type insurance premiums and annuity considerations are recognized as revenue when received. Premiums on other life insurance contracts are recognized as revenue on the anniversary date of the contracts. Premiums on accident and health insurance contracts are recognized as revenue over the contract period. Funds received on contracts that do not have mortality or morbidity risks are reported as liabilities on deposit-type contracts, not as revenue.

Policy acquisition costs

Expenses incurred in connection with acquiring and renewing insurance and annuity business, including such costs as sales commissions, are charged to operations as incurred.

Liabilities for future policy benefits

Liabilities for fixed single premium and installment deferred annuities are based on the Commissioners Annuity Reserve Valuation Method (“CARVM”) at interest rates ranging from 4.0% to 10.0%. Liabilities for variable deferred and payout annuities are based on Actuarial Guideline 43, which requires a minimum reserve equal to the standard scenario amount. A stochastic excess reserve is required if it exceeds the standard scenario amount.

Liabilities for fixed annuities in a benefit status are based on the 1971 Individual Annuity Table with interest at 6.0%, the 1983a Table with various interest rates ranging from 6.5% to 11.25%, or the Annuity 2000 Table with interest rates ranging from 4.25% to 7.0%.

Liabilities for universal life-type insurance policies are based on the Commissioners Reserve Valuation Method or Net Single Premium Method at interest rates ranging from 4.0% to 6.0% and the 1958, 1980 and 2001 Commissioners Standard Ordinary (“CSO”) Mortality Tables. Actuarial Guideline 36 is followed for indexed universal life. In addition, New York Regulation 147 is followed for variable universal life insurance death benefit guarantees and universal life insurance products with secondary guarantees.

Liabilities for future benefits on traditional life insurance have generally been computed by the net level premium or Commissioners Reserve Valuation Method, based on the 1941, 1958, 1980, or 2001 CSO Mortality Tables with interest at various rates ranging from 2.5% to 5.5%.

Liabilities for future disability income policy benefits have been computed using the net level premium or two year full preliminary term method, based on the 1964 Commissioners Disability Table with the 1958 CSO Mortality Table at 3.0% interest or the 1985 Commissioners Individual Disability Table A with the 1980 or 2001 CSO Mortality Tables from 3.0% to 5.0% interest.

Liabilities for future benefits on long-term care insurance have been computed principally by the two year or one year full preliminary term method, using morbidity rates based on the Company’s experience, mortality rates based on the 1983a Table or the 1983 Group Annuity Mortality Table, lapse rates based on the Company’s experience and an interest rate from 4.5% to 5.0%.

Reinsurance

The Company cedes significant amounts of insurance risk to other insurers under reinsurance agreements. Reinsurance premiums paid and benefits received are accounted for consistently with the basis used in accounting for the policies from which risk is reinsured and consistently with the terms of the reinsurance contracts. Reinsurance premium, net of the change in any reinsurance premium asset, is reported as a reduction of premiums. Policy and contract liabilities ceded to reinsurers are reported as reductions to the related reserves. Commissions allowed by reinsurers on business ceded are reported as income when received.

The Company also assumes life insurance and fixed annuity risk from other insurers in limited circumstances. Reinsurance premiums received and benefits paid are accounted for consistently with the basis used in accounting for the policies from which risk is reinsured and consistently with the terms of the reinsurance contracts. Liabilities for assumed business are recorded within reserves.

In addition, the Company uses the following accounting policies:

- (1) Short-term investments are stated at amortized cost except for money market funds, which were purchased at par and carried at cost. Short-term investments are accounted for in the same manner as similar long-term investments except that any premium or discount is amortized on a straight-line basis to maturity.
- (2) Bonds, other than loan-backed and structured securities, are carried at amortized cost with premium or discount amortized using the scientific amortization method. Bonds with an NAIC designation of 6 are reported at the lower of amortized cost or fair value.
- (3) Common stocks, if owned, are carried at fair value with changes in fair value recorded as unrealized gain or loss.
- (4) Preferred stock: None.
- (5) Mortgage loans are stated at the unpaid principle balance, net of allowances for loan losses, if any.
- (6) Loan-backed and structured securities are carried at amortized cost using the scientific amortization method and include anticipated prepayments. Changes in estimated cash flow and in coupon interest cash flows from original purchase assumptions are accounted for using the retrospective method.
- (7) The Company carries its non-insurance subsidiaries at their equity value based on U.S. generally accepted accounting principles.
- (8) The Company has no investments in joint ventures, partnerships, and limited liability companies.

**NOTES TO FINANCIAL STATEMENTS**

- (9) All derivatives that are not part of a designated hedge transaction, principally, index call options, index put options and interest rate swaps are stated at fair value with changes in the fair value being reflected in unrealized capital gains (losses). Futures contracts are marked to market daily with changes in market value being paid or received and recorded in unrealized capital gains (losses). When the futures contracts are closed the change in value is realized in earnings.
- (10) The Company utilizes anticipated investment income on a conservative basis as a factor in the premium deficiency calculation.
- (11) Claim reserves for both future benefit payments and waived premiums are held for each known open claim and are calculated using tabular methods. An additional reserve for claims incurred but not reported is determined from multiple years of historical claim lag experience (updated at least annually). Claim liabilities are held for payments potentially due but unpaid as of the valuation date on known open claims. A claim administration expense reserve based on a review of actual expenses in relation to claim payments is also held.
- (12) The Company has not modified its capitalization policy from the prior period.

**2. Accounting Changes and Corrections of Errors**

**Accounting Changes**

*Statement of Statutory Accounting Principles (“SSAP”) 100—Fair Value of Financial Instruments (“SSAP 100”)*

SSAP 100 defines fair value, establishes a framework for measuring fair value and establishes disclosure requirements about fair value. In March 2012, the NAIC adopted revisions to SSAP 100, effective January 1, 2012, to require the following expanded/new disclosures:

- 1. Documentation of the fair value hierarchy for items that are disclosed with a fair value measurement but may not be reported at fair value in the balance sheet.
- 2. A gross presentation of purchases, sales, issuances and settlements in the rollforward of securities classified as Level 3 in the fair value hierarchy.

The Company early-adopted item 2 at December 31, 2011. The Company adopted item 1 at March 31, 2012. The adoption of these items did not impact the Company’s financial condition or results of operations. See Note 20 for the required disclosures.

*SSAP 101—Income Taxes, A Replacement of SSAP 10R and SSAP 10 (“SSAP 101”)*

In August 2011, the NAIC issued SSAP 101, with an effective date of January 1, 2012. SSAP 101 establishes statutory accounting principles for current and deferred income taxes. The primary changes from SSAP 10R are as follows:

- The reversal period and surplus limitation parameters of the deferred tax asset admissibility calculation are determined based on risk-based capital levels. Insurers whose risk-based capital exceeds the defined threshold shall use a three year reversal period and 15% surplus limitation.
- Application of the admissibility standards is no longer an optional election and separate presentation of the incremental increase in admitted deferred tax assets resulting from the SSAP 10R election is not applicable.
- Insurers are required to establish a tax loss contingency reserve if, based upon management’s best estimate, it is *more likely than not* that a tax position will not be sustained, replacing the former “probable” standard for recognition.

The Company adopted SSAP 101 January 1, 2012. The adoption did not have a material effect on the Company's financial statements.

**Correction of Errors**

In the second quarter of 2012, the Company made a correction for a tax item related to prior periods, which resulted in a \$1.8 million increase in federal income taxes payable. During the second quarter, the Company discovered it had received incomplete data from a third party service provider for securities lending activities that resulted in the miscalculation of the Company’s dividend received deduction and foreign tax credit, which resulted in an understatement of taxes payable and an overstatement of reported earnings in prior periods. Management has determined that the effect of this correction is not material to the Financial Statements for all current and prior periods. The Company has resolved the data issue and has stopped the securities lending that negatively impacted its tax position. This amount is reported as a prior period adjustment to surplus (Line 53) in the Summary of Operations.

In the fourth quarter of 2012, the Company made an adjustment to the variable annuity guaranteed living benefit utilization computation within the Actuarial Guideline 43 stochastic reserve primarily attributable to prior periods, which resulted in a \$1.1 million reduction to reserves. At December 31, 2011, the reserves (Liabilities, Line 1) were overstated by \$1.7 million. This amount is reported as a prior period adjustment to surplus in the Summary of Operations.

**3. Business Combinations and Goodwill**

Not applicable.

**4. Discontinued Operations**

Not applicable.

**5. Investments**

**A. Mortgage Loans**

- (1) The maximum and minimum lending rates for mortgage loans during 2012 were: 4.85% and 4.15%.
  - (2) During 2012, the Company did not reduce interest rates of outstanding mortgage loans.
  - (3) The maximum percentage of any one loan to the value of security at the time of loan, exclusive of insured or guaranteed or purchase money mortgage was: 80%.
  - (4) At December 31, 2012 the Company held no mortgages with interest more than 180 days past due.
- |  | <b>2012</b> | <b>2011</b> |
|--|-------------|-------------|
| (5) Taxes, assessments and any amounts advanced and not included in the mortgage loan total .....        | \$ —        | \$ —        |
| (6) Impaired loans with related allowance for credit losses .....  | —           | —           |
| a. Related allowance for credit losses.....  | —           | —           |
| (7) Impaired loans without an allowance for credit losses .....  | —           | —           |
| (8) Average recorded investment in impaired loans.....   | —           | —           |
| (9) Interest income recognized during the period the loans were impaired .....                           | —           | —           |
| (10) Amount of interest income recognized on a cash-basis during the period the loans were impaired..... | —           | —           |
| (11) Allowance for credit losses:  |             |             |
| a. Balance at beginning of period .....  | \$ —        | \$ 500,000  |
| b. Additions charged to operations .....   | —           | —           |
| c. Direct write-downs charged against the allowances .....   | —           | —           |
| d. Recoveries of amounts previously charged off.....   | —           | (500,000)   |
| e. Balance at end of period .....  | \$ —        | \$ —        |
- (12) Interest accrual is stopped when a mortgage is 90 days delinquent. Income received while a loan is over 90 days delinquent is recognized on a cash basis until the loan is brought current and then is returned to a normal accrual status.

**B. Debt Restructuring**

- |  | <b>2012</b>  | <b>2011</b>  |
|--|--------------|--------------|
| (1) The total recorded investment in restructured loans, as of year end .....  | \$ 1,154,342 | \$ 1,177,813 |
| (2) The realized capital losses related to these loans .....   | —            | —            |
| (3) Total contractual commitments to extend credit to debtors owning receivables whose terms have been modified in troubled debt restructurings..... | —            | —            |

## NOTES TO FINANCIAL STATEMENTS

- (4) The Company accrues interest income on impaired loans to the extent it is deemed collectible (delinquent less than 90 days) and the loan continues to perform under its original or restructured contractual terms. Interest income on non-performing loans is generally recognized on a cash basis.

C. Reverse Mortgages  
None.

D. Loan-Backed and Structured Securities ("LBaSS")

- (1) Prepayment assumptions for LBaSS are based on financial information provided by a licensed data provider. These assumptions are consistent with the current interest rate and economic environment. The retrospective method is used to value all types of these securities except in a situation where rate changes result in recalculation of the effective yield. The recalculated yield is used to amortize the investment as of the rate change date.
- (2) The Company has no loan-backed or structured securities for which an other-than-temporary impairment ("OTTI") was recognized due to intent to sell or inability or lack of intent to retain for a period of time sufficient to recover the amortized cost basis.
- (3) The following table provides information about LBaSS held at December 31, 2012 for which an OTTI has been recognized due to the fact that the present value of cash flows expected to be collected is less than amortized cost basis of the securities.

CUSIP	Carrying Value Before Current Period OTTI	Present Value of Projected Cash Flows	Recognized OTTI	Amortized Cost After OTTI	Fair Value	Date of Financial Statement Where Reported
12667G5U3.....	\$ 992,765	\$ 973,676	\$ 19,089	\$ 973,676	\$ 664,393	September 30, 2009
12667G5U3.....	929,616	926,914	2,702	926,914	639,191	December 31, 2009
Total.....			<u>\$ 21,791</u>			
12667G5U3.....	769,588	754,075	15,513	754,075	631,993	March 31, 2011
07387ABW4.....	976,705	382,463	594,242	382,463	185,232	June 30, 2011
12667G5U3.....	723,703	721,613	2,090	721,613	587,320	June 30, 2011
07387ABW4.....	373,596	243,554	130,042	243,554	154,347	September 30, 2011
12667G5U3.....	673,557	671,388	2,169	671,388	527,806	September 30, 2011
362290AC2.....	4,576,056	4,524,257	51,799	4,524,257	2,836,962	December 31, 2011
Total.....			<u>\$ 795,855</u>			
362290AC2.....	4,439,405	4,422,172	17,233	4,422,172	3,108,736	March 31, 2012
07387ABW4.....	212,358	117,391	94,967	117,391	81,727	June 30, 2012
362290AC2.....	4,298,155	4,202,803	95,352	4,202,803	3,032,323	June 30, 2012
41161PRQ8.....	430,945	420,730	10,215	420,730	265,331	June 30, 2012
41161PRQ8.....	413,884	393,829	20,055	393,829	275,256	September 30, 2012
12667G5U3.....	579,252	574,970	4,282	574,970	480,559	September 30, 2012
362290AC2.....	4,056,819	4,005,336	51,483	4,005,336	3,211,371	September 30, 2012
07387ABW4.....	107,472	87,936	19,536	87,936	72,478	September 30, 2012
05948KR35.....	3,337,329	3,311,666	25,663	3,311,666	3,174,292	December 31, 2012
12667GR62.....	180,377	180,127	250	180,127	120,860	December 31, 2012
362290AC2.....	3,884,687	3,845,316	39,371	3,845,316	3,243,398	December 31, 2012
41161PRQ8.....	381,770	372,527	9,243	372,527	281,688	December 31, 2012
12667G5U3.....	556,470	531,604	24,866	531,604	472,443	December 31, 2012
07387ABW4.....	77,140	52,769	24,371	52,769	41,244	December 31, 2012
Total.....			<u>\$ 436,887</u>			

- (4) The following table provides information about investments in LBaSS for which the carrying value (amortized cost) exceeds fair value and the length of time that individual securities have continuously had amortized cost in excess of fair value, as of December 31, 2012:

a. The aggregate amount of unrealized losses:	
1. Less than 12 Months.....	\$ (101,738)
2. 12 Months or Longer.....	\$ (1,653,986)
b. The aggregate related fair value of securities with unrealized losses:	
1. Less than 12 Months.....	\$ 11,976,513
2. 12 Months or Longer.....	\$ 29,753,328

For all LBaSS for which carrying value exceeds fair value, RiverSource Life of NY has the intent and ability to retain the investment in the security for a period of time sufficient to recover the carrying value.

E. Repurchase Agreements and/or Securities Lending Transactions  
None.

F. Real Estate  
None.

G. Low Income Housing Tax Credits  
None.

#### 6. Joint Ventures, Partnerships and Limited Liability Companies

A. The Company has no investments in joint ventures, partnerships or limited liability companies that exceed 10% of its admitted assets.

B. None.

#### 7. Investment Income

A. The Company stops accruing interest when it determines a bond is in default. All investment income due and accrued that is over 90 days past due is nonadmitted.

B. There was no due and accrued interest excluded from surplus (nonadmitted) in 2012.

#### 8. Derivative Instruments

A. Economic hedging of Variable Annuity Living Benefits:

Under interest rate swap agreements, the Company contracts with other parties to receive or pay fixed rate interest amounts and pay or receive variable rate interest amounts as calculated on an agreed upon notional amount at specified determination dates.

**NOTES TO FINANCIAL STATEMENTS**

Exchange traded index futures are used to protect the Company in the event of changes in the market indices which impact the liabilities being economically hedged. There is no cash exchanged at the origination of the contract, but cash settles daily over the life of the contract to record the changes to the underlying index.

Put and call index options are used to protect the Company in the event of changes in the market indices which impact the liabilities being economically hedged. The premium associated with certain of these options is paid semi-annually over the life of the option contract, while the rest of the option premiums are paid up front. These contracts are European options. The Company receives a payment at expiration on a purchased call (put) if the value of the underlying index is above (below) the strike price of the option. The Company makes a payment at expiration on a written call (put) if the value of the underlying index is above (below) the strike price of the option.

Economic hedging of Indexed Universal Life:

In 2012, the Company began offering indexed universal life (“IUL”) insurance. IUL is similar to universal life insurance (“UL”) in that it provides life insurance coverage and cash value that increases as a result of credited interest. Also, like UL, there is a minimum guaranteed credited rate of interest. Unlike UL, the rate of credited interest above the minimum guarantee for funds allocated to the indexed account is linked to the S&P 500 Index (subject to a cap). The policyholder may allocate all or a portion of the policy value to a fixed or indexed account. The equity-linked return to investors creates equity price risk as the amount credited depends on changes in equity prices. Most of the proceeds received from IUL insurance are invested in fixed income securities. To hedge the equity exposure, a portion of the investment earnings received from the fixed income securities is used to purchase call spreads which generate returns to replicate what the Company must credit to client accounts.

Credit Risk

The Company is exposed to credit risk in the event of nonperformance by counterparties. The Company monitors credit exposure through established approval procedures. Credit risk exposure is represented by a positive fair value (market value) of contracts at the reporting date. Refer to Schedule DB for additional details.

- B. The Company enters into derivative financial instruments to manage exposure to interest rate risk and equity market risk. To manage these exposures, and any related mismatches between assets and liabilities, the Company invests in interest rate swaps, index options and financial futures contracts. Option transactions include puts and calls. The futures transactions are long and short positions resulting from purchases and sales of the futures contracts. The Company holds no derivative instruments for trading purposes.
- C. Options, swaptions and swaps that are not part of a hedging transaction are marked to fair value with changes reflected in unrealized gains (losses). Interest earned or paid on swaps is recognized currently in net investment income.

Futures contracts are stated at the value of any cash deposits that have been made. Futures contracts are marked-to-market daily with changes in market value being paid or received and recorded in unrealized gains (losses). When the futures contracts are closed, the change in value is realized in earnings.

- D. The Company’s derivative contracts are not part of a designated hedge and therefore have not been monitored for hedge effectiveness. Fair value accounting is used. The following amounts are included in change of unrealized capital gains (losses) for 2012:

Swaps .....	\$ 4,363,103
Futures .....	709,906
Options .....	(45,534,187)
Total .....	<u>\$ (40,461,178)</u>

- E. Not applicable.
- F. Not applicable.

**9. Income Taxes**

- A. The net deferred tax asset (liability) and the change from the prior year are comprised of the following:

	December 31, 2012			December 31, 2011			Change		
	(1) Ordinary	(2) Capital	(3) Total	(4) Ordinary	(5) Capital	(6) Total	(7) Ordinary	(8) Capital	(9) Total
(a) Gross deferred tax assets.....	\$ 53,621,014	\$175,000	\$53,796,014	\$50,673,143	\$1,090,411	\$51,763,554	\$ 2,947,871	\$ (915,411)	\$ 2,032,460
(b) Statutory valuation allowance adjustments.....	—	—	—	—	—	—	—	—	—
(c) Adjusted gross deferred tax assets (1a.-1b.).....	53,621,014	175,000	53,796,014	50,673,143	1,090,411	51,763,554	2,947,871	(915,411)	2,032,460
(d) Deferred tax assets nonadmitted.....	15,964,003	175,000	16,139,003	26,490,336	1,090,411	27,580,747	(10,526,333)	(915,411)	(11,441,744)
(e) Subtotal net admitted deferred tax asset (1c.-1d.).....	37,657,011	—	37,657,011	24,182,807	—	24,182,807	13,474,204	—	13,474,204
(f) Deferred tax liabilities .....	9,479,438	—	9,479,438	5,724,879	—	5,724,879	3,754,559	—	3,754,559
(g) Net admitted deferred tax asset/(net deferred tax liabilities) (1e.-1f.).....	<u>\$ 28,177,573</u>	<u>\$ —</u>	<u>\$28,177,573</u>	<u>\$18,457,928</u>	<u>\$ —</u>	<u>\$18,457,928</u>	<u>\$ 9,719,645</u>	<u>\$ —</u>	<u>\$ 9,719,645</u>

2. Admission Calculation Components SSAP No. 101

	December 31, 2012			December 31, 2011			Change		
	(1) Ordinary	(2) Capital	(3) Total	(4) Ordinary	(5) Capital	(6) Total	(7) Ordinary	(8) Capital	(9) Total
(a) Federal income taxes paid in prior years recoverable through loss carrybacks .....	\$28,177,573	\$ —	\$28,177,573	\$18,457,928	\$ —	\$18,457,928	\$ 9,719,645	\$ —	\$ 9,719,645
(b) Adjusted gross deferred tax assets expected to be realized (excluding the amount of deferred tax assets from 2(a) above) after application of the threshold limitation. (the lesser of 2(b)1 and 2(b)2 below).....	—	—	—	—	—	—	—	—	—
1. Adjusted gross deferred tax assets expected to be realized following the balance sheet date.....	—	—	—	—	—	—	—	—	—
2. Adjusted gross deferred tax assets allowed per limitation threshold .....	—	—	10,044,639	—	—	41,751,501	—	—	(31,706,862)
(c) Adjusted gross deferred tax assets (excluding the amount of deferred tax assets from 2(a) and 2(b) above) offset by gross deferred tax liabilities .....	9,479,438	—	9,479,438	5,724,879	—	5,724,879	3,754,559	—	3,754,559
(d) Deferred tax assets admitted as the result of application of SSAP No. 101. Total (2(a) + 2(b) + 2(c)).....	<u>\$37,657,011</u>	<u>\$ —</u>	<u>\$37,657,011</u>	<u>\$24,182,807</u>	<u>\$ —</u>	<u>\$24,182,807</u>	<u>\$13,474,204</u>	<u>\$ —</u>	<u>\$ 13,474,204</u>

	2012	2011
(a) Ratio percentage used to determine recovery period and threshold limitation amount.....	15%	15%
(b) Amount of adjusted capital and surplus used to determine recovery period and threshold limitation in 2(b)2 above.....	<u>\$224,600,054</u>	<u>\$278,343,342</u>

**NOTES TO FINANCIAL STATEMENTS**

4. (a)-(b) Tax planning strategies did not impact the adjusted gross deferred tax assets or the net admitted adjusted gross deferred tax assets.

(c) Do the Company's tax-planning strategies include the use of reinsurance? Yes \_\_\_\_\_ No X

B. Unrecognized deferred tax liabilities

There are no temporary differences for which deferred tax liabilities are not recognized.

C. 1. Current income taxes incurred consist of the following major components:

	<u>December 31, 2012</u>	<u>December 31, 2011</u>	<u>Change</u>
Federal .....	\$ 20,782,721	\$ 15,726,138	\$ 5,056,583
Foreign .....	—	—	—
Subtotal .....	20,782,721	15,726,138	5,056,583
Federal income tax on net capital gains .....	(5,437,025)	(761,197)	(4,675,828)
Utilization of capital loss carry-forwards .....	—	—	—
Other .....	(3,365,506)	1,757,204	(5,122,710)
Federal and foreign income taxes incurred .....	<u>\$ 11,980,190</u>	<u>\$ 16,722,145</u>	<u>\$ (4,741,955)</u>

**Detail for Other in Current Income Taxes**

Prior year (over)/under accrual of tax provision .....	\$ (1,661,338)	\$ 701,421	\$ (2,362,759)
Tax contingency reserve .....	(1,021,896)	1,838,570	(2,860,466)
Audit settlement .....	(682,272)	(782,787)	100,515
Subtotal .....	<u>\$ (3,365,506)</u>	<u>\$ 1,757,204</u>	<u>\$ (5,122,710)</u>

2. Deferred tax assets:

(a) Ordinary:

Policyholder reserves .....	\$ 19,733,716	\$ 30,918,691	\$ (11,184,975)
Investments .....	17,266,277	1,466,469	15,799,808
Deferred acquisition costs .....	16,575,062	17,067,742	(492,680)
Other .....	45,959	1,220,241	(1,174,282)
Subtotal .....	53,621,014	50,673,143	2,947,871
(b) Statutory valuation allowance adjustment .....	—	—	—
(c) Nonadmitted .....	15,964,003	26,490,336	(10,526,333)
(d) Admitted ordinary deferred tax assets .....	<u>\$ 37,657,011</u>	<u>\$ 24,182,807</u>	<u>\$ 13,474,204</u>

(e) Capital:

Investments .....	\$ 175,000	\$ 1,090,411	\$ (915,411)
Subtotal .....	175,000	1,090,411	(915,411)
(f) Statutory valuation allowance adjustment .....	—	—	—
(g) Nonadmitted .....	175,000	1,090,411	(915,411)
(h) Admitted capital deferred tax assets .....	<u>\$ —</u>	<u>\$ —</u>	<u>\$ —</u>
(i) Admitted deferred tax assets (2d + 2h) .....	<u>\$ 37,657,011</u>	<u>\$ 24,182,807</u>	<u>\$ 13,474,204</u>

3. Deferred tax liabilities:

(a) Ordinary:

Investments .....	\$ 8,808,556	\$ 4,980,575	\$ 3,827,981
Other .....	670,882	744,304	(73,422)
Subtotal .....	\$ 9,479,438	\$ 5,724,879	\$ 3,754,559

(b) Capital:

Subtotal .....	\$ —	\$ —	\$ —
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(c) Deferred tax liabilities .....	<u>\$ 9,479,438</u>	<u>\$ 5,724,879</u>	<u>\$ 3,754,559</u>
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4. Deferred tax assets/liabilities (2i - 3c):

	<u>\$ 28,177,573</u>	<u>\$ 18,457,928</u>	<u>\$ 9,719,645</u>
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D. The Company's income tax incurred and change in deferred income tax differs from the amount obtained by applying the federal statutory rate of 35% to income before income taxes as follows:

	<u>Amount</u>	<u>Tax Effect at 35%</u>	<u>Effective Tax Rate</u>
Net gain from operations before federal income taxes .....	\$ 110,593,887		
Realized capital gains (losses) .....	(15,534,358)		
Income before taxes .....	<u>\$ 95,059,529</u>	\$ 33,270,835	35.0%
Permanent differences:			
IRS audit settlement .....		1,492,758	1.6
Tax contingency reserve .....		(1,021,896)	(1.0)
IMR amortization .....	(2,603,533)	(911,237)	(1.0)
Dividends received deduction .....	(12,600,000)	(4,410,000)	(4.6)
Other .....	(32,895)	(704,462)	(0.8)
Total .....		<u>\$ 27,715,998</u>	<u>29.2%</u>
Federal and foreign income taxes incurred .....		11,980,192	12.6%
Change in net deferred income taxes .....		15,735,806	16.6
Total statutory income tax .....		<u>\$ 27,715,998</u>	<u>29.2%</u>

E. Loss carryforward

(1) As of December 31, 2012, the Company has no capital loss carryforward.

(2) The amount of federal income taxes incurred that are available for recoupment in the event of future net losses are:

	<u>Ordinary</u>	<u>Capital</u>	<u>Total</u>
2012	\$ 16,937,558	\$ —	\$ 16,937,558
2011	\$ 13,500,354	\$ —	\$ 13,500,354
2010	\$ 15,389,884	\$ —	\$ 15,389,884

(3) There are no aggregate amount of deposits admitted under Section 6603 of the Internal Revenue Code.

## NOTES TO FINANCIAL STATEMENTS

F. Consolidated federal income tax return

- (1) The Company's taxable income is included in the consolidated federal income tax return of Ameriprise Financial, Inc.
- (2) The method of allocation among the companies within the consolidated group is subject to written agreement and is approved by the Company's Board of Directors. The allocation is based upon separate return calculations with current credit given for net losses to the extent they are utilized by the consolidated group. Inter-company tax balances are settled quarterly in accordance with the terms of the written agreement.

**10. Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties**

- A. RiverSource Life of NY is a wholly owned subsidiary of RiverSource Life Insurance Company ("RiverSource Life"). RiverSource Life is a wholly owned subsidiary of Ameriprise Financial, Inc. ("Ameriprise Financial").
- B. In June 2012 and October 2012, the Company paid cash dividends of \$30.0 million and \$20.0 million, respectively to RiverSource Life Insurance Company. On June 20, 2011 and July 11, 2011, the Company paid cash dividends of \$28.6 million and \$50.0 million, respectively, to RiverSource Life Insurance Company. In the first quarter of 2011, the Company contributed a \$1.4 million mortgage loan and \$0.1 million cash to its subsidiary, RiverSource NY REO, LLC. On September 8, 2011, the Company received cash dividends of \$1.3 million from RiverSource NY REO, LLC.
- C. Not applicable.
- D. The amounts for receivables from/payables to affiliates are separately presented on the balance sheet. These amounts will be settled in the ordinary course of business.
- E. The Company has not made any guarantees or undertakings for the benefit of any affiliates or related parties which result in a material contingent exposure of the Company's assets or liabilities.
- F. RiverSource Life of NY currently had in place the following management, service or cost sharing agreements with related parties during 2012:
  - (1) "Marketing Support Services Agreement", effective January 1, 2007, between Ameriprise Financial Services, Inc. ("AFSI") and RiverSource Life of NY, pursuant to which RiverSource Life of NY compensates AFSI for its proportionate share of expenses relating to maintaining a field organization including: establishing and maintaining a network of area sales offices; staffing of area offices; local marketing expenses; marketing and sales management; retail distribution services; incentive management programs; expenses related to pre-client ready advisors; field compensation and administration services; marketing programs; advice information services; maintaining a financial services center; associated legal expenses; and other expenses necessary to support marketing sales and services.
  - (2) "Participation Agreement", effective January 1, 2007, by and among RiverSource Life, RiverSource Life of NY, and RiverSource Distributors, Inc. ("RDI"), under which RDI, in its role as principal underwriter for RiverSource Variable Portfolio Funds, allows for purchase and redemption of shares of certain RiverSource Variable Portfolio Funds on behalf of variable separate accounts sponsored by RiverSource Life and RiverSource Life of NY. An assignment was made effective April 30, 2009, whereby RDI assigned its responsibilities under the agreement to RiverSource Fund Distributors Inc. (n/k/a Columbia Management Investment Distributors, Inc. or "CMID")
  - (3) "Principal Underwriter Agreement for Variable Annuities and Variable Life Insurance", effective January 1, 2007, between RDI and RiverSource Life of NY, pursuant to which RiverSource Life of NY appoints RDI to serve as principal underwriter for distribution and sale of RiverSource Life of NY variable annuities and variable life insurance products; authorizes RDI to enter into selling agreements with retail broker dealer firms and provide wholesaling support services to such firms.
  - (4) "Selling Agreement", effective January 1, 2007, by and among AFSI, RDI and RiverSource Life of NY, pursuant to which RiverSource Life of NY and RDI appoint and authorize AFSI to sell insurance policies and annuity contracts issued by RiverSource Life of NY including both fixed and variable products.
  - (5) "Wholesaling Service Agreement", effective January 1, 2007, between RDI and RiverSource Life of NY, pursuant to which RDI provides specified wholesaling services in connection with distribution of RiverSource Life of NY non-variable products including: providing insurance distributors with product information; developing and disseminating approved sales materials and strategies; sponsoring informational events; answering technical questions; and providing other promotional and distribution related services.
  - (6) "Federal Income Tax Sharing Agreement", effective December 1, 2010, between or among Ameriprise Financial and certain subsidiaries under which the parties file consolidated federal income tax returns on behalf of the members of the consolidated group and provision is made for fair allocation of tax charges and credits among the consolidated group members.
  - (7) "Investment Management and Services Agreement", effective July 1, 2008, between RiverSource Investments, LLC (n/k/a Columbia Management Investment Advisers, LLC or "CMIA") and RiverSource Life of NY, under which RiverSource Investments, LLC provides investment management and asset-liability management services for assets held in the investment accounts of RiverSource Life of NY.
  - (8) "Agreement a/k/a Supplementary Agreement Number 2", effective July 1, 2008, by and among RiverSource Life of NY, Ameriprise India Private Limited and Ameriprise Financial, under which Ameriprise India Private Limited provides accounting, data-entry, data management, and information analysis activities for RiverSource Life of NY in accordance with an umbrella export agreement between Ameriprise Financial and Ameriprise India Private Limited.
  - (9) "Amended and Restated Management, Service & Marketing Support Agreement", effective September 1, 2010, by and between RiverSource Life of NY, CMIA and Columbia Management Investment Services Corp. ("CMISC", formerly known as RiverSource Service Corporation) under which RiverSource Life of NY provides certain shareholder services for CMIA and CMISC in connection with the servicing of contract owners and policyholders who own funds through the variable contracts issued by RiverSource Life of NY and also provides certain services for CMIA to help promote and support the offer, sale and servicing of fund shares offered through the variable contracts.
  - (10) "Intercompany Service Agreement", effective September 1, 2008, by and between Ameriprise Financial and RiverSource Life of NY, whereby Ameriprise Financial provides services and incurs expenses on behalf of RiverSource Life of NY, including accounting, controllership, finance, financial reporting, treasury, corporate communications, actuarial, corporate and brand marketing, corporate tax, customer relations, executive management, human resources, information systems, internal audit, legal counsel, strategic planning and other support services; also includes paying and charging back for communications equipment and services, office equipment, employee benefits and other directly allocable expenses.
  - (11) "Intercompany Service Agreement", effective September 1, 2008, by and between RiverSource Life and RiverSource Life of NY under which RiverSource Life provides services for RiverSource Life of NY, including, but not limited to, agent compensation processing, business analysis, business transformation and project management, insurance and annuity executive management, insurance and annuity product marketing, development and management, insurance and annuity marketing communications, outside distribution electronic data interchange and policyholder accounting. The parties may also perform the following services as necessary: process new business, policy issuance, provide policyholder services, process and adjudicate claims, perform underwriting, comply with replacement laws and maintain client accounting.
  - (12) "RiverSource Variable Portfolio Funds Service Agreement", effective October 1, 2008, by and between RiverSource Life of NY and RDI whereby RiverSource Life of NY, pursuant to which RiverSource Life provides distribution and shareholder services to assist in the promotion, distribution, and account servicing of RiverSource Variable Portfolio Funds shares for the benefit of RiverSource Life of NY clients who own or are considering purchasing RiverSource Life of NY variable contracts. An Assignment was made effective April 30, 2009 whereby RDI assigned its responsibilities under the agreement to RiverSource Fund Distributors Inc. (n/k/a CMID).

## NOTES TO FINANCIAL STATEMENTS

- (13) "State Income Tax Sharing Agreement", effective January 1, 2010, between or among Ameriprise Financial and certain subsidiaries under which the parties file combined, consolidated, or unitary state income tax returns on behalf of the members of the consolidated group and provision is made for fair allocation for tax charges and credits among the consolidated group members.
- (14) "Loan Services Agreement", effective September 1, 2008, by and among Ameriprise Financial Inc. and RiverSource Life of NY whereby Ameriprise Financial Inc. provides mortgage loan services to RiverSource Life of NY.
- (15) "Fund Accounting Service Agreement", effective April 1, 2011, between CMIA and RiverSource Life of NY, whereby CMIA agrees to perform certain fund accounting services such as, processing contract holder activity on investment accounting systems, sending fund level trades to the transfer agents, calculating accumulation and annuity unit values, completing periodic true-ups for breakage between fund net assets and subaccount reserves and reconciling accumulation units, various general ledger accounts, and shares to the transfer agents.
- (16) "Fund Participation Agreement", effective May 1, 2006, among American Centurion Life Assurance Company\*, IDS Life Insurance Company of New York\*, Columbia Funds Variable Insurance Trust ("Fund"), Columbia Management Advisors, LLC ("CMA", n/k/a CMIA) and Columbia Management Distributors, Inc. ("CMDI", n/k/a CMID) whereby CMDI, in its role as distributor, allows for the purchase and redemption of shares of the Fund on behalf of variable separate accounts sponsored by American Centurion Life Assurance Company and IDS Life Insurance Company of New York.
- (17) "Fund Participation Agreement", effective May 1, 2006, among American Centurion Life Assurance Company, IDS Life Insurance Company of New York, Columbia Funds Variable Insurance Trust I ("Fund"), CMA (n/k/a CMIA) and CMDI (n/k/a CMID) whereby CMDI, in its role as distributor, allows for the purchase and redemption of shares of the Fund on behalf of variable separate accounts sponsored by American Centurion Life Assurance Company and IDS Life Insurance Company of New York.
- (18) "Fund Participation Agreement", effective April 2, 2007, among RiverSource Life of NY, Wanger Advisors Trust ("Fund"), Columbia Wanger Asset Management, L.P. (n/k/a Columbia Wanger Asset Management, LLC or "CWAM") and CMDI (n/k/a CMID), whereby CMDI, in its role as distributor, allows for the purchase and redemption of shares of the Fund on behalf of variable separate accounts sponsored by RiverSource Life of NY.
- (19) "Shareholder Services Agreement", effective October 1, 2011, by and among CMID, RDI, and RiverSource Life of NY whereby RiverSource Life of NY provides distribution and shareholder services to assist in the promotion, distribution and account servicing of the fund shares for the benefit of RiverSource Life of NY clients who own or are considering the purchase of variable contracts.
- (20) "Administrative Services Agreement", effective October 1, 2011, by and among CMIA, investment advisor to the Columbia Funds Variable Insurance Trust and Columbia Funds Variable Insurance Trust I (collectively "Funds"), and RiverSource Life of NY whereby RiverSource Life of NY provides certain administrative services for the Funds in connection with the variable contracts.
- (21) "Administrative Services Agreement", effective October 1, 2011, by and among CWAM and RiverSource Life of NY whereby RiverSource Life of NY provides certain administrative services for the Fund in connection with the variable contracts.
- (22) "Rule 22c-2 Agreement", effective April 16, 2007, by and between RiverSource Life, RiverSource Life of NY and Columbia Management Services, Inc. (n/k/a CMISC), transfer agent for Columbia Funds Variable Insurance Trust and Columbia Funds Variable Insurance Trust I (collectively "Funds"), whereby RiverSource Life and RiverSource Life of NY agree to provide the Funds certain shareholder information upon written request and agree to restrict or prohibit purchases or exchanges of shares by a shareholder that has been identified by the Funds as having engaged in transactions of the Funds' shares that violate policies established by the Funds for the purpose of eliminating or reducing any dilution of the value of the outstanding shares issued by the Funds.

\* American Centurion Life Assurance Company was merged with and into IDS Life Insurance Company of New York, and the surviving entity of the merger was renamed RiverSource Life Insurance Co. of New York effective upon consummation of the merger as of December 31, 2006.

- G. All outstanding shares of the Company are owned by RiverSource Life Insurance Company. Refer to the organization chart in Schedule Y for disclosure of the other entities within the holding company group.
- H. The Company owns no shares of an upstream parent.
- I. None of the Company's investments in subsidiary, controlled or affiliated entities exceed 10% of the Company's admitted assets.
- J. The Company does not have any impaired investments in subsidiaries, controlled or affiliated entities.
- K. Investments in foreign insurance subsidiaries: Not applicable.
- L. Look-through approach for valuation of downstream noninsurance holding companies: Not applicable.

### 11. Debt

None.

### 12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

- A. Defined Benefit Plans  
Not applicable.
- B. Defined Contribution Plans  
The Company participates in the defined contribution pension plans of Ameriprise Financial which cover all employees who have met certain employment requirements. The Company contributions to the plans are a percent of either each employee's eligible compensation or basic contributions. Costs of these plans charged to operations were \$200,255 and \$146,328 in 2012 and 2011, respectively.
- C. Multiemployer Plans  
Not applicable.
- D. Consolidated/Holding Company Plans  
The Company participates in the Ameriprise Financial Retirement Plan which covers all permanent employees age 21 and over who have met certain employment requirements. Employer contributions to the plan are based on participants' age, years of service and total compensation for the year. Funding of retirement costs for this plan complies with the applicable minimum funding requirements specified by the Employee Retirement Income Security Act of 1974, as amended. The Company's share of the total net periodic pension cost was \$150,238 and \$137,512 in 2012 and 2011, respectively.

The Company also participates in the Ameriprise Financial 2005 Incentive Compensation Plan. Employees, directors and independent contractors are eligible to receive incentive awards including stock options, restricted stock awards, restricted stock units, performance shares and similar awards designed to comply with the applicable federal regulations and laws of jurisdiction. The Company's expense for incentive awards was \$79,169 and \$91,247 in 2012 and 2011, respectively.

The Company participates in the defined benefit health care plans of Ameriprise Financial that provide health care and life insurance benefits to retired employees and retired financial advisors. The plans include participant contributions and service related eligibility requirements. Upon retirement, eligible employees are considered to have been employees of Ameriprise Financial. Ameriprise Financial expenses these benefits and allocates the expenses to its subsidiaries. The Company's allocated share of these plans charged to operations was \$(14,537) and \$(6,375) in 2012 and 2011, respectively.

- E. Postemployment Benefits and Compensated Absences  
Not applicable.
- F. Impact of Medicare Modernization Act on Postretirement Benefits (INT 04-17)  
Not applicable.

**NOTES TO FINANCIAL STATEMENTS**

**13. Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations**

- (1) The Company has 200,000 shares of common stock authorized, issued and outstanding at year-end. All shares have a \$10 par value.
- (2) Preferred stock: None.
- (3) The laws of the State of New York contain restrictions regarding the amount of dividends which may be made by insurers domiciled in New York. These restrictions relate to the amount of earned surplus and gains from operations of the Company and in certain circumstances require notification to the New York State Department of Financial Services, subject to potential disapproval.
- (4) On June 21, 2012 and October 25, 2012, the Company paid cash dividends of \$30.0 million and \$20.0 million, respectively to RiverSource Life Insurance Company. On June 20, 2011 and July 11, 2011, RiverSource Life of NY paid cash dividends of \$28.6 million and \$50.0 million, respectively, to RiverSource Life. The June 21, 2012, October 25, 2012 and July 11, 2011 dividends were considered extraordinary.
- (5) Within the limitations of item (3) above, there are no restrictions on the portion of the Company's profits that may be paid as dividends.
- (6) There are no restrictions on the Company's unassigned funds (surplus) other than the limitations described in item (3) above.
- (7) Mutual company advances to surplus – Not applicable.
- (8) The Company does not hold any of its own stock or that of its affiliated companies for special purposes.
- (9) Special Surplus Funds – None.
- (10) The portion of unassigned funds (surplus) represented by cumulative unrealized capital gains (losses) is \$(26.9) million unrealized loss.
- (11) Surplus Notes – Not applicable.
- (12)-(13) Quasi-reorganization's - Not applicable.

**14. Contingencies**

A. Contingent Commitments  
None

B. Assessments

- (1) The Company is required by law to be a member of the State of New York's guaranty fund association. In the event of insolvency of one or more unaffiliated insurance companies, the Company could be adversely affected by the requirement to pay assessments to the guaranty fund association. Uncertainty and volatility in the U.S. economy and financial markets in recent years have weakened the financial condition of numerous insurers, including insurers currently in receiverships, increasing the risk of triggering guaranty fund assessments.

The Company projects its cost of future guaranty fund assessments based on estimates of insurance company insolvencies provided by the National Organization of Life and Health Insurance Guaranty Associations ("NOLHGA") and the amount of its premiums written relative to the industry-wide premium in each state. The Company accrues the estimated cost of future guaranty fund assessments when it is considered probable that an assessment will be imposed, the event obligating the Company to pay the assessment has occurred and the amount of the assessment can be reasonably estimated.

Executive Life Insurance Company of New York ("ELNY") was placed into rehabilitation by a New York state court in 1991. On April 16, 2012, the court issued an order converting the rehabilitation into a liquidation proceeding under a plan submitted by the New York insurance regulator with support from NOLHGA and the industry.

During the second quarter of 2012, the Company established a liability for estimated guaranty fund assessments and a related premium tax asset associated with ELNY. At December 31, 2012, the estimated liability was \$5.0 million and the related premium tax asset was \$3.2 million. The expected period over which the assessments will be made and the related tax credits recovered is not known. At December 31, 2011, the net liability was not considered material.

- (2) Rollforward of Premium Tax Asset

a. Assets recognized from paid and accrued premium tax offsets and policy surcharges prior year-end.....	\$	—
b. Decreases current year.....		—
c. Increases current year:		—
Premium tax credits for future assessments.....		3,173,877
d. Assets recognized from paid and accrued premium tax offsets and policy surcharges current year .....	\$	<u>3,173,877</u>

C. Gain Contingencies  
None.

D. Claims Related Extra Contractual Obligation and Bad Faith Losses Stemming from Lawsuits.  
None.

E. All Other Contingencies  
At December 31, 2012, the Company had no material commitments to purchase investments other than mortgage loan fundings.

The Company's annuity and life products all have minimum interest rate guarantees in their fixed accounts. As of December 31, 2012, these guarantees range up to 5.0%.

Insurance companies have been the subject of increasing regulatory, legislative and judicial scrutiny. Numerous state and federal regulatory agencies have commenced examinations and other inquiries of insurance companies regarding sales and marketing practices (including sales to older consumers and disclosure practices), claims handling, and unclaimed property and escheatment practices and procedures. With regard to an industry-wide investigation of unclaimed property and escheatment practices and procedures, the Company is responding to regulatory audits, market conduct examinations and other inquiries (including inquiries from the State of New York). The Company has cooperated and will continue to cooperate with the applicable regulators regarding their inquiries.

The Company is involved in the normal course of business in a number of other legal and arbitration proceedings concerning matters arising in connection with the conduct of its business activities. The Company believes that it is not a party to, nor are any of its properties the subject of, any pending legal, arbitration or regulatory investigation or proceeding that is likely to have a material adverse effect on its financial condition, results of operations or liquidity. Notwithstanding the foregoing, it is possible that the outcome of any current or future legal, arbitration or regulatory proceeding could have a material impact on results of operations in any particular reporting period as the proceedings are resolved.

Uncertain economic conditions, heightened and sustained volatility in the financial markets and significant financial reform legislation may increase the likelihood that clients and other persons or regulators may present or threaten legal claims or that regulators increase the scope or frequency of examinations of the Company or the insurance industry generally.

**15. Leases**

Not applicable.

## NOTES TO FINANCIAL STATEMENTS

**16. Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk**

(1) The table below summarizes the notional amounts of the Company's derivatives as of December 31, 2012 and 2011.

	Assets		Liabilities	
	2012	2011	2012	2011
a. Swaps.....	\$ 389,000,000	\$ 374,000,000	\$ 166,500,000	\$ 125,000,000
b. Futures.....	10,838,248	—	128,075,860	95,301,101
c. Options.....	699,867,028	957,852,767	631,350,720	283,221,777
d. Total.....	<u>\$ 1,099,705,276</u>	<u>\$ 1,331,852,767</u>	<u>\$ 925,926,580</u>	<u>\$ 503,522,878</u>

Refer to Schedule DB of the Company's annual statement for additional detail.

- (2) Under exchange-traded futures and options, the Company agrees to purchase or sell a specified number of contracts with other parties and for the futures to post variation margin on a daily basis in an amount equal to the difference in the daily market values of those contracts. The parties with whom the Company enters into exchange-traded futures and options are regulated futures commissions merchants who are members of a trading exchange.
- (3) The Company is exposed to credit-related losses in the event of nonperformance by counterparties. The Company monitors credit exposure through established approval procedures. Credit risk exposure is represented by a positive fair value (market value) of contracts at the reporting date. Because exchanged-traded futures and options are affected through a regulated exchange and the futures positions are marked to market on a daily basis, the Company has little exposure to credit-related losses in the event of nonperformance by counterparties to such financial instruments.
- (4) The Company is required to furnish collateral for certain derivative contracts. The amount of collateral that is required is determined by the Company and the counterparty and is governed by a negotiated credit support agreement.

The current credit exposure of the Company's derivative contracts is limited to the fair value at the reporting date. Credit risk is managed by entering into transactions with creditworthy counterparties and by obtaining collateral where appropriate and customary. The Company also attempts to minimize its exposure to credit risk using various credit monitoring techniques; 100% of the net credit exposure for the Company from derivative contracts is with investment-grade counterparties.

**17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities**

- A. Transfers of Receivables Reported as Sales  
Not applicable.
- B. Transfer and Servicing of Financial Assets  
Not applicable.
- C. Wash Sales  
None.

**18. Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans**

Not applicable.

**19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators**

Not applicable.

**20. Fair Value Measurements**

A. (1) Fair Value Measurements at December 31, 2012

Description for each class of asset or liability	(Level 1)	(Level 2)	(Level 3)	Total
a. Assets at fair value				
Derivative assets:				
Interest rate derivative contracts .....	\$ —	\$ 38,757,049	\$ —	\$ 38,757,049
Equity derivative contracts .....	7,645,354	16,406,384	—	24,051,738
Total derivative assets.....	7,645,354	55,163,433	—	62,808,787
Separate account assets.....	—	3,787,175,379	—	3,787,175,379
Total assets at fair value .....	<u>\$ 7,645,354</u>	<u>\$ 3,842,338,812</u>	<u>\$ —</u>	<u>\$ 3,849,984,166</u>
b. Liabilities at fair value				
Derivative liabilities:				
Interest rate derivative contracts .....	\$ —	\$ 3,022,853	\$ —	\$ 3,022,853
Equity derivative contracts .....	—	18,810,357	—	18,810,357
Total derivative liabilities .....	—	21,833,210	—	21,833,210
Total liabilities at fair value .....	<u>\$ —</u>	<u>\$ 21,833,210</u>	<u>\$ —</u>	<u>\$ 21,833,210</u>

Fair Value Measurements at December 31, 2011

Description for each class of asset or liability	(Level 1)	(Level 2)	(Level 3)	Total
a. Assets at fair value				
Bonds:				
Industrial and miscellaneous.....	\$ —	\$ 364,548	\$ 112,034	\$ 476,582
Derivative assets:				
Interest rate derivative contracts .....	—	32,603,510	—	32,603,510
Equity derivative contracts .....	2,208,000	51,005,986	—	53,213,986
Total derivative assets.....	2,208,000	83,609,496	—	85,817,496
Separate account assets.....	—	3,413,678,929	—	3,413,678,929
Total assets at fair value .....	<u>\$ 2,208,000</u>	<u>\$ 3,497,652,973</u>	<u>\$ 112,034</u>	<u>\$ 3,499,973,007</u>
b. Liabilities at fair value				
Derivative liabilities:				
Interest rate derivative contracts .....	\$ —	\$ 1,432,977	\$ —	\$ 1,432,977
Equity derivative contracts .....	—	8,877,656	—	8,877,656
Total derivative liabilities .....	—	10,310,633	—	10,310,633
Total liabilities at fair value .....	<u>\$ —</u>	<u>\$ 10,310,633</u>	<u>\$ —</u>	<u>\$ 10,310,633</u>

There were no transfers between Level 1 and Level 2 during the years ended December 31, 2012 and 2011.

**NOTES TO FINANCIAL STATEMENTS**

(2) The following table provides a summary of changes in Level 3 assets and liabilities measured at fair value:

	Beginning balance at Jan. 1, 2012	Transfers into Level 3	Transfers out of Level 3	Total gains and (losses) included in Net Income	Total gains and (losses) included in Surplus	Purchases	Issuances	Sales	Settlements	Ending balance at Dec. 31, 2012
a. Assets										
Bonds .....	\$112,034	\$ —	\$(81,727) <sup>(1)</sup>	\$(105,270)	\$102,406	\$ —	\$ —	\$ —	\$(27,443)	\$ —

(1) Represents non-agency residential mortgage backed securities with a fair value of \$82 thousand that were transferred out of Level 3 to Level 2. The transfers reflect improved pricing transparency of these securities, a continuing trend of increased activity in the non-agency residential mortgage backed security market and increased observability of significant inputs to the valuation methodology.

	Beginning balance at Jan. 1, 2011	Transfers into Level 3	Transfers out of Level 3	Total gains and (losses) included in Net Income	Total gains and (losses) included in Surplus	Purchases	Issuances	Sales	Settlements	Ending balance at Dec. 31, 2011
a. Assets										
Bonds .....	\$202,245	\$180,004 <sup>(1)(2)</sup>	\$(185,231) <sup>(2)</sup>	\$(596,233)	\$533,802	\$ —	\$ —	\$ —	\$(22,553)	\$112,034

(1) Includes securities with a fair value of \$26 thousand that were transferred to Level 3 as these bonds are reported at fair value as of December 31, 2011.

(2) Includes a security with a fair value of \$185 thousand that transferred out of Level 3 during the third quarter of 2011, as this bond was no longer reported at fair value. This security subsequently transferred back into Level 3 with a fair value of \$154 thousand as this bond was reported at fair value as of December 31, 2011.

(3) The Company recognizes transfers between levels of the fair value hierarchy as of the beginning of the quarter in which each transfer occurred.

(4) The Company categorizes its fair value measurements according to a three-level hierarchy. The hierarchy prioritizes the inputs used by the Company's valuation techniques. A level is assigned to each fair value measurement based on the lowest level input that is significant to the fair value measurement in its entirety. The three levels of the fair value hierarchy are defined as follows:

Level 1 Unadjusted quoted prices for identical assets or liabilities in active markets that are accessible at the measurement date.

Level 2 Prices or valuations based on observable inputs other than quoted prices in active markets for identical assets and liabilities.

Level 3 Prices or valuations that require inputs that are both significant to the fair value measurement and unobservable.

The Company uses valuation techniques consistent with the market and income approaches to measure the fair value of its assets and liabilities. The Company's market approach uses prices and other relevant information generated by market transactions involving identical or comparable assets or liabilities. The Company's income approach uses valuation techniques to convert future projected cash flows to a single discounted present value amount. When applying either approach, the Company maximizes the use of observable inputs and minimizes the use of unobservable inputs.

The following is a description of the valuation techniques used to measure fair value and the general classification of these instruments pursuant to the fair value hierarchy.

**Bonds**

Fair value is based on quoted prices in active markets. If quoted prices are not available, fair values are obtained from third-party pricing services, non-binding broker quotes, or other model-based valuation techniques.

Level 2 bonds include non-agency residential mortgage backed securities. The fair value of Level 2 bonds is based on a market approach with prices obtained from third-party pricing services. Observable inputs used to value these bonds can include, but are not limited to reported trades, benchmarks yields, issuer spreads and non-binding broker quotes. Level 3 bonds include non-agency residential mortgage backed securities. The fair value of Level 3 bonds is obtained from third party pricing services who use significant unobservable inputs to estimate fair value.

**Derivative assets**

The fair value of derivatives that are traded in certain over-the-counter markets are generally measured using pricing models with market observable inputs such as interest rates and equity index levels. These measurements are classified as Level 2 within the fair value hierarchy and include interest rate swaps and options. The counterparties' nonperformance risk associated with uncollateralized derivative assets was immaterial at December 31, 2012.

**Separate account assets**

The fair value of assets held by separate accounts is determined by the net asset value ("NAV") of the funds in which those separate accounts are invested. The NAV represents the exit price for the separate account. Separate account assets are generally classified as Level 2 as they are traded in principal-to-principal markets with little publicly released pricing information.

B. Not applicable.

C. The following table provides the carrying value and the estimated fair value of financial instruments that are not reported at fair value as of December 31, 2012 and 2011:

	Aggregate Fair Value	Admitted Assets	December 31, 2012			Not Practicable (Carrying Value)
			Fair Value			
			(Level 1)	(Level 2)	(Level 3)	
<b>Financial Assets</b>						
Bonds .....	\$ 1,885,210,252	\$ 1,682,296,015	\$ 1,368,633	\$ 1,730,083,883	\$ 153,757,736	\$ —
Cash equivalents.....	29,899,765	29,899,765	—	29,899,765	—	—
Mortgage loans on real estate .....	166,662,690	157,329,280	—	—	166,662,690	—
Contract loans.....	38,031,306	39,383,478	—	—	38,031,306	—
<b>Financial Liabilities</b>						
Future policy benefits.....	\$ 1,274,671,150	\$ 1,049,158,023	\$ —	\$ —	\$ 1,274,671,150	\$ —
Separate account liabilities.....	5,807,862	5,807,862	—	5,807,862	—	—
			December 31, 2011			
	Carrying Value	Fair Value				
<b>Financial Assets</b>						
Bonds.....	\$ 1,681,944,829	\$ 1,834,540,144				
Cash equivalents.....	81,690,289	81,690,289				
Mortgage loans on real estate.....	155,330,956	164,124,768				
Contract loans.....	37,367,109	36,189,764				
<b>Financial Liabilities</b>						
Future policy benefits.....	\$ 1,062,277,931	\$ 1,317,824,368				
Separate account liabilities.....	5,684,821	5,684,821				

**NOTES TO FINANCIAL STATEMENTS**

**Bonds**

Fair value is based on quoted prices in active markets. If quoted prices are not available, fair values are obtained from third-party pricing services, non-binding broker quotes, or other model-based valuation techniques. Level 1 securities include U.S. Treasuries. Level 2 securities include municipal and corporate bonds, residential mortgage backed securities, commercial mortgage backed securities, asset backed securities and U.S. agency and foreign government securities. The fair value of these Level 2 securities is based on a market approach with prices obtained from third-party pricing services. Observable inputs used to value these securities can include, but are not limited to, reported trades, benchmark yields, issuer spreads and non-binding broker quotes. Level 3 securities primarily include corporate bonds, non-agency residential mortgage backed securities and asset backed securities. The fair value of these Level 3 securities is typically based on a single non-binding broker quote. The underlying inputs used for the non-binding broker quotes are not readily available to the Company.

**Cash equivalents**

Cash equivalents include highly liquid investments with original maturities of 90 days or less. Cash equivalents are classified as Level 2 and measured at amortized cost, which is a reasonable estimate of fair value because of the short time between the purchase of the instrument and its expected realization.

**Mortgage loans on real estate**

The fair value of commercial mortgage loans, except those with significant credit deterioration is determined by discounting contractual cash flows using discount rates that reflect current pricing for loans with similar remaining maturities and characteristics including loan-to-value ratio, occupancy rate, refinance risk, debt-service coverage, location, and property condition. For commercial mortgage loans with significant credit deterioration, fair value is determined using the same adjustments as above with an additional adjustment for RiverSource Life of NY's estimate of the amount recoverable on the loan. Given the significant unobservable inputs to this valuation, these measurements are classified as Level 3.

**Contract loans**

The fair value of contract loans is determined using discounted cash flows and are classified as Level 3 as the discount rate used may be adjusted for the underlying performance of individual policies.

**Future policy benefits**

The fair value of fixed annuities, in deferral status, is determined by discounting cash flows using a risk neutral discount rate with adjustments for profit margin, expense margin, early policy surrender behavior and a provision for adverse deviation from estimated early policy surrender behavior. The fair value of fixed annuities, in payout status, is determined by discounting cash flows using a risk neutral discount rate with adjustments for expense margin. Variable annuity fixed subaccounts classified as investment contracts and equity indexed annuities fair value is determined by discounting cash flows adjusted for policyholder behavior. Given the use of significant unobservable inputs to these valuations, these measurements are classified as Level 3.

**Separate account liabilities**

Certain separate account liabilities are classified as investment contracts and are carried at an amount equal to the related separate account assets. Carrying value is a reasonable estimate of the fair value as it represents the exit value as evidenced by withdrawal transactions between contractholders and RiverSource Life of NY. The fair value of these separate account liabilities is classified as Level 2.

- D. Not Practicable to Estimate Fair Value  
None.

**21. Other Items**

- A. Extraordinary Items  
None.
- B. Troubled Debt Restructuring: Debtors  
Not applicable.
- C. Other Disclosures  
Assets with a carrying value of \$265,360 and \$269,424 at December 31, 2012 and 2011, respectively, were on deposit with government authorities as required by law.
- D. Uncollectible Accounts  
None.
- E. Business Interruption Insurance Recoveries  
None.
- F. State Transferable and Non-transferable Tax Credits  
None.
- G. Subprime-Mortgage-Related Risk Exposure
  - (1) Sub-prime mortgage lending is the origination of residential mortgage loans to customers with weak credit profiles. Alt-A mortgage lending is the origination of residential mortgage loans to customers who have credit ratings above sub-prime but may not conform to government-sponsored standards. Prime mortgage lending is the origination of residential mortgage loans to customers with good credit profiles. The Company has exposure to each of these types of loans predominantly through mortgage backed and asset backed securities. The slowdown in the U.S. housing market, combined with relaxed underwriting standards by some originators, has led to higher delinquency and loss rates for some of these investments. Market conditions have increased the likelihood of other-than-temporary impairments for certain non-agency residential mortgage backed securities. As a part of the Company's risk management process, an internal rating system is used in conjunction with market data as the basis of analysis to assess the likelihood that the Company will not receive all contractual principal and interest payments for these investments. For the investments that are more at risk for impairment, the Company performs its own assessment of projected cash flows incorporating assumptions about default rates, prepayment speeds and loss severity to determine if an other-than-temporary impairment should be recognized.
  - (2) There is no direct exposure to subprime mortgage loans.
  - (3) Securities with underlying subprime exposure, as of December 31, 2012:
 

	<u>Actual Cost</u>	<u>Book/Adjusted Carrying Value (excluding interest)</u>	<u>Fair Value</u>	<u>OTTI Losses Recognized</u>
Mortgage-backed securities .....	\$ 1,104,898	\$ 1,104,179	\$ 1,026,026	\$ —

 Securities with underlying Alt – A exposure, as of December 31, 2012:
 

	<u>Actual Cost</u>	<u>Book/Adjusted Carrying Value (excluding interest)</u>	<u>Fair Value</u>	<u>OTTI Losses Recognized</u>
Mortgage-backed securities .....	\$ 21,481,931	\$ 21,636,044	\$ 21,398,561	\$ 94,574
  - (4) There is no underwriting exposure to subprime mortgage risk.
- H. Retained Assets  
None.

**NOTES TO FINANCIAL STATEMENTS**

**22. Events Subsequent**

Subsequent events have been considered through February 20, 2013 for the statutory statement issued on February 26, 2013.

**23. Reinsurance**

A. Ceded Reinsurance Report

Section 1 – General Interrogatories

1. Are any of the reinsurers, listed in Schedule S as non-affiliated, owned in excess of 10% or controlled, either directly or indirectly, by the company or by any representative, officer, trustee, or director of the company?  
Yes ( ) No (X)
2. Have any policies issued by the company been reinsured with a company chartered in a country other than the United States (excluding U.S. Branches of such companies) that is owned in excess of 10% or controlled directly or indirectly by an insured, a beneficiary, a creditor or an insured or any other person not primarily engaged in the insurance business?  
Yes ( ) No (X)

Section 2 – Ceded Reinsurance Report – Part A

1. Does the company have any reinsurance agreements in effect under which the reinsurer may unilaterally cancel any reinsurance for reasons other than for nonpayment of premium or other similar credits?  
Yes ( ) No (X)
2. Does the company have any reinsurance agreements in effect such that the amount of losses paid or accrued through the statement date may result in a payment to the reinsurer of amounts that, in aggregate and allowing for offset of mutual credits from other reinsurance agreements with the same reinsurer, exceed the total direct premium collected under the reinsured policies?  
Yes ( ) No (X)

Section 3 – Ceded Reinsurance Report – Part B

1. What is the estimated amount of the aggregate reduction in surplus, (for agreements other than those under which the reinsurer may unilaterally cancel for reasons other than for nonpayment of premium or other similar credits that are reflected in Section 2 above) of termination of all reinsurance agreements, by either party, as of the date of this statement? Where necessary, the company may consider the current or anticipated experience of the business reinsured in making this estimate. \$0
2. Have any new agreements been executed or existing agreements amended, since January 1 of the year of this statement, to include policies or contracts that were in force or which had existing reserves established by the company as of the effective date of the agreement?  
Yes ( ) No (X)

B. The Company has no uncollectible reinsurance.

C. The Company has no commuted reinsurance.

**24. Retrospectively Rated Contracts & Contracts Subject to Redetermination**

Not applicable.

**25. Change in Incurred Losses and Loss Adjustment Expenses**

Not applicable.

**26. Intercompany Pooling Arrangements**

Not applicable.

**27. Structured Settlements**

Not applicable.

**28. Health Care Receivables**

Not applicable.

**29. Participating Policies**

Not applicable.

**30. Premium Deficiency Reserves**

None.

**31. Reserves for Life Contracts and Annuity Contracts**

- (1) The Company waives the deduction of deferred fractional premiums upon the death of the insured and returns any portion of the final premium beyond the date of death. Any surrender values promised in excess of the legally computed reserves are included in Exhibit 5 – aggregated reserves for life contracts (“Exhibit 5”) with the basic reserve.
- (2) Additional premiums are charged for policies issued on substandard lives according to underwriting classification. The corresponding reserves held on such policies are calculated using the standard reserves plus unearned gross extra premium for policies with a flat extra premium and standard reserve, plus an additional approximate reserve based on multiple table reserves for policies issued with multiple table ratings.
- (3) As of December 31, 2012, the Company had \$1.2 billion of insurance in force for which gross premiums are less than net premiums according to the standard valuation set by the State of New York. Total reserves to cover the above insurance totaled \$6.1 million at year end. These reserves are reported in Exhibit 5, Line 0700002. Through coinsurance agreements \$0.9 million of this reserve is ceded to reinsurers.
- (4) The items on page 7, Tabular interest, tabular less actual reserve released and tabular cost have all been determined by formula.
- (5) Actual interest is credited on accounts.
- (6) “Other increases, net” in the Analysis of Increase in Reserves includes the following items:

	<b>Total</b>	<b>Ordinary Life Insurance</b>	<b>Individual Annuities</b>
		<b>(in millions)</b>	
Change in the implicit reserve for guaranteed benefits within variable annuity contracts .....	\$ (29)	\$ —	\$ (29)
Prior period adjustment* .....	(2)	—	(2)
Analysis of increase in reserves, Line 7 other increases, net .....	<u>\$ (31)</u>	<u>\$ —</u>	<u>\$ (31)</u>

\* See Note 2 – Accounting Changes and Corrections of Errors for additional information.

**NOTES TO FINANCIAL STATEMENTS**

**32. Analysis of Annuity Actuarial Reserves and Deposit Type Liabilities by Withdrawal Characteristics**

	General Account	Separate Account with Guarantees	Separate Account with Nonguaranteed	Total	% of Total
A. Subject to discretionary withdrawal:					
(1) With market value adjustment	\$ —	\$ —	\$ —	\$ —	—%
(2) At book value less current surrender charge of 5% or more	271,596,835	—	—	271,596,835	5.8%
(3) At fair value	—	—	3,296,039,812	3,296,039,812	70.6%
(4) Total with adjustment or at market value	271,596,835	—	3,296,039,812	3,567,636,647	76.4%
(5) At book value without adjustment*	979,661,378	—	—	979,661,378	21.0%
B. Not subject to discretionary withdrawal	117,671,162	—	4,192,923	121,864,085	2.6%
C. Total (gross)	\$ 1,368,929,375	\$ —	\$ 3,300,232,735	\$ 4,669,162,110	100.0%
D. Reinsurance ceded	(655,553)	—	—	(655,553)	
E. Total (net)	\$ 1,368,273,822	\$ —	\$ 3,300,232,735	\$ 4,668,506,557	

\* Approximately \$133.2 million of these reserves are subject to withdrawal with a surrender charge that is contingent or less than 5% (but greater than 0%)

	Amount
F. Life, Accident & Health Annual Statement:	
(1) Exhibit 5, Annuities Section, Total (net)	\$ 1,364,551,403
(2) Exhibit 5, Supplementary Contracts with Life Contingencies Section, Total (net)	—
(3) Exhibit of Deposit-Type Contracts, Line 14, Column 1	3,722,419
(4) Subtotal	\$ 1,368,273,822

Separate Accounts Annual Statement:

(5) Exhibit 3 – aggregated reserve for life, annuity and accident and health contracts (“Exhibit 3”), Line 0299999, Column 2	\$ 3,300,232,735
(6) Exhibit 3, Line 0399999, Column 2	—
(7) Page 3, Line 2, Column 3	—
(8) Page 3, Line 3.1, Column 3	—
(9) Page 3, Line 3.2, Column 3	—
(10) Page 3, Line 3.3, Column 3	—
(11) Subtotal	\$ 3,300,232,735
(12) Combined Total	\$ 4,668,506,557

G. Not applicable.

**33. Premiums and Annuity Considerations Deferred and Uncollected**

A. Deferred and uncollected life insurance premiums and annuity considerations as of December 31, 2012 were as follows:

Type	Gross	Net of Loading
(1) Industrial	\$ —	\$ —
(2) Ordinary new business	2,264	2,248
(3) Ordinary renewal	165,638	284,278
(4) Credit life	—	—
(5) Group life	—	—
(6) Group annuity	—	—
(7) Totals	\$ 167,902	\$ 286,526

**34. Separate Accounts**

A. Separate Account Activity:

(1) The Company utilizes separate accounts to record and account for assets and liabilities for particular lines of business and/or transactions. For the current reporting year, RiverSource Life of NY reported assets and liabilities from the following product lines/transactions into a separate account:

- Variable life insurance
- Variable annuities

The separate account classification of the above product lines/transactions is supported by New York Insurance Law Section 4240.

(2) In accordance with the products/transactions recorded within the separate account, some assets are considered legally insulated whereas others are not legally insulated from the general account. The legal insulation of the separate account assets prevents such assets from being generally available to satisfy claims resulting from the general account. The assets legally insulated from the general account, and those not legally insulated as of December 31, 2012 are attributed to the following products/transactions:

Product/Transaction	Separate Account Assets	
	Legally Insulated	Not Legally Insulated
Variable life and annuity products	\$ 3,787,175,379	\$ —

(3) Some separate account liabilities are guaranteed by the general account. In accordance with the guarantees provided, if the investment proceeds are insufficient to cover the rate of return guaranteed for the product, the policyholder proceeds will be remitted by the general account. As of December 31, 2012 and 2011, the general account of the Company had a maximum guarantee for separate account liabilities of \$25.1 million and \$100.2 million, respectively. The amount paid by the general account due to separate account guarantees for the year ending December 31, 2012 and 2011 was \$525 thousand and \$337 thousand, respectively. To compensate the general account for the risk taken, the separate account paid risk charges as follows for the past five years:

a. 2012	\$17,281,615
b. 2011	\$14,307,900
c. 2010	\$11,040,019
d. 2009	N/A
e. 2008	N/A

(4) RiverSource Life of NY does not engage in securities lending transactions within the separate account.

B. General Nature and Characteristics of Separate Accounts Business

Most separate and variable accounts held by the Company relate to nonguaranteed variable annuities and variable universal life insurance. The net investment experience of the separate account is credited directly to the policyholder or contractholder and can be positive or negative. The assets and liabilities of these accounts are carried at fair value.

Many of the variable annuity contracts provide a guaranteed death benefit approximately equal to the maximum of the account value, premium paid, or the account value as of the most recent 6<sup>th</sup> contract anniversary. Other optional death benefits include maximum anniversary account

**NOTES TO FINANCIAL STATEMENTS**

value, rising floor, reset ratchet and gain gross up. All of the death benefits are adjusted for partial withdrawals. The cost for these additional death benefits is priced into the product or added for a separate fee via a rider.

The Company also sells products with guaranteed living benefits. These include guaranteed minimum withdrawal benefit for life and guaranteed minimum accumulation benefit. Clients who purchase these benefits are invested in a fund (the Columbia VP Managed Volatility Fund) designed to mitigate exposure to market volatility. In 2007, the Company ceased offering contracts with guaranteed minimum income benefit provisions.

The majority of the Company's guaranteed living benefits in force are guaranteed minimum withdrawal benefits for life. Benefit percentages range from 2.5% to 7% of the benefit base. Waiting periods apply for most withdrawal benefit guarantees and can include a step-up and roll-up feature. All living benefits are added as a separate rider with an additional fee. Variable annuity guaranteed benefit reserves are reported in Exhibit 5, Line 0700006.

Variable life insurance products inforce are single premium variable life and variable universal life. Single premium variable life is not currently being sold. Variable life insurance guaranteed benefits are limited to minimum guaranteed death benefits. For current products, these last for the longer of 10 years and attained age 75. These guarantees are subject to minimum premium payments. These guaranteed benefit reserves are reported in Exhibit 5, Line 0700001.

This business has been included in column 4 of the table below.

Information regarding the separate accounts of the Company is as follows:

	(1) <u>Indexed</u>	(2) <u>Nonindexed Guarantee Less Than/ Equal to 4%</u>	(3) <u>Nonindexed Guarantee More Than 4%</u>	(4) <u>Nonguaranteed Separate Accounts</u>	(5) <u>Total</u>
(1) Premiums, considerations or deposits for 2012.....	\$ —	\$ —	\$ —	\$ 310,709,356	\$ 310,709,356
<b>Reserves at December 31, 2012</b>					
(2) For accounts with assets at:					
a. Market value ("MV") .....	\$ —	\$ —	\$ —	\$ 3,629,501,262	\$ 3,629,501,262
b. Amortized cost .....	—	—	—	—	—
c. Total reserves.....	\$ —	\$ —	\$ —	\$ 3,629,501,262	\$ 3,629,501,262
(3) By withdrawal characteristics:					
a. Subject to discretionary withdrawal.....	\$ —	\$ —	\$ —	\$ —	\$ —
b. With MV adjustment .....	—	—	—	—	—
c. At book value without MV adjustment and with current surrender charge of 5% or more.....	—	—	—	—	—
d. At market value .....	—	—	—	3,625,308,339	3,625,308,339
e. At book value without MV adjustment and with current surrender charge less than 5% .....	—	—	—	—	—
f. <b>Subtotal</b> .....	—	—	—	3,625,308,339	3,625,308,339
g. Not subject to discretionary withdrawal ....	—	—	—	4,192,923	4,192,923
h. <b>Total (gross)</b> .....	\$ —	\$ —	\$ —	\$ 3,629,501,262	\$ 3,629,501,262

(4) None.

C. Reconciliation of Transfers to or (from) Separate Accounts

(1) Separate account transfers	
a. Transfers to separate accounts (Separate Accounts Annual Statement, Page 4 line 1.4) .....	\$ 310,890,071
b. Less: Transfers from separate accounts (Separate Accounts Annual Statement, Page 4 line 10) ...	266,683,369
c. Net transfers to (from) separate accounts (a) – (b) .....	\$ 44,206,702
(2) Reconciling adjustments	1,764,315
(3) Transfers as reported in the Summary of Operations (Page 4, Line 26) .....	\$ 45,971,017

35. Loss/Claim Adjustment Expenses

- A. The liability balance for unpaid accident and health claim adjustment expenses as of December 31, 2012 and 2011 was \$1,192,721 and \$1,092,492, respectively.
- B. – C. The Company incurred \$301,179 and paid \$200,950 of claim adjustment expenses in the current year, of which \$138,289 of the paid amount was attributable to insured or covered events of prior years.
- D. The Company did not take into account anticipated salvage and subrogation when determining the liability as there is no salvage and subrogation associated with the Company's products.

**GENERAL INTERROGATORIES**

**PART 1 - COMMON INTERROGATORIES  
GENERAL**

- 1.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? ..... Yes [ X ] No [ ]
- 1.2 If yes, did the reporting entity register and file with its domiciliary State Insurance Commissioner, Director or Superintendent, or with such regulatory official of the state of domicile of the principal insurer in the Holding Company System, a registration statement providing disclosure substantially similar to the standards adopted by the National Association of Insurance Commissioners (NAIC) in its Model Insurance Holding Company System Regulatory Act and model regulations pertaining thereto, or is the reporting entity subject to standards and disclosure requirements substantially similar to those required by such Act and regulations? ..... Yes [ X ] No [ ] N/A [ ]
- 1.3 State Regulating? ..... New York
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? ..... Yes [ ] No [ X ]
- 2.2 If yes, date of change: .....
- 3.1 State as of what date the latest financial examination of the reporting entity was made or is being made. .... 12/31/2012
- 3.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. .... 12/31/2009
- 3.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). .... 06/14/2011
- 3.4 By what department or departments?  
New York State Department of Financial Services .....
- 3.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? ..... Yes [ ] No [ ] N/A [ X ]
- 3.6 Have all of the recommendations within the latest financial examination report been complied with? ..... Yes [ X ] No [ ] N/A [ ]
- 4.1 During the period covered by this statement, did any agent, broker, sales representative, non-affiliated sales/service organization or any combination thereof under common control (other than salaried employees of the reporting entity), receive credit or commissions for or control a substantial part (more than 20 percent of any major line of business measured on direct premiums) of:  
4.11 sales of new business? ..... Yes [ ] No [ X ]  
4.12 renewals? ..... Yes [ ] No [ X ]
- 4.2 During the period covered by this statement, did any sales/service organization owned in whole or in part by the reporting entity or an affiliate, receive credit or commissions for or control a substantial part (more than 20 percent of any major line of business measured on direct premiums) of:  
4.21 sales of new business? ..... Yes [ X ] No [ ]  
4.22 renewals? ..... Yes [ X ] No [ ]
- 5.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? ..... Yes [ ] No [ X ]
- 5.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

- 6.1 Has the reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? ..... Yes [ ] No [ X ]
- 6.2 If yes, give full information: .....
- 7.1 Does any foreign (non-United States) person or entity directly or indirectly control 10% or more of the reporting entity? ..... Yes [ ] No [ X ]
- 7.2 If yes,  
7.21 State the percentage of foreign control; ..... %  
7.22 State the nationality(s) of the foreign person(s) or entity(s) or if the entity is a mutual or reciprocal, the nationality of its manager or attorney-in-fact; and identify the type of entity(s) (e.g., individual, corporation or government, manager or attorney in fact).

1 Nationality	2 Type of Entity

**GENERAL INTERROGATORIES**

- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? ..... Yes [ ] No [ X ]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.  
The Company is a downstream subsidiary of a thrift holding company, Ameriprise Financial, Inc. which is regulated by the Federal Reserve Board. ....
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? ..... Yes [ X ] No [ ]
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
American Enterprise Investment Services, Inc. ....	Minneapolis, MN .....				YES
Ameriprise Bank, FSB .....	Minneapolis, MN .....		YES		
Ameriprise Certificate Company .....	Minneapolis, MN .....				YES
Ameriprise Financial, Inc. ....	Minneapolis, MN .....	YES			
Ameriprise Financial Services, Inc. ....	Minneapolis, MN .....				YES
Columbia Management Investment Advisors, LLC .....	Boston, MA .....				YES
Columbia Management Investment Distributors, Inc. ....	Boston, MA .....				YES
Columbia Management Investment Services Corp. ....	Boston, MA .....				YES
Columbia Wanger Asset Management, LLC .....	Chicago, IL .....				YES
J. & W. Seligman & Co. Incorporated .....	New York, NY .....				YES
RiverSource Distributors, Inc .....	Minneapolis, MN .....				YES
WAM Acquisition GP, Inc. ....	Chicago, IL .....				YES
RiverSource Life Insurance Company .....	Minneapolis, MN .....				YES

- 9. What is the name and address of the independent certified public accountant or accounting firm retained to conduct the annual audit?  
PricewaterhouseCoopers LLP  
225 South Sixth Street, Suite 1400  
Minneapolis, MN 55402
- 10.1 Has the insurer been granted any exemptions to the prohibited non-audit services provided by the certified independent public accountant requirements as allowed in Section 7H of the Annual Financial Reporting Model Regulation (Model Audit Rule), or substantially similar state law or regulation? ..... Yes [ ] No [ X ]
- 10.2 If the response to 10.1 is yes, provide information related to this exemption: .....
- 10.3 Has the insurer been granted any exemptions related to the other requirements of the Annual Financial Reporting Model Regulation as allowed for in Section 17A of the Model Regulation, or substantially similar state law or regulation? ..... Yes [ ] No [ X ]
- 10.4 If the response to 10.3 is yes, provide information related to this exemption: .....
- 10.5 Has the reporting entity established an Audit Committee in compliance with the domiciliary state insurance laws? ..... Yes [ X ] No [ ] N/A [ ]
- 10.6 If the response to 10.5 is no or n/a, please explain .....
- 11. What is the name, address and affiliation (officer/employee of the reporting entity or actuary/consultant associated with an actuarial consulting firm) of the individual providing the statement of actuarial opinion/certification?  
Stephen P Blaske, Vice President & Appointed Actuary, FAA, MAAA  
2905 Ameriprise Financial Center  
Minneapolis, MN 55474 .....
- 12.1 Does the reporting entity own any securities of a real estate holding company or otherwise hold real estate indirectly? ..... Yes [ X ] No [ ]
  - 12.11 Name of real estate holding company ..... RiverSource NY REO, LLC
  - 12.12 Number of parcels involved .....
  - 12.13 Total book/adjusted carrying value ..... \$ .....120,704
- 12.2 If, yes provide explanation:  
Refer to Schedule BA and Schedule Y for additional information. ....
- 13. **FOR UNITED STATES BRANCHES OF ALIEN REPORTING ENTITIES ONLY:**
- 13.1 What changes have been made during the year in the United States manager or the United States trustees of the reporting entity? .....
- 13.2 Does this statement contain all business transacted for the reporting entity through its United States Branch on risks wherever located? ..... Yes [ ] No [ ]
- 13.3 Have there been any changes made to any of the trust indentures during the year? ..... Yes [ ] No [ ]
- 13.4 If answer to (13.3) is yes, has the domiciliary or entry state approved the changes? ..... Yes [ ] No [ ] N/A [ ]
- 14.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? ..... Yes [ X ] No [ ]
  - (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
  - (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
  - (c) Compliance with applicable governmental laws, rules and regulations;
  - (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
  - (e) Accountability for adherence to the code.
- 14.11 If the response to 14.1 is No, please explain: .....
- 14.2 Has the code of ethics for senior managers been amended? ..... Yes [ ] No [ X ]
- 14.21 If the response to 14.2 is yes, provide information related to amendment(s). ....
- 14.3 Have any provisions of the code of ethics been waived for any of the specified officers? ..... Yes [ ] No [ X ]
- 14.31 If the response to 14.3 is yes, provide the nature of any waiver(s). ....

**GENERAL INTERROGATORIES**

- 15.1 Is the reporting entity the beneficiary of a Letter of Credit that is unrelated to reinsurance where the issuing or confirming bank is not on the SVO Bank List? Yes [ ] No [ X ]
- 15.2 If the response to 15.1 is yes, indicate the American Bankers Association (ABA) Routing Number and the name of the issuing or confirming bank of the Letter of Credit and describe the circumstances in which the Letter of Credit is triggered.

1 American Bankers Association (ABA) Routing Number	2 Issuing or Confirming Bank Name	3 Circumstances That Can Trigger the Letter of Credit	4 Amount

**BOARD OF DIRECTORS**

16. Is the purchase or sale of all investments of the reporting entity passed upon either by the board of directors or a subordinate committee thereof? Yes [ X ] No [ ]
17. Does the reporting entity keep a complete permanent record of the proceedings of its board of directors and all subordinate committees thereof? Yes [ X ] No [ ]
18. Has the reporting entity an established procedure for disclosure to its board of directors or trustees of any material interest or affiliation on the part of any of its officers, directors, trustees or responsible employees that is in conflict with the official duties of such person? Yes [ X ] No [ ]

**FINANCIAL**

19. Has this statement been prepared using a basis of accounting other than Statutory Accounting Principles (e.g., Generally Accepted Accounting Principles)? Yes [ ] No [ X ]
- 20.1 Total amount loaned during the year (inclusive of Separate Accounts, exclusive of policy loans):
- 20.11 To directors or other officers.....\$
  - 20.12 To stockholders not officers.....\$
  - 20.13 Trustees, supreme or grand (Fraternal Only).....\$
- 20.2 Total amount of loans outstanding at the end of year (inclusive of Separate Accounts, exclusive of policy loans):
- 20.21 To directors or other officers.....\$
  - 20.22 To stockholders not officers.....\$
  - 20.23 Trustees, supreme or grand (Fraternal Only).....\$
- 21.1 Were any assets reported in this statement subject to a contractual obligation to transfer to another party without the liability for such obligation being reported in the statement? Yes [ ] No [ X ]
- 21.2 If yes, state the amount thereof at December 31 of the current year:
- 21.21 Rented from others.....\$
  - 21.22 Borrowed from others.....\$
  - 21.23 Leased from others.....\$
  - 21.24 Other.....\$
- 22.1 Does this statement include payments for assessments as described in the Annual Statement Instructions other than guaranty fund or guaranty association assessments? Yes [ ] No [ X ]
- 22.2 If answer is yes:
- 22.21 Amount paid as losses or risk adjustment \$
  - 22.22 Amount paid as expenses.....\$
  - 22.23 Other amounts paid.....\$
- 23.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [ X ] No [ ]
- 23.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$ 220,083

**INVESTMENT**

- 24.01 Were all the stocks, bonds and other securities owned December 31 of current year, over which the reporting entity has exclusive control, in the actual possession of the reporting entity on said date? (other than securities lending programs addressed in 24.03) Yes [ X ] No [ ]
- 24.02 If no, give full and complete information relating thereto
- 24.03 For security lending programs, provide a description of the program including value for collateral and amount of loaned securities, and whether collateral is carried on or off-balance sheet. (an alternative is to reference Note 17 where this information is also provided)
- 24.04 Does the Company's security lending program meet the requirements for a conforming program as outlined in the Risk-Based Capital Instructions? Yes [ ] No [ ] N/A [ X ]
- 24.05 If answer to 24.04 is yes, report amount of collateral for conforming programs. \$
- 24.06 If answer to 24.04 is no, report amount of collateral for other programs. \$
- 24.07 Does your securities lending program require 102% (domestic securities) and 105% (foreign securities) from the counterparty at the outset of the contract? Yes [ ] No [ ] N/A [ X ]
- 24.08 Does the reporting entity non-admit when the collateral received from the counterparty falls below 100%? Yes [ ] No [ ] N/A [ X ]
- 24.09 Does the reporting entity or the reporting entity 's securities lending agent utilize the Master Securities lending Agreement (MSLA) to conduct securities lending? Yes [ ] No [ ] N/A [ X ]

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York  
**GENERAL INTERROGATORIES**

24.10 For the reporting entity's security lending program state the amount of the following as December 31 of the current year:

24.101 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2.....	\$ .....
24.102 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2.....	\$ .....
24.103 Total payable for securities lending reported on the liability page.....	\$ .....

25.1 Were any of the stocks, bonds or other assets of the reporting entity owned at December 31 of the current year not exclusively under the control of the reporting entity, or has the reporting entity sold or transferred any assets subject to a put option contract that is currently in force? (Exclude securities subject to Interrogatory 21.1 and 24.03). ..... Yes  No

25.2 If yes, state the amount thereof at December 31 of the current year:

25.21 Subject to repurchase agreements .....	\$ .....
25.22 Subject to reverse repurchase agreements .....	\$ .....
25.23 Subject to dollar repurchase agreements .....	\$ .....
25.24 Subject to reverse dollar repurchase agreements .....	\$ .....
25.25 Pledged as collateral .....	15,050,233
25.26 Placed under option agreements .....	\$ .....
25.27 Letter stock or other securities restricted as to sale .....	\$ .....
25.28 On deposit with state or other regulatory body .....	265,360
25.29 Other .....	\$ .....

25.3 For category (25.27) provide the following:

1 Nature of Restriction	2 Description	3 Amount

26.1 Does the reporting entity have any hedging transactions reported on Schedule DB? ..... Yes  No

26.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? ..... Yes  No  N/A   
 If no, attach a description with this statement.

27.1 Were any preferred stocks or bonds owned as of December 31 of the current year mandatorily convertible into equity, or, at the option of the issuer, convertible into equity? ..... Yes  No

27.2 If yes, state the amount thereof at December 31 of the current year. .... \$ .....

28. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook?..... Yes  No

28.01 For agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian's Address
JP Morgan .....	One Chase Manhattan Plaza New York, NY 10005 .....

28.02 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

28.03 Have there been any changes, including name changes, in the custodian(s) identified in 28.01 during the current year?..... Yes  No

28.04 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

28.05 Identify all investment advisors, brokers/dealers or individuals acting on behalf of brokers/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1 Central Registration Depository Number(s)	2 Name	3 Address
	Columbia Management Investment Advisers, LLC .....	225 Franklin Street Boston, MA 02110 .....

## GENERAL INTERROGATORIES

- 29.1 Does the reporting entity have any diversified mutual funds reported in Schedule D, Part 2 (diversified according to the Securities and Exchange Commission (SEC) in the Investment Company Act of 1940 [Section 5(b)(1)])? Yes [ ] No [ X ]
- 29.2 If yes, complete the following schedule:

1 CUSIP #	2 Name of Mutual Fund	3 Book/Adjusted Carrying Value
29.2999 - Total		

- 29.3 For each mutual fund listed in the table above, complete the following schedule:

1 Name of Mutual Fund (from above table)	2 Name of Significant Holding of the Mutual Fund	3 Amount of Mutual Fund's Book/Adjusted Carrying Value Attributable to the Holding	4 Date of Valuation

30. Provide the following information for all short-term and long-term bonds and all preferred stocks. Do not substitute amortized value or statement value for fair value.

	1 Statement (Admitted) Value	2 Fair Value	3 Excess of Statement over Fair Value (-), or Fair Value over Statement (+)
30.1 Bonds .....	1,712,195,779	1,915,110,014	202,914,235
30.2 Preferred stocks .....			
30.3 Totals	1,712,195,779	1,915,110,014	202,914,235

- 30.4 Describe the sources or methods utilized in determining the fair values:  
The fair market value is determined using independent pricing service, broker quotes and internal models. ....
- 31.1 Was the rate used to calculate fair value determined by a broker or custodian for any of the securities in Schedule D? ..... Yes [ ] No [ X ]
- 31.2 If the answer to 31.1 is yes, does the reporting entity have a copy of the broker's or custodian's pricing policy (hard copy or electronic copy) for all brokers or custodians used as a pricing source? ..... Yes [ ] No [ ]
- 31.3 If the answer to 31.2 is no, describe the reporting entity's process for determining a reliable pricing source for purposes of disclosure of fair value for Schedule D:  
.....
- 32.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Securities Valuation Office been followed? ..... Yes [ X ] No [ ]
- 32.2 If no, list exceptions:  
.....

## GENERAL INTERROGATORIES

### OTHER

33.1 Amount of payments to trade associations, service organizations and statistical or rating bureaus, if any? .....\$ .....

33.2 List the name of the organization and the amount paid if any such payment represented 25% or more of the total payments to trade associations, service organizations and statistical or rating bureaus during the period covered by this statement.

1 Name	2 Amount Paid

34.1 Amount of payments for legal expenses, if any? .....\$ .....3,701

34.2 List the name of the firm and the amount paid if any such payment represented 25% or more of the total payments for legal expenses during the period covered by this statement.

1 Name	2 Amount Paid
Locke & Herbert .....	2,824

35.1 Amount of payments for expenditures in connection with matters before legislative bodies, officers or departments of government, if any? .....\$ .....98,253

35.2 List the name of the firm and the amount paid if any such payment represented 25% or more of the total payment expenditures in connection with matters before legislative bodies, officers or departments of government during the period covered by this statement.

1 Name	2 Amount Paid
Life Insurance Council of New York .....	78,800

# GENERAL INTERROGATORIES

## PART 2 - LIFE INTERROGATORIES

1.1 Does the reporting entity have any direct Medicare Supplement Insurance in force? ..... Yes [ ] No [ X ]

1.2 If yes, indicate premium earned on U.S. business only ..... \$

1.3 What portion of Item (1.2) is not reported on the Medicare Supplement Insurance Experience Exhibit? ..... \$

1.31 Reason for excluding:  
.....

1.4 Indicate amount of earned premium attributable to Canadian and/or Other Alien not included in Item (1.2) above. .... \$

1.5 Indicate total incurred claims on all Medicare Supplement insurance. .... \$

1.6 Individual policies:

Most current three years:

1.61 Total premium earned ..... \$

1.62 Total incurred claims ..... \$

1.63 Number of covered lives .....

All years prior to most current three years

1.64 Total premium earned ..... \$

1.65 Total incurred claims ..... \$

1.66 Number of covered lives .....

1.7 Group policies:

Most current three years:

1.71 Total premium earned ..... \$

1.72 Total incurred claims ..... \$ 0

1.73 Number of covered lives .....

All years prior to most current three years

1.74 Total premium earned ..... \$

1.75 Total incurred claims ..... \$

1.76 Number of covered lives .....

2. Health Test:

	1 Current Year	2 Prior Year
2.1 Premium Numerator .....		
2.2 Premium Denominator .....	417,163,342	482,232,955
2.3 Premium Ratio (2.1/2.2) .....	0.000	0.000
2.4 Reserve Numerator .....	6,338,592	841,706
2.5 Reserve Denominator .....	1,784,263,587	1,776,710,372
2.6 Reserve Ratio (2.4/2.5) .....	0.004	0.000

3.1 Does this reporting entity have Separate Accounts? ..... Yes [ X ] No [ ]

3.2 If yes, has a Separate Accounts Statement been filed with this Department? ..... Yes [ X ] No [ ] N/A [ ]

3.3 What portion of capital and surplus funds of the reporting entity covered by assets in the Separate Accounts statement, is not currently distributable from the Separate Accounts to the general account for use by the general account? ..... \$ 153,929,133

3.4 State the authority under which Separate Accounts are maintained:  
New York State Insurance Department .....

3.5 Was any of the reporting entity's Separate Accounts business reinsured as of December 31? ..... Yes [ ] No [ X ]

3.6 Has the reporting entity assumed by reinsurance any Separate Accounts business as of December 31? ..... Yes [ ] No [ X ]

3.7 If the reporting entity has assumed Separate Accounts business, how much, if any, reinsurance assumed receivable for reinsurance of Separate Accounts reserve expense allowances is included as a negative amount in the liability for "Transfers to Separate Accounts due or accrued (net)"? .....

4.1 Are personnel or facilities of this reporting entity used by another entity or entities or are personnel or facilities of another entity or entities used by this reporting entity (except for activities such as administration of jointly underwritten group contracts and joint mortality or morbidity studies)? ..... Yes [ X ] No [ ]

4.2 Net reimbursement of such expenses between reporting entities:

4.21 Paid ..... \$ 27,564,430

4.22 Received ..... \$ 21,709,100

5.1 Does the reporting entity write any guaranteed interest contracts? ..... Yes [ ] No [ X ]

5.2 If yes, what amount pertaining to these lines is included in:

5.21 Page 3, Line 1 ..... \$

5.22 Page 4, Line 1 ..... \$

6. FOR STOCK REPORTING ENTITIES ONLY:

6.1 Total amount paid in by stockholders as surplus funds since organization of the reporting entity: ..... \$ 106,750,836

7. Total dividends paid stockholders since organization of the reporting entity:

7.11 Cash ..... \$ 506,811,252

7.12 Stock ..... \$

**GENERAL INTERROGATORIES**

8.1 Does the company reinsure any Workers' Compensation Carve-Out business defined as: ..... Yes [ ] No [ X ]  
 Reinsurance (including retrocessional reinsurance) assumed by life and health insurers of medical, wage loss and death benefits of the occupational illness and accident exposures, but not the employers liability exposures, of business originally written as workers' compensation insurance.

8.2 If yes, has the reporting entity completed the Workers' Compensation Carve-Out Supplement to the Annual Statement? ..... Yes [ ] No [ ]

8.3 If 8.1 is yes, the amounts of earned premiums and claims incurred in this statement are:

	1 Reinsurance Assumed	2 Reinsurance Ceded	3 Net Retained
8.31 Earned premium .....			
8.32 Paid claims .....			
8.33 Claim liability and reserve (beginning of year) .....			
8.34 Claim liability and reserve (end of year) .....			
8.35 Incurred claims .....			

8.4 If reinsurance assumed included amounts with attachment points below \$1,000,000, the distribution of the amounts reported in Lines 8.31 and 8.34 for Column (1) are:

	Attachment Point	1 Earned Premium	2 Claim Liability and Reserve
8.41	<\$25,000		
8.42	\$25,000 - 99,999		
8.43	\$100,000 - 249,999		
8.44	\$250,000 - 999,999		
8.45	\$1,000,000 or more		

8.5 What portion of earned premium reported in 8.31, Column 1 was assumed from pools? ..... \$

9.1 Does the company have variable annuities with guaranteed benefits? ..... Yes [ X ] No [ ]

9.2 If 9.1 is yes, complete the following table for each type of guaranteed benefit.

Type		3	4	5	6	7	8	9
1 Guaranteed Death Benefit	2 Guaranteed Living Benefit	Waiting Period Remaining	Account Value Related to Col. 3	Total Related Account Values	Gross Amount of Reserve	Location of Reserve	Portion Reinsured	Reinsurance Reserve Credit
None	GMB	2 years	118,162	695,882	490	Exhibit 5	None	
		3 years	328,219		6,893	Exhibit 5	None	
		4 years	173,229		1,706	Exhibit 5	None	
		5 years	76,272		93	Exhibit 5	None	
	GMB	N/A	21,682,504	21,682,504	217,313	Exhibit 5	None	
	None	N/A	30,281,249	30,281,249	234,852	Exhibit 5	None	
ROP	GMB	2 years	3,887,194	217,345,951	34,527	Exhibit 5	None	
		3 years	33,388,593		473,234	Exhibit 5	None	
		4 years	29,928,565		601,006	Exhibit 5	None	
		5 years	29,852,370		333,024	Exhibit 5	None	
		6 years	38,489,688		282,204	Exhibit 5	None	
		7 years	15,979,785		443,356	Exhibit 5	None	
		8 years	19,620,855		206,977	Exhibit 5	None	
		9 years	32,337,717		360,653	Exhibit 5	None	
		10 years	13,861,183		381,633	Exhibit 5	None	
	GMB	N/A	1,354,042,467	1,354,042,467	26,962,835	Exhibit 5	None	
	None	N/A	755,137,027	755,137,027	16,236,437	Exhibit 5	None	
	GMB	2 years	32,706	2,231,095	6,418	Exhibit 5	None	
		3 years	1,011,891		48,383	Exhibit 5	None	
		4 years	986,717		78,551	Exhibit 5	None	
		5 years	199,781		95,110	Exhibit 5	None	
	PFC - 7.2%TV	3 years	40,685	182,209	112	Exhibit 5	None	
		4 years	90,975		3,501	Exhibit 5	None	
		6 years	50,548		289	Exhibit 5	None	
6-Yr Reset	None	N/A	598,711,540	598,711,540	7,499,419	Exhibit 5	None	
MAV	GMB	2 years	1,495,222	32,953,373	31,533	Exhibit 5	None	
		3 years	5,565,674		96,768	Exhibit 5	None	
		4 years	6,963,217		188,437	Exhibit 5	None	
		5 years	3,387,350		57,450	Exhibit 5	None	
		6 years	2,458,827		29,431	Exhibit 5	None	
		7 years	1,428,333		24,590	Exhibit 5	None	
		8 years	3,347,648		27,880	Exhibit 5	None	
		9 years	6,568,646		56,819	Exhibit 5	None	
		10 years	1,738,457		42,670	Exhibit 5	None	
	GMB	N/A	311,047,139	311,047,139	6,606,949	Exhibit 5	None	
	None	N/A	169,799,985	169,799,985	2,166,163	Exhibit 5	None	
	GMB	1 years	3,689,008	16,174,051	856,289	Exhibit 5	None	
		2 years	8,277,176		2,861,199	Exhibit 5	None	
		3 years	2,602,995		396,955	Exhibit 5	None	
		4 years	1,057,074		245,968	Exhibit 5	None	
		5 years	547,798		21,377	Exhibit 5	None	
	PFC - 7.2%TV	2 years	237,685	306,099	636	Exhibit 5	None	
		3 years	68,414		77	Exhibit 5	None	
5-Yr Ratchet	GMB	2 years	498,332	8,483,012	4,322	Exhibit 5	None	
		3 years	2,090,019		28,917	Exhibit 5	None	
		4 years	2,147,494		42,530	Exhibit 5	None	
		5 years	1,587,907		10,280	Exhibit 5	None	
		6 years	943,541		2,928	Exhibit 5	None	
		7 years	1,215,719		16,130	Exhibit 5	None	
	GMB	N/A	74,191,888	74,191,888	1,505,152	Exhibit 5	None	
	None	N/A	79,447,705	79,447,705	1,103,966	Exhibit 5	None	
5-Yr Reset	None	N/A	2,022,730	2,022,730	2,057	Exhibit 5	None	

**ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York**

10. For reporting entities having sold annuities to another insurer where the insurer purchasing the annuities has obtained a release of liability from the claimant (payee) as the result of the purchase of an annuity from the reporting entity only:

10.1 Amount of loss reserves established by these annuities during the current year: .....\$ \_\_\_\_\_

10.2 List the name and location of the insurance company purchasing the annuities and the statement value on the purchase date of the annuities.

1	2
P&C Insurance Company And Location	Statement Value on Purchase Date of Annuities (i.e., Present Value)

11.1 Do you act as a custodian for health savings accounts? ..... Yes [ ] No [ X ]

11.2 If yes, please provide the amount of custodial funds held as of the reporting date. ....\$ \_\_\_\_\_

11.3 Do you act as an administrator for health savings accounts? ..... Yes [ ] No [ X ]

11.4 If yes, please provide the balance of funds administered as of the reporting date. ....\$ \_\_\_\_\_

**FIVE-YEAR HISTORICAL DATA**

Show amounts in whole dollars only, no cents; show percentages to one decimal place, i.e. 17.6.

Show amounts of life insurance in this exhibit in thousands (OMIT \$000)

	1 2012	2 2011	3 2010	4 2009	5 2008
<b>Life Insurance in Force</b> (Exhibit of Life Insurance)					
1. Ordinary - whole life and endowment (Line 34, Col. 4) .....	5,782,160	5,946,264	6,059,061	6,087,194	6,261,624
2. Ordinary - term (Line 21, Col. 4, less Line 34, Col. 4) .....	5,044,527	4,974,595	4,767,465	4,740,298	4,472,187
3. Credit life (Line 21, Col. 6) .....					
4. Group, excluding FEGLI/SGLI (Line 21, Col. 9 less Lines 43 & 44, Col. 4) .....	55,712	57,018	59,072	61,728	64,134
5. Industrial (Line 21, Col. 2) .....					
6. FEGLI/SGLI (Lines 43 & 44, Col. 4) .....					
7. Total (Line 21, Col. 10) .....	10,882,399	10,977,877	10,885,598	10,889,220	10,797,945
<b>New Business Issued</b> (Exhibit of Life Insurance)					
8. Ordinary - whole life and endowment (Line 34, Col. 2) .....	167,800	246,420	204,911	274,745	493,035
9. Ordinary - term (Line 2, Col. 4, less Line 34, Col. 2) .....	356,792	367,083	377,463	399,695	530,986
10. Credit life (Line 2, Col. 6) .....					
11. Group (Line 2, Col. 9) .....					73
12. Industrial (Line 2, Col. 2) .....					
13. Total (Line 2, Col. 10) .....	524,592	613,502	582,374	674,440	1,024,094
<b>Premium Income - Lines of Business</b> (Exhibit 1 - Part 1)					
14. Industrial life (Line 20.4, Col. 2) .....					
15.1 Ordinary-life insurance (Line 20.4, Col. 3) .....	54,377,882	55,539,324	54,416,325	58,750,959	64,148,280
15.2 Ordinary-individual annuities (Line 20.4, Col. 4) .....	329,107,961	388,975,348	358,925,833	492,643,621	482,989,943
16. Credit life (group and individual) (Line 20.4, Col. 5) .....					
17.1 Group life insurance (Line 20.4, Col. 6) .....	214	215	215	215	
17.2 Group annuities (Line 20.4, Col. 7) .....	18,526,798	22,069,356	22,973,917	21,742,880	23,770,308
18.1 A & H-group (Line 20.4, Col. 8) .....					
18.2 A & H-credit (group and individual) (Line 20.4, Col. 9) .....					
18.3 A & H-other (Line 20.4, Col. 10) .....	15,150,487	15,648,712	15,996,441	16,348,671	16,304,326
19. Aggregate of all other lines of business (Line 20.4, Col. 11) .....					
20. Total .....	417,163,342	482,232,955	452,312,731	589,486,346	587,212,857
<b>Balance Sheet (Pages 2 &amp; 3)</b>					
21. Total admitted assets excluding Separate Accounts business (Page 2, Line 26, Col. 3) .....	2,034,752,561	2,089,913,189	2,024,360,233	2,127,496,931	1,948,959,102
22. Total liabilities excluding Separate Accounts business (Page 3, Line 26) .....	1,782,219,108	1,854,639,765	1,736,820,351	1,843,270,091	1,733,420,667
23. Aggregate life reserves (Page 3, Line 1) .....	1,674,209,186	1,707,780,372	1,645,847,888	1,717,003,419	1,586,519,817
24. Aggregate A & H reserves (Page 3, Line 2) .....	182,121,219	172,194,244	168,055,458	155,931,989	150,364,111
25. Deposit-type contract funds (Page 3, Line 3) .....	3,722,419	4,012,759	4,692,439	5,511,085	5,898,371
26. Asset valuation reserve (Page 3, Line 24.01) .....	3,433,953	18,618,561	3,279,098	1,795,135	13,468,314
27. Capital (Page 3, Lines 29 and 30) .....	2,000,000	2,000,000	2,000,000	2,000,000	2,000,000
28. Surplus (Page 3, Line 37) .....	250,777,627	233,477,229	285,772,755	282,339,774	213,625,051
<b>Cash Flow (Page 5)</b>					
29. Net Cash from Operations (Line 11) .....	75,400,498	69,708,602	(18,865,045)	192,777,457	(15,114,939)
<b>Risk-Based Capital Analysis</b>					
30. Total adjusted capital .....	256,211,580	254,095,790	291,051,853	286,134,909	229,093,365
31. Authorized control level risk - based capital .....	22,192,402	20,511,582	19,130,761	21,906,619	28,790,741
<b>Percentage Distribution of Cash, Cash Equivalents and Invested Assets</b> (Page 2, Col. 3) (Line No. /Page 2, Line 12, Col. 3) x 100.0					
32. Bonds (Line 1) .....	85.4	82.6	85.5	82.9	74.0
33. Stocks (Lines 2.1 and 2.2) .....				0.0	0.0
34. Mortgage loans on real estate(Lines 3.1 and 3.2) .....	8.0	7.6	8.6	9.0	11.2
35. Real estate (Lines 4.1, 4.2 and 4.3) .....					
36. Cash, cash equivalents and short-term investments (Line 5) .....	1.4	3.7	3.1	4.6	10.1
37. Contract loans (Line 6) .....	2.0	1.8	1.8	1.7	1.9
38. Derivatives (Page 2, Line 7) .....	3.2	4.2	1.0	XXX	XXX
39. Other invested assets (Line 8) .....	0.0	0.0			
40. Receivables for securities (Line 9) .....	0.0	0.0	0.0	1.1	0.1
41. Securities lending reinvested collateral assets (Line 10) .....				XXX	XXX
42. Aggregate write-ins for invested assets (Line 11) .....				0.7	2.7
43. Cash, cash equivalents and invested assets (Line 12) .....	100.0	100.0	100.0	100.0	100.0

**FIVE-YEAR HISTORICAL DATA**

(Continued)

	1 2012	2 2011	3 2010	4 2009	5 2008
<b>Investments in Parent, Subsidiaries and Affiliates</b>					
44. Affiliated bonds (Schedule D Summary, Line 12, Col. 1) .....					
45. Affiliated preferred stocks (Schedule D Summary, Line 18, Col. 1) .....					
46. Affiliated common stocks (Schedule D Summary Line 24, Col. 1), .....					
47. Affiliated short-term investments (subtotal included in Schedule DA Verification, Col. 5, Line 10) .....					
48. Affiliated mortgage loans on real estate .....					
49. All other affiliated .....	120,704	121,172			
50. Total of above Lines 44 to 49 .....	120,704	121,172			
51. Total Investment in Parent included in Lines 44 to 49 above .....					
<b>Total Nonadmitted and Admitted Assets</b>					
52. Total nonadmitted assets (Page 2, Line 28, Col. 2) .....	16,298,703	27,769,499	26,580,383	15,162,449	27,781,142
53. Total admitted assets (Page 2, Line 28, Col. 3) .....	5,821,927,940	5,503,592,118	5,473,079,832	5,048,403,200	4,197,067,091
<b>Investment Data</b>					
54. Net investment income (Exhibit of Net Investment Income) .....	107,445,513	109,818,343	112,712,750	107,922,305	94,667,493
55. Realized capital gains (losses) (Page 4, Line 34, Column 1) .....	(10,760,525)	(2,370,532)	7,872,984	(14,565,233)	3,342,956
56. Unrealized capital gains (losses) (Page 4, Line 38, Column 1) .....	(26,642,987)	20,678,508	(11,308,299)	(47,358,498)	40,400,141
57. Total of above Lines 54, 55 and 56 .....	70,042,001	128,126,319	109,277,435	45,998,574	138,410,590
<b>Benefits and Reserve Increases (Page 6)</b>					
58. Total contract benefits - life (Lines 10, 11, 12, 13, 14 and 15 Col. 1, minus Lines 10, 11, 12, 13, 14 and 15 Cols. 9, 10 and 11) .....	411,467,373	457,920,611	388,415,651	381,399,297	472,601,153
59. Total contract benefits - A & H (Lines 13 & 14, Cols. 9, 10 & 11) .....	10,950,008	9,720,554	9,308,625	8,155,807	6,958,261
60. Increase in life reserves - other than group and annuities (Line 19, Cols. 2 and 3) .....	9,917,672	11,824,569	(2,475,905)	10,209,416	5,178,132
61. Increase in A & H reserves (Line 19, Cols. 9, 10 & 11) .....	9,927,202	4,138,786	12,123,468	5,567,878	17,491,199
62. Dividends to policyholders (Line 30, Col. 1) .....					
<b>Operating Percentages</b>					
63. Insurance expense percent (Page 6, Col. 1, Lines 21, 22 & 23, less Line 6)/(Page 6, Col. 1, Line 1 plus Exhibit 7, Col. 2, Line 2) x 100.0 .....	13.3	12.2	11.8	9.6	11.4
64. Lapse percent (ordinary only) [(Exhibit of Life Insurance, Col. 4, Lines 14 & 15) / 1/2 (Exhibit of Life Insurance, Col. 4, Lines 1 & 21)] x 100.0 .....	5.3	4.5	5.1	5.1	5.0
65. A & H loss percent (Schedule H, Part 1, Lines 5 and 6, Col. 2) .....	119.2	88.6	134.0	84.0	154.9
66. A & H cost containment percent (Schedule H, Pt. 1, Line 4, Col. 2) .....					
67. A & H expense percent excluding cost containment expenses (Schedule H, Pt. 1, Line 10, Col. 2) .....	20.7	21.0	17.9	18.3	30.4
<b>A &amp; H Claim Reserve Adequacy</b>					
68. Incurred losses on prior years' claims - group health (Schedule H, Part 3, Line 3.1 Col. 2) .....					
69. Prior years' claim liability and reserve - group health (Schedule H, Part 3, Line 3.2 Col. 2) .....					
70. Incurred losses on prior years' claims-health other than group (Schedule H, Part 3, Line 3.1 Col. 1 less Col. 2) .....	34,451,372	33,002,795	29,493,184	27,128,707	24,860,479
71. Prior years' claim liability and reserve-health other than group (Schedule H, Part 3, Line 3.2 Col. 1 less Col. 2) .....	33,134,680	32,892,112	31,130,660	26,834,674	22,673,157
<b>Net Gains From Operations After Federal Income Taxes by Lines of Business (Page 6, Line 33)</b>					
72. Industrial life (Col. 2) .....					
73. Ordinary - life (Col. 3) .....	14,761,586	9,233,466	13,611,892	14,399,708	7,727,444
74. Ordinary - individual annuities (Col. 4) .....	73,000,665	(24,281,270)	37,198,159	76,699,390	(42,196,475)
75. Ordinary-supplementary contracts (Col. 5) .....					
76. Credit life (Col. 6) .....					
77. Group life (Col. 7) .....	1,883,078	1,297,797	1,643,858	(1,104,954)	833,066
78. Group annuities (Col. 8) .....	423,002	1,747,705	3,891,950	2,837,941	1,250,542
79. A & H-group (Col. 9) .....					
80. A & H-credit (Col. 10) .....					
81. A & H-other (Col. 11) .....	2,880,570	8,028,889	3,078,207	7,663,690	(3,730,575)
82. Aggregate of all other lines of business (Col. 12) ....	227,761	122,992	73,041	538,526	
83. Total (Col. 1) .....	93,176,662	(3,850,421)	59,497,107	101,034,301	(36,115,998)

NOTE: If a party to a merger, have the two most recent years of this exhibit been restated due to a merger in compliance with the disclosure requirements of SSAP No. 3, Accounting Changes and Correction of Errors? Yes [ ] No [ ]  
 If no, please explain: .....



ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York

DIRECT BUSINESS IN THE STATE OF Grand Total

DURING THE YEAR 2012

NAIC Group Code 0004

**LIFE INSURANCE**

NAIC Company Code 80594

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1	2	3	4	5
	Ordinary	Credit Life (Group and Individual)	Group	Industrial	Total
1. Life insurance	65,302,127		11,291		65,313,418
2. Annuity considerations	329,113,813		18,526,798		347,640,611
3. Deposit-type contract funds	1,133,555	XXX		XXX	1,133,555
4. Other considerations					
5. Totals (Sum of Lines 1 to 4)	395,549,496		18,538,089		414,087,585
<b>DIRECT DIVIDENDS TO POLICYHOLDERS</b>					
Life insurance:					
6.1 Paid in cash or left on deposit					
6.2 Applied to pay renewal premiums					
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period					
6.4 Other					
6.5 Totals (Sum of Lines 6.1 to 6.4)					
Annuities:					
7.1 Paid in cash or left on deposit					
7.2 Applied to provide paid-up annuities					
7.3 Other					
7.4 Totals (Sum of Lines 7.1 to 7.3)					
8. Grand Totals (Lines 6.5 plus 7.4)					
<b>DIRECT CLAIMS AND BENEFITS PAID</b>					
9. Death benefits	17,060,163		1,612,957		18,673,120
10. Matured endowments					
11. Annuity benefits	65,136,786		3,481,127		68,617,913
12. Surrender values and withdrawals for life contracts	302,188,983		24,909,143		327,098,126
13. Aggregate write-ins for miscellaneous direct claims and benefits paid					
14. All other benefits, except accident and health	30,851				30,851
15. Totals	384,416,783		30,003,227		414,420,010
<b>DETAILS OF WRITE-INS</b>					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page					
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)					

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary		Credit Life (Group and Individual)		Group		Industrial		Total	
	1	2	3	4	5	6	7	8	9	10
	No.	Amount	No. of Ind. Pol. & Gr. Certifs.	Amount	No. of Certifs.	Amount	No.	Amount	No.	Amount
16. Unpaid December 31, prior year	33	2,597,883							33	2,597,883
17. Incurred during current year	189	19,612,983							189	19,612,983
Settled during current year:										
18.1 By payment in full	180	18,703,970							180	18,703,970
18.2 By payment on compromised claims										
18.3 Totals paid	180	18,703,970							180	18,703,970
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements	180	18,703,970							180	18,703,970
19. Unpaid Dec. 31, current year (16+17-18.6)	42	3,506,897							42	3,506,897
<b>POLICY EXHIBIT</b>										
20. In force December 31, prior year	33,919	10,837,032,234	(a)		No. of Policies 10	54,996,180			33,929	10,892,028,414
21. Issued during year	996	524,591,932							996	524,591,932
22. Other changes to in force (Net)	(1,930)	(605,752,946)				(1,305,952)			(1,930)	(607,058,898)
23. In force December 31 of current year	32,985	10,755,871,220	(a)		10	53,690,228			32,995	10,809,561,448

(a) Includes Individual Credit Life Insurance prior year \$ \_\_\_\_\_, current year \$ \_\_\_\_\_  
 Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year \$ \_\_\_\_\_, current year \$ \_\_\_\_\_  
 Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year \$ \_\_\_\_\_, current year \$ \_\_\_\_\_

**ACCIDENT AND HEALTH INSURANCE**

	1	2	3	4	5
	Direct Premiums	Direct Premiums Earned	Dividends Paid Or Credited On Direct Business	Direct Losses Paid	Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Program premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies (b)					
24.4 Medicare Title XVIII exempt from state taxes or fees					
Other Individual Policies:					
25.1 Non-cancelable (b)	8,375,799	8,410,760		2,028,834	2,049,279
25.2 Guaranteed renewable (b)	10,784,993	10,789,576		11,691,572	11,809,392
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)	19,160,792	19,200,336		13,720,406	13,858,671
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)	19,160,792	19,200,336		13,720,406	13,858,671

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products .....0 and number of persons insured under indemnity only products .....0 .

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York

**EXHIBIT OF LIFE INSURANCE**

	Industrial		Ordinary		Credit Life (Group and Individual)		Group			10 Total Amount of Insurance (a)
	1	2	3	4	5	6	Number of		9	
	Number of Policies	Amount of Insurance (a)	Number of Policies	Amount of Insurance (a)	Number of Individual Policies and Group Certificates	Amount of Insurance (a)	7 Policies	8 Certificates	Amount of Insurance (a)	
1. In force end of prior year .....			50,244	10,920,859			10	688	57,018	10,977,877
2. Issued during year .....			996	524,592						524,592
3. Reinsurance assumed .....										
4. Revived during year .....			17	3,194						3,194
5. Increased during year (net) .....				18,762					1,152	19,915
6. Subtotals, Lines 2 to 5 .....			1,013	546,549					1,152	547,701
7. Additions by dividends during year .....	XXX		XXX		XXX		XXX	XXX		
8. Aggregate write-ins for increases .....										
9. Totals (Lines 1 and 6 to 8) .....			51,257	11,467,408			10	688	58,170	11,525,577
Deductions during year:										
10. Death .....			225	13,903			XXX	29	1,633	15,536
11. Maturity .....							XXX			
12. Disability .....							XXX			
13. Expiry .....			386	6,918						6,918
14. Surrender .....			1,023	278,191				3	94	278,285
15. Lapse .....			2,423	295,967				8	722	296,689
16. Conversion .....							XXX	XXX	XXX	
17. Decreased (net) .....				45,742					10	45,751
18. Reinsurance .....										
19. Aggregate write-ins for decreases .....										
20. Totals (Lines 10 to 19) .....			4,057	640,721				40	2,458	643,179
21. In force end of year (Line 9 minus Line 20) .....			47,200	10,826,687			10	648	55,712	10,882,399
22. Reinsurance ceded end of year .....	XXX		XXX	7,291,818	XXX		XXX	XXX	1,900	7,293,718
23. Line 21 minus Line 22 .....	XXX		XXX	3,534,869	XXX	(b)	XXX	XXX	53,812	3,588,681
<b>DETAILS OF WRITE-INS</b>										
0801. ....										
0802. ....										
0803. ....										
0898. Summary of remaining write-ins for Line 8 from overflow page .....										
0899. TOTALS (Lines 0801 thru 0803 plus 0898) (Line 8 above) .....										
1901. ....										
1902. ....										
1903. ....										
1998. Summary of remaining write-ins for Line 19 from overflow page .....										
1999. TOTALS (Lines 1901 thru 1903 plus 1998) (Line 19 above) .....										

(a) Amounts of life insurance in this exhibit shall be shown in thousands (omit 000)

(b) Group \$ ..... ; Individual \$ .....

**EXHIBIT OF LIFE INSURANCE (Continued)**

**ADDITIONAL INFORMATION ON INSURANCE IN FORCE END OF YEAR**

	Industrial		Ordinary	
	1 Number of Policies	2 Amount of Insurance (a)	3 Number of Policies	4 Amount of Insurance (a)
24. Additions by dividends .....	XXX		XXX	
25. Other paid-up insurance .....			216	2,254
26. Debit ordinary insurance .....	XXX	XXX		

**ADDITIONAL INFORMATION ON ORDINARY INSURANCE**

Term Insurance Excluding Extended Term Insurance	Issued During Year (Included in Line 2)		In Force End of Year (Included in Line 21)	
	1 Number of Policies	2 Amount of Insurance (a)	3 Number of Policies	4 Amount of Insurance (a)
27. Term policies - decreasing .....			97	1,695
28. Term policies - other .....	505	356,734	23,416	5,038,022
29. Other term insurance - decreasing .....	XXX		XXX	494
30. Other term insurance .....	XXX	58	XXX	3,906
31. Totals (Lines 27 to 30) .....	505	356,792	23,513	5,044,117
Reconciliation to Lines 2 and 21:				
32. Term additions .....	XXX		XXX	
33. Totals, extended term insurance .....	XXX	XXX	7	410
34. Totals, whole life and endowment .....	491	167,800	23,680	5,782,160
35. Totals (Lines 31 to 34) .....	996	524,592	47,200	10,826,687

**CLASSIFICATION OF AMOUNT OF INSURANCE (a) BY PARTICIPATING STATUS**

	Issued During Year (Included in Line 2)		In Force End of Year (Included in Line 21)	
	1 Non-Participating	2 Participating	3 Non-Participating	4 Participating
36. Industrial .....				
37. Ordinary .....	524,592		10,826,687	
38. Credit Life (Group and Individual) .....				
39. Group .....			55,712	
40. Totals (Lines 36 to 39) .....	524,592		10,882,399	

**ADDITIONAL INFORMATION ON CREDIT LIFE AND GROUP INSURANCE**

	Credit Life		Group	
	1 Number of Individual Policies and Group Certificates	2 Amount of Insurance (a)	3 Number of Certificates	4 Amount of Insurance (a)
41. Amount of insurance included in Line 2 ceded to other companies .....	XXX		XXX	
42. Number in force end of year if the number under shared groups is counted on a pro-rata basis .....		XXX	648	XXX
43. Federal Employees' Group Life Insurance included in Line 21 .....				
44. Servicemen's Group Life Insurance included in Line 21 .....				
45. Group Permanent Insurance included in Line 21 .....			648	55,712

**ADDITIONAL ACCIDENTAL DEATH BENEFITS**

46. Amount of additional accidental death benefits in force end of year under ordinary policies (a)	26,255
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**BASIS OF CALCULATION OF ORDINARY TERM INSURANCE**

47. State basis of calculation of (47.1) decreasing term insurance contained in Family Income, Mortgage Protection, etc., policies and riders and of (47.2) term insurance on wife and children under Family, Parent and Children, etc., policies and riders included above.
47.1 Actuarial Risk Amount .....
47.2 Family rider - spouse's age, \$1,000 each unit; Children's rider based on \$1,000 each unit.

**POLICIES WITH DISABILITY PROVISIONS**

Disability Provisions	Industrial		Ordinary		Credit		Group	
	1 Number of Policies	2 Amount of Insurance (a)	3 Number of Policies	4 Amount of Insurance (a)	5 Number of Policies	6 Amount of Insurance (a)	7 Number of Certificates	8 Amount of Insurance (a)
48. Waiver of Premium .....			5,931	1,542,591				
49. Disability Income .....								
50. Extended Benefits .....			XXX	XXX				
51. Other .....								
52. Total .....		(b)	5,931	(b) 1,542,591		(b)		(b)

(a) Amounts of life insurance in this exhibit shall be shown in thousands (omit 000)

(b) See Paragraph 9 of the Annual Audited Financial Reports in the General section of the annual statement instructions

**EXHIBIT OF NUMBER OF POLICIES, CONTRACTS, CERTIFICATES, INCOME PAYABLE AND ACCOUNT VALUES IN FORCE FOR SUPPLEMENTARY CONTRACTS, ANNUITIES, ACCIDENT & HEALTH AND OTHER POLICIES**

**SUPPLEMENTARY CONTRACTS**

	Ordinary		Group	
	1 Involving Life Contingencies	2 Not Involving Life Contingencies	3 Involving Life Contingencies	4 Not Involving Life Contingencies
1. In force end of prior year .....				
2. Issued during year .....				
3. Reinsurance assumed .....				
4. Increased during year (net) .....				
5. Total (Lines 1 to 4) .....				
Deductions during year:				
6. Decreased (net) .....				
7. Reinsurance ceded .....				
8. Totals (Lines 6 and 7) .....				
9. In force end of year .....				
10. Amount on deposit .....		(a)		(a)
11. Income now payable .....				
12. Amount of income payable .....	(a)	(a)	(a)	(a)

**NONE**

**ANNUITIES**

	Ordinary		Group	
	1 Immediate	2 Deferred	3 Contracts	4 Certificates
1. In force end of prior year .....	4,224	57,921	3	10,114
2. Issued during year .....	168	2,872		1
3. Reinsurance assumed .....				
4. Increased during year (net) .....				
5. Totals (Lines 1 to 4) .....	4,392	60,793	3	10,115
Deductions during year:				
6. Decreased (net) .....	298	3,871		807
7. Reinsurance ceded .....				
8. Totals (Lines 6 and 7) .....	298	3,871		807
9. In force end of year .....	4,094	56,922	3	9,308
Income now payable:				
10. Amount of income payable .....	(a) 18,907,588	XXX	XXX	(a)
Deferred fully paid:				
11. Account balance .....	XXX	(a) 4,353,015,879	XXX	(a) 347,018,830
Deferred not fully paid:				
12. Account balance .....	XXX	(a) 614,881,059	XXX	(a) 3,112,322

**ACCIDENT AND HEALTH INSURANCE**

	Group		Credit		Other	
	1 Certificates	2 Premiums in Force	3 Policies	4 Premiums in Force	5 Policies	6 Premiums in Force
1. In force end of prior year .....					14,523	20,213,412
2. Issued during year .....					155	370,335
3. Reinsurance assumed .....						
4. Increased during year (net) .....		XXX		XXX		XXX
5. Totals (Lines 1 to 4) .....		XXX		XXX	14,678	XXX
Deductions during year:						
6. Conversions .....		XXX	XXX	XXX	XXX	XXX
7. Decreased (net) .....		XXX		XXX	693	XXX
8. Reinsurance ceded .....		XXX		XXX		XXX
9. Totals (Lines 6 to 8) .....		XXX		XXX	693	XXX
10. In force end of year .....		(a)		(a)	13,985	(a) 19,719,738

**DEPOSIT FUNDS AND DIVIDEND ACCUMULATIONS**

	1	2
	Deposit Funds Contracts	Dividend Accumulations Contracts
1. In force end of prior year .....	3	
2. Issued during year .....		
3. Reinsurance assumed .....		
4. Increased during year (net) .....		
5. Totals (Lines 1 to 4) .....	3	
Deductions During Year:		
6. Decreased (net) .....	3	
7. Reinsurance ceded .....		
8. Totals (Lines 6 and 7) .....	3	
9. In force end of year .....		
10. Amount of account balance .....	(a)	(a)

(a) See Paragraph 9 of the Annual Audited Financial Reports in the General section of the annual statement instructions.

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York  
**FORM FOR CALCULATING THE INTEREST MAINTENANCE RESERVE**

**INTEREST MAINTENANCE RESERVE**

	1 Amount
1. Reserve as of December 31, Prior Year .....	10,238,639
2. Current year's realized pre-tax capital gains/(losses) of \$ .....1,020,295 transferred into the reserve net of taxes of \$ .....357,103 .....	663,192
3. Adjustment for current year's liability gains/(losses) released from the reserve .....	
4. Balance before reduction for amount transferred to Summary of Operations (Line 1 + Line 2 + Line 3) .....	10,901,831
5. Current year's amortization released to Summary of Operations (Amortization, Line 1, Column 4) .....	2,603,533
6. Reserve as of December 31, current year (Line 4 minus Line 5)	8,298,298

**AMORTIZATION**

Year of Amortization	1 Reserve as of December 31, Prior Year	2 Current Year's Realized Capital Gains/(Losses) Transferred into the Reserve Net of Taxes	3 Adjustment for Current Year's Liability Gains/(Losses) Released From the Reserve	4 Balance Before Reduction for Current Year's Amortization (Cols. 1 + 2 + 3)
1. 2012 .....	2,409,850	193,683		2,603,533
2. 2013 .....	1,742,697	204,107		1,946,804
3. 2014 .....	1,223,961	100,802		1,324,763
4. 2015 .....	831,322	75,037		906,359
5. 2016 .....	608,123	48,563		656,687
6. 2017 .....	491,098	20,872		511,969
7. 2018 .....	460,203	5,795		465,999
8. 2019 .....	459,216	4,685		463,900
9. 2020 .....	427,636	3,483		431,119
10. 2021 .....	371,666	2,277		373,943
11. 2022 .....	303,119	981		304,100
12. 2023 .....	234,831	326		235,158
13. 2024 .....	157,819	342		158,162
14. 2025 .....	111,815	353		112,168
15. 2026 .....	92,284	381		92,664
16. 2027 .....	76,330	391		76,722
17. 2028 .....	63,363	370		63,733
18. 2029 .....	50,451	295		50,746
19. 2030 .....	34,440	215		34,654
20. 2031 .....	24,629	139		24,769
21. 2032 .....	21,266	48		21,314
22. 2033 .....	16,292	5		16,297
23. 2034 .....	11,371	5		11,377
24. 2035 .....	7,958	6		7,963
25. 2036 .....	4,671	6		4,677
26. 2037 .....	1,824	6		1,831
27. 2038 .....	300	6		306
28. 2039 .....	103	5		107
29. 2040 .....		3		3
30. 2041 .....		2		2
31. 2042 and Later		1		1
32. Total (Lines 1 to 31)	10,238,639	663,192		10,901,831

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York

**ASSET VALUATION RESERVE**

	Default Component			Equity Component			7 Total Amount (Cols. 3 + 6)
	1 Other Than Mortgage Loans	2 Mortgage Loans	3 Total (Cols. 1 + 2)	4 Common Stock	5 Real Estate and Other Invested Assets	6 Total (Cols. 4 + 5)	
1. Reserve as of December 31, prior year .....	13,718,187	4,900,373	18,618,560				18,618,560
2. Realized capital gains/(losses) net of taxes - General Account .....	(10,760,525)		(10,760,525)				(10,760,525)
3. Realized capital gains/(losses) net of taxes - Separate Accounts .....							
4. Unrealized capital gains/(losses) net of deferred taxes - General Account .....	(26,025,456)		(26,025,456)		(468)	(468)	(26,025,924)
5. Unrealized capital gains/(losses) net of deferred taxes - Separate Accounts .....							
6. Capital gains credited/(losses charged) to contract benefits, payments or reserves .....							
7. Basic contribution .....	2,734,244	2,488,227	5,222,471				5,222,471
8. Accumulated balances (Lines 1 through 5 - 6 + 7) .....	(20,333,550)	7,388,600	(12,944,950)		(468)	(468)	(12,945,418)
9. Maximum reserve .....	12,752,095	7,539,040	20,291,135		13,277	13,277	20,304,413
10. Reserve objective .....	8,620,312	4,762,310	13,382,622		13,277	13,277	13,395,900
11. 20% of (Line 10 - Line 8) .....	5,790,772	(525,258)	5,265,515		2,749	2,749	5,268,264
12. Balance before transfers (Lines 8 + 11) .....	(14,542,778)	6,863,342	(7,679,436)		2,281	2,281	(7,677,154)
13. Transfers .....	3,431,671	(3,431,671)					XXX
14. Voluntary contribution .....							
15. Adjustment down to maximum/up to zero .....	11,111,107		11,111,107				11,111,107
16. Reserve as of December 31, current year (Lines 12 + 13 + 14 + 15)		3,431,671	3,431,671		2,281	2,281	3,433,953

**ASSET VALUATION RESERVE**  
**BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS**  
**DEFAULT COMPONENT**

Line Number	NAIC Designation	Description	1 Book/Adjusted Carrying Value	2 Reclassify Related Party Encumbrances	3 Add Third Party Encumbrances	4 Balance for AVR Reserve Calculations (Cols. 1 + 2 + 3)	Basic Contribution		Reserve Objective		Maximum Reserve	
							5 Factor	6 Amount (Cols.4 x 5)	7 Factor	8 Amount (Cols. 4 x 7)	9 Factor	10 Amount (Cols. 4 x 9)
LONG-TERM BONDS												
1.		Exempt Obligations .....	63,834,997	XXX	XXX	63,834,997	0.0000		0.0000		0.0000	
2.	1	Highest Quality .....	743,946,617	XXX	XXX	743,946,617	0.0004	297,579	0.0023	1,711,077	0.0030	2,231,840
3.	2	High Quality .....	790,002,525	XXX	XXX	790,002,525	0.0019	1,501,005	0.0058	4,582,015	0.0090	7,110,023
4.	3	Medium Quality .....	73,678,301	XXX	XXX	73,678,301	0.0093	685,208	0.0230	1,694,601	0.0340	2,505,062
5.	4	Low Quality .....	10,037,744	XXX	XXX	10,037,744	0.0213	213,804	0.0530	532,000	0.0750	752,831
6.	5	Lower Quality .....	795,732	XXX	XXX	795,732	0.0432	34,376	0.1100	87,530	0.1700	135,274
7.	6	In or Near Default .....	100	XXX	XXX	100	0.0000		0.2000	20	0.2000	20
8.		Total Unrated Multi-class Securities Acquired by Conversion .....		XXX	XXX		XXX		XXX		XXX	
9.		Total Bonds (Sum of Lines 1 through 8)	1,682,296,015	XXX	XXX	1,682,296,015	XXX	2,731,971	XXX	8,607,244	XXX	12,735,050
PREFERRED STOCK												
10.	1	Highest Quality .....		XXX	XXX		0.0004		0.0023		0.0030	
11.	2	High Quality .....		XXX	XXX		0.0019		0.0058		0.0090	
12.	3	Medium Quality .....		XXX	XXX		0.0093		0.0230		0.0340	
13.	4	Low Quality .....		XXX	XXX		0.0213		0.0530		0.0750	
14.	5	Lower Quality .....		XXX	XXX		0.0432		0.1100		0.1700	
15.	6	In or Near Default .....		XXX	XXX		0.0000		0.2000		0.2000	
16.		Affiliated Life with AVR .....		XXX	XXX		0.0000		0.0000		0.0000	
17.		Total Preferred Stocks (Sum of Lines 10 through 16)		XXX	XXX		XXX		XXX		XXX	
SHORT - TERM BONDS												
18.		Exempt Obligations .....		XXX	XXX		0.0000		0.0000		0.0000	
19.	1	Highest Quality .....		XXX	XXX		0.0004		0.0023		0.0030	
20.	2	High Quality .....		XXX	XXX		0.0019		0.0058		0.0090	
21.	3	Medium Quality .....		XXX	XXX		0.0093		0.0230		0.0340	
22.	4	Low Quality .....		XXX	XXX		0.0213		0.0530		0.0750	
23.	5	Lower Quality .....		XXX	XXX		0.0432		0.1100		0.1700	
24.	6	In or Near Default .....		XXX	XXX		0.0000		0.2000		0.2000	
25.		Total Short - Term Bonds (Sum of Lines 18 through 24)		XXX	XXX		XXX		XXX		XXX	

**ASSET VALUATION RESERVE (Continued)**  
**BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS**  
**DEFAULT COMPONENT**

Line Number	NAIC Designation	Description	1 Book/Adjusted Carrying Value	2 Reclassify Related Party Encumbrances	3 Add Third Party Encumbrances	4 Balance for AVR Reserve Calculations (Cols. 1 + 2 + 3)	Basic Contribution		Reserve Objective		Maximum Reserve	
							5 Factor	6 Amount (Cols. 4 x 5)	7 Factor	8 Amount (Cols. 4 x 7)	9 Factor	10 Amount (Cols. 4 x 9)
<b>DERIVATIVE INSTRUMENTS</b>												
26.		Exchange Traded .....		XXX	XXX		0.0004		0.0023		0.0030	
27.	1	Highest Quality .....	5,681,799	XXX	XXX	5,681,799	0.0004	2,273	0.0023	13,068	0.0030	17,045
28.	2	High Quality .....		XXX	XXX		0.0019		0.0058		0.0090	
29.	3	Medium Quality .....		XXX	XXX		0.0093		0.0230		0.0340	
30.	4	Low Quality .....		XXX	XXX		0.0213		0.0530		0.0750	
31.	5	Lower Quality .....		XXX	XXX		0.0432		0.1100		0.1700	
32.	6	In or Near Default .....		XXX	XXX		0.0000		0.2000		0.2000	
33.		Total Derivative Instruments .....	5,681,799	XXX	XXX	5,681,799	XXX	2,273	XXX	13,068	XXX	17,045
34.		Total (Lines 9 + 17 + 25 + 33) .....	1,687,977,815	XXX	XXX	1,687,977,815	XXX	2,734,244	XXX	8,620,312	XXX	12,752,095
<b>MORTGAGE LOANS</b>												
In Good Standing:												
35.		Farm Mortgages .....			XXX		0.0063 (a)		0.0120 (a)		0.0190 (a)	
36.		Residential Mortgages - Insured or Guaranteed .....			XXX		0.0003		0.0006		0.0010	
37.		Residential Mortgages - All Other .....			XXX		0.0013		0.0030		0.0040	
38.		Commercial Mortgages - Insured or Guaranteed .....			XXX		0.0003		0.0006		0.0010	
39.		Commercial Mortgages - All Other .....	156,174,938		XXX	156,174,938	0.0158 (a)	2,467,564	0.0302 (a)	4,716,483	0.0478 (a)	7,465,162
40.		In Good Standing With Restructured Terms .....	1,154,342		XXX	1,154,342	0.0179 (b)	20,663	0.0397 (b)	45,827	0.0640 (b)	73,878
Overdue, Not in Process:												
41.		Farm Mortgages .....			XXX		0.0420		0.0760		0.1200	
42.		Residential Mortgages - Insured or Guaranteed .....			XXX		0.0005		0.0012		0.0020	
43.		Residential Mortgages - All Other .....			XXX		0.0025		0.0058		0.0090	
44.		Commercial Mortgages - Insured or Guaranteed .....			XXX		0.0005		0.0012		0.0020	
45.		Commercial Mortgages - All Other .....			XXX		0.0420		0.0760		0.1200	
In Process of Foreclosure:												
46.		Farm Mortgages .....			XXX		0.0000		0.1700		0.1700	
47.		Residential Mortgages - Insured or Guaranteed .....			XXX		0.0000		0.0040		0.0040	
48.		Residential Mortgages - All Other .....			XXX		0.0000		0.0130		0.0130	
49.		Commercial Mortgages - Insured or Guaranteed .....			XXX		0.0000		0.0040		0.0040	
50.		Commercial Mortgages - All Other .....			XXX		0.0000		0.1700		0.1700	
51.		Total Schedule B Mortgages (Sum of Lines 35 through 50) .....	157,329,280		XXX	157,329,280	XXX	2,488,227	XXX	4,762,310	XXX	7,539,040
52.		Schedule DA Mortgages .....			XXX		(c)		(c)		(c)	
53.		Total Mortgage Loans on Real Estate (Lines 51 + 52) .....	157,329,280		XXX	157,329,280	XXX	2,488,227	XXX	4,762,310	XXX	7,539,040

(a) Times the company's experience adjustment factor (EAF).

(b) Column 9 is the greater of 6.4% without any EAF adjustments or a company's EAF adjusted In Good Standing (IGS) factor plus 150 basis points. Columns 5 and 7 are 28% and 62% respectively of Column 9.

(c) Determined using the same factors and breakdowns used for directly owned mortgage loans.

**ASSET VALUATION RESERVE**  
**BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS**  
**EQUITY AND OTHER INVESTED ASSET COMPONENT**

Line Number	NAIC Designation	Description	1 Book/Adjusted Carrying Value	2 Reclassify Related Party Encumbrances	3 Add Third Party Encumbrances	4 Balance for AVR Reserve Calculations (Cols. 1 + 2 + 3)	Basic Contribution		Reserve Objective		Maximum Reserve	
							5 Factor	6 Amount (Cols. 4 x 5)	7 Factor	8 Amount (Cols. 4 x 7)	9 Factor	10 Amount (Cols. 4 x 9)
<b>COMMON STOCK</b>												
1.		Unaffiliated - Public		XXX	XXX		0.0000		0.2000 (d)		0.2000 (d)	
2.		Unaffiliated - Private		XXX	XXX		0.0000		0.1600		0.1600	
3.		Federal Home Loan Bank		XXX	XXX		0.0000		0.0050		0.0080	
4.		Affiliated - Life with AVR		XXX	XXX		0.0000		0.0000		0.0000	
Affiliated - Investment Subsidiary:												
5.		Fixed Income - Exempt Obligations					XXX		XXX		XXX	
6.		Fixed Income - Highest Quality					XXX		XXX		XXX	
7.		Fixed Income - High Quality					XXX		XXX		XXX	
8.		Fixed Income - Medium Quality					XXX		XXX		XXX	
9.		Fixed Income - Low Quality					XXX		XXX		XXX	
10.		Fixed Income - Lower Quality					XXX		XXX		XXX	
11.		Fixed Income - In/Near Default					XXX		XXX		XXX	
12.		Unaffiliated Common Stock - Public					0.0000		0.1300 (d)		0.1300 (d)	
13.		Unaffiliated Common Stock - Private					0.0000		0.1600		0.1600	
14.		Mortgage Loans					(c)		(c)		(c)	
15.		Real Estate					(e)		(e)		(e)	
16.		Affiliated - Certain Other (See SVO Purposes and Procedures Manual)		XXX	XXX		0.0000		0.1300		0.1300	
17.		Affiliated - All Other		XXX	XXX		0.0000		0.1600		0.1600	
18.		Total Common Stock (Sum of Lines 1 through 17)					XXX		XXX		XXX	
<b>REAL ESTATE</b>												
19.		Home Office Property (General Account only)					0.0000		0.0750		0.0750	
20.		Investment Properties					0.0000		0.0750		0.0750	
21.		Properties Acquired in Satisfaction of Debt					0.0000		0.1100		0.1100	
22.		Total Real Estate (Sum of Lines 19 through 21)					XXX		XXX		XXX	
<b>OTHER INVESTED ASSETS</b>												
<b>INVESTMENTS WITH THE UNDERLYING CHARACTERISTICS OF BONDS</b>												
23.		Exempt Obligations		XXX	XXX		0.0000		0.0000		0.0000	
24.	1	Highest Quality		XXX	XXX		0.0004		0.0023		0.0030	
25.	2	High Quality		XXX	XXX		0.0019		0.0058		0.0090	
26.	3	Medium Quality		XXX	XXX		0.0093		0.0230		0.0340	
27.	4	Low Quality		XXX	XXX		0.0213		0.0530		0.0750	
28.	5	Lower Quality		XXX	XXX		0.0432		0.1100		0.1700	
29.	6	In or Near Default		XXX	XXX		0.0000		0.2000		0.2000	
30.		Total with Bond Characteristics (Sum of Lines 23 through 29)		XXX	XXX		XXX		XXX		XXX	

**ASSET VALUATION RESERVE (Continued)**  
**BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS**  
**EQUITY AND OTHER INVESTED ASSET COMPONENT**

Line Number	NAIC Designation	Description	1 Book/Adjusted Carrying Value	2 Reclassify Related Party Encumbrances	3 Add Third Party Encumbrances	4 Balance for AVR Reserve Calculations (Cols. 1 + 2 + 3)	Basic Contribution		Reserve Objective		Maximum Reserve	
							5 Factor	6 Amount (Cols.4 x 5)	7 Factor	8 Amount (Cols. 4 x 7)	9 Factor	10 Amount (Cols. 4 x 9)
INVESTMENTS WITH THE UNDERLYING CHARACTERISTICS OF PREFERRED STOCKS												
31.	1	Highest Quality .....		XXX	XXX		0.0004		0.0023		0.0030	
32.	2	High Quality .....		XXX	XXX		0.0019		0.0058		0.0090	
33.	3	Medium Quality .....		XXX	XXX		0.0093		0.0230		0.0340	
34.	4	Low Quality .....		XXX	XXX		0.0213		0.0530		0.0750	
35.	5	Lower Quality .....		XXX	XXX		0.0432		0.1100		0.1700	
36.	6	In or Near Default .....		XXX	XXX		0.0000		0.2000		0.2000	
37.		Affiliated Life with AVR .....		XXX	XXX		0.0000		0.0000		0.0000	
38.		Total with Preferred Stock Characteristics (Sum of Lines 31 through 37)		XXX	XXX		XXX		XXX		XXX	
INVESTMENTS WITH THE UNDERLYING CHARACTERISTICS OF MORTGAGE LOANS												
In Good Standing:												
39.		Farm Mortgages .....			XXX		0.0063 (a)		0.0120 (a)		0.0190 (a)	
40.		Residential Mortgages - Insured or Guaranteed .....			XXX		0.0003		0.0006		0.0010	
41.		Residential Mortgages - All Other .....		XXX	XXX		0.0013		0.0030		0.0040	
42.		Commercial Mortgages - Insured or Guaranteed .....			XXX		0.0003		0.0006		0.0010	
43.		Commercial Mortgages - All Other .....			XXX		0.0063 (a)		0.0120 (a)		0.0190 (a)	
44.		In Good Standing With Restructured Terms .....			XXX		0.2800 (b)		0.6200 (b)		1.0000 (b)	
Overdue, Not in Process:												
45.		Farm Mortgages .....			XXX		0.0420		0.0760		0.1200	
46.		Residential Mortgages - Insured or Guaranteed .....			XXX		0.0005		0.0012		0.0020	
47.		Residential Mortgages - All Other .....			XXX		0.0025		0.0058		0.0090	
48.		Commercial Mortgages - Insured or Guaranteed .....			XXX		0.0005		0.0012		0.0020	
49.		Commercial Mortgages - All Other .....			XXX		0.0420		0.0760		0.1200	
In Process of Foreclosure:												
50.		Farm Mortgages .....			XXX		0.0000		0.1700		0.1700	
51.		Residential Mortgages - Insured or Guaranteed .....			XXX		0.0000		0.0040		0.0040	
52.		Residential Mortgages - All Other .....			XXX		0.0000		0.0130		0.0130	
53.		Commercial Mortgages - Insured or Guaranteed .....			XXX		0.0000		0.0040		0.0040	
54.		Commercial Mortgages - All Other .....			XXX		0.0000		0.1700		0.1700	
55.		Total with Mortgage Loan Characteristics (Sum of Lines 39 through 54)			XXX		XXX		XXX		XXX	

**ASSET VALUATION RESERVE (Continued)**  
**BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS**  
**EQUITY AND OTHER INVESTED ASSET COMPONENT**

Line Number	NAIC Designation	Description	1 Book/Adjusted Carrying Value	2 Reclassify Related Party Encumbrances	3 Add Third Party Encumbrances	4 Balance for AVR Reserve Calculations (Cols. 1 + 2 + 3)	Basic Contribution		Reserve Objective		Maximum Reserve	
							5 Factor	6 Amount (Cols.4 x 5)	7 Factor	8 Amount (Cols. 4 x 7)	9 Factor	10 Amount (Cols. 4 x 9)
		INVESTMENTS WITH THE UNDERLYING CHARACTERISTICS OF COMMON STOCK										
56.		Unaffiliated Public .....		XXX	XXX		0.0000		0.2000 (d)		0.2000 (d)	
57.		Unaffiliated Private .....		XXX	XXX		0.0000		0.1600		0.1600	
58.		Affiliated Life with AVR .....		XXX	XXX		0.0000		0.0000		0.0000	
59.		Affiliated Certain Other (See SVO Purposes & Procedures Manual) .....		XXX	XXX		0.0000		0.1300		0.1300	
60.		Affiliated Other - All Other .....		XXX	XXX		0.0000		0.1600		0.1600	
61.		Total with Common Stock Characteristics (Sum of Lines 56 through 60)		XXX	XXX		XXX		XXX		XXX	
		INVESTMENTS WITH THE UNDERLYING CHARACTERISTICS OF REAL ESTATE										
62.		Home Office Property (General Account only) .....					0.0000		0.0750		0.0750	
63.		Investment Properties .....					0.0000		0.0750		0.0750	
64.		Properties Acquired in Satisfaction of Debt .....	120,704			120,704	0.0000		0.1100	13,277	0.1100	13,277
65.		Total with Real Estate Characteristics (Lines 62 through 64)	120,704			120,704	XXX		XXX	13,277	XXX	13,277
		LOW INCOME HOUSING TAX CREDIT INVESTMENTS										
66.		Guaranteed Federal Low Income Housing Tax Credit .....					0.0003		0.0006		0.0010	
67.		Non-guaranteed Federal Low Income Housing Tax Credit .....					0.0063		0.0120		0.0190	
68.		State Low Income Housing Tax Credit .....					0.0273		0.0600		0.0975	
69.		All Other Low Income Housing Tax Credit .....					0.0273		0.0600		0.0975	
70.		Total LIHTC					XXX		XXX		XXX	
		ALL OTHER INVESTMENTS										
71.		Other Invested Assets - Schedule BA .....		XXX			0.0000		0.1300		0.1300	
72.		Other Short-Term Invested Assets - Schedule DA .....		XXX			0.0000		0.1300		0.1300	
73.		Total All Other (Sum of Lines 71 + 72) .....		XXX			XXX		XXX		XXX	
74.		Total Other Invested Assets - Schedules BA & DA (Sum of Lines 30, 38, 55, 61, 65, 70 and 73)	120,704			120,704	XXX		XXX	13,277	XXX	13,277

(a) Times the company's experience adjustment factor (EAF).

(b) Column 9 is the greater of 6.4% without any EAF adjustments or a company's EAF adjusted In Good Standing (IGS) factor plus 150 basis points. Columns 5 and 7 are 28% and 62% respectively of Column 9.

(c) Determined using the same factors and breakdowns used for directly owned mortgage loans.

(d) Times the company's weighted average portfolio beta (Minimum .10, Maximum .20).

(e) Determined using the same factors and breakdowns used for directly owned real estate.

Asset Valuation Reserve Replications (Synthetic) Assets

**N O N E**

Schedule F - Claims

**N O N E**

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York

**SCHEDULE H - ACCIDENT AND HEALTH EXHIBIT**

	Total		Group Accident and Health		Credit Accident and Health (Group and Individual)		Collectively Renewable		Other Individual Contracts									
	1 Amount	2 %	3 Amount	4 %	5 Amount	6 %	7 Amount	8 %	Non-Cancelable		Guaranteed Renewable		Non-Renewable for Stated Reasons Only		Other Accident Only		All Other	
									9 Amount	10 %	11 Amount	12 %	13 Amount	14 %	15 Amount	16 %	17 Amount	18 %
<b>PART 1. - ANALYSIS OF UNDERWRITING OPERATIONS</b>																		
1. Premiums written .....	15,150,487	XXX		XXX		XXX		XXX	8,446,890	XXX	6,703,597	XXX		XXX		XXX		XXX
2. Premiums earned .....	15,224,640	XXX		XXX		XXX		XXX	8,512,644	XXX	6,711,996	XXX		XXX		XXX		XXX
3. Incurred claims .....	12,481,012	82.0							1,734,222	20.4	10,746,790	160.1						
4. Cost containment expenses .....																		
5. Incurred claims and cost containment expenses (Lines 3 and 4) .....	12,481,012	82.0							1,734,222	20.4	10,746,790	160.1						
6. Increase in contract reserves .....	5,671,406	37.3							(206,205)	(2.4)	5,877,611	87.6						
7. Commissions (a) .....	82,645	0.5							567,257	6.7	(484,612)	(7.2)						
8. Other general insurance expenses .....	2,405,121	15.8							1,269,075	14.9	1,136,046	16.9						
9. Taxes, licenses and fees .....	670,854	4.4							292,886	3.4	377,968	5.6						
10. Total other expenses incurred .....	3,158,620	20.7							2,129,218	25.0	1,029,402	15.3						
11. Aggregate write-ins for deductions .....																		
12. Gain from underwriting before dividends or refunds .....	(6,086,398)	(40.0)							4,855,409	57.0	(10,941,807)	(163.0)						
13. Dividends or refunds .....																		
14. Gain from underwriting after dividends or refunds .....	(6,086,398)	(40.0)							4,855,409	57.0	(10,941,807)	(163.0)						
<b>DETAILS OF WRITE-INS</b>																		
1101. ....																		
1102. ....																		
1103. ....																		
1198. Summary of remaining write-ins for Line 11 from overflow page .....																		
1199. Totals (Lines 1101 thru 1103 plus 1198)(Line 11 above)																		

(a) Includes \$ ..... reported as "Contract, membership and other fees retained by agents."

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York  
**SCHEDULE H - ACCIDENT AND HEALTH EXHIBIT (Continued)**

	1	2	3	4	Other Individual Contracts				
					5	6	7	8	9
	Total	Group Accident and Health	Credit Accident and Health (Group and Individual)	Collectively Renewable	Non-Cancelable	Guaranteed Renewable	Non-Renewable for Stated Reasons Only	Other Accident Only	All Other
<b>PART 2. - RESERVES AND LIABILITIES</b>									
<b>A. Premium Reserves:</b>									
1. Unearned premiums .....	2,724,792				407,554	2,317,238			
2. Advance premiums .....	238,213				89,631	148,582			
3. Reserve for rate credits .....									
4. Total premium reserves, current year .....	2,963,005				497,185	2,465,820			
5. Total premium reserves, prior year .....	164,060				23,877	140,183			
6. Increase in total premium reserves .....	2,798,945				473,308	2,325,637			
<b>B. Contract Reserves:</b>									
1. Additional reserves (a) .....	145,572,675				8,701,949	136,870,726			
2. Reserve for future contingent benefits .....									
3. Total contract reserves, current year .....	145,572,675				8,701,949	136,870,726			
4. Total contract reserves, prior year .....	139,901,269				8,908,154	130,993,115			
5. Increase in contract reserves .....	5,671,406				(206,205)	5,877,611			
<b>C. Claim Reserves and Liabilities:</b>									
1. Total current year .....	34,778,282				14,761,068	20,017,214			
2. Total prior year .....	33,134,680				15,020,735	18,113,945			
3. Increase .....	1,643,602				(259,667)	1,903,269			

<b>PART 3. - TEST OF PRIOR YEAR'S CLAIM RESERVES AND LIABILITIES</b>									
<b>1. Claims paid during the year:</b>									
1.1 On claims incurred prior to current year .....	10,106,049				1,993,889	8,112,161			
1.2 On claims incurred during current year .....	731,360					731,360			
<b>2. Claim reserves and liabilities, December 31, current year:</b>									
2.1 On claims incurred prior to current year .....	24,345,322				12,667,518	11,677,805			
2.2 On claims incurred during current year .....	10,432,959				2,093,550	8,339,409			
<b>3. Test:</b>									
3.1 Lines 1.1 and 2.1 .....	34,451,372				14,661,407	19,789,965			
3.2 Claim reserves and liabilities, December 31, prior year .....	33,134,680				15,020,735	18,113,945			
3.3 Line 3.1 minus Line 3.2 .....	1,316,692				(359,328)	1,676,020			

<b>PART 4. - REINSURANCE</b>									
<b>A. Reinsurance Assumed:</b>									
1. Premiums written .....									
2. Premiums earned .....									
3. Incurred claims .....									
4. Commissions .....									
<b>B. Reinsurance Ceded:</b>									
1. Premiums written .....	4,513,327					4,513,327			
2. Premiums earned .....	4,513,327					4,513,327			
3. Incurred claims .....	3,714,352					3,714,352			
4. Commissions .....	789,570					789,570			

(a) Includes \$ ..... premium deficiency reserve.

**SCHEDULE H - PART 5 - HEALTH CLAIMS**

	1 Medical	2 Dental	3 Other	4 Total
<b>A. Direct:</b>				
1. Incurred Claims .....			16,195,365	16,195,365
2. Beginning Claim Reserves and Liabilities .....			40,336,590	40,336,590
3. Ending Claim Reserves and Liabilities .....			42,811,549	42,811,549
4. Claims Paid			13,720,406	13,720,406
<b>B. Assumed Reinsurance:</b>				
5. Incurred Claims.....				
6. Beginning Claim Reserves and Liabilities .....				
7. Ending Claim Reserves and Liabilities .....				
8. Claims Paid				
<b>C. Ceded Reinsurance:</b>				
9. Incurred Claims.....			3,714,353	3,714,353
10. Beginning Claim Reserves and Liabilities .....			7,408,209	7,408,209
11. Ending Claim Reserves and Liabilities .....			8,222,615	8,222,615
12. Claims Paid			2,899,947	2,899,947
<b>D. Net:</b>				
13. Incurred Claims.....			12,481,012	12,481,012
14. Beginning Claim Reserves and Liabilities .....			32,928,381	32,928,381
15. Ending Claim Reserves and Liabilities .....			34,588,934	34,588,934
16. Claims Paid			10,820,459	10,820,459
<b>E. Net Incurred Claims and Cost Containment Expenses:</b>				
17. Incurred Claims and Cost Containment Expenses .....			12,481,012	12,481,012
18. Beginning Reserves and Liabilities .....			32,928,380	32,928,380
19. Ending Reserves and Liabilities .....			34,588,934	34,588,934
20. Paid Claims and Cost Containment Expenses			10,820,458	10,820,458

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York

**SCHEDULE S - PART 1 - SECTION 1**

Reinsurance Assumed Life Insurance, Annuities, Deposit Funds and Other Liabilities Without Life or Disability Contingencies, and Related Benefits Listed by Reinsured Company as of December 31, Current Year

1 NAIC Company Code	2 Federal ID Number	3 Effective Date	4 Name of Reinsured	5 Domiciliary Jurisdiction	6 Type of Reinsurance Assumed	7 Amount of In Force at End of Year	8 Reserve	9 Premiums	10 Reinsurance Payable on Paid and Unpaid Losses	11 Modified Coinsurance Reserve	12 Funds Withheld Under Coinsurance
0399999. Total General Account - Affiliates											
62944	13-5570651	07/01/1989	AXA EQUITABLE LIFE INS CO	NY	CO/L	41,564,675	6,142,591		64,181		
0499999. General Account - U.S. Non-Affiliates											
0699999. Total General Account - Non-Affiliates											
0799999. Total General Account											
1099999. Total Separate Accounts - Affiliates											
1399999. Total Separate Accounts - Non-Affiliates											
1499999. Total Separate Accounts											
1599999. Total U.S. (Sum of 0199999, 0499999, 0899999 and 1199999)											
1699999. Total Non-U.S. (Sum of 0299999, 0599999, 0999999 and 1299999)											
1799999 - Totals						41,564,675	6,142,591		64,181		

**SCHEDULE S - PART 1 - SECTION 2**

Reinsurance Assumed Accident and Health Insurance Listed by Reinsured Company as of December 31, Current Year

1 NAIC Company Code	2 Federal ID Number	3 Effective Date	4 Name of Reinsured	5 Domiciliary Jurisdiction	6 Type of Reinsurance Assumed	7 Premiums	8 Unearned Premiums	9 Reserve Liability Other Than for Unearned Premiums	10 Reinsurance Payable on Paid and Unpaid Losses	11 Modified Coinsurance Reserve	12 Funds Withheld Under Coinsurance
<b>NONE</b>											
0999999 - Totals											



ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York

**SCHEDULE S - PART 3 - SECTION 1**

Reinsurance Ceded Life Insurance, Annuities, Deposit Funds and Other Liabilities Without Life or Disability Contingencies, and Related Benefits Listed by Reinsuring Company as of December 31, Current Year

1 NAIC Company Code	2 Federal ID Number	3 Effective Date	4 Name of Company	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Amount in Force at End of Year	Reserve Credit Taken		10 Premiums	Outstanding Surplus Relief		13 Modified Coinsurance Reserve	14 Funds Withheld Under Coinsurance
							8 Current Year	9 Prior Year		11 Current Year	12 Prior Year		
0399999. Total General Account - Authorized Affiliates													
97071	13-3126819	05/01/2006	GENERALI USA LIFE REASSUR CO	MO.	YRT/I	179,691,892	671,841	491,438	278,866				
97071	13-3126819	05/01/2006	GENERALI USA LIFE REASSUR CO	MO.	CO/I	110,791,615	279,798	153,492	144,228				
88340	59-2859797	01/01/2011	HANNOVER LIFE REASSURANCE COMPANY OF AMERICA	FL.	CO/I	221,677,370	1,487,095	1,291,499	273,461				
88340	59-2859797	01/01/2011	HANNOVER LIFE REASSURANCE COMPANY OF AMERICA	FL.	YRT/I	21,286,091	54,585	2,876	13,227				
66346	58-0828824	06/01/2002	MUNICH AMER REASSUR CO	GA.	CO/I	640,153,294	5,621,614	5,214,508	862,790				
66346	58-0828824	07/01/1979	MUNICH AMER REASSUR CO	GA.	DIS/I		2,572	2,634					
66346	58-0828824	07/01/1979	MUNICH AMER REASSUR CO	GA.	YRT/I	472,978,029	1,310,599	1,271,268	531,988				
93572	43-1235868	06/01/2002	RGA REINS CO	MO.	CO/I	1,096,240,094	7,919,904	6,958,811	1,548,542				
93572	43-1235868	04/01/1990	RGA REINS CO	MO.	DIS/I		10,199	9,536	1,300				
93572	43-1235868	04/01/1990	RGA REINS CO	MO.	YRT/I	793,637,914	2,523,647	2,308,887	1,677,474				
87017	62-1003368	05/21/2012	SCOR GLOBAL LIFE RE INSURANCE COMPANY OF TEXAS	TX.	CO/I	80,708,994	88,003		89,880				
87017	62-1003368	05/21/2012	SCOR GLOBAL LIFE RE INSURANCE COMPANY OF TEXAS	TX.	YRT/I	13,871,403	28,795		5,867				
87572	23-2038295	08/18/2003	SCOTTISH RE US INC	DE.	YRT/I	259,166,494	701,592	680,531	271,680				
68713	84-0499703	06/01/2002	SECURITY LIFE OF DENVER INS CO	CO.	CO/I	210,208,374	2,750,789	2,745,476	316,209				
68713	84-0499703	11/15/2000	SECURITY LIFE OF DENVER INS CO	CO.	YRT/I	119,257,264	358,742	359,783	224,384				
82627	06-0839705	05/01/1985	SWISS RE LIFE & HEALTH AMER INC	CT.	CO/I	461,083,774	4,341,776	4,103,479	654,750				
82627	06-0839705	03/01/1985	SWISS RE LIFE & HEALTH AMER INC	CT.	DIS/I		10,463	9,832	4,864				
82627	06-0839705	10/01/1972	SWISS RE LIFE & HEALTH AMER INC	CT.	YRT/I	617,628,857	1,653,795	1,556,547	944,859				
70688	36-6071399	02/01/1993	TRANSAMERICA FINANCIAL LIFE INS CO	NY.	ACO/G		136,609	143,377					
70688	36-6071399	02/01/1993	TRANSAMERICA FINANCIAL LIFE INS CO	NY.	ACO/I		518,943	496,221	5,852				
70688	36-6071399	02/01/1993	TRANSAMERICA FINANCIAL LIFE INS CO	NY.	CO/G	1,900,000	5	4	11,077				
70688	36-6071399	02/01/1993	TRANSAMERICA FINANCIAL LIFE INS CO	NY.	CO/I	29,251,009	757,451	762,161	725,625				
70688	36-6071399	10/21/2005	TRANSAMERICA FINANCIAL LIFE INS CO	NY.	CO/I	1,431,280,694	6,960,181	5,518,738	1,751,753				
70688	36-6071399	05/01/2006	TRANSAMERICA FINANCIAL LIFE INS CO	NY.	YRT/I	346,990,593	941,433	843,223	421,394				
0499999. General Account - Authorized U.S. Non-Affiliates						7,107,803,755	39,130,431	34,924,321	10,760,050				
0699999. Total General Account - Authorized Non-Affiliates						7,107,803,755	39,130,431	34,924,321	10,760,050				
0799999. Total General Account Authorized						7,107,803,755	39,130,431	34,924,321	10,760,050				
1099999. Total General Account - Unauthorized Affiliates													
65676	35-0472300	06/01/2002	LINCOLN NATL LIFE INS CO	IN.	CO/I	110,469,508	1,386,716	1,369,614	156,885				
65676	35-0472300	09/01/1978	LINCOLN NATL LIFE INS CO	IN.	DIS/I		16,695	16,080	2,327				
65676	35-0472300	09/01/1978	LINCOLN NATL LIFE INS CO	IN.	YRT/I	75,444,424	196,262	210,400	194,803				
1199999. General Account - Unauthorized U.S. Non-Affiliates						185,913,932	1,599,673	1,596,094	354,015				
1399999. Total General Account - Unauthorized Non-Affiliates						185,913,932	1,599,673	1,596,094	354,015				
1499999. Total General Account Unauthorized						185,913,932	1,599,673	1,596,094	354,015				
1799999. Total General Account - Certified Affiliates													
2099999. Total General Account - Certified Non-Affiliates													
2199999. Total General Account Certified													
2299999. Total General Account Authorized, Unauthorized and Certified						7,293,717,687	40,730,104	36,520,415	11,114,065				
2599999. Total Separate Accounts - Authorized Affiliates													
2899999. Total Separate Accounts - Authorized Non-Affiliates													
2999999. Total Separate Accounts Authorized													
3299999. Total Separate Accounts - Unauthorized Affiliates													
3599999. Total Separate Accounts - Unauthorized Non-Affiliates													
3699999. Total Separate Accounts Unauthorized													
3999999. Total Separate Accounts - Certified Affiliates													
4299999. Total Separate Accounts - Certified Non-Affiliates													
4399999. Total Separate Accounts Certified													
4499999. Total Separate Accounts Authorized, Unauthorized and Certified													
4599999. Total U.S. (Sum of 0199999, 0499999, 0899999, 1199999, 1599999, 1899999, 2399999, 2699999, 3099999, 3399999, 3799999 and 4099999)						7,293,717,687	40,730,104	36,520,415	11,114,065				

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York

**SCHEDULE S - PART 3 - SECTION 1**

Reinsurance Ceded Life Insurance, Annuities, Deposit Funds and Other Liabilities Without Life or Disability Contingencies, and Related Benefits Listed by Reinsuring Company as of December 31, Current Year

1 NAIC Company Code	2 Federal ID Number	3 Effective Date	4 Name of Company	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Amount in Force at End of Year	Reserve Credit Taken		10 Premiums	Outstanding Surplus Relief		13 Modified Coinsurance Reserve	14 Funds Withheld Under Coinsurance	
							8 Current Year	9 Prior Year		11 Current Year	12 Prior Year			
4699999. Total Non-U.S. (Sum of 0299999, 0599999, 0999999, 1299999, 1699999, 1999999, 2499999, 2799999, 3199999, 3499999, 3899999 and 4199999)														
4799999 - Totals							7,293,717,687	40,730,104	36,520,415	11,114,065				

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York

**SCHEDULE S - PART 3 - SECTION 2**

Reinsurance Ceded Accident and Health Insurance Listed by Reinsuring Company as of December 31, Current Year

1 NAIC Company Code	2 Federal ID Number	3 Effective Date	4 Name of Company	5 Domiciliary Jurisdiction	6 Type	7 Premiums	8 Unearned Premiums (Estimated)	9 Reserve Credit Taken Other than for Unearned Premiums	10 Outstanding Surplus Relief		12 Modified Coinsurance Reserve	13 Funds Withheld Under Coinsurance
									10 Current Year	11 Prior Year		
0399999. Total General Account - Authorized Affiliates												
86258	13-2572994	08/16/2010	GENERAL RE LIFE CORP	CT	CO/L			3,010				
72990	22-2882416	01/01/1996	GENWORTH LIFE INS CO OF NY	NY	CO/L	4,513,327	2,659,497	90,894,117				
66346	58-0828824	08/16/2010	MUNICH AMER REASSUR CO	GA	CO/L			3,009				
0499999. General Account - Authorized U.S. Non-Affiliates						4,513,327	2,659,497	90,900,136				
0699999. Total General Account - Authorized Non-Affiliates						4,513,327	2,659,497	90,900,136				
0799999. Total General Account Authorized						4,513,327	2,659,497	90,900,136				
1099999. Total General Account - Unauthorized Affiliates												
1399999. Total General Account - Unauthorized Non-Affiliates												
1499999. Total General Account Unauthorized												
1799999. Total General Account - Certified Affiliates												
2099999. Total General Account - Certified Non-Affiliates												
2199999. Total General Account Certified												
2299999. Total General Account Authorized, Unauthorized and Certified						4,513,327	2,659,497	90,900,136				
2599999. Total Separate Accounts - Authorized Affiliates												
2899999. Total Separate Accounts - Authorized Non-Affiliates												
2999999. Total Separate Accounts Authorized												
3299999. Total Separate Accounts - Unauthorized Affiliates												
3599999. Total Separate Accounts - Unauthorized Non-Affiliates												
3699999. Total Separate Accounts Unauthorized												
3999999. Total Separate Accounts - Certified Affiliates												
4299999. Total Separate Accounts - Certified Non-Affiliates												
4399999. Total Separate Accounts Certified												
4499999. Total Separate Accounts Authorized, Unauthorized and Certified												
4599999. Total U.S. (Sum of 0199999, 0499999, 0899999, 1199999, 1599999, 1899999, 2399999, 2699999, 3099999, 3399999, 3799999 and 4099999)						4,513,327	2,659,497	90,900,136				
4699999. Total Non-U.S. (Sum of 0299999, 0599999, 0999999, 1299999, 1699999, 1999999, 2499999, 2799999, 3199999, 3499999, 3899999 and 4199999)												
4799999 - Totals						4,513,327	2,659,497	90,900,136				

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York

**SCHEDULE S - PART 4**

Reinsurance Ceded to Unauthorized Companies

1 NAIC Company Code	2 Federal ID Number	3 Effective Date	4 Name of Reinsurer	5 Reserve Credit Taken	6 Paid and Unpaid Losses Recoverable (Debit)	7 Other Debits	8 Total (Cols.5+6+7)	9 Letters of Credit	10 Letter of Credit Issuing or Confirming Bank (a)			13 Trust Agreements	14 Funds Deposited by and Withheld from Reinsurers	15 Other	16 Miscellaneous Balances (Credit)	17 Sum of Cols. 9+13+14+15 +16 but not in Excess of Col. 8
									10 American Bankers Association (ABA) Routing Number	11 Letter of Credit Code	12 Bank Name					
0399999	Total General Account - Life and Annuity Affiliates								XXX	XXX	XXX					
65676	35-0472300	09/01/1978	LINCOLN NATL LIFE INS CO	1,599,673	56,046		1,655,719	2,350,000	2	0001						1,655,719
0499999	General Account - Life and Annuity U.S. Non-Affiliates			1,599,673	56,046		1,655,719	2,350,000	XXX	XXX	XXX					1,655,719
0699999	Total General Account - Life and Annuity Non-Affiliates			1,599,673	56,046		1,655,719	2,350,000	XXX	XXX	XXX					1,655,719
0799999	Total General Account Life and Annuity			1,599,673	56,046		1,655,719	2,350,000	XXX	XXX	XXX					1,655,719
1099999	Total General Account - Accident and Health Affiliates								XXX	XXX	XXX					
1399999	Total General Account - Accident and Health Non-Affiliates								XXX	XXX	XXX					
1499999	Total General Account Accident and Health								XXX	XXX	XXX					
1599999	Total General Account			1,599,673	56,046		1,655,719	2,350,000	XXX	XXX	XXX					1,655,719
1899999	Total Separate Accounts - Affiliates								XXX	XXX	XXX					
2199999	Total Separate Accounts - Non-Affiliates								XXX	XXX	XXX					
2299999	Total Separate Accounts								XXX	XXX	XXX					
2399999	Total U.S. (Sum of 0199999, 0499999, 0899999, 1199999, 1699999 and 1999999)			1,599,673	56,046		1,655,719	2,350,000	XXX	XXX	XXX					1,655,719
2499999	Total Non-U.S. (Sum of 0299999, 0599999, 0999999, 1299999, 1799999 and 2099999)								XXX	XXX	XXX					
2599999	Total			1,599,673	56,046		1,655,719	2,350,000	XXX	XXX	XXX					1,655,719

(a)

Code	American Bankers Association (ABA) Routing Number	Bank Name
0001	026009593	BANK OF AMERICA, N.A.
0001	021000089	CITIBANK, N.A.
0001	026009179	CREDIT SUISSE AG
0001	021001033	DEUTSCHE BANK AG
0001	026014601	GOLDMAN SACHS BANK
0001	021000021	JPMORGAN CHASE BANK
0001	026014630	MORGAN STANLEY BANK, N.A.
0001	021000018	THE BANK OF NEW YORK MELLON
0001	071000152	THE NORTHERN TRUST COMPANY
0001	026007993	UBS AG, STAMFORD, CT
0001	121000248	WELLS FARGO BANK, N.A.
0001	091000022	US BANK NA

Schedule S - Part 5

**N O N E**

Schedule S - Part 5 - Bank Footnote

**N O N E**

**SCHEDULE S - PART 6**

Five Year Exhibit of Reinsurance Ceded Business  
(000 OMITTED)

	1 2012	2 2011	3 2010	4 2009	5 2008
<b>A. OPERATIONS ITEMS</b>					
1. Premiums and annuity considerations for life and accident and health contracts .....	15,627	14,533	14,042	13,659	12,327
2. Commissions and reinsurance expense allowances .....	2,187	2,233	2,218	2,271	
3. Contract claims .....	7,514	5,827	3,228	4,473	4,141
4. Surrender benefits and withdrawals for life contracts .....					
5. Dividends to policyholders .....					
6. Reserve adjustments on reinsurance ceded .....					0
7. Increase in aggregate reserve for life and accident and health contracts .....	8,440	9,877	11,685	10,212	11,240
<b>B. BALANCE SHEET ITEMS</b>					
8. Premiums and annuity considerations for life and accident and health contracts deferred and uncollected .....	243	247	276	276	208
9. Aggregate reserves for life and accident and health contracts .....	134,290	123,190	113,313	101,202	90,036
10. Liability for deposit-type contracts .....					
11. Contract claims unpaid .....	831	561	721	1,376	640
12. Amounts recoverable on reinsurance .....	523	406	232	129	116
13. Experience rating refunds due or unpaid .....					0
14. Policyholders' dividends (not included in Line 10) .....					
15. Commissions and reinsurance expense allowances due .....					
16. Unauthorized reinsurance offset .....					
17. Offset for reinsurance with Certified Reinsurers .....		XXX	XXX	XXX	XXX
<b>C. UNAUTHORIZED REINSURANCE (DEPOSITS BY AND FUNDS WITHHELD FROM)</b>					
18. Funds deposited by and withheld from (F) .....					
19. Letters of credit (L) .....	2,350	2,350	2,300	2,250	2,100
20. Trust agreements (T) .....					
21. Other (O) .....					
<b>D. REINSURANCE WITH CERTIFIED REINSURERS (DEPOSITS BY AND FUNDS WITHHELD FROM)</b>					
22. Multiple Beneficiary Trust .....		XXX	XXX	XXX	XXX
23. Funds deposited by and withheld from (F) .....		XXX	XXX	XXX	XXX
24. Letters of credit (L) .....		XXX	XXX	XXX	XXX
25. Trust agreements (T) .....		XXX	XXX	XXX	XXX
26. Other (O) .....		XXX	XXX	XXX	XXX

**SCHEDULE S - PART 7**

## Restatement of Balance Sheet to Identify Net Credit for Ceded Reinsurance

	1 As Reported (net of ceded)	2 Restatement Adjustments	3 Restated (gross of ceded)
<b>ASSETS (Page 2, Col. 3)</b>			
1. Cash and invested assets (Line 12) .....	1,970,408,189		1,970,408,189
2. Reinsurance (Line 16) .....	523,477	(523,477)	
3. Premiums and considerations (Line 15) .....	458,641	243,479	702,120
4. Net credit for ceded reinsurance .....	XXX	137,103,849	137,103,849
5. All other admitted assets (balance) .....	63,362,255	(2,099,376)	61,262,879
6. Total assets excluding Separate Accounts (Line 26) .....	2,034,752,561	134,724,475	2,169,477,037
7. Separate Account assets (Line 27) .....	3,787,175,379		3,787,175,379
8. Total assets (Line 28) .....	5,821,927,940	134,724,475	5,956,652,416
<b>LIABILITIES, CAPITAL AND SURPLUS (Page 3)</b>			
9. Contract reserves (Lines 1 and 2) .....	1,856,330,405	134,289,738	1,990,620,143
10. Liability for deposit-type contracts (Line 3) .....	3,722,419		3,722,419
11. Claim reserves (Line 4) .....	4,662,121	831,355	5,493,476
12. Policyholder dividends/reserves (Lines 5 through 7) .....			
13. Premium & annuity considerations received in advance (Line 8) .....	357,636		357,636
14. Other contract liabilities (Line 9) .....	8,813,055	(396,618)	8,416,437
15. Reinsurance in unauthorized companies (Line 24.02 minus inset amount) .....			
16. Funds held under reinsurance treaties with unauthorized reinsurers (Line 24.03 minus inset amount) .....			
17. Reinsurance with Certified Reinsurers (Line 24.02 inset amount) .....			
18. Funds held under reinsurance treaties with Certified Reinsurers (Line 24.03 inset amount) .....			
19. All other liabilities (balance) .....	(91,666,527)		(91,666,527)
20. Total liabilities excluding Separate Accounts (Line 26) .....	1,782,219,108	134,724,475	1,916,943,584
21. Separate Account liabilities (Line 27) .....	3,786,931,205		3,786,931,205
22. Total liabilities (Line 28) .....	5,569,150,313	134,724,475	5,703,874,789
23. Capital & surplus (Line 38) .....	252,777,627	XXX	252,777,627
24. Total liabilities, capital & surplus (Line 39) .....	5,821,927,940	134,724,475	5,956,652,416
<b>NET CREDIT FOR CEDED REINSURANCE</b>			
25. Contract reserves .....	134,289,738		
26. Claim reserves .....	831,355		
27. Policyholder dividends/reserves .....			
28. Premium & annuity considerations received in advance .....			
29. Liability for deposit-type contracts .....			
30. Other contract liabilities .....	(396,618)		
31. Reinsurance ceded assets .....	523,477		
32. Other ceded reinsurance recoverables .....	2,099,376		
33. Total ceded reinsurance recoverables .....	137,347,328		
34. Premiums and considerations .....	243,479		
35. Reinsurance in unauthorized companies .....			
36. Funds held under reinsurance treaties with unauthorized reinsurers .....			
37. Reinsurance with Certified Reinsurers .....			
38. Funds held under reinsurance treaties with Certified Reinsurers .....			
39. Other ceded reinsurance payables/offsets .....			
40. Total ceded reinsurance payable/offsets .....	243,479		
41. Total net credit for ceded reinsurance .....	137,103,849		

**ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York**  
**SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS**

Allocated by States and Territories

States, Etc.	1	Life Contracts		Direct Business Only			7
		2	3	4	5	6	
	Active Status	Life Insurance Premiums	Annuity Considerations	Accident and Health Insurance Premiums, Including Policy, Membership and Other Fees	Other Considerations	Total Columns 2 through 5	Deposit-Type Contracts
1. Alabama	AL	N	25,457	31,000	13,824	70,281	
2. Alaska	AK	N	2,521	950	1,631	5,102	
3. Arizona	AZ	N	81,798	45,475	68,828	196,101	
4. Arkansas	AR	N	5,276	18,825	2,786	26,887	
5. California	CA	N	622,067	647,803	160,899	1,430,769	
6. Colorado	CO	N	154,881	72,275	27,596	254,752	
7. Connecticut	CT	N	1,005,243	2,573,923	267,113	3,846,279	
8. Delaware	DE	N	49,989	7,980	11,204	69,174	
9. District of Columbia	DC	N	55,418	9,275	12,272	76,965	
10. Florida	FL	N	1,248,732	2,334,369	797,198	4,380,299	250,718
11. Georgia	GA	N	191,134	108,992	85,628	385,754	
12. Hawaii	HI	N	23,729	8,410	18,448	50,587	
13. Idaho	ID	N	2,400	1,800	6,298	10,498	
14. Illinois	IL	N	116,756	36,232	44,199	197,187	
15. Indiana	IN	N	38,168	4,836	7,340	50,344	
16. Iowa	IA	N	10,891		5,848	16,739	
17. Kansas	KS	N	5,118	8,500	1,703	15,320	
18. Kentucky	KY	N	38,166	956,900	12,137	1,007,203	
19. Louisiana	LA	N	35,203	19,664	9,522	64,389	
20. Maine	ME	N	18,625	165,717	13,098	197,440	
21. Maryland	MD	N	318,428	30,442	81,503	430,373	
22. Massachusetts	MA	N	242,912	320,271	107,358	670,541	
23. Michigan	MI	N	70,197	8,750	15,373	94,320	
24. Minnesota	MN	N	97,005	12,581	32,686	142,272	
25. Mississippi	MS	N	12,613	2,400	3,509	18,522	
26. Missouri	MO	N	27,248	2,904	8,135	38,287	
27. Montana	MT	N	1,200		2,495	3,695	
28. Nebraska	NE	N	37		4,563	4,600	
29. Nevada	NV	N	37,710	275,646	28,002	341,358	
30. New Hampshire	NH	N	69,123	18,675	41,040	128,837	
31. New Jersey	NJ	N	2,504,767	3,228,829	587,311	6,320,907	
32. New Mexico	NM	N	39,640	151,747	24,377	215,764	
33. New York	NY	L	55,968,007	333,793,015	15,957,124	405,718,145	882,837
34. North Carolina	NC	N	457,450	1,008,197	167,312	1,632,960	
35. North Dakota	ND	N	1,695			1,695	
36. Ohio	OH	N	98,645	13,125	22,756	134,526	
37. Oklahoma	OK	N	1,803	150	4,045	5,998	
38. Oregon	OR	N	62,357	127,265	13,419	203,041	
39. Pennsylvania	PA	N	544,993	655,769	116,575	1,317,338	
40. Rhode Island	RI	N	38,169	51,690	17,757	107,616	
41. South Carolina	SC	N	219,029	208,503	64,319	491,850	
42. South Dakota	SD	N					
43. Tennessee	TN	N	71,078	115,826	36,580	223,484	
44. Texas	TX	N	127,979	197,231	67,262	392,471	
45. Utah	UT	N	38,855	136,400	7,032	182,287	
46. Vermont	VT	N	108,218	11,500	23,917	143,635	
47. Virginia	VA	N	297,803	117,945	132,045	547,793	
48. Washington	WA	N	59,574	22,450	9,548	91,572	
49. West Virginia	WV	N	10,835	3,050	3,023	16,909	
50. Wisconsin	WI	N	14,353	3,464	5,524	23,341	
51. Wyoming	WY	N			269	269	
52. American Samoa	AS	N					
53. Guam	GU	N					
54. Puerto Rico	PR	N	6,668		1,264	7,932	
55. U.S. Virgin Islands	VI	N	6,020			6,020	
56. Northern Mariana Islands	MP	N					
57. Canada	CAN	N	4,407		5,195	9,602	
58. Aggregate Other Alien	OT	XXX	23,029	69,860	1,902	94,791	
59. Subtotal	(a) 1		65,313,418	347,640,611	19,160,792	432,114,821	1,133,555
90. Reporting entity contributions for employee benefits plans	XXX						
91. Dividends or refunds applied to purchase paid-up additions and annuities	XXX						
92. Dividends or refunds applied to shorten endowment or premium paying period	XXX						
93. Premium or annuity considerations waived under disability or other contract provisions	XXX		209,772		537,630	747,402	
94. Aggregate or other amounts not allocable by State	XXX						
95. Totals (Direct Business)	XXX		65,523,190	347,640,611	19,698,422	432,862,223	1,133,555
96. Plus reinsurance assumed	XXX						
97. Totals (All Business)	XXX		65,523,190	347,640,611	19,698,422	432,862,223	1,133,555
98. Less reinsurance ceded	XXX		11,111,423	5,852	4,513,327	15,630,602	
99. Totals (All Business) less Reinsurance Ceded	XXX		54,411,767	347,634,759	(b) 15,185,095	417,231,621	1,133,555
<b>DETAILS OF WRITE-INS</b>							
58001. Military	XXX			69,260		69,260	
58002. Other foreign	XXX		23,029	600	1,902	25,531	
58003.	XXX						
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX						
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX		23,029	69,860	1,902	94,791	
9401.	XXX						
9402.	XXX						
9403.	XXX						
9498. Summary of remaining write-ins for Line 94 from overflow page	XXX						
9499. Totals (Lines 9401 through 9403 plus 9498)(Line 94 above)	XXX						

(L) Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) Registered - Non-domiciled RRGs; (Q) Qualified - Qualified or Accredited Reinsurer; (E) Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) None of the above - Not allowed to write business in the state.

Explanation of basis of allocation by states, etc., of premiums and annuity considerations

Premiums are allocated to the states based on the location of the contract holder

(a) Insert the number of L responses except for Canada and Other Alien.

(b) Column 4 should balance with Exhibit 1, Lines 6.4, 10.4, and 16.4, Cols. 8, 9, 10, or with Schedule H, Part 1, Line 1, indicate which: Exhibit 1, Lines 6.4, 10.4, and 16.4, Cols. 8, 9, 10.

**SCHEDULE T - PART 2  
INTERSTATE COMPACT - EXHIBIT OF PREMIUMS WRITTEN**

Allocated by States and Territories

States, Etc.		Direct Business Only					Totals
		1 Life (Group and Individual)	2 Annuities (Group and Individual)	3 Disability Income (Group and Individual)	4 Long-Term Care (Group and Individual)	5 Deposit-Type Contracts	
1. Alabama	AL	25,457	31,000	12,209	1,615		70,281
2. Alaska	AK	2,521	950	1,631			5,102
3. Arizona	AZ	81,798	45,475	8,950	59,877		196,100
4. Arkansas	AR	5,276	18,825		2,786		26,887
5. California	CA	622,067	647,803	84,979	75,919		1,430,768
6. Colorado	CO	154,881	72,275	8,387	19,209		254,752
7. Connecticut	CT	1,005,243	2,573,923	150,955	116,158		3,846,279
8. Delaware	DE	49,989	7,980	4,833	6,371		69,173
9. District of Columbia	DC	55,418	9,275	7,109	5,163		76,965
10. Florida	FL	1,248,732	2,334,369	95,112	702,086	250,718	4,631,018
11. Georgia	GA	191,134	108,992	32,107	53,520		385,753
12. Hawaii	HI	23,729	8,410	9,531	8,917		50,587
13. Idaho	ID	2,400	1,800	6,298			10,498
14. Illinois	IL	116,756	36,232	25,236	18,963		197,187
15. Indiana	IN	38,168	4,836	1,127	6,213		50,343
16. Iowa	IA	10,891		1,286	4,561		16,738
17. Kansas	KS	5,118	8,500	493	1,210		15,321
18. Kentucky	KY	38,166	956,900	814	11,322		1,007,202
19. Louisiana	LA	35,203	19,664	2,768	6,753		64,388
20. Maine	ME	18,625	165,717	449	12,649		197,440
21. Maryland	MD	318,428	30,442	32,502	49,001		430,373
22. Massachusetts	MA	242,912	320,271	38,703	68,655		670,541
23. Michigan	MI	70,197	8,750	5,137	10,236		94,320
24. Minnesota	MN	97,005	12,581	20,576	12,109		142,271
25. Mississippi	MS	12,613	2,400	3,509			18,522
26. Missouri	MO	27,248	2,904	777	7,358		38,287
27. Montana	MT	1,200		375	2,120		3,695
28. Nebraska	NE	37		1,033	3,530		4,600
29. Nevada	NV	37,710	275,646	7,250	20,753		341,359
30. New Hampshire	NH	69,123	18,675	19,802	21,238		128,838
31. New Jersey	NJ	2,504,767	3,228,829	415,040	172,272		6,320,907
32. New Mexico	NM	39,640	151,747	683	23,695		215,765
33. New York	NY	55,968,007	333,793,015	7,150,078	8,807,046	882,837	406,600,982
34. North Carolina	NC	457,450	1,008,197	44,926	122,386		1,632,960
35. North Dakota	ND	1,695					1,695
36. Ohio	OH	98,645	13,125	13,365	9,391		134,526
37. Oklahoma	OK	1,803	150	4,045			5,998
38. Oregon	OR	62,357	127,265	4,974	8,445		203,042
39. Pennsylvania	PA	544,993	655,769	47,700	68,875		1,317,337
40. Rhode Island	RI	38,169	51,690	3,467	14,291		107,617
41. South Carolina	SC	219,029	208,503	9,788	54,530		491,850
42. South Dakota	SD						
43. Tennessee	TN	71,078	115,826	6,012	30,568		223,484
44. Texas	TX	127,979	197,231	24,501	42,761		392,472
45. Utah	UT	38,855	136,400	1,965	5,067		182,287
46. Vermont	VT	108,218	11,500	7,613	16,304		143,635
47. Virginia	VA	297,803	117,945	39,851	92,193		547,792
48. Washington	WA	59,574	22,450	7,010	2,537		91,571
49. West Virginia	WV	10,835	3,050	2,256	767		16,908
50. Wisconsin	WI	14,353	3,464	3,485	2,038		23,340
51. Wyoming	WY				269		269
52. American Samoa	AS						
53. Guam	GU						
54. Puerto Rico	PR	6,668		1,264			7,932
55. U.S. Virgin Islands	VI	6,020					6,020
56. Northern Mariana Islands	MP						
57. Canada	CAN	4,407		1,931	3,266		9,604
58. Aggregate Other Alien	OT	23,029	69,860	1,907			94,796
59. Total		65,313,418	347,640,611	8,375,799	10,784,993	1,133,555	433,248,376

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF  
INSURER MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 - ORGANIZATIONAL CHART**

	FEIN	STATE	NAIC
Ameriprise Financial, Inc.....	13-3180631		
- Ameriprise Advisor Capital, LLC.....	27-0544454		
- Ameriprise Bank, FSB.....	06-1791061		
- Ameriprise Capital Trust I.....	45-6157641		
- Ameriprise Capital Trust II.....	45-6157643		
- Ameriprise Capital Trust III.....	45-6157644		
- Ameriprise Capital Trust IV.....	45-6157645		
- Ameriprise Captive Insurance Company.....	20-5761939	VT	12852
- Ameriprise Certificate Company.....	41-6009975		
- Investors Syndicate Development Corporation.....	41-0951695		
- Ameriprise Holdings, Inc.....	26-3878824		
- 201 Eleventh Street South, LLC.....	45-5464028		
- Ameriprise India Insurance Brokers Services Private Limited (19%).....			
- Ameriprise India Private Limited.....			
- Ameriprise India Insurance Brokers Services Private Limited (7%).....			
- Ameriprise Trust Company.....	41-6219335		
- AMPF Holding Corporation.....	38-2722519		
- American Enterprise Investment Services Inc.....	41-1667086		
- Ameriprise Financial Services, Inc.....	41-0973005		
- AMPF Property Corporation.....	38-3050688		
- AMPF Realty Corporation.....	38-3050690		
- IDS Property Casualty Insurance Company.....	39-1173498	WI	29068
- Ameriprise Auto & Home Insurance Agency, Inc.....	82-0541142	WI	
- Ameriprise Insurance Company.....	65-1261374	WI	12504
- RiverSource Distributors, Inc.....	42-1690915		
- RiverSource Life Insurance Company.....	41-0823832	MN	65005
- RiverSource Life Insurance Co. of New York.....	41-0987741	NY	80594
- RiverSource NY REO, LLC.....	27-0380139		
- RiverSource REO 1, LLC.....	26-4164569		
- RiverSource Tax Advantaged Investments, Inc.....	13-6178563		
- AEXP Affordable Housing Portfolio LLC.....	41-1977631		
- CREA Corporate Tax Credit Fund XXVIII, LLC.....			
- Columbia Management Investment Advisers, LLC.....	41-1533211		
- Advisory Capital Strategies Group, Inc.....	41-1624224		
- Columbia Focused Large Cap Growth Private Fund, LLC (Private Invest Fund).....			
- Columbia Institutional High Yield Fixed Income Private Fund LLC.....	45-5077345		
- Columbia U.S. Contrarian Core Equity Private Fund, LLC.....			
- Columbia Commodity Strategy Fund (mutual funds).....	45-2433414		
- Columbia Concentrated Large Cap Value Strategy Fund (ETF FUNDS).....	26-3994533		
- Columbia Core Bond Strategy Fund (ETF FUNDS).....	27-1082858		
- Columbia Intermediate Municipal Bond Strategy Fund (ETF FUNDS).....	27-1082673		
- Columbia Large Cap Growth Equity Strategy Fund (ETF FUNDS).....	27-0473870		
- Columbia Marsico Global Fund (mutual funds).....	04-3400204		
- Columbia Short Duration High Yield Private (Master) Fund.....	98-1046237		
- Columbia VP - Select Large Cap Growth (mutual funds).....	26-2959622		
- Columbia Wanger Asset Management, LLC.....	04-3519872		
- GA Legacy, LLC.....	26-2639148		
- J. & W. Seligman & Co. Incorporated.....	13-3043476		
- Columbia Management Investment Distributors, Inc.....	13-3043478		
- Columbia Research Partners LLC.....	27-2466899		
- Columbia Research Market Neutral, LP (Private Invest Fund).....	26-2795674		
- Seligman Focus Partners LLC.....	20-8274688		
- Seligman Global Technology Partners LLC.....			
- Seligman Health Partners LLC.....	20-2357120		
- Seligman Health Plus Partners LLC.....	20-5704686		
- Seligman Health Spectrum Plus, LLC.....	20-5704686		
- Seligman Partners LLC.....	13-4200160		
- Seligman Tech Spectrum Fund, LLC.....	13-4200160		
- RiverSource CDO Seed Investments, LLC.....	87-0812264		
- WAM Acquisition GP, Inc.....	04-3519867		
- Columbia Management Investment Services Corp.....	41-1861053		
- Threadneedle Asset Management Holdings Sarl.....			
- TAM Investment Ltd.....			
- Threadneedle Asset Management (Australia) Pty Ltd.....			
- Threadneedle International Investments GmbH (35%).....			
- Threadneedle Investments Singapore (Pte.) Ltd.....			
- Threadneedle Investments Taiwan, Ltd.....			
- Threadneedle Management Luxembourg S.A.....			
- Threadneedle Portfolio Services Hong Kong Ltd.....			

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF  
INSURER MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 - ORGANIZATIONAL CHART**

	-	Threadneedle Asset Management UK Ltd.....	
		- TAM UK Holdings Ltd.....	
		- Threadneedle Asset Management Holdings Ltd.....	
		- Cofund Holdings Ltd.....	
		- Global Opportunities Bond Fund (Threadneedle (Lux) SICAV).....	
		- Threadneedle Asset Management (Nominees) Ltd.....	
		- Sackville TIPP Property (GP) Ltd.....	
		- Threadneedle Asset Management Finance Ltd.....	
		- European Property Fund sub-fund of TIPP SICAV.....	
		- TMA Umbrella Fund SIF.....	
		- TMS Investment Ltd.....	
		- Threadneedle Holdings Limited.....	
		- Threadneedle Asset Management Ltd.....	98-0691981
		- Threadneedle Investment Services Ltd.....	98-0691982
		- Threadneedle International Ltd.....	
		- Threadneedle Investments Ltd.....	
		- Threadneedle Investments (Channel Islands) Ltd.....	
		- Threadneedle Management Services Ltd.....	
		- Threadneedle Securities Ltd.....	
		- Threadneedle Navigator ISA Manager Ltd.....	
		- Threadneedle Pensions Ltd.....	
		- Sackville (TPEN) (75%).....	
		- Threadneedle Portfolio Services Ltd.....	
		- Threadneedle Portfolio Services AG.....	
		- Threadneedle International Investments GmbH (65%).....	
		- Threadneedle Property Investments Ltd.....	
		- Sackville LCW (GP) Ltd.....	
		- Sackville Property (GP) Ltd.....	
		- Sackville SPF IV (GP) No. 1 Ltd.....	
		- Sackville SPF IV (GP) No. 2 Ltd.....	
		- Sackville SPF IV (GP) No. 3 Ltd.....	
		- Sackville SPF IV Property (GP) Ltd.....	
		- Threadneedle Strategic Property Fund IV (TN Prop Fund).....	
		- Sackville Tandem Property (GP) Ltd.....	
		- Sackville TPEN Property (GP) Ltd.....	
		- Sackville TPEN Property Nominee Ltd.....	
		- Sackville (TPEN) (25%).....	
		- Threadneedle Pensions Property Partnership 1 (TN Prop Fund).....	
		- Threadneedle Pensions Property Partnership 2 (TN Prop Fund).....	
		- Sackville TSP Property (GP) Ltd.....	
		- Threadneedle Strategic Property (Eagle Star) Fund (TN Prop Fund).....	
		- Threadneedle Strategic Property (Nearhearth) Fund (TN Prop Fund).....	
		- Threadneedle Strategic Property (Parcelgate) Fund (TN Prop Fund).....	
		- Threadneedle Tandem Property Fund (TN Prop Fund).....	
		- Threadneedle UK Opportunities Property II Fund (TN Prop Fund).....	
		- Sackville UK Property Select II (GP) Ltd.....	
		- Sackville UK Property Select II (GP) No. 1 Ltd.....	
		- Sackville UK Property Select II Nominee (1) Ltd.....	
		- Sackville UKPEC 1 Leeds (GP) Ltd.....	
		- Sackville UKPEC2 Galahad (GP) Ltd.....	
		- Voyager Park North Management Company Ltd.....	
		- Threadneedle Unit Trust Manager Ltd.....	
		- US Contrarian Core Equities Fund (Threadneedle (Lux) SICAV).....	

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
	Ameriprise Financial, Inc.		13-3180631	2433312	820027	NYSE	Ameriprise Financial, Inc.	DE	UIP					
	Ameriprise Financial, Inc.		27-0544454	4283810			Ameriprise Advisor Capital, LLC	DE	NIA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		06-1791061	3470239			Ameriprise Bank, FSB	US	NIA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		45-6157641	4285346			Ameriprise Capital Trust I	DE	NIA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		45-6157643	4289755			Ameriprise Capital Trust II	DE	NIA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		45-6157644	4289764			Ameriprise Capital Trust III	DE	NIA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		45-6157645	4289773			Ameriprise Capital Trust IV	DE	NIA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	
.0004	Ameriprise Financial, Inc.	12852	20-5761939	4289791			Ameriprise Captive Insurance Company	VT	IA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		41-6009975	4054449	52428		Ameriprise Certificate Company Investors Syndicate Development Corporation	DE	NIA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		41-0951695	4285298			Ameriprise Certificate Company	NV	NIA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		26-3878824	4283856			Ameriprise Holdings, Inc.	DE	NIA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		45-5464028				201 Eleventh Street South, LLC	MN	NIA	Ameriprise Holdings, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.						Ameriprise India Insurance Brokers Services Private Limited	IND	NIA	Ameriprise Financial, Inc.	Ownership	19.000	Ameriprise Financial, Inc.	1
	Ameriprise Financial, Inc.			4289830			Ameriprise India Private Limited	IND	NIA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	2
	Ameriprise Financial, Inc.						Ameriprise India Insurance Brokers Services Private Limited	IND	NIA	Ameriprise India Private Limited	Ownership	7.000	Ameriprise Financial, Inc.	1
	Ameriprise Financial, Inc.		41-6219335	994257			Ameriprise Trust Company	MN	NIA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		38-2722519	4289933			AMPF Holding Corporation	MI	NIA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		41-1667086	3255445			American Enterprise Investment Services Inc.	MN	NIA	AMPF Holding Corporation	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		41-0973005	4289942			Ameriprise Financial Services, Inc.	DE	NIA	AMPF Holding Corporation	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		38-3050688	4289951			AMPF Property Corporation	MI	NIA	AMPF Holding Corporation	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		38-3050690	4289960			AMPF Realty Corporation	MI	NIA	AMPF Holding Corporation	Ownership	100.000	Ameriprise Financial, Inc.	
.0004	Ameriprise Financial, Inc.	29068	39-1173498	4289885			IDS Property Casualty Insurance Company	WI	IA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		82-0541142	4289894			Ameriprise Auto & Home Insurance Agency, Inc.	WI	NIA	IDS Property Casualty Insurance Company	Ownership	100.000	Ameriprise Financial, Inc.	
.0004	Ameriprise Financial, Inc.	12504	65-1261374	4289906			Ameriprise Insurance Company	WI	IA	IDS Property Casualty Insurance Company	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		42-1690915	4291815			RiverSource Distributors, Inc.	DE	NIA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	
.0004	Ameriprise Financial, Inc.	65005	41-0823832	2696715	727892		RiverSource Life Insurance Company	MN	UDP	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	
.0004	Ameriprise Financial, Inc.	80594	41-0987741	2718046			RiverSource Life Insurance Co. of New York	NY		RiverSource Life Insurance Company	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		27-0380139	4289858			RiverSource NY REO, LLC	NY	DS	RiverSource Life Insurance Co. of New York	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		26-4164569	4289867			RiverSource REO 1, LLC	MN	NIA	RiverSource Life Insurance Company	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		13-6178563	4289876			RiverSource Tax Advantaged Investments, Inc.	DE	NIA	RiverSource Life Insurance Company	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		41-1977631	3945102			AEXP Affordable Housing Portfolio LLC	DE	NIA	RiverSource Tax Advantaged Investments, Inc.	Ownership	66.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.						CREA Corporate Tax Credit Fund XXVIII, LLC	DE	NIA	RiverSource Tax Advantaged Investments, Inc.	Ownership	99.900	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		41-1533211	4246169			Columbia Management Investment Advisers, LLC	MN	NIA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		41-1624224	4289979			Advisory Capital Strategies Group, Inc.	MN	NIA	Columbia Management Investment Advisers, LLC	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.						Columbia Focused Large Cap Growth Private Fund, LLC (Private Invest Fund)	DE	NIA	Advisory Capital Strategies Group, Inc.	Other		Ameriprise Financial, Inc.	3
	Ameriprise Financial, Inc.		45-5077345				Columbia Institutional High Yield Fixed Income Private Fund LLC	DE	NIA	Advisory Capital Strategies Group, Inc.	Other		Ameriprise Financial, Inc.	3

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

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Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
	Ameriprise Financial, Inc.						Columbia U.S. Contrarian Core Equity Private Fund, LLC	DE	NIA	Advisory Capital Strategies Group, Inc.	Other		Ameriprise Financial, Inc.	3
	Ameriprise Financial, Inc.		45-2433414				Columbia Commodity Strategy Fund (mutual funds)	MA	NIA	Columbia Management Investment Advisers, LLC	Ownership	15.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		26-3994533			NYSE Arca	Columbia Concentrated Large Cap Value Strategy Fund (ETF FUNDS)	DE	NIA	Columbia Management Investment Advisers, LLC	Ownership	50.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		27-1082858			NYSE Arca	Columbia Core Bond Strategy Fund (ETF FUNDS)	DE	NIA	Columbia Management Investment Advisers, LLC	Ownership	50.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		27-1082673			NYSE Arca	Columbia Intermediate Municipal Bond Strategy Fund (ETF FUNDS)	DE	NIA	Columbia Management Investment Advisers, LLC	Ownership	30.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		27-0473870			NYSE Arca	Columbia Large Cap Growth Equity Strategy Fund (ETF FUNDS)	DE	NIA	Columbia Management Investment Advisers, LLC	Ownership	70.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		04-3400204				Columbia Marsico Global Fund (mutual funds)	DE	NIA	Columbia Management Investment Advisers, LLC	Ownership		Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		98-1046237				Columbia Short Duration High Yield Private (Master) Fund	CYM	NIA	Columbia Management Investment Advisers, LLC	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		26-2959622	3824793			Columbia VP - Select Large Cap Growth (mutual funds)	MA	NIA	Columbia Management Investment Advisers, LLC	Ownership	16.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		04-3519872	4289997			Columbia Wanger Asset Management, LLC	DE	NIA	Columbia Management Investment Advisers, LLC	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		26-2639148	4346854			GA Legacy, LLC	DE	NIA	Columbia Management Investment Advisers, LLC	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		13-3043476	2654434			J. & W. Seligman & Co. Incorporated	DE	NIA	Columbia Management Investment Advisers, LLC	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		13-3043478	4292821			Columbia Management Investment Distributors, Inc.	NY	NIA	J. & W. Seligman & Co. Incorporated	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		27-2466899	4292858			Columbia Research Partners LLC	DE	NIA	J. & W. Seligman & Co. Incorporated	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		26-2795674	3811654			Columbia Research Market Neutral, LP (Private Invest Fund)	DE	NIA	Columbia Research Partners LLC	Other		Ameriprise Financial, Inc.	4
	Ameriprise Financial, Inc.		20-8274688	4293190			Seligman Focus Partners LLC	DE	NIA	J. & W. Seligman & Co. Incorporated	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.			4293499			Seligman Global Technology Partners LLC	DE	NIA	J. & W. Seligman & Co. Incorporated	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		20-2357120	4293163			Seligman Health Partners LLC	DE	NIA	J. & W. Seligman & Co. Incorporated	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		20-5704686	4293181			Seligman Health Plus Partners LLC	DE	NIA	J. & W. Seligman & Co. Incorporated	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		20-5704686	4293181			Seligman Health Spectrum Plus, LLC	DE	NIA	Seligman Health Plus Partners LLC	Other		Ameriprise Financial, Inc.	5
	Ameriprise Financial, Inc.		13-4200160	4293145			Seligman Partners LLC	DE	NIA	J. & W. Seligman & Co. Incorporated	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		13-4200160	4293145			Seligman Tech Spectrum Fund, LLC	DE	NIA	Seligman Partners LLC	Other		Ameriprise Financial, Inc.	5
	Ameriprise Financial, Inc.		87-0812264	4290126			RiverSource CDO Seed Investments, LLC	MN	NIA	Columbia Management Investment Advisers, LLC	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		04-3519867	3079230			WAM Acquisition GP, Inc.	DE	NIA	Columbia Management Investment Advisers, LLC	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		41-1861053	4291776			Columbia Management Investment Services Corp.	MN	NIA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.			4293538			Threadneedle Asset Management Holdings Sarl	LUX	NIA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	6
	Ameriprise Financial, Inc.			4293574			TAM Investment Ltd	GBR	NIA	Threadneedle Asset Management Holdings Sarl	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.						Threadneedle Asset Management (Australia) Pty Ltd	AUS	NIA	Threadneedle Asset Management Holdings Sarl	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.			4293855			Threadneedle International Investments GmbH	CHE	NIA	Threadneedle Asset Management Holdings Sarl	Ownership	35.000	Ameriprise Financial, Inc.	7

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ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
	Ameriprise Financial, Inc.			4293873			Threadneedle Investments Singapore (Pte.) Ltd	SGP	NIA	Threadneedle Asset Management Holdings Sarl	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.						Threadneedle Investments Taiwan, Ltd	TWN	NIA	Threadneedle Asset Management Holdings Sarl	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.			4293882			Threadneedle Management Luxembourg S.A.	LUX	NIA	Threadneedle Asset Management Holdings Sarl	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.			4293903			Threadneedle Portfolio Services Hong Kong Ltd	HKG	NIA	Threadneedle Asset Management Holdings Sarl	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.			4294339			Threadneedle Asset Management UK Ltd	GBR	NIA	Threadneedle Asset Management Holdings Sarl	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.			4294348			TAM UK Holdings Ltd	GBR	NIA	Threadneedle Asset Management UK Ltd	Ownership	100.000	Ameriprise Financial, Inc.	6
	Ameriprise Financial, Inc.			4294357			Threadneedle Asset Management Holdings Ltd.	GBR	NIA	TAM UK Holdings Ltd	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.			4294375			Cofund Holdings Ltd.	GBR	NIA	Threadneedle Asset Management Holdings Ltd	Ownership	20.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.						Global Opportunities Bond Fund (Threadneedle (Lux) SICAV)	LUX	NIA	Threadneedle Asset Management Holdings Ltd	Ownership	15.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.			4294478			Threadneedle Asset Management (Nominees) Ltd	GBR	NIA	Threadneedle Asset Management Holdings Ltd	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.			4294526			Sackville TIPP Property (GP) Ltd	GBR	NIA	Threadneedle Asset Management (Nominees) Ltd	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.			4294441			Threadneedle Asset Management Finance Ltd	GBR	NIA	Threadneedle Asset Management Holdings Ltd	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.						European Property Fund sub-fund of TIPP SICAV	LUX	NIA	Threadneedle Asset Management Finance Ltd	Ownership	50.100	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.						TMA Umbrella Fund SIF	LUX	NIA	Threadneedle Asset Management Finance Ltd	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.			4294450			TMS Investment Ltd	JEY	NIA	Threadneedle Asset Management Finance Ltd	Ownership	44.000	Ameriprise Financial, Inc.	6
	Ameriprise Financial, Inc.			4294535			Threadneedle Holdings Limited	GBR	NIA	Threadneedle Asset Management Holdings Ltd	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		98-0691981	4294469			Threadneedle Asset Management Ltd	GBR	NIA	Threadneedle Holdings Limited	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		98-0691982	4294571			Threadneedle Investment Services Ltd	GBR	NIA	Threadneedle Holdings Limited	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.			4294553			Threadneedle International Ltd	GBR	NIA	Threadneedle Asset Management Holdings Ltd	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.			4294393			Threadneedle Investments Ltd	GBR	NIA	Threadneedle Asset Management Holdings Ltd	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.			4294599			Threadneedle Investments (Channel Islands) Ltd	JEY	NIA	Threadneedle Asset Management Holdings Ltd	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.						Threadneedle Management Services Ltd	GBR	NIA	Threadneedle Asset Management Holdings Ltd	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.			4294768			Threadneedle Securities Ltd	GBR	NIA	Threadneedle Management Services Ltd	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.			4294786			Threadneedle Navigator ISA Manager Ltd	GBR	NIA	Threadneedle Asset Management Holdings Ltd	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.			4294795			Threadneedle Pensions Ltd	GBR	NIA	Threadneedle Asset Management Holdings Ltd	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.			4294843			Sackville (TPEN)	GBR	NIA	Threadneedle Pensions Ltd	Ownership	75.000	Ameriprise Financial, Inc.	8
	Ameriprise Financial, Inc.			4294982			Threadneedle Portfolio Services Ltd	GBR	NIA	Threadneedle Asset Management Holdings Ltd	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.						Threadneedle Portfolio Services AG	CHE	NIA	Threadneedle Portfolio Services Ltd	Ownership	100.000	Ameriprise Financial, Inc.	

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ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

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	Ameriprise Financial, Inc.			4293855			Threadneedle International Investments GmbH	CHE	NIA	Threadneedle Portfolio Services AG	Ownership	65.000	Ameriprise Financial, Inc.	7
	Ameriprise Financial, Inc.			4294991			Threadneedle Property Investments Ltd	GBR	NIA	Threadneedle Asset Management Holdings Ltd	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.			4295028			Sackville LCV (GP) Ltd	GBR	NIA	Threadneedle Property Investments Ltd	Ownership	33.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.			4295082			Sackville Property (GP) Ltd	GBR	NIA	Threadneedle Property Investments Ltd	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.			4295130			Sackville SPF IV (GP) No. 1 Ltd	GBR	NIA	Threadneedle Property Investments Ltd	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.			4295167			Sackville SPF IV (GP) No. 2 Ltd	GBR	NIA	Threadneedle Property Investments Ltd	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.			4295206			Sackville SPF IV (GP) No. 3 Ltd	GBR	NIA	Threadneedle Property Investments Ltd	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.			4295112			Sackville SPF IV Property (GP) Ltd	GBR	NIA	Threadneedle Property Investments Ltd	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.						Threadneedle Strategic Property Fund IV (TN Prop Fund)	GBR	NIA	Sackville SPF IV Property (GP) Ltd	Other		Ameriprise Financial, Inc.	4
	Ameriprise Financial, Inc.			4295251			Sackville Tandem Property (GP) Ltd	GBR	NIA	Threadneedle Property Investments Ltd	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.						Sackville TPEN Property (GP) Ltd	GBR	NIA	Threadneedle Property Investments Ltd	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.						Sackville TPEN Property Nominee Ltd	GBR	NIA	Sackville TPEN Property (GP) Ltd	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.			4294843			Sackville (TPEN)	GBR	NIA	Sackville TPEN Property Nominee Ltd	Ownership	25.000	Ameriprise Financial, Inc.	8
	Ameriprise Financial, Inc.						Threadneedle Pensions Property Partnership 1 (TN Prop Fund)	GBR	NIA	Sackville TPEN Property (GP) Ltd	Other		Ameriprise Financial, Inc.	4
	Ameriprise Financial, Inc.						Threadneedle Pensions Property Partnership 2 (TN Prop Fund)	GBR	NIA	Sackville TPEN Property (GP) Ltd	Other		Ameriprise Financial, Inc.	4
	Ameriprise Financial, Inc.						Sackville TSP Property (GP) Ltd	GBR	NIA	Threadneedle Property Investments Ltd	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.						Threadneedle Strategic Property (Eagle Star) Fund (TN Prop Fund)	GBR	NIA	Sackville TSP Property (GP) Ltd	Other		Ameriprise Financial, Inc.	4
	Ameriprise Financial, Inc.						Threadneedle Strategic Property (Nearhearth) Fund (TN Prop Fund)	GBR	NIA	Sackville TSP Property (GP) Ltd	Other		Ameriprise Financial, Inc.	4
	Ameriprise Financial, Inc.						Threadneedle Strategic Property (Parcelgate) Fund (TN Prop Fund)	GBR	NIA	Sackville TSP Property (GP) Ltd	Other		Ameriprise Financial, Inc.	4
	Ameriprise Financial, Inc.						Threadneedle Tandem Property Fund (TN Prop Fund)	GBR	NIA	Sackville TSP Property (GP) Ltd	Other		Ameriprise Financial, Inc.	4
	Ameriprise Financial, Inc.						Threadneedle UK Opportunities Property II Fund (TN Prop Fund)	GBR	NIA	Sackville TSP Property (GP) Ltd	Other		Ameriprise Financial, Inc.	4
	Ameriprise Financial, Inc.						Sackville UK Property Select II (GP) Ltd	GBR	NIA	Threadneedle Property Investments Ltd	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.						Sackville UK Property Select II (GP) No. 1 Ltd.	GBR	NIA	Threadneedle Property Investments Ltd	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.						Sackville UK Property Select II Nominee (1) Ltd.	GBR	NIA	Sackville UK Property Select II (GP) No. 1 Ltd.	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.						Sackville UKPEC 1 Leeds (GP) Ltd	GBR	NIA	Threadneedle Property Investments Ltd	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.						Sackville UKPEC2 Galahad (GP) Ltd	GBR	NIA	Threadneedle Property Investments Ltd	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.						Voyager Park North Management Company Ltd	GBR	NIA	Sackville UKPEC2 Galahad (GP) Ltd	Ownership	97.800	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.						Threadneedle Unit Trust Manager Ltd	GBR	NIA	Threadneedle Asset Management Holdings Ltd	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.						US Contrarian Core Equities Fund (Threadneedle (Lux) SICAV)	LUX	NIA	Threadneedle Asset Management Holdings Ltd	Ownership	90.000	Ameriprise Financial, Inc.	

Asterisk	Explanation
1	Owned 19% / 7% by Ameriprise Financial Inc. and Ameriprise India Private Limited, respectively.
2	One share owned by Columbia Management Investment Advisers, LLC.
3	Managing Member and Ownership
4	General Partner and Ownership (<1%)

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ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York

Asterisk	Explanation
1	Owned 19% / 7% by Ameriprise Financial Inc. and Ameriprise India Private Limited, respectively.
5	Board Membership, Managing Member and Ownership
6	Ownership percentage indicates voting shares only.
7	Owned 65% / 35% by Threadneedle Portfolio Services AG and Threadneedle Asset Management Holdings Sarl, respectively.
8	Owned 75% / 25% by Threadneedle Pensions Ltd and Sackville TPEN Property Nominee Ltd, respectively.

**SCHEDULE Y**

**PART 2 - SUMMARY OF INSURER'S TRANSACTIONS WITH ANY AFFILIATES**

1	2	3	4	5	6	7	8	9	10	11	12	13
NAIC Company Code	Federal ID Number	Names of Insurers and Parent, Subsidiaries or Affiliates	Shareholder Dividends	Capital Contributions	Purchases, Sales or Exchanges of Loans, Securities, Real Estate, Mortgage Loans or Other Investments	Income/ (Disbursements) Incurred in Connection with Guarantees or Undertakings for the Benefit of any Affiliate(s)	Management Agreements and Service Contracts	Income/ (Disbursements) Incurred Under Reinsurance Agreements	*	Any Other Material Activity Not in the Ordinary Course of the Insurer's Business	Totals	Reinsurance Recoverable/ (Payable) on Losses and/or Reserve Credit Taken/(Liability)
	13-3180631	Ameriprise Financial Inc. (parent)	865,000,000	(724,043)			207,746,641				1,072,022,598	
	41-0973005	Ameriprise Financial Services, Inc.					173,426,296				173,426,296	
12504	65-1261374	Ameriprise Insurance Company										17,386,068
	41-1533211	Columbia Management Investment Advisers, LLC					(230,482,295)				(230,482,295)	
	41-1861053	Columbia Management Investment Services Corp.					(32,255,351)				(32,255,351)	
29068	39-1173498	IDS Property Casualty Insurance Co.										(17,386,068)
65005	41-0823832	RiverSource Life Insurance Company	(815,000,000)	(64,891,118)			(531,883,463)				(1,411,774,581)	
80594	41-0987741	RiverSource Life Insurance Co. of NY	(50,000,000)	91,441							(49,908,559)	
	42-1690915	RiverSource Distributors, Inc.					413,448,172				413,448,172	
	26-4164569	RiverSource RE0 1, LLC		12,523,720							12,523,720	
	13-6178563	RiverSource Tax Advantaged Investments, Inc.		53,000,000							53,000,000	
9999999	Control Totals								XXX			

## SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing unless specifically waived by the domiciliary state. However, in the event that your domiciliary state waives the filing requirement, your response of WAIVED to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	<u>Responses</u>
<b>MARCH FILING</b>	
1. Will the Supplemental Compensation Exhibit be filed with the state of domicile by March 1? .....	YES
2. Will the confidential Risk-based Capital Report be filed with the NAIC by March 1? .....	YES
3. Will the confidential Risk-based Capital Report be filed with the state of domicile, if required, by March 1? .....	YES
4. Will an actuarial opinion be filed by March 1? .....	YES
<b>APRIL FILING</b>	
5. Will Management's Discussion and Analysis be filed by April 1? .....	YES
6. Will the Life, Health & Annuity Guaranty Association Model Act Assessment Base Reconciliation Exhibit be filed with the state of domicile and the NAIC by April 1? .....	YES
7. Will the Adjustment Form (if required) be filed with the state of domicile and the NAIC by April 1? .....	YES
8. Will the Supplemental Investment Risks Interrogatories be filed by April 1? .....	YES
<b>JUNE FILING</b>	
9. Will an audited financial report be filed by June 1? .....	YES
10. Will Accountant's Letter of Qualifications be filed with the state of domicile and electronically with the NAIC by June 1? .....	YES
<b>AUGUST FILING</b>	
11. Will Communication of Internal Control Related Matters Noted in Audit be filed with the state of domicile by August 1? .....	YES

The following supplemental reports are required to be filed as part of your annual statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

<b>MARCH FILING</b>	
12. Will Schedule SIS (Stockholder Information Supplement) be filed with the state of domicile by March 1? .....	NO
13. Will the Medicare Supplement Insurance Experience Exhibit be filed with the state of domicile and the NAIC by March 1? .....	NO
14. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC by March 1? .....	NO
15. Will the actuarial opinion on participating and non-participating policies as required in Interrogatories 1 and 2 to Exhibit 5 be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
16. Will the actuarial opinion on non-guaranteed elements as required in interrogatory #3 to Exhibit 5 be filed with the state of domicile and electronically with the NAIC by March 1? .....	YES
17. Will the actuarial opinion on X-Factors be filed with the state of domicile and electronically with the NAIC by March 1? .....	YES
18. Will the actuarial opinion on Separate Accounts Funding Guaranteed Minimum Benefit be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
19. Will the actuarial opinion on Synthetic Guaranteed Investment Contracts be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
20. Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
21. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
22. Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
23. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
24. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC by March 1? .....	YES
25. Will the C-3 RBC Certifications required under C-3 Phase I be filed with the state of domicile and electronically with the NAIC by March 1? .....	YES
26. Will the C-3 RBC Certifications required under C-3 Phase II be filed with the state of domicile and electronically with the NAIC by March 1? .....	YES

**SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES**

- 27. Will the Actuarial Certifications Related to Annuity Nonforfeiture Ongoing Compliance for Equity Indexed Annuities be filed with the state of domicile and electronically with the NAIC by March 1? ..... NO
- 28. Will the actuarial opinion required by the Modified Guaranteed Annuity Model Regulation be filed with the state of domicile and electronically with the NAIC by March 1? ..... NO
- 29. Will the Actuarial Certifications Related to Hedging required by Actuarial Guideline XLIII be filed with the state of domicile and electronically with the NAIC by March 1? ..... YES
- 30. Will the Financial Officer Certification Related to Clearly Defined Hedging Strategy required by Actuarial Guideline XLIII be filed with the state of domicile and electronically with the NAIC by March 1? ..... YES
- 31. Will the Management Certification That the Valuation Reflects Management's Intent required by Actuarial Guideline XLIII be filed with the state of domicile and electronically with the NAIC by March 1? ..... YES
- 32. Will the Actuarial Certification Related to the Reserves required by Actuarial Guideline XLIII be filed with the state of domicile and electronically with the NAIC by March 1? ..... YES
- 33. Will the Actuarial Certification regarding the use of 2001 Preferred Class Tables required by the Model Regulation Permitting the Recognition of Preferred Mortality Tables for Use in Determining Minimum Reserve Liabilities be filed with the state of domicile and electronically with the NAIC by March 1? ..... YES
- 34. Will the Worker's Compensation Carve-Out Supplement be filed by March 1? ..... NO
- 35. Will Supplemental Schedule O be filed with the state of domicile and the NAIC by March 1? ..... YES
- 36. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC by March 1? ..... NO
- 37. Will an approval from the reporting entity's state of domicile for relief related to the five-year rotation requirement for lead audit partner be filed electronically with the NAIC by March 1? ..... NO
- 38. Will an approval from the reporting entity's state of domicile for relief related to the one-year cooling off period for independent CPA be filed electronically with the NAIC by March 1? ..... NO
- 39. Will an approval from the reporting entity's state of domicile for relief related to the Requirements for Audit Committees be filed electronically with the NAIC by March 1? ..... NO

**APRIL FILING**

- 40. Will the Long-Term Care Experience Reporting Forms be filed with the state of domicile and the NAIC by April 1? ..... YES
- 41. Will the Interest-Sensitive Life Insurance Products Report Forms be filed with the state of domicile and the NAIC by April 1? ..... YES
- 42. Will the Credit Insurance Experience Exhibit be filed with the state of domicile and the NAIC by April 1? ..... NO
- 43. Will the Accident and Health Policy Experience Exhibit be filed by April 1? ..... YES
- 44. Will the Analysis of Annuity Operations by Lines of Business be filed with the state of domicile and the NAIC by April 1? ..... YES
- 45. Will the Analysis of Increase in Annuity Reserves During the Year be filed with the state of domicile and the NAIC by April 1? ..... YES
- 46. Will the Supplemental Health Care Exhibit (Parts 1, 2 and 3) be filed with the state of domicile and the NAIC by April 1? ..... NO
- 47. Will the regulator only (non-public) Supplemental Health Care Exhibit's Expense Allocation Report be filed with the state of domicile and the NAIC by April 1? ..... NO

**AUGUST FILING**

- 48. Will Management's Report of Internal Control Over Financial Reporting be filed with the state of domicile by August 1? ..... YES

Explanations:

- 12. Not Applicable.
- 13. No business written.
- 14. Not Applicable.
- 15. Company only writes non-participating policies.
- 18. No applicable business.
- 19. No applicable business.
- 20. No applicable business.
- 21. No applicable business.
- 22. No applicable business.
- 23. No applicable business.
- 27. No applicable business.
- 28. No applicable business.
- 34. No business written.
- 36. No business written.
- 37. Not Applicable.
- 38. Not Applicable.
- 39. Not Applicable.
- 42. No business written.
- 46. No business written.
- 47. No business written.

Bar Codes:

- 12. SIS Stockholder Information Supplement [Document Identifier 420]



- 13. Medicare Supplement Insurance Experience Exhibit [Document Identifier 360]



- 14. Trusteed Surplus Statement [Document Identifier 490]



- 15. Participating Opinion for Exhibit 5 [Document Identifier 371]



- 18. Actuarial Opinion on Separate Accounts Funding Guaranteed Minimum Benefit [Document Identifier 443]



- 19. Actuarial Opinion on Synthetic Guaranteed Investment Contracts [Document Identifier 444]



- 20. Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 445]



- 21. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 446]



- 22. Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI [Document Identifier 447]



- 23. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI [Document Identifier 448]



- 27. Actuarial Certifications Related to Annuity Nonforfeiture Ongoing Compliance for Equity Indexed Annuities [Document Identifier 452]



- 28. Modified Guaranteed Annuity Model Regulation [Document Identifier 453]



# SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

34. Workers' Compensation Carve-Out Supplement [Document Identifier 495]



36. Medicare Part D Coverage Supplement [Document Identifier 365]



37. Relief from the five-year rotation requirement for lead audit partner [Document Identifier 224]



38. Relief from the one-year cooling off period for independent CPA [Document Identifier 225]



39. Relief from the Requirements for Audit Committees [Document Identifier 226]



42. Credit Insurance Experience Exhibit [Document Identifier 230]



46. Supplemental Health Care Exhibit (Parts 1, 2 and 3) [Document Identifier 216]



47. Supplemental Health Care Exhibit's Expense Allocation Report [Document Identifier 217]



**SUMMARY INVESTMENT SCHEDULE**

Investment Categories	Gross Investment Holdings		Admitted Assets as Reported in the Annual Statement			
	1 Amount	2 Percentage	3 Amount	4 Securities Lending Reinvested Collateral Amount	5 Total (Col. 3 + 4) Amount	6 Percentage
1. Bonds:						
1.1 U.S. treasury securities .....	1,274,308	0.065	1,274,308		1,274,308	0.065
1.2 U.S. government agency obligations (excluding mortgage-backed securities):						
1.21 Issued by U.S. government agencies .....	23,743,643	1.205	23,743,643		23,743,643	1.205
1.22 Issued by U.S. government sponsored agencies .....		0.000				0.000
1.3 Non-U.S. government (including Canada, excluding mortgaged-backed securities) .....	3,541,238	0.180	3,541,238		3,541,238	0.180
1.4 Securities issued by states, territories, and possessions and political subdivisions in the U.S. :						
1.41 States, territories and possessions general obligations .....	9,383,356	0.476	9,383,356		9,383,356	0.476
1.42 Political subdivisions of states, territories and possessions and political subdivisions general obligations .....	21,091,332	1.070	21,091,332		21,091,332	1.070
1.43 Revenue and assessment obligations .....	55,426,582	2.813	55,426,582		55,426,582	2.813
1.44 Industrial development and similar obligations .....		0.000				0.000
1.5 Mortgage-backed securities (includes residential and commercial MBS):						
1.51 Pass-through securities:						
1.511 Issued or guaranteed by GNMA .....	38,817,046	1.970	38,817,046		38,817,046	1.970
1.512 Issued or guaranteed by FNMA and FHLMC .....	89,933,616	4.564	89,933,616		89,933,616	4.564
1.513 All other .....	2,900,880	0.147	2,900,880		2,900,880	0.147
1.52 CMOs and REMICs:						
1.521 Issued or guaranteed by GNMA, FNMA, FHLMC or VA .....	6,472,053	0.328	6,472,053		6,472,053	0.328
1.522 Issued by non-U.S. Government issuers and collateralized by mortgage-backed securities issued or guaranteed by agencies shown in Line 1.521 .....		0.000				0.000
1.523 All other .....	232,934,473	11.822	232,934,473		232,934,473	11.822
2. Other debt and other fixed income securities (excluding short-term):						
2.1 Unaffiliated domestic securities (includes credit tenant loans and hybrid securities) .....	905,125,622	45.936	905,125,622		905,125,622	45.936
2.2 Unaffiliated non-U.S. securities (including Canada) .....	291,651,866	14.802	291,651,866		291,651,866	14.802
2.3 Affiliated securities .....		0.000				0.000
3. Equity interests:						
3.1 Investments in mutual funds .....		0.000				0.000
3.2 Preferred stocks:						
3.21 Affiliated .....		0.000				0.000
3.22 Unaffiliated .....		0.000				0.000
3.3 Publicly traded equity securities (excluding preferred stocks):						
3.31 Affiliated .....		0.000				0.000
3.32 Unaffiliated .....		0.000				0.000
3.4 Other equity securities:						
3.41 Affiliated .....		0.000				0.000
3.42 Unaffiliated .....		0.000				0.000
3.5 Other equity interests including tangible personal property under lease:						
3.51 Affiliated .....		0.000				0.000
3.52 Unaffiliated .....		0.000				0.000
4. Mortgage loans:						
4.1 Construction and land development .....		0.000				0.000
4.2 Agricultural .....		0.000				0.000
4.3 Single family residential properties .....		0.000				0.000
4.4 Multifamily residential properties .....		0.000				0.000
4.5 Commercial loans .....	157,329,280	7.985	157,329,280		157,329,280	7.985
4.6 Mezzanine real estate loans .....		0.000				0.000
5. Real estate investments:						
5.1 Property occupied by company .....		0.000				0.000
5.2 Property held for production of income (including \$ ..... of property acquired in satisfaction of debt) .....		0.000				0.000
5.3 Property held for sale (including \$ ..... property acquired in satisfaction of debt) .....		0.000				0.000
6. Contract loans .....	39,388,642	1.999	39,383,478		39,383,478	1.999
7. Derivatives .....	62,808,787	3.188	62,808,787		62,808,787	3.188
8. Receivables for securities .....	211,756	0.011	211,756		211,756	0.011
9. Securities Lending (Line 10, Asset Page reinvested collateral) .....		0.000		XXX	XXX	XXX
10. Cash, cash equivalents and short-term investments .....	28,258,168	1.434	28,258,168		28,258,168	1.434
11. Other invested assets .....	120,704	0.006	120,704		120,704	0.006
12. Total invested assets .....	1,970,413,352	100.000	1,970,408,188		1,970,408,188	100.000

**SCHEDULE A - VERIFICATION BETWEEN YEARS**

Real Estate

1.	Book/adjusted carrying value, December 31 of prior year .....	
2.	Cost of acquired:	
2.1	Actual cost at time of acquisition (Part 2, Column 6) .....	
2.2	Additional investment made after acquisition (Part 2, Column 9) .....	
3.	Current year change in encumbrances:	
3.1	Totals, Part 1, Column 13 .....	
3.2	Totals, Part 3, Column 11 .....	
4.	Total gain (loss) on disposals, Part 3, Column 18 .....	
5.	Deduct amounts received on disposals, Part 3, Column 15 .....	
6.	Total foreign exchange change in book/adjusted carrying value:	
6.1	Totals, Part 1, Column 15 .....	
6.2	Totals, Part 3, Column 13 .....	
7.	Deduct current year's other than temporary impairment recognized:	
7.1	Totals, Part 1, Column 12 .....	
7.2	Totals, Part 3, Column 10 .....	
8.	Deduct current year's depreciation:	
8.1	Totals, Part 1, Column 11 .....	
8.2	Totals, Part 3, Column 9 .....	
9.	Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8) .....	
10.	Deduct total nonadmitted amounts .....	
11.	Statement value at end of current period (Line 9 minus Line 10) .....	

**NONE**

**SCHEDULE B - VERIFICATION BETWEEN YEARS**

Mortgage Loans

1.	Book value/recorded investment excluding accrued interest, December 31 of prior year .....	155,330,956
2.	Cost of acquired:	
2.1	Actual cost at time of acquisition (Part 2, Column 7) .....	20,700,000
2.2	Additional investment made after acquisition (Part 2, Column 8) .....	2,069,196
		22,769,196
3.	Capitalized deferred interest and other:	
3.1	Totals, Part 1, Column 12 .....	
3.2	Totals, Part 3, Column 11 .....	
4.	Accrual of discount .....	
5.	Unrealized valuation increase (decrease):	
5.1	Totals, Part 1, Column 9 .....	
5.2	Totals, Part 3, Column 8 .....	
6.	Total gain (loss) on disposals, Part 3, Column 18 .....	
7.	Deduct amounts received on disposals, Part 3, Column 15 .....	20,770,873
8.	Deduct amortization of premium and mortgage interest points and commitment fees .....	
9.	Total foreign exchange change in book value/recorded investment excluding accrued interest:	
9.1	Totals, Part 1, Column 13 .....	
9.2	Totals, Part 3, Column 13 .....	
10.	Deduct current year's other than temporary impairment recognized:	
10.1	Totals, Part 1, Column 11 .....	
10.2	Totals, Part 3, Column 10 .....	
11.	Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....	157,329,280
12.	Total valuation allowance .....	
13.	Subtotal (Line 11 plus 12) .....	157,329,280
14.	Deduct total nonadmitted amounts .....	
15.	Statement value of mortgages owned at end of current period (Line 13 minus Line 14) .....	157,329,280

**SCHEDULE BA - VERIFICATION BETWEEN YEARS**

Other Long-Term Invested Assets

1.	Book/adjusted carrying value, December 31 of prior year .....	121,172
2.	Cost of acquired:	
	2.1 Actual cost at time of acquisition (Part 2, Column 8) .....	
	2.2 Additional investment made after acquisition (Part 2, Column 9) .....	
3.	Capitalized deferred interest and other:	
	3.1 Totals, Part 1, Column 16 .....	
	3.2 Totals, Part 3, Column 12 .....	
4.	Accrual of discount .....	
5.	Unrealized valuation increase (decrease):	
	5.1 Totals, Part 1, Column 13 .....	(468)
	5.2 Totals, Part 3, Column 9 .....	(468)
6.	Total gain (loss) on disposals, Part 3, Column 19 .....	
7.	Deduct amounts received on disposals, Part 3, Column 16 .....	
8.	Deduct amortization of premium and depreciation .....	
9.	Total foreign exchange change in book/adjusted carrying value:	
	9.1 Totals, Part 1, Column 17 .....	
	9.2 Totals, Part 3, Column 14 .....	
10.	Deduct current year's other than temporary impairment recognized:	
	10.1 Totals, Part 1, Column 15 .....	
	10.2 Totals, Part 3, Column 11 .....	
11.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....	120,704
12.	Deduct total nonadmitted amounts .....	
13.	Statement value at end of current period (Line 11 minus Line 12) .....	120,704

**SCHEDULE D - VERIFICATION BETWEEN YEARS**

Bonds and Stocks

1.	Book/adjusted carrying value, December 31 of prior year .....	1,682,421,411
2.	Cost of bonds and stocks acquired, Part 3, Column 7 .....	285,838,355
3.	Accrual of discount .....	4,199,174
4.	Unrealized valuation increase (decrease):	
	4.1. Part 1, Column 12 .....	412,135
	4.2. Part 2, Section 1, Column 15 .....	
	4.3. Part 2, Section 2, Column 13 .....	
	4.4. Part 4, Column 11 .....	9,880
		422,014
5.	Total gain (loss) on disposals, Part 4, Column 19 .....	906,441
6.	Deduction consideration for bonds and stocks disposed of, Part 4, Column 7 .....	284,408,364
7.	Deduct amortization of premium .....	6,639,637
8.	Total foreign exchange change in book/adjusted carrying value:	
	8.1. Part 1, Column 15 .....	
	8.2. Part 2, Section 1, Column 19 .....	
	8.3. Part 2, Section 2, Column 16 .....	
	8.4. Part 4, Column 15 .....	
9.	Deduct current year's other than temporary impairment recognized:	
	9.1. Part 1, Column 14 .....	436,886
	9.2. Part 2, Section 1, Column 17 .....	
	9.3. Part 2, Section 2, Column 14 .....	
	9.4. Part 4, Column 13 .....	6,491
		443,377
10.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	1,682,296,016
11.	Deduct total nonadmitted amounts .....	
12.	Statement value at end of current period (Line 10 minus Line 11) .....	1,682,296,016

**SCHEDULE D - SUMMARY BY COUNTRY**

Long-Term Bonds and Stocks OWNED December 31 of Current Year

Description		1 Book/Adjusted Carrying Value	2 Fair Value	3 Actual Cost	4 Par Value of Bonds
<b>BONDS</b>					
Governments (Including all obligations guaranteed by governments)	1. United States .....	63,834,997	69,939,043	63,952,432	63,242,055
	2. Canada .....				
	3. Other Countries .....	3,541,238	4,321,026	3,567,783	3,424,111
	4. Totals	67,376,235	74,260,069	67,520,215	66,666,166
U.S. States, Territories and Possessions (Direct and guaranteed)	5. Totals	9,383,356	11,709,755	9,388,732	9,320,000
U.S. Political Subdivisions of States, Territories and Possessions (Direct and guaranteed)	6. Totals	21,091,332	25,775,540	21,097,990	21,000,000
U.S. Special revenue and special assessment obligations and all non- guaranteed obligations of agencies and authorities of governments and their political subdivisions	7. Totals	154,739,862	171,751,081	154,717,635	154,213,654
Industrial and Miscellaneous and Hybrid Securities (unaffiliated)	8. United States .....	1,139,251,898	1,273,323,929	1,142,148,694	1,134,015,935
	9. Canada .....	105,074,585	126,771,251	105,472,726	107,901,876
	10. Other Countries .....	185,378,751	201,618,626	186,137,640	184,467,968
	11. Totals	1,429,705,234	1,601,713,806	1,433,759,060	1,426,385,779
Parent, Subsidiaries and Affiliates	12. Totals				
	13. Total Bonds	1,682,296,019	1,885,210,251	1,686,483,632	1,677,585,599
<b>PREFERRED STOCKS</b>					
Industrial and Miscellaneous (unaffiliated)	14. United States .....				
	15. Canada .....				
	16. Other Countries .....				
	17. Totals				
Parent, Subsidiaries and Affiliates	18. Totals				
	19. Total Preferred Stocks				
<b>COMMON STOCKS</b>					
Industrial and Miscellaneous (unaffiliated)	20. United States .....				
	21. Canada .....				
	22. Other Countries .....				
	23. Totals				
Parent, Subsidiaries and Affiliates	24. Totals				
	25. Total Common Stocks				
	26. Total Stocks				
	27. Total Bonds and Stocks	1,682,296,019	1,885,210,251	1,686,483,632	

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York

**SCHEDULE D - PART 1A - SECTION 1**

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

Quality Rating per the NAIC Designation	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 Total Current Year	7 Col. 6 as a % of Line 9.7	8 Total from Col. 6 Prior Year	9 % From Col. 7 Prior Year	10 Total Publicly Traded	11 Total Privately Placed (a)
1. U.S. Governments											
1.1 Class 1	7,883,006	23,642,933	19,214,838	11,519,697	1,574,523	63,834,996	3.7	81,341,562	4.6	63,834,996	
1.2 Class 2											
1.3 Class 3											
1.4 Class 4											
1.5 Class 5											
1.6 Class 6											
1.7 Totals	7,883,006	23,642,933	19,214,838	11,519,697	1,574,523	63,834,996	3.7	81,341,562	4.6	63,834,996	
2. All Other Governments											
2.1 Class 1	140,045					140,045	0.0	141,190	0.0	140,045	
2.2 Class 2	102,440	409,759	1,254,437	303,795	555,348	2,625,779	0.2	2,778,480	0.2	1,232,612	1,393,166
2.3 Class 3			324,989			324,989	0.0	423,168	0.0	324,989	
2.4 Class 4	450,425					450,425	0.0	451,334	0.0		450,425
2.5 Class 5											
2.6 Class 6											
2.7 Totals	692,910	409,759	1,579,427	303,795	555,348	3,541,238	0.2	3,794,172	0.2	1,697,647	1,843,591
3. U.S. States, Territories and Possessions etc., Guaranteed											
3.1 Class 1			2,337,364		7,045,992	9,383,356	0.5	9,385,665	0.5	9,383,356	
3.2 Class 2											
3.3 Class 3											
3.4 Class 4											
3.5 Class 5											
3.6 Class 6											
3.7 Totals			2,337,364		7,045,992	9,383,356	0.5	9,385,665	0.5	9,383,356	
4. U.S. Political Subdivisions of States, Territories and Possessions, Guaranteed											
4.1 Class 1		1,000,270		4,032,564	16,058,498	21,091,332	1.2	21,093,424	1.2	21,091,332	
4.2 Class 2											
4.3 Class 3											
4.4 Class 4											
4.5 Class 5											
4.6 Class 6											
4.7 Totals		1,000,270		4,032,564	16,058,498	21,091,332	1.2	21,093,424	1.2	21,091,332	
5. U.S. Special Revenue & Special Assessment Obligations, etc., Non-Guaranteed											
5.1 Class 1	25,523,304	39,904,482	24,988,543	23,324,230	40,999,303	154,739,861	9.0	176,876,321	10.0	154,739,861	
5.2 Class 2											
5.3 Class 3											
5.4 Class 4											
5.5 Class 5											
5.6 Class 6											
5.7 Totals	25,523,304	39,904,482	24,988,543	23,324,230	40,999,303	154,739,861	9.0	176,876,321	10.0	154,739,861	

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York

**SCHEDULE D - PART 1A - SECTION 1 (Continued)**

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

Quality Rating per the NAIC Designation	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 Total Current Year	7 Col. 6 as a % of Line 9.7	8 Total from Col. 6 Prior Year	9 % From Col. 7 Prior Year	10 Total Publicly Traded	11 Total Privately Placed (a)
<b>6. Industrial &amp; Miscellaneous (Unaffiliated)</b>											
6.1 Class 1	152,601,935	197,243,011	182,881,236	18,554,738	37,210,867	588,491,787	34.4	604,256,652	34.3	399,677,322	188,814,465
6.2 Class 2	47,723,835	267,158,460	368,338,195	24,295,157	79,861,099	787,376,746	46.0	778,645,088	44.1	594,165,130	193,211,617
6.3 Class 3	2,196,856	26,669,536	40,271,876	3,369,610	845,434	73,353,312	4.3	68,306,292	3.9	60,164,120	13,189,192
6.4 Class 4	1,762,554	4,859,508	2,319,696	732,196	97,564	9,771,518	0.6	15,436,486	0.9	9,771,518	
6.5 Class 5	45,436	178,947	142,230	185,918	59,002	611,533	0.0	4,871,047	0.3	611,533	
6.6 Class 6					100	100	0.0	104,990	0.0	100	
6.7 Totals	204,330,617	496,109,461	593,953,233	47,137,619	118,074,066	1,459,604,996	85.2	1,471,620,555	83.4	1,064,389,722	395,215,274
<b>7. Hybrid Securities</b>											
7.1 Class 1											
7.2 Class 2											
7.3 Class 3											
7.4 Class 4											
7.5 Class 5											
7.6 Class 6											
7.7 Totals											
<b>8. Parent, Subsidiaries and Affiliates</b>											
8.1 Class 1											
8.2 Class 2											
8.3 Class 3											
8.4 Class 4											
8.5 Class 5											
8.6 Class 6											
8.7 Totals											

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York

**SCHEDULE D - PART 1A - SECTION 1 (Continued)**

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

Quality Rating per the NAIC Designation	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 Total Current Year	7 Col. 6 as a % of Line 9.7	8 Total from Col. 6 Prior Year	9 % From Col. 7 Prior Year	10 Total Publicly Traded	11 Total Privately Placed (a)
<b>9. Total Bonds Current Year</b>											
9.1 Class 1	(d) 186,148,289	261,790,695	229,421,981	57,431,230	102,889,183	837,681,378	48.9	XXX	XXX	648,866,913	188,814,465
9.2 Class 2	(d) 47,826,275	267,568,218	369,592,633	24,598,952	80,416,447	790,002,525	46.1	XXX	XXX	595,397,742	194,604,783
9.3 Class 3	(d) 2,196,856	26,669,536	40,596,866	3,369,610	845,434	73,678,301	4.3	XXX	XXX	60,489,109	13,189,192
9.4 Class 4	(d) 2,212,979	4,859,508	2,319,696	732,196	97,564	10,221,942	0.6	XXX	XXX	9,771,518	450,425
9.5 Class 5	(d) 45,436	178,947	142,230	185,918	59,002	611,533	0.0	XXX	XXX	611,533	
9.6 Class 6	(d)				100	100	0.0	XXX	XXX	100	
9.7 Totals	238,429,835	561,066,904	642,073,405	86,317,906	184,307,729	1,712,195,780	100.0	XXX	XXX	1,315,136,915	397,058,865
9.8 Line 9.7 as a % of Col. 6	13.9	32.8	37.5	5.0	10.8	100.0	XXX	XXX	XXX	76.8	23.2
<b>10. Total Bonds Prior Year</b>											
10.1 Class 1	148,303,325	315,173,109	110,377,322	65,604,525	253,636,534	XXX	XXX	893,094,814	50.6	766,167,653	126,927,161
10.2 Class 2	47,431,527	243,393,586	382,244,018	22,621,159	85,733,278	XXX	XXX	781,423,568	44.3	650,011,826	131,411,742
10.3 Class 3	2,321,776	16,515,149	24,326,275	4,242,994	21,323,266	XXX	XXX	68,729,460	3.9	60,420,290	8,309,170
10.4 Class 4		13,487,529	1,051,696	637,368	711,227	XXX	XXX	15,887,820	0.9	14,384,790	1,503,030
10.5 Class 5		346,790		4,524,257		XXX	XXX	4,871,047	0.3	4,871,047	
10.6 Class 6	100			104,890		XXX	XXX	104,990	0.0	104,990	
10.7 Totals	198,056,728	588,916,163	517,999,311	97,735,193	361,404,304	XXX	XXX	1,764,111,699	100.0	1,495,960,596	268,151,103
10.8 Line 10.7 as a % of Col. 8	11.2	33.4	29.4	5.5	20.5	XXX	XXX	100.0	XXX	84.8	15.2
<b>11. Total Publicly Traded Bonds</b>											
11.1 Class 1	145,026,654	197,458,090	159,785,400	49,331,458	97,265,311	648,866,913	37.9	766,167,653	43.4	648,866,913	XXX
11.2 Class 2	28,074,579	193,407,649	285,064,788	18,601,644	70,249,082	595,397,742	34.8	650,011,826	36.8	595,397,742	XXX
11.3 Class 3	2,196,856	20,895,790	33,181,420	3,369,610	845,434	60,489,109	3.5	60,420,290	3.4	60,489,109	XXX
11.4 Class 4	1,762,554	4,859,508	2,319,696	732,196	97,564	9,771,518	0.6	14,384,790	0.8	9,771,518	XXX
11.5 Class 5	45,436	178,947	142,230	185,918	59,002	611,533	0.0	4,871,047	0.3	611,533	XXX
11.6 Class 6					100	100	0.0	104,990	0.0	100	XXX
11.7 Totals	177,106,080	416,799,983	480,493,533	72,220,826	168,516,493	1,315,136,915	76.8	1,495,960,596	84.8	1,315,136,915	XXX
11.8 Line 11.7 as a % of Col. 6	13.5	31.7	36.5	5.5	12.8	100.0	XXX	XXX	XXX	100.0	XXX
11.9 Line 11.7 as a % of Line 9.7, Col. 6, Section 9	10.3	24.3	28.1	4.2	9.8	76.8	XXX	XXX	XXX	76.8	XXX
<b>12. Total Privately Placed Bonds</b>											
12.1 Class 1	41,121,635	64,332,605	69,636,581	8,099,772	5,623,872	188,814,465	11.0	126,927,161	7.2	XXX	188,814,465
12.2 Class 2	19,751,696	74,160,569	84,527,845	5,997,308	10,167,365	194,604,783	11.4	131,411,742	7.4	XXX	194,604,783
12.3 Class 3		5,773,746	7,415,446			13,189,192	0.8	8,309,170	0.5	XXX	13,189,192
12.4 Class 4	450,425					450,425	0.0	1,503,030	0.1	XXX	450,425
12.5 Class 5										XXX	
12.6 Class 6							0.0			XXX	
12.7 Totals	61,323,755	144,266,921	161,579,872	14,097,080	15,791,237	397,058,865	23.2	268,151,103	15.2	XXX	397,058,865
12.8 Line 12.7 as a % of Col. 6	15.4	36.3	40.7	3.6	4.0	100.0	XXX	XXX	XXX	XXX	100.0
12.9 Line 12.7 as a % of Line 9.7, Col. 6, Section 9	3.6	8.4	9.4	0.8	0.9	23.2	XXX	XXX	XXX	XXX	23.2

(a) Includes \$ 272,287,661 freely tradable under SEC Rule 144 or qualified for resale under SEC Rule 144A.

(b) Includes \$ 33,500,000 current year, \$ 11,420,046 prior year of bonds with Z designations and \$ , current year \$ prior year of bonds with Z\* designations. The letter "Z" means the NAIC designation was not assigned by the Securities Valuation Office (SVO) at the date of the statement. "Z\*" means the SVO could not evaluate the obligation because valuation procedures for the security class are under regulatory review.

(c) Includes \$ current year, \$ prior year of bonds with 5\* designations and \$ , current year \$ prior year of bonds with 6\* designations. "5\*" means the NAIC designation was assigned by the (SVO) in reliance on the insurer's certification that the issuer is current in all principal and interest payments. "6\*" means the NAIC designation was assigned by the SVO due to inadequate certification of principal and interest payments.

(d) Includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$ ; NAIC 2 \$ ; NAIC 3 \$ ; NAIC 4 \$ ; NAIC 5 \$ ; NAIC 6 \$

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York

**SCHEDULE D - PART 1A - SECTION 2**

Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Type and Subtype of Issues

Distribution by Type	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 Total Current Year	7 Col. 6 as a % of Line 9.5	8 Total from Col. 6 Prior Year	9 % From Col. 7 Prior Year	10 Total Publicly Traded	11 Total Privately Placed
<b>1. U.S. Governments</b>											
1.1 Issuer Obligations .....		1,274,307				1,274,307	0.1	1,284,592	0.1	1,274,307	
1.2 Residential Mortgage-Backed Securities .....	4,883,462	14,369,321	10,077,988	7,911,752	1,574,523	38,817,046	2.3	48,567,520	2.8	38,817,046	
1.3 Commercial Mortgage-Backed Securities .....											
1.4 Other Loan-Backed and Structured Securities .....	2,999,544	7,999,305	9,136,850	3,607,945		23,743,643	1.4	31,489,450	1.8	23,743,643	
1.5 Totals .....	7,883,006	23,642,933	19,214,838	11,519,697	1,574,523	63,834,996	3.7	81,341,562	4.6	63,834,996	
<b>2. All Other Governments</b>											
2.1 Issuer Obligations .....	692,910	409,759	1,579,427	303,795	555,348	3,541,238	0.2	3,794,172	0.2	1,697,647	1,843,591
2.2 Residential Mortgage-Backed Securities .....											
2.3 Commercial Mortgage-Backed Securities .....											
2.4 Other Loan-Backed and Structured Securities .....											
2.5 Totals .....	692,910	409,759	1,579,427	303,795	555,348	3,541,238	0.2	3,794,172	0.2	1,697,647	1,843,591
<b>3. U.S. States, Territories and Possessions, Guaranteed</b>											
3.1 Issuer Obligations .....			2,337,364		7,045,992	9,383,356	0.5	9,385,665	0.5	9,383,356	
3.2 Residential Mortgage-Backed Securities .....											
3.3 Commercial Mortgage-Backed Securities .....											
3.4 Other Loan-Backed and Structured Securities .....											
3.5 Totals .....			2,337,364		7,045,992	9,383,356	0.5	9,385,665	0.5	9,383,356	
<b>4. U.S. Political Subdivisions of States, Territories and Possessions, Guaranteed</b>											
4.1 Issuer Obligations .....		1,000,270		4,032,564	16,058,498	21,091,332	1.2	21,093,424	1.2	21,091,332	
4.2 Residential Mortgage-Backed Securities .....											
4.3 Commercial Mortgage-Backed Securities .....											
4.4 Other Loan-Backed and Structured Securities .....											
4.5 Totals .....		1,000,270		4,032,564	16,058,498	21,091,332	1.2	21,093,424	1.2	21,091,332	
<b>5. U.S. Special Revenue &amp; Special Assessment Obligations etc., Non-Guaranteed</b>											
5.1 Issuer Obligations .....	2,760,044	2,509,652	7,437,611	9,384,278	33,334,998	55,426,583	3.2	56,112,372	3.2	55,426,583	
5.2 Residential Mortgage-Backed Securities .....	18,477,300	37,392,507	17,550,932	13,939,953	7,664,306	95,024,997	5.5	93,808,151	5.3	95,024,997	
5.3 Commercial Mortgage-Backed Securities .....	4,285,959	2,323				4,288,282	0.3	26,955,798	1.5	4,288,282	
5.4 Other Loan-Backed and Structured Securities .....											
5.5 Totals .....	25,523,304	39,904,482	24,988,543	23,324,230	40,999,303	154,739,861	9.0	176,876,321	10.0	154,739,861	
<b>6. Industrial and Miscellaneous</b>											
6.1 Issuer Obligations .....	136,329,355	346,168,253	521,446,660	24,011,863	111,655,876	1,139,612,006	66.6	1,141,982,179	64.7	870,984,814	268,627,192
6.2 Residential Mortgage-Backed Securities .....	13,553,856	29,633,278	11,064,881	8,383,250	1,522,517	64,157,782	3.7	84,024,438	4.8	45,043,715	19,114,067
6.3 Commercial Mortgage-Backed Securities .....	50,178,712	77,698,846	40,892,406			168,769,964	9.9	174,908,953	9.9	129,974,808	38,795,156
6.4 Other Loan-Backed and Structured Securities .....	4,268,694	42,609,084	20,549,286	14,742,506	4,895,673	87,065,244	5.1	70,704,985	4.0	18,386,385	68,678,859
6.5 Totals .....	204,330,617	496,109,461	593,953,233	47,137,619	118,074,066	1,459,604,996	85.2	1,471,620,555	83.4	1,064,389,722	395,215,274
<b>7. Hybrid Securities</b>											
7.1 Issuer Obligations .....											
7.2 Residential Mortgage-Backed Securities .....											
7.3 Commercial Mortgage-Backed Securities .....											
7.4 Other Loan-Backed and Structured Securities .....											
7.5 Totals .....											
<b>8. Parent, Subsidiaries and Affiliates</b>											
8.1 Issuer Obligations .....											
8.2 Residential Mortgage-Backed Securities .....											
8.3 Commercial Mortgage-Backed Securities .....											
8.4 Other Loan-Backed and Structured Securities .....											
8.5 Totals .....											

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York  
**SCHEDULE D - PART 1A - SECTION 2 (Continued)**

Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Type and Subtype of Issues

Distribution by Type	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 Total Current Year	7 Col. 6 as a % of Line 9.5	8 Total from Col. 6 Prior Year	9 % From Col. 7 Prior Year	10 Total Publicly Traded	11 Total Privately Placed
<b>9. Total Bonds Current Year</b>											
9.1 Issuer Obligations	139,782,309	351,362,241	532,801,062	37,732,499	168,650,711	1,230,328,822	71.9	XXX	XXX	959,858,039	270,470,783
9.2 Residential Mortgage-Backed Securities	36,914,618	81,395,105	38,693,801	30,234,955	10,761,345	197,999,825	11.6	XXX	XXX	178,885,758	19,114,067
9.3 Commercial Mortgage-Backed Securities	54,464,671	77,701,168	40,892,406			173,058,246	10.1	XXX	XXX	134,263,090	38,795,156
9.4 Other Loan-Backed and Structured Securities	7,268,238	50,608,389	29,686,136	18,350,452	4,895,673	110,808,887	6.5	XXX	XXX	42,130,028	68,678,859
9.5 Totals	238,429,835	561,066,904	642,073,405	86,317,906	184,307,729	1,712,195,780	100.0	XXX	XXX	1,315,136,914	397,058,865
9.6 Line 9.5 as a % of Col. 6	13.9	32.8	37.5	5.0	10.8	100.0	XXX	XXX	XXX	76.8	23.2
<b>10. Total Bonds Prior Year</b>											
10.1 Issuer Obligations	160,198,663	392,793,412	459,079,628	34,037,822	187,542,879	XXX	XXX	1,233,652,404	69.9	1,079,473,169	154,179,235
10.2 Residential Mortgage-Backed Securities	3,890,693	28,855,696	15,772,097	14,379,277	163,502,346	XXX	XXX	226,400,109	12.8	195,953,845	30,446,264
10.3 Commercial Mortgage-Backed Securities	30,783,313	140,682,909	30,398,529			XXX	XXX	201,864,751	11.4	166,986,114	34,878,637
10.4 Other Loan-Backed and Structured Securities	3,184,059	26,584,146	12,749,057	49,318,094	10,359,079	XXX	XXX	102,194,435	5.8	53,547,468	48,646,967
10.5 Totals	198,056,728	588,916,163	517,999,311	97,735,193	361,404,304	XXX	XXX	1,764,111,699	100.0	1,495,960,596	268,151,103
10.6 Line 10.5 as a % of Col. 8	11.2	33.4	29.4	5.5	20.5	XXX	XXX	100.0	XXX	84.8	15.2
<b>11. Total Publicly Traded Bonds</b>											
11.1 Issuer Obligations	90,641,947	266,690,104	408,155,435	36,615,405	157,755,147	959,858,039	56.1	1,079,473,169	61.2	959,858,039	XXX
11.2 Residential Mortgage-Backed Securities	29,640,565	69,963,498	38,285,395	30,234,955	10,761,345	178,885,758	10.4	195,953,845	11.1	178,885,758	XXX
11.3 Commercial Mortgage-Backed Securities	53,146,253	61,110,281	20,006,555			134,263,090	7.8	166,986,114	9.5	134,263,090	XXX
11.4 Other Loan-Backed and Structured Securities	3,677,314	19,036,100	14,046,148	5,370,465		42,130,028	2.5	53,547,468	3.0	42,130,028	XXX
11.5 Totals	177,106,080	416,799,983	480,493,533	72,220,826	168,516,493	1,315,136,915	76.8	1,495,960,596	84.8	1,315,136,915	XXX
11.6 Line 11.5 as a % of Col. 6	13.5	31.7	36.5	5.5	12.8	100.0	XXX	XXX	XXX	100.0	XXX
11.7 Line 11.5 as a % of Line 9.5, Col. 6, Section 9	10.3	24.3	28.1	4.2	9.8	76.8	XXX	XXX	XXX	76.8	XXX
<b>12. Total Privately Placed Bonds</b>											
12.1 Issuer Obligations	49,140,362	84,672,137	124,645,627	1,117,094	10,895,564	270,470,783	15.8	154,179,235	8.7	XXX	270,470,783
12.2 Residential Mortgage-Backed Securities	7,274,053	11,431,607	408,407			19,114,067	1.1	30,446,264	1.7	XXX	19,114,067
12.3 Commercial Mortgage-Backed Securities	1,318,418	16,590,887	20,885,851			38,795,156	2.3	34,878,637	2.0	XXX	38,795,156
12.4 Other Loan-Backed and Structured Securities	3,590,923	31,572,290	15,639,987	12,979,986	4,895,673	68,678,859	4.0	48,646,967	2.8	XXX	68,678,859
12.5 Totals	61,323,755	144,266,921	161,579,872	14,097,080	15,791,237	397,058,865	23.2	268,151,103	15.2	XXX	397,058,865
12.6 Line 12.5 as a % of Col. 6	15.4	36.3	40.7	3.6	4.0	100.0	XXX	XXX	XXX	XXX	100.0
12.7 Line 12.5 as a % of Line 9.5, Col. 6, Section 9	3.6	8.4	9.4	0.8	0.9	23.2	XXX	XXX	XXX	XXX	23.2

**SCHEDULE DA - VERIFICATION BETWEEN YEARS**

Short-Term Investments

	1	2	3	4	5
	Total	Bonds	Mortgage Loans	Other Short-term Investment Assets (a)	Investments in Parent, Subsidiaries and Affiliates
1. Book/adjusted carrying value, December 31 of prior year .....					
2. Cost of short-term investments acquired .....					
3. Accrual of discount .....					
4. Unrealized valuation increase (decrease) .....					
5. Total gain (loss) on disposals .....					
6. Deduct consideration received on disposals .....					
7. Deduct amortization of premium .....					
8. Total foreign exchange change in book/adjusted carrying value .....					
9. Deduct current year's other than temporary impairment recognized .....					
10. Book adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....					
11. Deduct total nonadmitted amounts .....					
12. Statement value at end of current period (Line 10 minus Line 11)					

NONE

(a) Indicate the category of such assets, for example, joint ventures, transportation equipment:

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York  
**SCHEDULE DB - PART A - VERIFICATION BETWEEN YEARS**  
Options, Caps, Floors, Collars, Swaps and Forwards

1.	Book/adjusted carrying value, December 31, prior year (Line 9, prior year) .....	75,506,863
2.	Cost paid/(consideration received) on additions:	
2.1	Current year paid/(consideration received) at time of acquisition, still open, Section 1, Column 12 .....	35,128,706
2.2	Current year paid/(consideration received) at time of acquisition, terminated, Section 2, Column 14 .....	641,191
		35,769,897
3.	Unrealized valuation increase/(decrease):	
3.1	Section 1, Column 17 .....	(50,635,110)
3.2	Section 2, Column 19 .....	8,515,029
		(42,120,081)
4.	Total gain (loss) on termination recognized, Section 2, Column 22 .....	(14,705,715)
5.	Considerations received/(paid) on terminations, Section 2, Column 15 .....	14,424,384
6.	Amortization:	
6.1	Section 1, Column 19 .....	
6.2	Section 2, Column 21 .....	
7.	Adjustment to the book/adjusted carrying value of hedged item:	
7.1	Section 1, Column 20 .....	
7.2	Section 2, Column 23 .....	
8.	Total foreign exchange change in book/adjusted carrying value:	
8.1	Section 1, Column 18 .....	422,244
8.2	Section 2, Column 20 .....	526,754
		948,998
9.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4-5+6+7+8) .....	40,975,578
10.	Deduct nonadmitted assets .....	
11.	Statement value at end of current period (Line 9 minus Line 10) .....	40,975,578

**SCHEDULE DB - PART B - VERIFICATION BETWEEN YEARS**  
Futures Contracts

1.	Book/adjusted carrying value, December 31 of prior year .....	
2.	Net cash deposits (Section 1, Broker Name/Net Cash Deposits Footnote) .....	
3.1	Change in variation margin on open contracts:	
3.11	Section 1, Column 15, current year minus .....	(791,904)
3.12	Section 1, Column 15, prior year .....	(1,501,807)
		709,903
3.2	Add:	
	Change in adjustment to basis of hedged item:	
3.21	Section 1, Column 17, current year to date minus .....	
3.22	Section 1, Column 17, prior year .....	
	Change in amount recognized:	
3.23	Section 1, Column 16, current year to date minus .....	(791,904)
3.24	Section 1, Column 16, prior year .....	(1,501,807)
		709,903
3.3	Subtotal (Line 3.1 minus Line 3.2) .....	
4.1	Variation margin on terminated contracts during the year (Section 2, Column 16) .....	(1,291,522)
4.2:	Less:	
4.21	Amount used to adjust basis of hedged item (Section 2, Column 17) ..	
4.22	Amount recognized (Section 2, Column 16) .....	(1,291,522)
		(1,291,522)
4.3	Subtotal (Line 4.1 minus Line 4.2) .....	
5.	Dispositions gains (losses) on contracts terminated in prior year:	
5.1	Recognized .....	
5.2	Used to adjust basis of hedged items .....	
6.	Book/adjusted carrying value at end of current period (Lines 1+2+3.3-4.3-5.1-5.2) .....	
7.	Deduct total nonadmitted amounts .....	
8.	Statement value at end of current period (Line 6 minus Line 7) .....	

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

**N O N E**

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

**N O N E**

**SCHEDULE DB - VERIFICATION**

Verification of Book/Adjusted Carrying Value, Fair Value and Potential Exposure of all Open Derivative Contracts

		Book/Adjusted Carrying Value Check
1.	Part A, Section 1, Column 14.....	40,975,578
2.	Part B, Section 1, Column 14.....	.....
3.	Total (Line 1 plus Line 2).....	40,975,578
4.	Part D, Column 5.....	62,808,788
5.	Part D, Column 6.....	(21,833,210)
6.	Total (Line 3 minus Line 4 minus Line 5).....	.....
		Fair Value Check
7.	Part A, Section 1, Column 16.....	40,975,578
8.	Part B, Section 1, Column 13.....	.....
9.	Total (Line 7 plus Line 8).....	40,975,578
10.	Part D, Column 8.....	62,808,788
11.	Part D, Column 9.....	(21,833,210)
12.	Total (Line 9 minus Line 10 minus Line 11).....	.....
		Potential Exposure Check
13.	Part A, Section 1, Column 21.....	8,843,711
14.	Part B, Section 1, Column 19.....	6,275,685
15.	Part D, Column 11.....	15,119,396
16.	Total (Line 13 plus Line 14 minus Line 15).....	.....

**SCHEDULE E - VERIFICATION BETWEEN YEARS**

(Cash Equivalents)

	1	2	3
	Total	Bonds	Other (a)
1. Book/adjusted carrying value, December 31 of prior year .....	81,690,289	81,690,289	
2. Cost of cash equivalents acquired .....	6,134,228,758	6,134,228,758	
3. Accrual of discount .....	249,259	249,259	
4. Unrealized valuation increase (decrease) .....			
5. Total gain (loss) on disposals .....	(188)	(188)	
6. Deduct consideration received on disposals .....	6,186,268,354	6,186,268,354	
7. Deduct amortization of premium .....			
8. Total foreign exchange change in book/adjusted carrying value .....			
9. Deduct current year's other than temporary impairment recognized .....			
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	29,899,765	29,899,765	
11. Deduct total nonadmitted amounts .....			
12. Statement value at end of current period (Line 10 minus Line 11)	29,899,765	29,899,765	

(a) Indicate the category of such assets, for example, joint ventures, transportation equipment:

Schedule A - Part 1 - Real Estate Owned

**N O N E**

Schedule A - Part 2 - Real Estate Acquired and Additions Made

**N O N E**

Schedule A - Part 3 - Real Estate Disposed

**N O N E**

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York

**SCHEDULE B - PART 1**

Showing All Mortgage Loans OWNED December 31 of Current Year

1 Loan Number	2 Code	3 Location		5 Loan Type	6 Date Acquired	7 Rate of Interest	8 Book Value/Recorded Investment Excluding Accrued Interest	9 Change in Book Value/Recorded Investment					14 Value of Land and Buildings	15 Date of Last Appraisal or Valuation
		3 City	4 State					9 Unrealized Valuation Increase (Decrease)	10 Current Year's (Amortization)/ Accretion	11 Current Year's Other Than Temporary Impairment Recognized	12 Capitalized Deferred Interest and Other	13 Total Foreign Exchange Change in Book Value		
697080118		Tucson	AZ		07/17/2003	5.350	1,456,517						3,100,000	04/04/2003
697080142		Phoenix	AZ		01/06/2004	6.270	2,172,582						6,000,000	10/23/2003
697080148		Phoenix	AZ		05/24/2004	4.600	488,063						5,085,000	05/04/2004
697080151		Phoenix	AZ		06/24/2004	4.390	489,722						2,730,000	06/15/2004
697080186		Chandler	AZ		06/05/2007	6.600	1,346,414						2,640,000	04/16/2007
697081008		Tempe	AZ		11/22/1999	4.850	2,064,681						6,100,000	06/28/1999
697081020		Mesa	AZ		06/13/2001	5.500	773,964						1,750,000	09/19/2000
697081056		Tempe	AZ		08/10/2004	6.220	820,153						2,060,000	07/15/2004
697081058		Mesa	AZ		08/25/2004	5.940	1,462,419						3,200,000	08/25/2004
697081065		Mesa	AZ		02/06/2006	5.660	1,445,795						3,820,000	12/08/2005
697081070		Green Valley	AZ		05/15/2006	5.990	952,776						2,300,000	04/24/2006
697080110		Santa Ana	CA		10/16/2003	5.940	1,145,841						2,950,000	09/17/2003
697080121		San Diego	CA		08/25/2003	5.510	1,605,661						4,300,000	07/21/2003
697080128		Chula Vista	CA		09/29/2003	5.790	950,627						4,750,000	08/29/2003
697080134		San Diego	CA		11/05/2003	5.860	1,107,354						3,900,000	09/30/2003
697080176		Santa Fe Springs	CA		04/13/2006	5.490	1,172,830						3,400,000	03/08/2006
697080199		Oxnard	CA		11/26/2012	4.670	1,200,000						4,090,000	05/30/2012
697081037		Santa Fe Springs	CA		02/25/2003	4.750	2,200,000						3,400,000	11/25/2002
697081044		San Diego	CA		03/06/2003	4.250	1,789,835						3,200,000	01/31/2003
697081048		Sherman Oaks	CA		03/27/2003	5.900	931,950						3,100,000	03/10/2003
697081061		Lancaster	CA		08/29/2005	5.260	1,494,396						4,920,000	08/29/2005
697081069		El Centro	CA		04/26/2006	5.790	1,028,521						2,120,000	03/02/2006
697000107		Grand Junction	CO		03/11/1998	7.150	853,481						4,970,000	02/10/1998
697080086		Englewood	CO		04/03/1996	6.000	659,193						1,370,000	04/15/2003
697080090		Broomfield	CO		04/17/1997	5.870	2,656,950						4,100,000	05/01/2007
697080191		Broomfield	CO		06/21/2007	6.068	737,691						2,100,000	05/21/2007
697000130		Tolland	CT		10/09/2003	5.480	1,234,687						5,125,000	07/29/2003
697080153		Westport	CT		06/14/2004	5.980	1,217,372						3,200,000	05/01/2004
697080157		Waterford	CT		10/26/2004	5.580	1,684,768						3,550,000	10/05/2004
697080189		Westport	CT		12/13/2006	5.760	605,315						4,300,000	11/15/2006
697000154		Washington	DC		08/11/2004	6.270	565,792						1,565,000	05/21/2004
697081010		Newark	DE		01/20/2000	6.250	1,003,658						1,900,000	11/02/1999
697000167		Jensen Beach	FL		06/13/2005	5.650	2,330,099						4,200,000	04/13/2005
697080183		Doral	FL		09/18/2006	6.200	1,944,211						3,650,000	07/21/2006
697080194		Newport Richey	FL		11/02/2011	4.600	1,070,707						3,250,000	09/15/2011
697080202		Fort Lauderdale	FL		08/30/2012	4.400	1,988,949						3,900,000	06/08/2012
697000075		Atlanta	GA		02/11/1993	6.940	27,435						2,230,000	12/01/1992
697080179		Stockbridge	GA		07/27/2006	6.380	1,210,352						2,600,000	04/25/2006
697080182		Atlanta	GA		06/28/2006	6.390	1,132,443						3,600,000	06/05/2006
697080204		Columbus	GA		11/15/2012	4.250	2,000,000						3,400,000	09/10/2012
697001054		Boise	ID		08/16/2004	5.990	1,012,791						2,332,500	06/08/2004
697000125		Wooddale	IL		10/21/2003	5.420	1,537,743						2,750,000	08/08/2003
697000135		Addison	IL		12/11/2003	5.960	1,028,635						4,300,000	12/04/2003
697000136		Carol Stream	IL		11/21/2003	5.960	762,683						3,570,000	11/19/2003
697000137		South Elgin	IL		12/11/2003	6.000	1,682,043						5,500,000	10/14/2003
697000144		Bolingbrook	IL		12/16/2003	5.860	968,425						3,250,000	12/02/2003
697000156		Naperville	IL		10/19/2004	5.850	597,853						2,200,000	09/15/2004
697000162		Lombard	IL		03/03/2005	5.270	1,798,885						4,360,000	01/10/2005
697000163		Naperville	IL		04/27/2005	5.590	743,311						1,600,000	03/09/2005
697001066		Merrillville	IN		03/28/2006	5.700	1,877,423						3,350,000	01/10/2006
697000200		Overland Park	KS		07/26/2012	4.400	1,279,440						2,300,000	06/12/2012
697000113		Louisville	KY		08/04/2003	5.870	1,963,951						3,900,000	05/13/2003
697081064		Hebron	KY		12/20/2005	5.240	1,131,802						1,860,000	11/23/2005
697000131		Watertown	MA		09/17/2003	5.230	1,388,968						2,600,000	08/15/2003
697080174		Duxbury	MA		04/26/2006	5.510	2,240,613						4,900,000	02/25/2006
697080175		Ashland	MA		04/26/2006	5.510	1,971,740						4,400,000	02/14/2006
697080184		Beverly	MA		08/30/2006	6.290	2,140,386						4,380,000	07/19/2006
697001052		Camp Springs	MD		04/14/2004	5.990	726,333						2,300,000	01/07/2004
697080178		Columbia	MD		05/02/2006	5.780	1,890,027						4,400,000	04/06/2006
697080197		Laurel	MD		02/21/2012	4.850	2,362,304						3,600,000	01/23/2012
697080205		Baltimore	MD		12/10/2012	4.200	2,000,000						4,150,000	10/02/2012
697081028		Temple Hills	MD		09/18/2001	5.080	1,568,574						3,500,000	08/29/2001
697000109		Sterling Heights	MI		04/13/1993	5.820	1,392,300						4,300,000	01/01/1993

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ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York

**SCHEDULE B - PART 1**

Showing All Mortgage Loans OWNED December 31 of Current Year

1 Loan Number	2 Code	3 Location		5 Loan Type	6 Date Acquired	7 Rate of Interest	8 Book Value/Recorded Investment Excluding Accrued Interest	Change in Book Value/Recorded Investment					14 Value of Land and Buildings	15 Date of Last Appraisal or Valuation
		3 City	4 State					9 Unrealized Valuation Increase (Decrease)	10 Current Year's (Amortization)/ Accretion	11 Current Year's Other Than Temporary Impairment Recognized	12 Capitalized Deferred Interest and Other	13 Total Foreign Exchange Change in Book Value		
697000112		Southfield	MI		10/06/1993	5.790	3,301,291						5,750,000	05/05/2003
697001003		Troy	MI		08/12/1999	7.000	895,522						2,200,000	05/19/1999
794081042		Livonia	MI		12/15/1993	5.170	470,946						765,482	07/28/2005
697000074		Burnsville	MN		12/22/1992	5.860	15,162						2,700,000	10/01/1992
697000146		Rogers	MN		03/23/2004	6.200	1,455,384						4,045,000	03/01/2004
697001012		Woodbury	MN		11/08/2000	5.270	749,084						1,800,000	08/01/2000
697001035		Eden Prairie	MN		12/17/2002	6.300	671,960						2,250,000	10/10/2002
697000201		Tupelo	MS		08/29/2012	4.200	2,073,552						4,360,000	06/25/2012
697001036		Charlotte	NC		10/17/2002	6.300	1,166,761						2,600,000	09/24/2002
697080166		Durham	NC		05/12/2005	5.760	1,050,135						2,750,000	03/12/2005
697080177		Monroe	NC		04/07/2006	5.810	787,608						3,110,000	02/23/2006
697080181		Fayetteville	NC		07/27/2006	6.360	1,105,272						3,025,000	06/21/2006
697080185		Charlotte	NC		08/01/2006	6.200	1,435,779						4,225,000	06/28/2006
697080188		Raleigh	NC		12/12/2006	6.020	898,030						3,275,000	11/13/2006
697080198		Greenville	NC		05/30/2012	4.250	3,262,530						8,450,000	03/02/2012
697080193		Omaha	NE		04/01/2011	5.640	750,281						5,480,000	02/24/2011
697080203		Omaha	NE		09/27/2012	4.150	996,187						1,830,000	09/18/2012
697081049		Hudson	NH		06/12/2003	5.750	1,233,523						3,100,000	04/15/2003
697000072		Wall Township	NJ		11/05/1992	5.750	1,481,969						2,765,000	09/01/1992
697000158		Egg Harbor	NJ		12/15/2004	5.580	2,114,508						4,300,000	11/05/2004
697080140		Clifton	NJ		02/23/2004	6.100	1,971,792						5,400,000	10/30/2003
697080170		South Brunswick	NJ		08/25/2005	5.570	1,113,831						2,450,000	05/20/2005
697080192		Clifton	NJ		10/29/2007	6.290	811,011						1,350,000	09/10/2007
697081034		Cresskill	NJ		11/05/2002	4.500	1,541,998						3,800,000	07/24/2002
697081068		Avenel	NJ		04/26/2006	5.800	1,363,176						2,600,000	02/27/2006
697080196		Las Vegas	NV		02/01/2012	4.750	1,276,935						2,300,000	12/20/2011
697080149		Buffalo	NY		06/15/2004	4.850	2,176,307						3,150,000	06/15/2004
697080152		Lancaster	NY		07/13/2004	5.480	1,473,727						9,960,000	03/28/2007
697080159		Mason	OH		12/14/2004	5.730	651,594						1,950,000	11/19/2004
697081062		Woodlawn	OH		09/13/2005	5.310	1,132,545						2,000,000	07/26/2005
697081063		Woodlawn	OH		09/13/2005	5.310	1,434,558						2,550,000	07/25/2005
697080114		South Park	PA		08/06/2003	5.490	1,341,589						3,675,000	05/21/2003
697081025		Plymouth Meeting	PA		05/03/2001	4.500	1,608,529						3,350,000	03/08/2001
697080116		Columbia	SC		07/10/2003	6.250	660,143						1,580,000	12/23/2002
697080164		Columbia	SC		06/21/2005	5.720	1,099,383						2,700,000	04/06/2005
697080168		Elgin	SC		06/23/2005	5.840	551,609						1,350,000	06/08/2005
697081055		Columbia	SC		08/26/2004	6.110	1,283,107						2,800,000	07/16/2004
697000082		Clinton	TN		03/05/1996	5.670	3,384,742						6,950,000	11/17/2005
697080173		Knoxville	TN		01/26/2006	5.690	1,396,152						3,000,000	12/21/2005
697080180		Brentwood	TN		08/14/2006	6.330	1,254,188						2,800,000	07/05/2006
697080172		Dallas	TX		06/29/2006	5.480	2,082,793						3,825,000	08/25/2005
697000195		Midvale	UT		01/27/2012	4.750	1,080,484						2,850,000	12/19/2011
697080089		Newport News	VA		03/17/1997	5.878	2,111,147						4,100,000	01/03/2007
697080119		Williamsburg	VA		10/16/2003	6.300	2,081,559						5,300,000	08/25/2003
697080150		Vinton	VA		06/23/2004	5.430	1,056,754						2,550,000	05/06/2004
697080160		Williamsburg	VA		11/17/2004	5.500	1,670,565						5,500,000	09/13/2004
697080187		Virginia Beach	VA		11/16/2006	6.010	348,113						2,400,000	10/02/2006
697081053		Chesapeake	VA		05/19/2004	4.810	145,786						1,100,000	04/05/2004
697081060		Richmond	VA		05/09/2005	5.420	1,052,403						2,065,000	05/09/2005
697080145		Spokane	WA		12/15/2003	5.940	1,456,272						3,700,000	08/06/2003
697080165		Seattle	WA		03/29/2005	3.850	2,150,000						3,700,000	03/10/2005
697080190		Vancouver	WA		06/26/2007	5.980	1,250,928						3,500,000	05/03/2007
697080206		Seattle	WA		12/20/2012	4.370	1,000,000						2,300,000	10/31/2012
794001012		Charleston	WV		03/09/1993	6.980	156,301						764,706	03/12/1998
0599999. Mortgages in good standing - Commercial mortgages-all other							156,174,938						395,277,688	XXX
0899999. Total Mortgages in good standing							156,174,938						395,277,688	XXX
697001011		Tucson	AZ		05/23/2000	3.890	1,154,342					2,400,000	05/15/2000	
1399999. Restructured mortgages - Commercial mortgages-all other							1,154,342						2,400,000	XXX
1699999. Total - Restructured Mortgages							1,154,342						2,400,000	XXX
2499999. Total - Mortgages with overdue interest over 90 days														XXX

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ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York

**SCHEDULE B - PART 1**

Showing All Mortgage Loans OWNED December 31 of Current Year

1 Loan Number	2 Code	3 Location		5 Loan Type	6 Date Acquired	7 Rate of Interest	8 Book Value/Recorded Investment Excluding Accrued Interest	Change in Book Value/Recorded Investment					14 Value of Land and Buildings	15 Date of Last Appraisal or Valuation		
		3 City	4 State					9 Unrealized Valuation Increase (Decrease)	10 Current Year's (Amortization)/ Accretion	11 Current Year's Other Than Temporary Impairment Recognized	12 Capitalized Deferred Interest and Other	13 Total Foreign Exchange Change in Book Value				
3299999. Total - Mortgages in the process of foreclosure																XXX
3399999 - Totals								157,329,280						397,677,688	XXX	

General Interrogatory:

1. Mortgages in good standing \$ ..... unpaid taxes \$ ..... interest due and unpaid.
2. Restructured mortgages \$ ..... unpaid taxes \$ ..... interest due and unpaid.
3. Mortgages with overdue interest over 90 days not in process of foreclosure \$ ..... unpaid taxes \$ ..... interest due and unpaid.
4. Mortgages in process of foreclosure \$ ..... unpaid taxes \$ ..... interest due and unpaid.

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York

**SCHEDULE B - PART 2**

Showing All Mortgage Loans ACQUIRED AND ADDITIONS MADE During the Current Year

1 Loan Number	Location		4 Loan Type	5 Date Acquired	6 Rate of Interest	7 Actual Cost at Time of Acquisition	8 Additional Investment Made After Acquisition	9 Value of Land and Buildings
	2 City	3 State						
697080199	Oxnard	CA		11/26/2012	4.670	1,200,000		4,090,000
697081044	San Diego	CA		08/16/2012	4.250		389,904	3,200,000
697081037	Santa Fe Springs	CA		12/11/2012	4.750		487,989	3,400,000
697080202	Fort Lauderdale	FL		08/30/2012	4.400	2,000,000		3,900,000
697080204	Columbus	GA		11/15/2012	4.250	2,000,000		3,400,000
697000200	Overland Park	KS		07/26/2012	4.400	1,300,000		2,300,000
697080205	Baltimore	MD		12/10/2012	4.200	2,000,000		4,150,000
697080197	Laurel	MD		02/21/2012	4.850	2,400,000		3,600,000
697000201	Tupelo	MS		08/29/2012	4.200	2,100,000		4,360,000
697080198	Greenville	NC		05/30/2012	4.250	3,300,000		8,450,000
697080203	Omaha	NE		09/27/2012	4.150	1,000,000		1,830,000
697081034	Cresskill	NJ		09/11/2012	4.500		131,566	3,800,000
697080196	Las Vegas	NV		02/01/2012	4.750	1,300,000		2,300,000
697080149	Buffalo	NY		07/26/2012	4.850		961,420	3,150,000
697000195	Midvale	UT		01/27/2012	4.750	1,100,000		2,850,000
697080165	Seattle	WA		12/13/2012	3.850		98,316	3,700,000
697080206	Seattle	WA		12/20/2012	4.370	1,000,000		2,300,000
0599999. Mortgages in good standing - Commercial mortgages-all other						20,700,000	2,069,196	60,780,000
0899999. Total Mortgages in good standing						20,700,000	2,069,196	60,780,000
1699999. Total - Restructured Mortgages								
2499999. Total - Mortgages with overdue interest over 90 days								
3299999. Total - Mortgages in the process of foreclosure								
3399999 - Totals						20,700,000	2,069,196	60,780,000

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York

**SCHEDULE B - PART 3**

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Year

1 Loan Number	2 Location		4 Loan Type	5 Date Acquired	6 Disposal Date	7 Book Value/ Recorded Investment Excluding Accrued Interest Prior Year	8 Change in Book Value/Recorded Investment					14 Book Value/ Recorded Investment Excluding Accrued Interest on Disposal	15 Consid- eration	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain (Loss) on Disposal	18 Total Gain (Loss) on Disposal
	2 City	3 State					8 Unrealized Valuation Increase (Decrease)	9 Current Year's (Amortization) /Accretion	10 Current Year's Other Than Temporary Impairment Recognized	11 Capitalized Deferred Interest and Other	12 Total Change in Book Value (8+9-10+11)					
697001033	West Sacramento	CA		09/04/2002	10/02/2012	1,700,000						1,700,000	1,700,000			
794001054	New Castle	DE		12/14/1993	03/29/2012	342,110						336,533	336,533			
697000126	Lake Bluff	IL		10/20/2003	11/02/2012	1,273,596						1,230,340	1,230,340			
697000096	Rochester	NY		09/02/1997	03/09/2012	200,849						157,296	157,296			
697001032	Bend	OR		03/21/2002	03/23/2012	830,383						816,878	816,878			
697080171	Carrollton	TX		12/01/2005	12/18/2012	1,473,108						1,427,577	1,427,577			
697001030	Houston	TX		01/07/2002	07/30/2012	1,215,544						1,167,409	1,167,409			
697081057	Bellevue	WA		09/22/2004	06/25/2012	1,510,956						1,486,077	1,486,077			
697000155	Wauwatosa	WI		12/09/2004	06/01/2012	1,981,240						1,936,487	1,936,487			
697080127	Wauwatosa	WI		10/08/2003	05/02/2012	1,679,392						1,644,147	1,644,147			
<b>0199999. Mortgages closed by repayment</b>						<b>12,207,178</b>						<b>11,902,744</b>	<b>11,902,744</b>			
697080186	Chandler	AZ		06/05/2007		1,379,037						32,623	32,623			
697081070	Green Valley	AZ		05/15/2006		997,329						44,553	44,553			
697081020	Mesa	AZ		06/13/2001		789,870						15,907	15,907			
697081058	Mesa	AZ		08/25/2004		1,512,756						50,337	50,337			
697081065	Mesa	AZ		02/06/2006		1,489,832						44,037	44,037			
697080142	Phoenix	AZ		01/06/2004		2,247,912						75,330	75,330			
697080148	Phoenix	AZ		05/24/2004		795,257						307,194	307,194			
697080151	Phoenix	AZ		06/24/2004		664,898						175,176	175,176			
697081008	Tempe	AZ		11/22/1999		2,108,949						44,268	44,268			
697081056	Tempe	AZ		08/10/2004		847,159						27,006	27,006			
697001011	Tucson	AZ		05/23/2000		1,177,813						23,472	23,472			
697080118	Tucson	AZ		07/17/2003		1,519,589						63,072	63,072			
697080128	Chula Vista	CA		09/29/2003		1,011,978						61,352	61,352			
697081069	El Centro	CA		04/26/2006		1,058,947						30,426	30,426			
697081061	Lancaster	CA		08/29/2005		1,527,613						33,217	33,217			
697080121	San Diego	CA		08/25/2003		1,712,255						106,594	106,594			
697080134	San Diego	CA		11/05/2003		1,147,971						40,618	40,618			
697081044	San Diego	CA		03/06/2003		1,445,688						45,757	45,757			
697080110	Santa Ana	CA		10/16/2003		1,187,884						42,043	42,043			
697080176	Santa Fe Springs	CA		04/13/2006		1,230,432						57,602	57,602			
697081037	Santa Fe Springs	CA		02/25/2003		1,776,889						64,878	64,878			
697081048	Sherman Oaks	CA		03/27/2003		995,585						63,635	63,635			
697080090	Broomfield	CO		04/17/1997		2,727,810						70,860	70,860			
697080191	Broomfield	CO		06/21/2007		792,804						55,113	55,113			
697080086	Englewood	CO		04/03/1996		692,641						33,449	33,449			
697000107	Grand Junction	CO		03/11/1998		980,432						126,951	126,951			
697000130	Tolland	CT		10/09/2003		1,406,685						171,998	171,998			
697080157	Waterford	CT		10/26/2004		1,743,080						58,312	58,312			
697080153	Westport	CT		06/14/2004		1,288,175						70,803	70,803			
697080189	Westport	CT		12/13/2006		809,022						203,707	203,707			
697000154	Washington	DC		08/11/2004		631,099						65,307	65,307			
794001054	New Castle	DE		12/14/1993		342,110						5,577	5,577			
697081010	Newark	DE		01/20/2000		1,024,140						20,481	20,481			
697080183	Doral	FL		09/18/2006		2,030,416						86,205	86,205			
697080202	Fort Lauderdale	FL		08/30/2012								11,051	11,051			
697000167	Jensen Beach	FL		06/13/2005		2,405,476						75,377	75,377			
697080194	Newport Richey	FL		11/02/2011		1,250,000						179,293	179,293			
697000075	Atlanta	GA		02/11/1993		185,570						158,135	158,135			
697080182	Atlanta	GA		06/28/2006		1,163,266						30,822	30,822			
697080179	Stockbridge	GA		07/27/2006		1,264,187						53,836	53,836			
697001054	Boise	ID		08/16/2004		1,070,499						57,708	57,708			
697000135	Addison	IL		12/11/2003		1,164,897						136,262	136,262			
697000144	Bolingbrook	IL		12/16/2003		1,028,751						60,326	60,326			
697000136	Carol Stream	IL		11/21/2003		865,387						102,704	102,704			
697000126	Lake Bluff	IL		10/20/2003		1,273,596						43,256	43,256			
697000162	Lombard	IL		03/03/2005		1,860,815						61,930	61,930			
697000156	Naperville	IL		10/19/2004		666,008						68,154	68,154			
697000163	Naperville	IL		04/27/2005		783,685						40,374	40,374			
697000137	South Elgin	IL		12/11/2003		1,839,092						157,049	157,049			
697000125	Wooddale	IL		10/21/2003		1,597,050						59,307	59,307			
697001066	Merrillville	IN		03/28/2006		1,933,937						56,514	56,514			

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ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York

**SCHEDULE B - PART 3**

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Year

1 Loan Number	2 Location		4 Loan Type	5 Date Acquired	6 Disposal Date	7 Book Value/ Recorded Investment Excluding Accrued Interest Prior Year	8 Change in Book Value/Recorded Investment					14 Book Value/ Recorded Investment Excluding Accrued Interest on Disposal	15 Consid- eration	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain (Loss) on Disposal	18 Total Gain (Loss) on Disposal
	2 City	3 State					8 Unrealized Valuation Increase (Decrease)	9 Current Year's (Amortization) /Accretion	10 Current Year's Other Than Temporary Impairment Recognized	11 Capitalized Deferred Interest and Other	12 Total Change in Book Value (8+9-10+11)					
697000200	Overland Park	KS		07/26/2012								20,560	20,560			
697081064	Hebron	KY		02/20/2005		1,168,430						36,628	36,628			
697000113	Louisville	KY		08/04/2003		2,091,369						127,418	127,418			
697080175	Ashland	MA		04/26/2006		2,011,949						40,209	40,209			
697080184	Beverly	MA		08/30/2006		2,198,429						58,044	58,044			
697080174	Duxbury	MA		04/26/2006		2,286,305						45,692	45,692			
697000131	Watertown	MA		09/17/2003		1,443,938						54,970	54,970			
697001052	Camp Springs	MD		04/14/2004		816,491						90,158	90,158			
697080178	Columbia	MD		05/02/2006		1,945,591						55,565	55,565			
697080197	Laurel	MD		02/21/2012								37,696	37,696			
697081028	Temple Hills	MD		09/18/2001		1,601,406						32,832	32,832			
794081042	Livonia	MI		12/15/1993		486,635						15,689	15,689			
697000112	Southfield	MI		10/06/1993		3,428,209						126,918	126,918			
697000109	Sterling Heights	MI		04/13/1993		1,604,808						212,508	212,508			
697001003	Troy	MI		08/12/1999		925,160						29,638	29,638			
697000074	Burnsville	MN		12/22/1992		191,462						176,300	176,300			
697001035	Eden Prairie	MN		12/17/2002		780,707						108,747	108,747			
697000146	Rogers	MN		03/23/2004		1,541,329						85,945	85,945			
697001012	Woodbury	MN		11/08/2000		822,455						73,371	73,371			
697000201	Tupelo	MS		08/29/2012								26,448	26,448			
697001036	Charlotte	NC		10/17/2002		1,248,935						82,174	82,174			
697080185	Charlotte	NC		08/01/2006		1,475,420						39,641	39,641			
697080166	Durham	NC		05/12/2005		1,105,937						55,802	55,802			
697080181	Fayetteville	NC		07/27/2006		1,234,335						129,063	129,063			
697080198	Greenville	NC		05/30/2012								37,470	37,470			
697080177	Monroe	NC		04/07/2006		825,312						37,704	37,704			
697080188	Raleigh	NC		12/12/2006		937,394						39,365	39,365			
697080193	Omaha	NE		04/01/2011		765,390						15,109	15,109			
697080203	Omaha	NE		09/27/2012								3,813	3,813			
697081049	Hudson	NH		06/12/2003		1,315,871						82,348	82,348			
697081068	Avenel	NJ		04/26/2006		1,434,131						70,954	70,954			
697080140	Clifton	NJ		02/23/2004		2,090,226						118,434	118,434			
697080192	Clifton	NJ		10/29/2007		830,829						19,817	19,817			
697081034	Cresskill	NJ		11/05/2002		1,457,453						47,022	47,022			
697000158	Egg Harbor	NJ		12/15/2004		2,293,841						119,333	119,333			
697080170	South Brunswick	NJ		08/25/2005		1,225,681						111,850	111,850			
697000072	Wall Township	NJ		11/10/1992		1,507,985						26,015	26,015			
697080196	Las Vegas	NV		02/01/2012								23,065	23,065			
697080149	Buffalo	NY		06/15/2004		1,333,243						118,355	118,355			
697080152	Lancaster	NY		07/13/2004		1,652,022						178,294	178,294			
697000096	Rochester	NY		09/02/1997		200,849						43,553	43,553			
697080159	Mason	OH		12/14/2004		704,775						53,181	53,181			
697081062	Woodlawn	OH		09/13/2005		1,192,563						60,018	60,018			
697081063	Woodlawn	OH		09/13/2005		1,510,580						76,022	76,022			
697001032	Bend	OR		03/21/2002		830,383						13,505	13,505			
697081025	Plymouth Meeting	PA		05/03/2001		1,694,679						86,150	86,150			
697080114	South Park	PA		08/06/2003		1,476,809						135,220	135,220			
697080116	Columbia	SC		07/10/2003		702,389						42,246	42,246			
697080164	Columbia	SC		06/21/2005		1,212,000						112,617	112,617			
697081055	Columbia	SC		08/26/2004		1,432,182						149,075	149,075			
697080168	Elgin	SC		06/23/2005		607,807						56,198	56,198			
697080180	Brentwood	TN		08/14/2006		1,309,697						55,510	55,510			
697000082	Clinton	TN		03/05/1996		3,489,245						104,503	104,503			
697080173	Knoxville	TN		01/26/2006		1,465,467						69,315	69,315			
697080171	Carrollton	TX		12/01/2005		1,473,108						45,531	45,531			
697080172	Dallas	TX		06/29/2006		2,179,130						96,337	96,337			
697001030	Houston	TX		01/07/2002		1,215,544						48,135	48,135			
697000195	Midvale	UT		01/27/2012								19,516	19,516			
697081053	Chesapeake	VA		05/19/2004		237,306						91,519	91,519			
697080089	Newport News	VA		03/17/1997		2,163,962						52,815	52,815			
697081060	Richmond	VA		05/09/2005		1,087,548						35,145	35,145			
697080150	Vinton	VA		06/23/2004		1,120,589						63,834	63,834			

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York

**SCHEDULE B - PART 3**

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Year

1 Loan Number	Location		4 Loan Type	5 Date Acquired	6 Disposal Date	7 Book Value/ Recorded Investment Excluding Accrued Interest Prior Year	Change in Book Value/Recorded Investment					14 Book Value/ Recorded Investment Excluding Accrued Interest on Disposal	15 Consid- eration	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain (Loss) on Disposal	18 Total Gain (Loss) on Disposal
	2 City	3 State					8 Unrealized Valuation Increase (Decrease)	9 Current Year's (Amortization) /Accretion	10 Current Year's Other Than Temporary Impairment Recognized	11 Capitalized Deferred Interest and Other	12 Total Change in Book Value (8+9-10+11)					
697080187	Virginia Beach	VA		11/16/2006		496,726						148,614	148,614			
697080119	Williamsburg	VA		10/16/2003		2,210,174						128,615	128,615			
697080160	Williamsburg	VA		11/17/2004		1,810,238						139,673	139,673			
697081057	Bellevue	WA		09/22/2004		1,510,956						24,879	24,879			
697080165	Seattle	WA		03/29/2005		2,121,220						69,536	69,536			
697080145	Spokane	WA		12/15/2003		1,546,509						90,237	90,237			
697080190	Vancouver	WA		06/26/2007		1,303,165						52,237	52,237			
697000155	Wauwatosa	WI		12/09/2004		1,981,240						44,753	44,753			
697080127	Wauwatosa, Grmtn, Men FL	WI		10/08/2003		1,679,392						35,245	35,245			
794001012	Charleston	WV		03/09/1993		199,775						43,474	43,474			
0299999. Mortgages with partial repayments						153,630,956						8,868,128	8,868,128			
0599999 - Totals						165,838,134						20,770,873	20,770,873			

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York

**SCHEDULE BA - PART 1**

Showing Other Long-Term Invested Assets OWNED December 31 of Current Year

1 CUSIP Identification	2 Name or Description	3 Code	4 Location		6 Name of Vendor or General Partner	7 NAIC Designation	8 Date Originally Acquired	9 Type and Strategy	10 Actual Cost	11 Fair Value	12 Book/ Adjusted Carrying Value Less Encum- brances	Change in Book/Adjusted Carrying Value					18 Invest- ment Income	19 Commit- ment for Additional Invest- ment	20 Percent- age of Owner- ship	
			4 City	5 State								13 Unrealized Valuation Increase (Decrease)	14 Current Year's (Depre- ciation) or (Amorti- zation)/ Accretion	15 Current Year's Other Than Temporary Impair- ment Recog- nized	16 Capital- ized Deferred Interest and Other	17 Total Foreign Exchange Change in Book/ Adjusted Carrying Value				
76932*10-3	RIVERSOURCE NY REO LLC			NY			05/29/2009		235,827	120,704	120,704	(468)							100.000	
1899999. Joint Venture Interests - Real Estate - Affiliated									235,827	120,704	120,704	(468)							XXX	
3999999. Total - Unaffiliated																			XXX	
4099999. Total - Affiliated									235,827	120,704	120,704	(468)								XXX
4199999 - Totals									235,827	120,704	120,704	(468)							XXX	

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Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made

**N O N E**

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid

**N O N E**

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York

**SCHEDULE D - PART 1**

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest					Dates	
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	C o d e	F o r e i g n	B o n d C h a r	NAIC Des.	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization) Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
912810-DW-5	UNITED STATES TREASURY BOND	SD			1	300,781	122.7660	306,914	250,000	265,360		(4,065)			7.250	5.238	MM	2,353	18,125	10/23/2001	05/15/2016
912828-CJ-7	UNITED STATES TREASURY NOTE				1	1,051,797	106.1720	1,061,719	1,000,000	1,008,948		(6,220)			4.750	4.072	MM	6,167	47,500	02/07/2005	05/15/2014
<b>0199999. Subtotal - Bonds - U.S. Governments - Issuer Obligations</b>						<b>1,352,578</b>	<b>XXX</b>	<b>1,388,633</b>	<b>1,250,000</b>	<b>1,274,308</b>		<b>(10,285)</b>			<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>8,520</b>	<b>65,625</b>	<b>XXX</b>	<b>XXX</b>
36202F-DH-3	GNMA II 004617	C	2		1	5,564,673	110.2540	6,056,740	5,493,430	5,561,911		(309)		4.500	4.265	MON	20,600	247,205	01/19/2010	01/20/2040	
36202F-EH-5	GNMA II 004636		2		1	32,917,856	110.5730	35,852,164	32,423,898	32,899,191		(1,939)		4.500	4.221	MON	121,589	1,459,074	02/16/2010	02/20/2040	
36202K-DK-8	GNMA II ARM 8206		2		1	29,238	103.9150	30,989	29,821	29,642		116		2.000	2.274	MON	50	634	12/14/1988	03/20/2017	
36202K-EN-1	GNMA II ARM 8241		2		1	9,677	103.9190	10,567	10,169	9,970		114		1.750	2.807	MON	15	166	01/06/1989	07/20/2017	
36202K-EZ-4	GNMA II ARM 8252		2		1	138,394	104.0650	145,478	139,795	139,172		(71)		2.500	2.680	MON	291	3,495	02/10/1989	08/20/2017	
36202K-FB-6	GNMA II ARM 8262		2		1	29,186	103.9190	31,756	30,559	29,548		(659)		1.750	4.839	MON	45	500	01/06/1989	09/20/2017	
36202K-FP-5	GNMA II ARM 8274		2		1	65,039	104.1190	68,293	65,591	65,287		(84)		2.500	2.707	MON	137	1,640	11/16/1988	10/20/2017	
36202K-GA-7	GNMA II ARM 8293		2		1	35,112	104.1190	37,089	35,622	35,459		10		2.500	2.656	MON	74	891	11/16/1988	12/20/2017	
36202K-JW-6	GNMA II ARM 8377		2		1	22,225	104.0650	24,580	23,619	22,984		(169)		2.500	3.751	MON	49	591	08/31/1988	07/20/2018	
36202K-KA-2	GNMA II ARM 8389		2		1	16,047	104.0650	17,497	16,813	16,553		(49)		2.500	3.095	MON	35	420	08/31/1988	08/20/2018	
362181-UN-7	GNMA I 238289		2		1	5,362	102.5910	5,574	5,434	5,387		3		9.500	9.963	MON	43	516	10/17/1989	06/15/2018	
362200-ME-4	GNMA I 292957		2		1	1,939	102.4930	2,009	1,960	1,942		1		9.500	9.986	MON	16	186	10/26/1990	11/15/2020	
<b>0299999. Subtotal - Bonds - U.S. Governments - Residential Mortgage-Backed Securities</b>						<b>38,834,748</b>	<b>XXX</b>	<b>42,282,736</b>	<b>38,276,711</b>	<b>38,817,046</b>		<b>(3,036)</b>			<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>142,944</b>	<b>1,715,318</b>	<b>XXX</b>	<b>XXX</b>
<b>0399999. Subtotal - Bonds - U.S. Governments - Commercial Mortgage-Backed Securities</b>							<b>XXX</b>								<b>XXX</b>	<b>XXX</b>	<b>XXX</b>			<b>XXX</b>	<b>XXX</b>
83162C-MN-0	SBAP_02-20J 2002-20J		2		1	2,400,359	110.1830	2,589,958	2,350,597	2,378,896		(2,608)		4.750	4.487	AO	27,913	112,936	02/11/2004	10/01/2022	
83162C-NR-0	SBAP_04-20D 2004-20D		2		1	3,480,350	111.6500	3,885,818	3,480,350	3,480,350				4.770	4.770	AO	41,503	171,170	04/06/2004	04/01/2024	
83162C-NT-6	SBAP_04-20E 2004-20E		2		1	1,773,018	112.0000	1,985,775	1,773,018	1,773,018				5.180	5.180	MM	15,307	94,340	05/04/2004	05/01/2024	
83162C-PA-5	SBAP_2004-20I 2004-20I		2		1	4,200,313	112.8510	4,740,116	4,200,313	4,200,313				4.990	4.990	MS	69,865	214,941	09/07/2004	09/01/2024	
83162C-PB-3	SBAP_2004-20J 04-20J 1		2		1	987,450	112.1920	1,107,837	987,450	987,450				4.860	4.860	AO	11,998	49,875	10/05/2004	10/01/2024	
83162C-PE-7	SBAP_04-20L 04-20L 1		2		1	2,978,628	112.6520	3,355,482	2,978,628	2,978,628				4.870	4.870	JD	12,088	147,578	12/07/2004	12/01/2024	
83162C-PN-7	SBAP_05-20E 05-20E 1		2		1	4,125,436	112.0070	4,620,758	4,125,436	4,125,436				4.840	4.840	MM	33,279	206,014	05/03/2005	05/01/2025	
831641-DT-9	SBIC_2004-10 2004 10A		2		1	1,276,284	102.3700	1,306,538	1,276,284	1,276,284				4.120	4.099	MS	17,576	52,727	03/17/2004	03/10/2014	
831641-DX-0	SBIC_05-10A 05-10A 1		2		1	654,712	105.6830	691,919	654,712	654,712				5.038	4.995	MS	11,025	33,075	03/15/2005	03/10/2015	
831641-DZ-5	SBIC_05-10B 05-10B		2		1	1,888,556	106.0850	2,003,473	1,888,556	1,888,556				4.941	4.919	MS	31,190	93,569	09/20/2005	09/10/2015	
<b>0499999. Subtotal - Bonds - U.S. Governments - Other Loan-Backed and Structured Securities</b>						<b>23,765,106</b>	<b>XXX</b>	<b>26,287,674</b>	<b>23,715,344</b>	<b>23,743,643</b>		<b>(2,608)</b>			<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>271,744</b>	<b>1,176,225</b>	<b>XXX</b>	<b>XXX</b>
<b>0599999. Total - U.S. Government Bonds</b>						<b>63,952,432</b>	<b>XXX</b>	<b>69,939,043</b>	<b>63,242,055</b>	<b>63,834,997</b>		<b>(15,929)</b>			<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>423,208</b>	<b>2,957,168</b>	<b>XXX</b>	<b>XXX</b>
066716-AB-7	CENTRAL BANK OF TUNISIA	F			2FE	118,900	115.7500	115,750	100,000	114,713		(575)		8.250	6.666	MS	2,338	8,250	06/24/2003	09/19/2027	
105756-AR-1	BRAZIL - FEDERATIVE REPUBLIC O	F			2FE	106,288	161.0000	167,440	104,000	105,770		(90)		8.875	8.636	AO	9,230	9,230	02/23/2005	04/15/2024	
105756-BE-9	BRAZIL - FEDERATIVE REPUBLIC O	F			2FE	98,278	144.6250	137,394	95,000	97,016		(213)		8.875	8.457	AO	1,803	8,431	02/23/2005	10/14/2019	
105756-BH-2	BRAZIL - FEDERATIVE REPUBLIC O	F	2		2FE	362,608	116.1250	415,857	358,111	359,766		(283)		8.000	7.786	JJ	13,210	28,649	08/01/2005	01/15/2018	
168863-AS-7	CHILE - REPUBLIC OF	F			1FE	149,114	100.1000	140,140	140,000	140,045		(1,145)		5.500	4.652	JJ	3,551	7,700	05/28/2003	01/15/2013	
69369E-AC-3	PERTAMINA PT	F			2FE	914,609	109.2500	1,005,100	920,000	914,890		281		4.875	4.950	MM	7,226	22,425	04/26/2012	05/03/2022	
715638-AP-7	PERU - THE REPUBLIC OF	F			2FE	558,395	173.7500	854,850	492,000	555,348		(1,228)		8.750	7.518	MM	4,783	43,050	04/29/2010	11/21/2033	
718286-AK-3	PHILIPPINES - REPUBLIC OF 9.875	F			3FE	346,500	144.2500	432,750	300,000	324,989		(3,100)		9.875	8.103	JJ	13,660	29,625	06/19/2003	01/15/2019	
78307A-CZ-4	RUSSIAN FEDERATION - THE	F	2		2FE	456,192	128.4400	597,246	465,000	478,276		(787)		7.500	6.899	MS	8,816	34,875	05/04/2005	03/31/2030	
903724-AA-0	Ukraine	F			4FE	456,900	101.0000	454,500	450,000	450,425		(909)		7.650	7.430	JD	1,913	34,425	06/19/2003	06/11/2013	
<b>0699999. Subtotal - Bonds - All Other Governments - Issuer Obligations</b>						<b>3,567,784</b>	<b>XXX</b>	<b>4,321,027</b>	<b>3,424,111</b>	<b>3,541,238</b>		<b>(8,049)</b>			<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>59,249</b>	<b>226,660</b>	<b>XXX</b>	<b>XXX</b>
<b>0799999. Subtotal - Bonds - All Other Governments - Residential Mortgage-Backed Securities</b>							<b>XXX</b>								<b>XXX</b>	<b>XXX</b>	<b>XXX</b>			<b>XXX</b>	<b>XXX</b>
<b>0899999. Subtotal - Bonds - All Other Governments - Commercial Mortgage-Backed Securities</b>							<b>XXX</b>								<b>XXX</b>	<b>XXX</b>	<b>XXX</b>			<b>XXX</b>	<b>XXX</b>

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ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York

**SCHEDULE D - PART 1**

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest				Dates		
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	C o d e	F o r e i g n	B o n d C h a r	NAIC Des.	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization) Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
0999999	Subtotal - Bonds - All Other Governments - Other Loan-Backed and Structured Securities						XXX								XXX	XXX	XXX			XXX	XXX
1099999	Total - All Other Government Bonds					3,567,784	XXX	4,321,027	3,424,111	3,541,238		(8,049)			XXX	XXX	XXX	59,249	226,660	XXX	XXX
13063A-56-5	CALIFORNIA - STATE OF				1FE	3,548,160	143.4980	5,022,430	3,500,000	3,545,992		(559)			7.550	7.435	AO	66,063	264,250	04/22/2009	04/01/2039
452152-BM-2	ILLINOIS STATE OF				1FE	3,500,000	113.6840	3,978,940	3,500,000	3,500,000					6.630	6.630	FA	96,688	232,050	01/28/2010	02/01/2035
57582P-WK-2	MASSACHUSETTS ST				1FE	689,101	113.6820	795,774	700,000	690,707		836			4.200	4.381	JD	2,450	29,400	01/27/2011	12/01/2021
70914P-PE-6	PENNSYLVANIA COMMONWEALTH OF				1FE	513,422	112.9080	587,122	520,000	514,569		595			4.250	4.414	JJ	10,191	22,100	02/03/2011	07/15/2020
93974C-WIS-5	WASHINGTON STATE OF			1	1FE	1,138,049	120.4990	1,325,489	1,100,000	1,132,088		(3,180)			5.250	4.810	FA	24,063	57,750	01/27/2011	02/01/2030
1199999	Subtotal - Bonds - U.S. States, Territories and Possessions - Issuer Obligations					9,388,732	XXX	11,709,755	9,320,000	9,383,356		(2,308)			XXX	XXX	XXX	199,455	605,550	XXX	XXX
1299999	Subtotal - Bonds - U.S. States, Territories and Possessions - Residential Mortgage-Backed Securities						XXX								XXX	XXX	XXX			XXX	XXX
1399999	Subtotal - Bonds - U.S. States, Territories and Possessions - Commercial Mortgage-Backed Securities						XXX								XXX	XXX	XXX			XXX	XXX
1499999	Subtotal - Bonds - U.S. States, Territories and Possessions - Other Loan-Backed and Structured Securities						XXX								XXX	XXX	XXX			XXX	XXX
1799999	Total - U.S. States, Territories and Possessions Bonds					9,388,732	XXX	11,709,755	9,320,000	9,383,356		(2,308)			XXX	XXX	XXX	199,455	605,550	XXX	XXX
167505-KH-8	CHICAGO IL BRD ED SCHOOL GO				1FE	1,000,000	111.2730	1,112,730	1,000,000	1,000,000					6.138	6.138	JD	5,115	61,380	09/10/2009	12/01/2039
167560-PL-9	CHICAGO IL METRO WTR RECLAM DI				1FE	3,500,000	129.3190	4,526,165	3,500,000	3,500,000					5.720	5.720	JD	16,683	200,200	08/11/2009	12/01/2038
180848-FS-7	CLARK COUNTY NV				1FE	1,500,000	132.1330	1,981,995	1,500,000	1,500,000					6.754	6.754	JJ	50,655	101,310	01/14/2010	07/01/2038
23223P-CX-3	CUYAHOGA COUNTY OHIO				1FE	3,000,000	123.5080	3,705,240	3,000,000	3,000,000					6.034	6.034	JD	15,085	181,020	12/16/2009	12/01/2034
544646-XY-3	LOS ANGELES CA UNI SCH DIST				1FE	4,036,480	116.6020	4,664,080	4,000,000	4,032,564		(1,167)			5.755	5.678	JJ	115,100	230,200	10/02/2009	07/01/2029
597502-BK-8	MIDLAND CNTY TX HOSP DIST				1FE	2,000,000	126.8550	2,537,100	2,000,000	2,000,000					6.440	6.440	MN	16,458	128,800	08/19/2009	05/15/2039
64966C-KH-3	NEW YORK NY 2003				1FE	1,001,720	104.3600	1,043,600	1,000,000	1,000,270		(181)			4.400	4.380	JD	3,667	44,000	05/30/2003	06/01/2014
717883-KL-5	PHILADELPHIA PA SCH DIST				1FE	3,059,790	120.8170	3,624,510	3,000,000	3,058,498		(745)			6.765	6.610	JD	16,913	202,950	03/11/2010	06/01/2040
796720-GP-9	SAN BERNARDINO CA CNTY COLLEG				1FE	2,000,000	129.0060	2,580,120	2,000,000	2,000,000					7.630	7.630	FA	63,583	152,600	06/10/2009	08/01/2044
1899999	Subtotal - Bonds - U.S. Political Subdivisions - Issuer Obligations					21,097,990	XXX	25,775,540	21,000,000	21,091,332		(2,093)			XXX	XXX	XXX	303,259	1,302,460	XXX	XXX
1999999	Subtotal - Bonds - U.S. Political Subdivisions - Residential Mortgage-Backed Securities						XXX								XXX	XXX	XXX			XXX	XXX
2099999	Subtotal - Bonds - U.S. Political Subdivisions - Commercial Mortgage-Backed Securities						XXX								XXX	XXX	XXX			XXX	XXX
2199999	Subtotal - Bonds - U.S. Political Subdivisions - Other Loan-Backed and Structured Securities						XXX								XXX	XXX	XXX			XXX	XXX
2499999	Total - U.S. Political Subdivisions Bonds					21,097,990	XXX	25,775,540	21,000,000	21,091,332		(2,093)			XXX	XXX	XXX	303,259	1,302,460	XXX	XXX
01030L-BS-3	ALABAMA INCENTIVES FING AUTH				1FE	3,000,000	119.3410	3,580,230	3,000,000	3,000,000					6.042	6.042	MS	60,420	181,260	09/18/2009	09/01/2029
072024-ND-0	BAY AREA TOLL AUTH CA TOLL BRD				1FE	2,000,000	136.9860	2,739,720	2,000,000	2,000,000					6.263	6.263	AO	31,315	125,260	10/28/2009	04/01/2049
167727-SW-7	CHICAGO ILL WASTEWATER TRANSMS				1FE	404,869	126.9450	507,780	400,000	404,704		(60)			6.900	6.804	JJ	13,800	27,600	10/27/2010	01/01/2040
16772P-AQ-9	CHICAGO ILL TRANSIT AUTH				1FE	4,000,000	111.9070	4,476,280	4,000,000	4,000,000					6.200	6.200	JD	20,667	248,000	03/24/2010	12/01/2040
167736-YU-5	CHICAGO ILL WTR REV				1FE	2,000,000	135.7710	2,715,420	2,000,000	2,000,000					6.742	6.742	MN	22,473	134,840	11/05/2010	11/01/2040
18085P-WT-8	CLARK CNTY NV ARPT REV				1FE	2,750,000	141.8570	3,901,068	2,750,000	2,750,000					6.820	6.820	JJ	93,775	187,550	02/10/2010	07/01/2045
196711-MA-0	COLORADO STATE OF				1FE	990,420	134.9670	1,349,670	1,000,000	990,860		76			6.650	6.719	MS	19,581	66,500	07/16/2009	09/15/2045
249182-AQ-9	DENVER CO CITY & CNTY ARPT REV				1FE	3,000,000	127.9940	3,839,820	3,000,000	3,000,000					6.414	6.414	MN	24,587	192,420	10/21/2009	11/15/2039
254776-EG-5	DISTRICT COLUMBIA INCOME TAX R		1		1FE	256,738	118.6180	296,545	250,000	255,591		(619)			5.000	4.640	JD	1,042	12,500	01/27/2011	12/01/2027
254776-ED-3	DISTRICT COLUMBIA INCOME TAX R		1		1FE	511,195	118.2370	591,185	500,000	509,300		(1,026)			5.000	4.700	JD	2,083	25,000	01/27/2011	12/01/2028
343136-L6-2	FLORIDA ST TPK AUTH		1		1FE	1,000,000	115.9860	1,159,860	1,000,000	1,000,000					6.140	6.140	JJ	30,700	61,400	06/26/2009	07/01/2025
49151E-4G-5	KENTUCKY ST PPTY & BLDGS COMM				1FE	3,500,000	121.3740	4,248,090	3,500,000	3,500,000					6.155	6.155	MN	35,904	215,425	10/28/2009	11/01/2029

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ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York

**SCHEDULE D - PART 1**

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest				Dates		
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	C o d e	F o r e i g n	Bond Char	NAIC Des.	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization) Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
517705-AP-4	LAS VEGAS NEVADA			1	1FE	728,963	119.7460	898,095	750,000	729,434		217			7.800	8.051	MS	19,500	58,500	12/03/2009	09/01/2039
544435-C3-2	LOS ANGELES CA DEPT APRTS				1FE	2,500,000	129.6430	3,241,075	2,500,000	2,500,000					6.582	6.582	MN	21,026	164,550	11/18/2009	05/15/2039
57586E-QK-0	MASSACHUSETTS ST HLTH & ED FAC	1			1FE	463,626	119.9640	539,838	450,000	461,146		(1,333)			5.000	4.580	JD	1,000	22,500	01/27/2011	12/15/2031
57586E-QU-8	MASSACHUSETTS ST HLTH & ED FAC	1			1FE	438,437	119.9640	509,847	425,000	436,803		(1,414)			5.000	4.530	JD	944	21,250	01/27/2011	12/15/2030
59259N-ZH-9	METROPOLITAN TRANSN AUTH NY				1FE	1,000,000	144.5630	1,445,630	1,000,000	1,000,000					7.336	7.336	MN	9,374	73,360	04/23/2009	11/15/2039
59259Y-BE-8	METROPOLITAN TRANSN AUTH NY				1FE	2,500,000	110.8940	2,772,350	2,500,000	2,500,000					5.113	5.113	MN	16,333	127,825	10/07/2009	11/15/2022
60534R-IF-3	MISSISSIPPI DEV BK SPL OBLIG				1FE	2,000,000	118.2240	2,364,480	2,000,000	2,000,000					6.589	6.589	JJ	65,890	131,780	08/19/2009	01/01/2035
60534R-TN-9	MISSISSIPPI DEV BK SPL OBLIG				1FE	2,000,000	122.0940	2,441,880	2,000,000	2,000,000					6.313	6.313	JJ	63,130	126,260	03/10/2010	01/01/2033
60636W-NU-5	MISSOURI ST HIWY & TRANSN COMMN				1FE	2,500,000	122.5040	3,062,600	2,500,000	2,500,000					5.445	5.445	MN	22,688	136,125	09/16/2009	05/01/2033
645913-BD-5	NEW JERSEY ECON DEV AUTH ECON				1FE	967,020	55.8730	1,676,190	3,000,000	1,143,878		55,547			0.000	13.386	MAT			05/27/2009	02/15/2025
646136-XR-7	NEW JERSEY ST TRANSN TR FD AUT				1FE	1,000,000	132.5970	1,325,970	1,000,000	1,000,000					6.561	6.561	JD	2,916	65,610	01/07/2010	12/15/2040
646139-D8-5	NEW JERSEY STATE TRNPK AUTH	2			1FE	3,270,000	103.8290	3,395,208	3,270,000	3,270,000					4.252	4.252	JJ	69,520	139,040	07/27/2005	01/01/2016
649710-AR-4	NEW YORK NY CITY TRANS FIN AUT	1			1FE	1,206,395	120.5190	1,379,943	1,145,000	1,196,826		(5,253)			5.000	4.330	FA	23,854	57,250	02/17/2011	02/01/2025
649710-BK-8	NEW YORK NY CITY TRANS FIN AUT	1			1FE	1,211,801	120.3370	1,413,960	1,175,000	1,206,086		(3,075)			5.250	4.850	FA	25,703	61,688	02/03/2011	02/01/2029
769076-UG-3	RIVERSIDE CALIF WTR REV				1FE	1,500,000	126.7490	1,901,235	1,500,000	1,500,000					6.349	6.349	AO	23,809	95,235	12/10/2009	10/01/2039
79765D-XK-1	SAN FRANCISCO CITY & CNTY CA C				1FE	960,000	110.0060	1,056,058	960,000	960,000					6.487	6.487	MN	10,379	62,275	09/24/2009	11/01/2041
798110-AT-9	SAN JOAQUIN CNTY CALIF TRANSN	1			1FE	413,204	123.1220	492,488	400,000	411,270		(1,077)			5.750	5.320	MS	7,667	23,000	02/09/2011	03/01/2029
798110-AU-6	SAN JOAQUIN CNTY CALIF TRANSN	1			1FE	462,398	122.9560	553,302	450,000	460,587		(1,008)			5.750	5.390	MS	8,625	25,875	02/09/2011	03/01/2030
89978K-AV-8	TUOLUMNE WIND PROJ AUTH CALIF				1FE	2,750,000	116.0200	3,190,550	2,750,000	2,750,000					6.918	6.918	JJ	95,123	190,245	06/25/2009	01/01/2034
913366-DF-4	UNIVERSITY CALIF REGTS	1			1FE	1,250,000	129.1870	1,614,838	1,250,000	1,250,000					6.583	6.583	MN	10,515	82,288	12/04/2009	05/15/2049
914126-DX-0	UNIVERSITY CA REVS				1FE	341,719	114.1190	427,946	375,000	345,566		2,019			4.800	5.819	MN	2,300	18,000	01/26/2011	05/15/2023
927793-TB-5	VIRGINIA COMWLTH TRANSN BRD				1FE	394,180	118.6070	474,428	400,000	394,834		334			4.700	4.849	MN	2,402	18,800	01/27/2011	05/15/2024
977100-AA-4	WISCONSIN ST GEN REV FSA				1FE	1,993,160	101.4800	2,029,600	2,000,000	1,999,697		885			4.800	4.847	MN	16,000	96,000	12/10/2003	05/01/2013
2599999. Subtotal - Bonds - U.S. Special Revenues - Issuer Obligations						55,265,125	XXX	67,613,179	57,200,000	55,426,582		44,213			XXX	XXX	XXX	875,045	3,275,211	XXX	XXX
31283G-JJ-7	FREDDIE MAC GOLD G00265	2			1	2,576	103.3260	2,890	2,797	2,743		24			6.500	9.227	MON	15	182	09/12/1994	06/01/2014
31283G-NK-9	FREDDIE MAC GOLD G00650	2			1	64,660	119.3370	76,695	64,268	64,582		(9)			7.500	7.268	MON	402	4,820	02/03/1997	12/01/2025
31283H-J8-9	FREDDIE MAC GOLD G01187	2			1	147,656	117.0820	174,131	148,726	147,704		6			6.500	6.786	MON	806	9,667	05/01/2001	09/01/2030
31287Y-HP-5	FREDDIE MAC GOLD C71554	2			1	733,169	111.2000	787,831	708,482	731,228		(294)			6.000	4.914	MON	3,542	42,509	11/07/2002	09/01/2032
31288F-YP-3	FREDDIE MAC GOLD C77018	2			1	2,166,922	110.8400	2,347,001	2,117,459	2,161,599		(451)			5.500	4.981	MON	9,705	116,460	04/01/2003	03/01/2033
3128CU-G7-5	FREDDIE MAC GOLD G30222	2			1	642,777	108.7000	681,552	627,004	639,585		(506)			6.000	5.080	MON	3,135	37,620	11/04/2002	01/01/2023
31290K-5A-3	Federal Home Loan Mortgage Cor 555341	2			1	3,296	115.6310	3,802	3,288	3,281		(3)			10.000	9.664	MON	27	329	09/19/1997	06/01/2019
31292G-5P-7	FREDDIE MAC GOLD C00854	2			1	57	115.8100	65	56	57					7.500	7.210	MON		4	06/22/1999	07/01/2029
31292G-EU-6	FREDDIE MAC GOLD C00147	2			1	6,157	119.7120	7,006	5,852	6,082		(9)			8.500	6.658	MON	41	497	07/07/1992	06/01/2022
31292G-SM-9	FREDDIE MAC GOLD C00524	2			1	19,507	120.0760	23,091	19,230	19,472		(5)			7.500	6.910	MON	120	1,442	07/14/1997	06/01/2027
31292G-ST-4	FREDDIE MAC GOLD C00530	2			1	44,827	120.4670	53,244	44,198	44,749		(11)			7.500	6.914	MON	276	3,315	07/15/1997	07/01/2027
31292G-T6-3	FREDDIE MAC GOLD C00573	2			1	38,926	117.1260	46,141	39,394	38,964		5			6.500	6.952	MON	213	2,561	12/18/1997	12/01/2027
31292H-O4-9	FREDDIE MAC GOLD C01375	2			1	486,122	115.2350	540,180	468,763	484,965		(147)			6.500	5.058	MON	2,539	30,469	11/04/2002	07/01/2032
31292L-XG-5	FREDDIE MAC GOLD C04279	2			1	10,440,912	105.3020	10,497,460	9,968,945	10,438,637		(2,275)			3.000	2.655	MON	24,922	24,922	10/26/2012	10/01/2042
312938-K5-1	FREDDIE MAC GOLD A90316	2			1	2,758,300	107.3090	2,902,316	2,704,630	2,756,979		(394)			4.500	3.875	MON	10,142	121,708	12/22/2010	12/01/2039
31293D-3J-9	FREDDIE MAC GOLD C18001	2			1	67,417	109.9500	75,411	68,587	67,524		10			6.000	6.616	MON	343	4,115	11/16/1998	11/01/2028
31293V-H5-4	FREDDIE MAC GOLD C30252	2			1	16,175	119.1090	19,528	16,395	16,189		2			7.000	7.561	MON	96	1,148	07/07/1999	08/01/2029
312941-2G-1	FREDDIE MAC GOLD A93475	2			1	2,511,026	107.6840	2,650,959	2,461,791	2,509,812		(341)			4.500	3.792	MON	9,232	110,781	12/22/2010	08/01/2040
312942-NM-3	FREDDIE MAC GOLD A93996	2			1	18,572,997	107.6840	19,638,104	18,236,757	18,564,427		(2,687)			4.500	4.004	MON	68,388	820,654	01/20/2011	09/01/2040
31294C-TF-0	FREDDIE MAC GOLD C35950	2			1	77,099	116.5170	91,434	78,473	77,180		10			7.500	8.289	MON	490	5,886	02/03/2000	01/01/2030
31295V-UB-4	FREDDIE MAC GOLD A00578	2			1	3,481	101.7840	3,374	3,315	3,431		(7)			9.000	7.233	MON	25	298	01/21/1992	10/01/2020
31326R-DK-4	FREDDIE MAC GOLD Q06106	2			1	9,257,937	107.2970	9,511,430	8,864,572	9,252,952		(4,985)			3.500	2.847	MON	25,855	155,130	05/16/2012	02/01/2042
31326V-MY-5	FREDDIE MAC GOLD Q09975	2			1	10,083,941	104.6470	10,186,497	9,734,121	10,080,745		(3,196)			3.000	2.610	MON	24,335	73,006	09/04/2012	07/01/2042
31335H-FM-7	FREDDIE MAC GOLD C90492	2			1	225,070	108.7000	248,731	228,824	225,736		113			6.000	6.632	MON	1,144	13,729	12/07/2001	11/01/2021
31339L-WR-7	FREDDIE MAC FHLMC 2391 CMO 2391 QR	2			1	2,294,910	105.1670	2,564,980	2,438,961	2,401,954		5,169			5.500	6.639	MON	11,179	134,143	12/11/2001	12/15/2016
31337E-NQ-3	FREDDIE MAC FHLMC 2074 CMO 2074 Z																				

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York

**SCHEDULE D - PART 1**

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest				Dates		
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	C o d e	F o r e i g n	B o n d C h a r	NAIC Des.	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization) Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
313614-XS-3	Federal National Mortgage Asso 50189			2	1	5,067	117,5610	5,909	5,026	5,044		(4)			10.000	9.617	MON	42	503	06/08/1989	05/01/2019
31361W-AT-4	Federal National Mortgage Asso 43218			2	1	15,713	100,3520	15,342	15,288	15,403		(38)			9.000	8.338	MON	115	1,376	02/24/1987	03/01/2017
31365C-4G-9	Federal National Mortgage Asso 124223			2	1	13,928	116,7190	16,506	14,142	13,959		4			8.000	8.510	MON	94	1,131	03/03/1992	02/01/2022
31368H-E5-8	Federal National Mortgage Asso 190156			2	1	5,863	102,7840	6,034	5,871	5,849		(2)			6.000	6.002	MON	29	352	10/12/1993	11/01/2013
31371F-GU-0	Federal National Mortgage Asso 250511			2	1	24,727	116,5810	29,673	25,452	24,823		12			6.500	7.377	MON	138	1,654	12/03/1996	03/01/2026
31371F-SU-2	Federal National Mortgage Asso 250821			2	1	24,927	120,0440	29,999	24,990	24,919		(1)			7.500	7.527	MON	156	1,874	01/21/1997	02/01/2027
31371G-Z9-4	Federal National Mortgage Asso 251968			2	1	33,013	119,5540	39,618	33,138	33,010					7.000	7.070	MON	193	2,320	06/01/1999	09/01/2028
31371H-DU-9	Federal National Mortgage Asso 252215			2	1	130,059	110,7100	146,439	132,273	130,259		22			6.000	6.477	MON	661	7,936	10/26/1998	11/01/2028
31371J-BD-5	Federal National Mortgage Asso 253036			2	1	54,216	119,5930	67,137	56,138	54,336		15			7.000	8.364	MON	327	3,930	04/18/2000	02/01/2030
31371K-2W-0	Federal National Mortgage Asso 254689			2	1	702,059	109,7310	736,872	671,526	695,208		(1,089)			6.000	4.533	MON	3,358	40,292	03/03/2003	03/01/2023
31371K-WD-9	Federal National Mortgage Asso 254544			2	1	870,673	109,2310	930,686	852,034	866,400		(678)			6.000	5.168	MON	4,260	51,122	10/18/2002	11/01/2022
31374F-YK-9	Federal National Mortgage Asso 313114			2	1	112,492	114,4190	131,009	114,500	112,695		28			7.000	7.542	MON	668	8,015	11/07/1996	10/01/2026
31377B-XH-3	Federal National Mortgage Asso 372480			2	1	7,026	115,1060	8,289	7,201	7,040		2			7.000	7.989	MON	42	504	01/21/1997	02/01/2027
31380R-HS-1	Federal National Mortgage Asso 447769			2	1	101,286	112,9860	115,141	101,907	101,304		2			6.500	6.649	MON	552	6,624	03/09/1999	02/01/2029
31380U-JP-4	Federal National Mortgage Asso 450370			2	1	162,534	113,7150	183,307	161,198	162,275		(33)			6.500	6.210	MON	873	10,478	02/01/1999	01/01/2029
31382R-NZ-2	Federal National Mortgage Asso 490108			2	1	139,370	117,7380	164,828	139,996	139,371					6.500	6.594	MON	758	9,100	03/29/1999	03/01/2029
31384B-SY-3	Federal National Mortgage Asso 519035			2	1	13,321	119,5930	16,284	13,616	13,337		1			7.000	7.878	MON	79	953	09/14/1999	10/01/2029
31384M-A5-1	Federal National Mortgage Asso 527528			2	1	13,341	101,0420	14,150	14,004	13,377		4			7.000	9.565	MON	82	980	05/03/2000	12/01/2029
31384V-P4-8	Federal National Mortgage Asso 535143			2	1	51,649	121,3730	63,876	52,628	51,696		6			7.500	8.242	MON	329	3,947	07/18/2000	02/01/2030
31384V-RN-4	Federal National Mortgage Asso 535193			2	1	23,273	121,2960	28,610	23,587	23,286		2			7.500	7.995	MON	147	1,769	07/25/2000	03/01/2030
31385P-H5-6	Federal National Mortgage Asso 548452			2	1	22,588	117,0450	26,513	22,652	22,585					6.500	6.513	MON	123	1,472	04/25/2001	12/01/2028
31385W-V3-0	Federal National Mortgage Asso 555134			2	1	4,536,591	114,2000	5,036,758	4,410,479	4,521,960		(1,943)			6.000	5.340	MON	22,052	264,629	11/15/2002	12/01/2032
31386V-2K-5	Federal National Mortgage Asso 575078			2	1	214,672	114,6770	247,965	216,229	214,713		3			6.500	6.702	MON	1,171	14,055	07/11/2001	05/01/2031
31387F-3C-6	Federal National Mortgage Asso 583195			2	1	53,183	118,1630	63,902	54,080	53,223		5			6.500	7.186	MON	293	3,515	05/24/2001	05/01/2031
31387J-SA-5	Federal National Mortgage Asso 585613			2	1	75,750	118,1630	90,059	76,215	75,758		1			6.500	6.674	MON	413	4,954	06/26/2001	05/01/2031
3138AV-U3-7	Federal National Mortgage Asso A4201			2	1	6,062,493	106,6990	6,335,017	5,937,254	6,058,529		(3,964)			3.500	2.282	MON	17,317	190,487	12/13/2011	12/01/2041
31390N-SU-2	Federal National Mortgage Asso 651659			2	1	895,378	113,2150	977,950	863,796	892,571		(511)			6.500	5.409	MON	4,679	56,147	12/04/2002	08/01/2032
31391U-2F-1	Federal National Mortgage Asso 677674			2	1	1,233,396	111,7130	1,324,071	1,185,245	1,229,257		(465)			6.000	4.827	MON	5,926	71,115	02/24/2003	02/01/2033
31391U-PT-6	Federal National Mortgage Asso 677334			2	1	1,225,566	111,4690	1,315,167	1,179,847	1,221,321		(429)			6.000	4.952	MON	5,899	70,791	02/13/2003	01/01/2033
31392B-WU-6	FANNIE MAE FNMA 02-4 CMO 4 BC			2	1	1,012,927	106,6440	1,121,586	1,051,708	1,038,707		1,341			6.000	6.761	MON	5,259	63,102	01/03/2002	02/25/2017
31393J-LM-8	FREDDIE MAC FHLMC 2557 CMO 2557 QW			2	1	417,217	101,4040	409,140	403,474	403,947		(1,400)			5.500	4.754	MON	1,849	22,191	01/03/2003	05/15/2022
31394C-SY-9	FNMA 05-21 05-21 PD			2	1	1,768,971	102,5500	1,707,371	1,664,914	1,700,193		(43,183)			5.000	2.248	MON	6,937	83,246	12/23/2010	09/25/2033
31400H-WF-6	Federal National Mortgage Asso 688346			2	1	1,344,588	111,7000	1,443,053	1,291,902	1,338,436		(747)			6.000	5.134	MON	6,460	77,514	02/24/2003	03/01/2033
31407M-EZ-4	Federal National Mortgage Asso			2	1	596,749	105,9620	630,874	595,377	596,632		2			2.955	2.831	MON	1,466	25,218	07/06/2005	08/01/2035
31419A-4N-4	Federal National Mortgage Asso AEO828			2	1	8,534,223	106,6990	8,897,435	8,338,782	8,530,553		(3,669)			3.500	3.051	MON	24,321	267,536	12/21/2011	02/01/2041
2699999. Subtotal - Bonds - U.S. Special Revenues - Residential Mortgage-Backed Securities						95,043,291	XXX	99,758,046	92,723,825	95,024,998		(67,710)			XXX	XXX	XXX	321,827	3,292,939	XXX	XXX
313771-SG-2	Federal National Mortgage Asso 386719			2	1	4,402,670	102,0780	4,372,064	4,283,045	4,281,551		(15,551)			5.259	4.534	MON	18,770	221,623	12/23/2003	12/01/2013
BOA0Z-X2-8	FEDERAL HOUSING AUTHORITY - FH			2	1	6,549	114,8590	7,792	6,784	6,731		13			7.000	7.527	MON	40	475	05/15/1995	06/01/2014
2799999. Subtotal - Bonds - U.S. Special Revenues - Commercial Mortgage-Backed Securities						4,409,219	XXX	4,379,856	4,289,829	4,288,282		(15,538)			XXX	XXX	XXX	18,810	222,098	XXX	XXX
2899999. Subtotal - Bonds - U.S. Special Revenues - Other Loan-Backed and Structured Securities							XXX								XXX	XXX	XXX			XXX	XXX
3199999. Total - U.S. Special Revenues Bonds						154,717,635	XXX	171,751,081	154,213,654	154,739,862		(39,035)			XXX	XXX	XXX	1,215,682	6,790,248	XXX	XXX
00003F-AA-1	A&E TELEVISION NETWORKS LLC			2	2	1,000,000	101,2920	1,012,918	1,000,000	1,000,000					3.110	3.110	FA		11,144	08/17/2012	08/22/2019
00130H-B5-3	AES Corp/VA			1	3FE	348,116	111,0000	381,840	344,000	348,016		(100)			7.375	7.188	JJ	12,685		08/08/2012	07/01/2021
00206R-AG-7	SBC COMMUNICATIONS INC			1	1FE	1,019,620	127,6840	1,276,842	1,000,000	1,018,782		(310)			6.300	6.152	JJ	29,050	63,000	12/22/2009	01/15/2038
00206R-AR-3	SBC COMMUNICATIONS INC			1	1FE	5,210,800	122,7030	6,135,170	5,000,000	5,146,820		(20,011)			5.800	5.231	FA	109,556	290,000	08/07/2009	02/15/2019
00206R-AS-1	SBC COMMUNICATIONS INC			1	1FE	1,060,550	131,4110	1,314,113	1,000,000	1,058,280		(893)			6.550	6.101	FA	24,744	65,500	03/18/2010	02/15/2039
00206R-AX-0	SBC COMMUNICATIONS INC			1	1FE	434,674	115,4860	502,364	435,000	434,727		26			4.450	4.459	MN	2,473	19,358	04/28/2011	05/15/2021
00206R-BJ-0	SBC COMMUNICATIONS INC			1	2FE	213,516	100,2870	264,758	264,000	213,537		22			4.350	5.640	JD	447		12/17/2012	06/15/2045
00209T-AB-1	COMCAST CABLE COMMUNICATIONS H			2	2FE	989,436	151,1860	1,454,408	962,000	981,310		(1,166)			9.455	9.142	MN	11,622	90,957	11/19/2002	11/15/2022
00287Y-AB-5	AbbVie Inc			2	2FE	6,053,440	101,0880	6,065,262	6,000,000	6,052,006		(1,434)			1.750	1.564	MN	15,458		11/09/2012	11/06/2017
00434N-AA-3	CHESAPEAKE MIDSTREAM PARTNERS			1	3FE	408,000	101,5000</														

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York

**SCHEDULE D - PART 1**

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest				Dates		
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	C o d e	F o r e i g n	Bond Char	NAIC Des.	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization) Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
00508X-AF-1	Actuant Corp			1	3FE	1,868,608		1,880,595	1,817,000	1,867,797			(811)		5.625	5.168	JD	4,543	48,994	12/18/2012	06/15/2022
008117-AM-5	Aetna Inc				1FE	1,716,930		1,864,976	1,500,000	1,666,750	(25,302)				6.500	4.283	MS	28,708	97,500	12/15/2010	09/15/2018
008177-AH-1	Aetna Inc				1FE	5,510,289		5,621,890	5,170,000	5,484,836	(25,453)				3.950	3.053	MS	68,072	102,108	04/11/2012	09/01/2020
02076X-AB-8	Alpha Natural Resources Inc			1	4FE	771,979		715,103	771,000	770,940	(611)				6.000	5.918	JD	3,855	46,260	08/10/2011	06/01/2019
03073E-AF-2	AmerisourceBergen Corp				1FE	348,367		396,328	350,000	349,434			188		5.875	5.941	MS	6,055	20,563	07/24/2006	09/15/2015
032511-AY-3	Anadarko Petroleum Corp			1	2FE	747,630		1,252,767	1,000,000	757,378			2,929		6.450	8.929	MS	18,992	64,500	04/23/2009	09/15/2036
035229-CU-5	ANHEUSER-BUSCH COS INC				1FE	1,496,010		1,044,920	1,500,000	1,499,489			468		4.950	4.984	JJ	34,238	74,250	09/09/2003	01/15/2014
037735-CR-6	APPALACHIAN POWER			1	2FE	5,684,097		6,565,260	5,700,000	5,686,438			1,323		4.600	4.635	MS	66,278	262,200	03/22/2011	03/30/2021
040555-CJ-1	ARIZONA PUB SERVICE				2FE	4,445,629		117,3790	3,745,000	4,307,312	(138,317)				6.250	1.896	FA	97,526	234,063	01/25/2012	08/01/2016
040555-CL-6	ARIZONA PUB SERVICE				2FE	9,686,175		131,8150	8,500,000	9,347,361	(107,720)				8.750	6.746	MS	247,917	743,750	08/12/2009	03/01/2019
050095-AM-0	Atwood Oceanics Inc			1	3FE	452,223		452,575	421,000	450,935			(1,287)		6.500	4.862	FA	247,112	5,436	12/14/2012	02/01/2020
055381-AS-6	B/E Aerospace Inc			1	3FE	2,057,750		2,082,900	1,965,000	2,055,570	(2,180)				5.250	4.494	AO	25,791	8,663	12/05/2012	04/01/2022
057224-AZ-0	Baker Hughes Inc				1FE	3,036,155		3,490,460	2,900,000	3,031,288	(2,225)				5.125	4.827	MS	43,762	148,625	08/31/2010	09/15/2040
058498-AQ-9	Ball Corp			1	3FE	2,445,000		2,646,713	2,445,000	2,445,000					5.750	5.750	MN	17,964	140,588	11/15/2010	05/15/2021
058498-AR-7	Ball Corp				3FE	178,544		107,0000	175,000	178,316			(228)		5.000	4.744	MS	2,576	4,521	02/24/2012	03/15/2022
060505-AX-2	Bank of America Corp				1FE	1,988,800		99,9080	2,000,000	1,998,945			1,385		4.875	4.947	JJ	44,958	97,500	01/16/2003	01/15/2013
084664-AD-3	BERKSHIRE HATHAWAY FINANCE COR				1FE	1,985,327		1,033,1580	2,000,000	1,998,482			1,848		4.625	4.724	AO	19,528	92,500	09/01/2004	10/15/2013
086516-AK-7	Best Buy Co Inc				3FE	10,874,559		93,5000	10,378,500	10,934,737			47,205		3.750	4.252	MS	122,563	416,250	09/15/2011	03/15/2016
110394-AE-3	Bristow Group Inc			1	3FE	1,751,375		1,070,0000	1,819,000	1,750,444	(931)				6.250	5.768	AO	23,316		12/10/2012	10/15/2022
111621-AK-4	Brocade Communications Systems				3FE	1,306,250		1,070,7500	1,346,875	1,296,602	(7,692)				6.875	6.006	JJ	39,627	85,938	09/15/2011	01/15/2020
120111-BH-1	BUILDING MATERIALS CORP OF AME			1	3FE	161,588		109,0000	155,000	160,070			(817)		7.000	6.244	FA	4,099	10,850	01/26/2011	02/15/2020
120111-BL-2	BUILDING MATERIALS CORP OF AME				3FE	2,359,275		110,5000	2,524,925	2,354,299	(3,173)				6.750	6.033	MN	25,706	127,238	12/18/2012	05/01/2021
12189T-AW-4	Burlington Northern Santa Fe L				2FE	2,994,690		108,0310	3,000,000	2,998,792			551		4.875	4.896	JJ	67,438	146,250	11/22/2004	01/15/2015
12189T-BA-1	Burlington Northern Santa Fe L				2FE	10,175,600		120,9810	9,500,000	9,959,098	(76,479)				5.750	4.691	MS	160,840	546,250	01/25/2010	03/15/2018
1248EP-AY-9	CCO HOLDINGS LLC/CCO HOLDINGS			1	3FE	1,764,688		1,171,875	1,750,000	1,764,581	(107)				5.250	5.117	MS	32,922		12/04/2012	09/30/2022
125896-BE-9	CMS Energy Corp				3FE	73,325		116,8750	81,813	72,797	(315)				6.250	5.560	FA	1,823	4,375	04/04/2011	02/01/2020
125896-BF-6	CMS Energy Corp				3FE	181,386		106,0000	190,800	180,880	(297)				4.250	4.060	MS	1,934	7,650	04/05/2011	09/30/2015
125896-BG-4	CMS Energy Corp				3FE	854,998		112,6250	850,000	853,855	(647)				5.050	4.949	FA	16,216	42,925	11/18/2010	02/15/2018
126304-AK-0	CSC HOLDINGS INC				3FE	517,625		115,5000	583,275	514,021	(1,283)				7.625	7.228	JJ	17,756	38,506	12/07/2009	07/15/2018
126408-GD-9	CSX Corp				2FE	2,559,675		102,8090	2,570,225	2,505,072	(8,365)				5.500	5.142	FA	57,292	137,500	04/06/2005	08/01/2013
126408-GM-9	CSX Corp				2FE	10,828,952		121,9040	11,580,833	10,528,222	(174,876)				6.250	3.930	MS	174,826	593,750	04/28/2011	03/15/2018
126408-GP-2	CSX Corp				2FE	2,779,710		142,5980	3,000,000	2,788,354	(2,510)				7.450	8.111	AO	55,875	223,500	05/21/2009	04/01/2038
126650-AV-2	CVS Caremark Corp				2FE	5,131,611		107,4300	5,350,000	5,299,665			27,459		4.875	5.461	MS	76,795	260,813	10/27/2005	09/15/2014
14149Y-AG-3	Cardinal Health Inc				2FE	8,328,885		107,4670	9,940,698	8,969,371			104,285		4.000	5.335	JD	16,444	370,000	02/23/2006	06/15/2015
14149Y-AT-5	Cardinal Health Inc				2FE	4,080,021		113,4900	4,670,097	4,115,000			2,951		4.625	4.733	JD	8,459	190,319	03/03/2011	12/15/2020
14170T-AB-7	CareFusion Corp				2FE	8,076,129		119,2450	9,432,264	7,910,000			(16,100)		6.375	6.063	FA	210,109	504,263	03/03/2011	08/01/2019
15189W-AC-4	CENTERPOINT ENERGY RESOURCES C				2FE	3,934,539		120,0840	4,791,364	3,954,458			6,110		6.125	6.342	MN	40,731	244,388	07/23/2009	11/01/2017
154051-EA-9	CENTRAL MAINE POWER COMPANY				1	2,000,000		102,3670	2,047,342	2,000,000					3.070	3.070	JJ	33,770		06/12/2012	06/15/2022
165167-BS-5	Chesapeake Energy Corp				3FE	324,324		108,5000	347,200	323,389	(618)				6.500	6.232	FA	7,858	20,800	01/11/2011	08/15/2017
165167-CG-0	Chesapeake Energy Corp				3FE	259,675		103,7500	269,750	259,725			25		6.125	6.142	FA	6,016	15,925	02/08/2011	02/15/2021
171798-AB-7	Cimarex Energy Co			1	3FE	440,000		109,5000	438,000	439,836	(164)				5.875	3.977	MN	3,917		12/18/2012	05/01/2022
17453B-AW-1	Frontier Communications Corp				3FE	1,234,375		108,7500	1,359,375	1,250,000			1,652		7.125	7.343	MS	26,224	89,063	08/30/2011	03/15/2019
18550B-AE-8	CLECO POWER LLC				2FE	629,239		124,6910	810,490	650,303			353		6.500	6.762	JD	3,521	42,250	11/09/2009	12/01/2035
186108-CD-6	CLEVELAND ELECTRIC ILLUMINATI FE				2FE	2,053,722		104,4650	2,052,739	1,965,000			(11,986)		5.650	4.987	JD	4,934	111,023	07/06/2005	12/15/2013
196522-AK-2	COLORADO INTERSTATE GAS CO				2FE	19,914,461		115,8830	20,450,979	18,869,060	(392,074)				6.800	4.216	MN	153,342	1,200,064	03/22/2010	11/15/2015
199575-AR-2	COLUMBUS SOUTHERN POWER CORP				2FE	3,118,320		100,7960	3,023,865	3,002,500			(14,697)		5.500	4.988	MS	55,000	165,000	04/21/2003	03/01/2013
20030N-AX-9	Comcast Corp				2FE	3,955,300		126,5310	6,326,573	5,000,000			3,996,855		6.400	8.309	MN	40,889	320,000	11/14/2008	05/15/2038
205887-BF-8	ConAgra Foods Inc				2FE	10,644,488		123,0930	12,001,597	9,750,000			(81,943)		7.000	5.754	AO	144,083	682,500	08/06/2009	04/15/2019
20854P-AH-2	CONSOL Energy Inc			1	4FE	1,051,789		102,5000	1,084,450	1,052,236			447		6.375	6.462	MS	22,483	33,724	03/07/2012	03/01/2021
209111-EC-3	CONSOLIDATED EDISON CO OF NEW				1FE	2,996,400		101,5340	3,046,034	2,999,785			459		3.850	3.866	JD	5,133	115,500	06/10/2003	06/15/2013
210518-BB-4	CONSUMERS ENERGY COMPANY				1	2,864,428		102,4860	2,869,610	2,840,153	(15,275)				2.600						

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York

**SCHEDULE D - PART 1**

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest				Dates		
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	C o d e	F o r e i g n	Bond Char	NAIC Des.	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization) Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
233331-AM-9	DTE Energy Co				2FE	628,705	116.1910	813,335	700,000	661,579		9,389			6.350	8.226	JD	3,704	44,450	01/30/2009	06/01/2016
233331-AN-7	DTE Energy Co				2FE	2,065,150	109.1830	2,183,664	2,000,000	2,020,099	(13,538)				7.625	6.844	MN	19,486	152,500	05/12/2009	05/15/2014
240019-B0-1	DAYTON POWER AND LIGHT COMPANY				2FE	3,010,599	103.3030	3,099,096	3,000,000	3,001,179	(1,508)				5.125	5.071	AO	38,438	153,750	06/29/2005	10/01/2013
241738-AA-7	DE US INC				2	5,000,000	102.9740	5,148,676	5,000,000	5,000,000					3.600	3.600	MN	23,000	68,500	07/02/2012	05/15/2019
244217-BK-0	JOHN DEERE CAPITAL CORPORATION				1FE	2,995,080	100.1450	3,004,354	3,000,000	2,999,976		606			5.100	5.121	JJ	70,550	153,000	01/07/2003	01/15/2013
24713E-AC-1	DELPHI CORP				3FE	311,595	107.2500	335,693	313,000	311,700		105			5.875	5.954	MN	2,350	18,389	05/14/2012	05/15/2019
250847-DV-9	DETROIT EDISON				1FE	2,298,873	106.9200	2,459,164	2,300,000	2,299,795		121			5.400	5.406	FA	51,750	124,200	07/08/2004	08/01/2014
25179M-AH-6	Devon Energy Corp				2FE	4,354,949	124.0930	4,886,774	3,938,000	4,230,332	(40,737)				6.300	4.866	JJ	114,399	248,094	10/07/2009	01/15/2019
25179S-AD-2	DEVON FINANCING CORP				2FE	1,215,600	145.4420	1,454,418	1,000,000	1,198,530	(5,564)				7.875	6.085	MS	19,906	78,750	09/15/2009	09/30/2031
25470X-AE-5	DISH DBS CORP				3FE	370,313	114.0000	427,500	375,000	370,708		370			6.750	6.932	JD	2,109	25,313	12/01/2011	06/01/2021
25470X-AJ-4	DISH DBS CORP				3FE	421,250	107.5000	430,000	400,000	421,166	(84)				5.875	5.157	JJ	14,688		12/06/2012	07/15/2022
256882-AD-3	DPL Inc				3FE	213,531	107.0000	218,280	204,000	213,377	(154)				7.250	6.540	AO	3,122		10/23/2012	10/15/2021
257469-AJ-5	Dominion Resources Inc/VA				2FE	1,980,410	114.0090	2,280,184	2,000,000	1,983,745		427			5.250	5.315	FA	43,750	105,000	10/29/2003	08/01/2033
25746U-BG-3	Dominion Resources Inc/VA				2FE	8,773,806	136.8680	9,738,172	7,115,000	8,213,288	(149,359)				8.875	5.794	JJ	291,171	631,456	05/14/2010	01/15/2019
260543-CA-9	Dow Chemical Co/The				2FE	3,013,821	110.3230	2,978,716	2,700,000	2,898,762	(90,345)				5.900	2.324	FA	60,180	159,300	09/16/2011	02/15/2015
260543-CC-5	Dow Chemical Co/The				2FE	4,024,920	111.1710	4,446,852	4,000,000	4,020,347	(2,201)				4.250	4.172	MN	21,722	170,000	11/05/2010	11/15/2020
26138E-AH-2	Dr Pepper Snapple Group Inc				2FE	10,896,805	125.9940	13,727,057	10,895,000	10,902,133	(2,336)				6.820	6.796	MN	123,840	743,039	01/19/2010	05/01/2018
264399-E0-5	Duke Energy Corp				2FE	4,536,964	105.9450	4,767,507	4,500,000	4,508,963	(7,778)				6.300	6.107	FA	118,250	283,500	01/21/2009	02/01/2014
26441C-AB-1	Duke Energy Corp				2FE	491,925	122.3260	519,886	425,000	478,339	(8,676)				6.250	3.689	JD	1,181	26,563	05/25/2011	06/15/2018
26882P-AS-1	ERAC USA FINANCE COMPANY				2FE	2,007,560	109.4610	2,189,214	2,000,000	2,002,158	(844)				5.600	5.550	MN	18,667	112,000	04/13/2005	05/01/2015
26882P-BB-7	ERAC USA FINANCE COMPANY				2FE	2,967,330	120.7800	3,623,397	3,000,000	2,981,744	3,155				6.375	6.525	AO	40,375	191,250	11/19/2007	10/15/2017
26884A-A0-6	ERP OPERATING LP				2FE	1,994,080	101.0460	2,020,912	2,000,000	1,999,815		722			5.200	5.238	AO	26,000	104,000	03/17/2003	04/01/2013
27876G-AY-4	DISH DBS CORP				3FE	860,500	107.5000	1,010,500	940,000	911,015		14,555			6.625	8.551	AO	15,569	62,275	12/08/2009	10/01/2014
28336L-BM-0	El Paso LLC				3FE	216,000	107.0790	256,990	240,000	232,368		4,693			6.875	9.262	JD	733	16,500	01/12/2009	06/15/2014
28336L-BR-9	El Paso LLC				3FE	865,200	115.5000	1,108,800	960,000	960,258		8,842			7.250	8.818	JD	5,800	69,600	01/26/2009	06/01/2018
29078E-AA-3	Embarq Corp				2FE	1,097,490	110.4520	1,104,521	1,000,000	1,094,402	(1,530)				7.995	7.158	JD	6,663	79,950	10/27/2010	06/01/2036
29266R-DJ-2	Energizer Holdings Inc /PVT				2	2,000,000	100.8070	2,016,147	2,000,000	2,000,000					4.250	4.250	JD	236	127,500	06/25/2003	06/30/2013
29266R-J*0	Energizer Holdings Inc				2	4,343,720	104.9020	4,196,071	4,000,000	4,192,547	(124,175)				6.130	2.824	JD	681	314,673	10/07/2011	06/30/2014
29266R-L0-5	Energizer Holdings Inc				2	5,000,000	112.1980	5,609,914	5,000,000	5,000,000					6.480	6.480	AO	68,400	324,000	10/10/2007	10/15/2016
29379V-AR-4	ENTERPRISE PRODUCTS OPERATING				2FE	2,957,954	106.2650	2,975,423	2,800,000	2,903,617	(41,361)				3.700	2.121	JD	8,633	103,600	08/31/2011	06/01/2015
29379V-AS-2	ENTERPRISE PRODUCTS OPERATING				2FE	5,738,445	105.6640	6,054,530	5,730,000	5,736,017	(1,831)				3.200	3.164	FA	76,400	183,360	01/27/2011	02/01/2016
302182-AD-2	Express Scripts Holding Co				2FE	2,794,425	107.7020	2,692,545	2,500,000	2,602,678	(67,748)				6.250	3.335	JD	6,944	156,250	01/26/2010	06/15/2014
302182-AE-0	Express Scripts Holding Co				2FE	5,173,100	128.2390	6,411,931	5,000,000	5,124,349	(14,919)				7.250	6.768	JD	16,111	362,500	06/05/2009	06/15/2019
31428X-AR-7	FedEx Corp				2FE	6,995,855	131.7950	8,303,113	6,300,000	6,786,156	(64,382)				8.000	6.433	JJ	232,400	504,000	07/01/2009	01/15/2019
341099-CC-1	FLORIDA POWER CORP				1FE	7,996,290	100.6970	8,055,725	8,000,000	7,999,927		428			4.800	4.806	MS	128,000	384,000	01/29/2004	03/01/2013
341099-CL-1	FLORIDA POWER CORP				1FE	1,469,529	134.6900	1,818,316	1,350,000	1,464,270	(1,944)				6.400	5.762	JD	3,840	86,400	01/27/2010	06/15/2038
346091-BE-0	Forest Oil Corp				4FE	462,744	106.0000	503,500	475,000	471,166		3,108			8.500	9.275	FA	15,253	40,375	01/25/2010	02/15/2014
35802X-AF-0	FRESENIUS MEDICAL CARE US FINA				3FE	655,625	108.5000	678,125	625,000	654,340	(1,285)				5.875	5.212	JJ	15,401	15,095	12/12/2012	01/31/2022
358030-AA-5	FRESENIUS MEDICAL CARE US FINA				3FE	994,260	107.5000	1,075,000	1,000,000	995,290		456			5.750	5.823	FA	21,722	57,500	01/19/2012	02/15/2021
369604-AY-9	General Electric Co				1FE	4,007,010	100.3550	4,014,210	4,000,000	4,000,075		(882)			5.000	4.977	FA	83,333	200,000	04/29/2003	02/01/2013
36962G-AR-2	GENERAL ELECTRIC CAP CORP				1FE	2,038,086	111.6050	2,315,795	2,075,000	2,044,720		3,207			4.375	4.602	MS	26,478	90,781	11/30/2010	09/16/2020
370334-BH-6	General Mills Inc				2FE	9,639,644	121.5820	11,185,526	9,200,000	9,504,611	(41,598)				5.650	5.015	FA	196,369	519,800	07/15/2009	02/15/2019
37331N-AB-7	GEORGIA-PACIFIC LLC				2FE	113,706	118.9630	136,808	115,000	113,898		110			5.400	5.552	MN	1,035	6,210	03/31/2011	11/01/2020
374689-AC-1	GBRALTAR INDUSTRIES				4FE	207,900	101.2500	212,625	210,000	209,178		241			8.000	8.154	JD	1,400	16,800	09/19/2006	12/01/2015
38141G-D0-4	Goldman Sachs Group Inc/The				1FE	1,680,000	103.4050	2,068,101	2,000,000	1,680,000					5.250	7.564	AO	22,167	105,000	10/22/2003	10/15/2013
397624-AE-7	GREIF BROS. CORPORATION				3FE	406,013	111.5000	451,575	405,000	405,528	(109)				6.750	6.713	FA	11,391	27,338	06/15/2007	02/01/2017
402740-AA-2	GULFSTREAM NATURAL GAS SYSTEM				2FE	302,655	110.9000	332,701	300,000	300,902	(287)				5.560	5.444	MN	2,780	16,680	10/21/2005	11/01/2015
404119-BK-4	HCA Inc				3FE	346,884	110.7500	387,625	350,000	347,513		233			7.250	7.373	MS	7,472	25,375	06/09/2010	09/15/2020
404121-AF-2	HCA Inc				3FE	402,938	101.7500	407,000	400,000	402,922	(16)				4.750	4.659	MN	3,589		12/05/2012	05/01/2023
41163G-AF-8	HARCOURT																				

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York

**SCHEDULE D - PART 1**

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest				Dates		
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	C o d e	F o r e i g n	Bond Char	NAIC Des.	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization) Accretion	Current Year's Than Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
459506-B8-9	International Flavors & Fragra				2	8,000,000		114,6160	8,000,000	8,000,000					6.250	6.250	MS	130,556	500,000	09/24/2007	09/27/2017
461070-AG-9	INTERSTATE POWER & LIGHT CO				2FE	1,877,732		131,1780	1,850,000	1,850,000			(391)		6.250	6.140	JJ	53,316		07/07/2009	07/15/2039
471109-AE-8	Jarden Corp			1	3FE	2,290,570		108,1250	2,454,438	2,270,000			535		6.125	5.806	MN	17,766		12/04/2012	11/15/2022
476556-DA-0	JERSEY CENTRAL POWER & LIGHT C				2FE	568,270		127,7320	500,000	547,570			(6,428)		7.350	5.489	FA	15,313		07/21/2009	02/01/2019
485134-BL-3	KANSAS CITY POWER & LIGHT				2FE	3,322,800		127,8150	3,834,459	3,000,000			(29,624)		7.150	5.688	AO	53,625	214,500	07/21/2009	04/01/2019
485260-BL-6	KANSAS GAS & ELECT				1FE	7,370,389		127,2930	8,745,043	6,870,000			(46,620)		6.700	5.693	JD	20,457	460,290	02/10/2010	06/15/2019
487836-BE-7	Kellogg Co				2FE	3,476,649		108,9300	3,594,703	3,300,000			(21,006)		3.250	2.314	MN	11,917	107,250	03/14/2012	05/21/2018
49228R-AE-3	KERN RIVER FUNDING CORP			2	1FE	1,438,667		109,0320	1,568,430	1,438,500			(6)		4.893	4.943	MON	6,127	71,162	04/29/2003	04/30/2018
492386-AU-1	KERR-MCGEE CORP				2FE	4,575,000		126,6950	7,601,682	6,000,000			55,586		6.950	10.017	JJ	208,500	417,000	03/23/2009	07/01/2024
49446Q-BC-4	Kimco Realty Corp				2FE	8,000,000		105,1810	8,414,496	8,000,000					4.820	4.821	AO	96,400	385,600	06/01/2005	06/01/2014
494550-AU-0	KINDER MORGAN ENERGY PARTNERS				2FE	775,486		116,8010	705,000	754,967			(11,028)		6.000	4.097	FA	17,625	42,300	02/04/2011	02/01/2017
494550-AY-2	KINDER MORGAN ENERGY PARTNERS				2FE	2,195,120		119,7310	2,394,626	2,000,000			(25,366)		5.950	4.320	FA	44,956	119,000	02/10/2011	02/15/2018
49456A-AA-1	KINDER MORGAN FINANCE CO LLC				3FE	799,944		109,6250	877,000	800,000			799,999		6.000	6.000	JJ	22,133	48,000	12/06/2010	01/15/2018
50075N-AU-8	Mondelēz International Inc				2FE	1,967,003		121,6770	2,248,593	1,848,000			(13,604)		6.125	5.137	FA	47,163	113,190	01/27/2010	02/01/2018
50076Q-AL-0	Kraft Foods Group Inc				2FE	1,584,766		135,3330	2,029,999	1,500,000			(630)		6.500	6.075	FA	38,458	5,688	07/18/2012	02/09/2040
50076Q-AP-1	Kraft Foods Group Inc				2FE	2,257,582		136,9270	2,738,539	2,000,000			(1,913)		6.875	5.908	JJ	59,201	3,056	07/18/2012	01/26/2039
50076Q-AV-8	Kraft Foods Group Inc				2FE	5,343,256		122,7780	6,448,283	5,252,000			(6,093)		6.125	5.784	FA	114,777	31,275	07/18/2012	08/23/2018
501044-CH-2	Kroger Co/The				2FE	5,557,350		121,8500	6,092,505	5,000,000			(51,259)		6.150	4.683	JJ	141,392	307,500	12/07/2010	01/15/2020
502413-BA-4	L-3 COMMUNICATIONS CORP			1	2FE	3,002,262		112,8310	3,379,276	2,995,000			(612)		4.950	4.919	FA	56,007	148,253	02/03/2011	02/15/2021
502413-BB-2	L-3 COMMUNICATIONS CORP				2FE	2,181,420		108,1270	2,162,532	2,000,000			(8,629)		3.950	1.638	MN	10,094	39,500	10/16/2012	11/15/2016
53219L-AK-5	LifePoint Hospitals Inc			1	3FE	872,061		108,0000	884,520	819,000			(2,237)		6.625	5.086	AO	13,565	26,500	12/14/2012	10/01/2020
539830-AY-5	Lockheed Martin Corp				1FE	5,324,950		105,6760	5,283,780	5,000,000			(1,277)		3.350	2.517	MS	49,319		12/12/2012	09/15/2021
565849-AF-3	Marathon Oil Corp				2FE	12,701,549		120,9660	15,314,357	12,660,000			(5,760)		5.900	5.841	MS	219,932	746,940	03/22/2010	03/15/2018
565849-AJ-5	Marathon Oil Corp				2FE	5,011,100		100,0810	5,004,030	5,000,000			(620)		0.900	0.825	MN	7,750		10/25/2012	11/01/2015
56585A-AD-4	Marathon Petroleum Corp				2FE	1,016,984		117,6840	1,176,840	1,000,000			(1,456)		5.125	4.894	MS	17,083	51,250	11/22/2011	03/01/2021
56585A-AE-2	Marathon Petroleum Corp				2FE	6,611,827		106,4510	6,919,309	6,500,000			(24,971)		3.500	3.066	MS	75,833	227,500	11/22/2011	03/01/2016
570506-AN-5	MarkWest Energy Partners LP				3FE	1,268,750		109,2500	1,365,625	1,250,000			(2,081)		6.500	6.243	FA	30,684	81,250	09/07/2011	08/15/2021
570506-AQ-8	MARKWEST ENERGY PARTNERS LP/MA			1	3FE	196,560		108,5000	197,470	182,000			(104)		5.500	4.139	FA	3,921		12/05/2012	02/15/2023
57169*-AQ-0	MARS INC				1Z	10,000,000		99,6720	9,967,170	10,000,000					2.720	2.720	AO	60,444		10/09/2012	10/11/2019
581557-AV-7	McKesson Corp				1FE	2,538,125		118,1390	2,953,465	2,500,000			(4,774)		5.700	5.451	MS	47,500	142,500	07/24/2009	03/01/2017
58405U-AF-9	Medco Health Solutions Inc				2FE	1,984,540		104,4960	2,089,914	2,000,000			1,990,392		2.750	2.936	MS	16,194	55,000	03/25/2011	09/15/2015
58405U-AG-7	Medco Health Solutions Inc				2FE	549,237		109,2910	617,495	565,000			551,691		4.125	4.490	MS	6,862	23,306	03/25/2011	09/15/2020
591894-BU-3	METROPOLITAN EDISON				2FE	1,707,633		100,8370	1,714,227	1,700,000			(1,168)		4.950	4.878	MS	24,778	84,150	07/11/2005	03/15/2013
591894-BW-9	METROPOLITAN EDISON				2FE	2,390,264		104,7790	2,519,930	2,405,000			2,402,649		4.875	4.957	AO	29,311	117,244	11/08/2004	04/01/2014
591894-BX-7	METROPOLITAN EDISON				2FE	1,500,000		127,6900	1,915,353	1,500,000			1,500,000		7.700	7.700	JJ	53,258	115,500	01/14/2009	01/15/2019
594457-BT-9	MICHIGAN CONSOLIDATED GAS				1FE	4,049,660		116,9180	4,676,736	4,000,000			(1,090)		5.700	5.613	MS	67,133	228,000	03/14/2003	03/15/2033
59562V-AM-9	MIDAMERICAN ENERGY HLDGS				2FE	2,261,575		126,2340	3,155,853	2,500,000			3,845		6.125	6.910	AO	38,281	153,125	05/22/2009	04/01/2036
615394-AF-0	Moog Inc			1	4FE	1,060,500		100,0800	1,050,840	1,050,000			(1,600)		6.250	6.090	JJ	30,260	65,625	01/05/2005	01/15/2015
617446-HR-3	Morgan Stanley				1FE	5,062,135		100,6770	5,033,866	5,000,000			(9,207)		5.300	5.107	MS	88,333	265,000	05/27/2005	03/01/2013
63534P-AD-9	NATIONAL CITY BANK				1FE	1,498,830		101,2800	1,519,205	1,500,000			142		4.625	4.635	MN	11,563	69,375	05/05/2003	05/01/2013
63946B-AE-0	NBC UNIVERSAL MEDIA LLC				2FE	1,936,449		112,3340	2,246,684	2,000,000			1,943,806		4.375	4.791	AO	21,875	87,500	08/28/2011	04/01/2021
641423-BM-9	NEVADA POWER COMPANY				2FE	4,949,750		114,6420	5,732,080	5,000,000			6,346		5.950	6.111	MS	87,597	297,500	04/10/2008	03/15/2016
641423-BS-6	NEVADA POWER COMPANY				2FE	1,025,200		124,7160	1,247,156	1,000,000			(2,599)		6.500	6.124	MN	8,306	65,000	07/17/2009	05/15/2018
641423-BU-1	NEVADA POWER COMPANY				2FE	1,400,580		138,7030	2,080,539	1,500,000			1,363		6.750	7.306	JJ	50,625	101,250	01/28/2009	07/01/2037
641423-BW-7	NEVADA POWER COMPANY				2FE	3,803,429		125,9340	3,941,738	3,130,000			(90,413)		6.500	3.009	FA	84,771	203,450	09/26/2011	08/01/2018
641423-BX-5	NEVADA POWER COMPANY				2FE	2,999,970		106,8080	3,204,252	3,000,000			(1)		7.375	7.375	JJ	102,021	221,250	01/07/2009	01/15/2014
641423-BY-3	NEVADA POWER COMPANY				2FE	1,057,590		128,2200	1,282,201	1,000,000			(5,216)		7.125	6.321	MS	20,979	71,250	06/18/2009	03/15/2019
650094-CJ-2	VERIZON NEW YORK INC				1FE	1,653,838		113,8780	1,992,867	1,750,000			3,068		6.500	7.031	AO	24,014	113,750	07/21/2009	04/15/2028
651290-AP-3	Newfield Exploration Co				3FE	1,455,000		110,0000	1,455,000	1,500,000			4,056		5.750	6.141	JJ	36,177	71,875	10/03/2011	01/30/2022
652482-BG-4	NEWS AMERICA INC				2FE	2,997,943		108,6870	3,260,616	3,000,000			205		5.300	5.308	JD	7,067	159,000	04/12/2005	12/15/2014
652482-BL-3	NEWS AMERICA INC				2FE	2,284,680		124,1350	3,724,047	3,000,000											

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York

**SCHEDULE D - PART 1**

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest					Dates	
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	C o d e	F o r e i g n	Bond Char	NAIC Des.	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization) Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
655663-Df-6	Nordson Corp				2Z	5,000,000		5,017,996	5,000,000	5,000,000					2.270	2.270	JJ	48,868		07/25/2012	07/26/2017
655844-AZ-1	Norfolk Southern Corp				2FE	8,490,150		8,956,943	7,500,000	8,238,305		(125,365)			5.750	3.671	AO	107,813	431,250	12/08/2010	04/01/2018
655844-BJ-6	Norfolk Southern Corp	1			2FE	487,520		512,872	500,000	488,362		842			3.000	3.294	AO	3,750	8,167	03/14/2012	04/01/2022
666807-BA-9	Northrop Grumman Corp				2FE	1,415,644		1,164,230	1,400,000	1,411,123		(1,401)			5.050	4.907	FA	29,458	70,700	07/28/2009	08/01/2019
666807-BE-1	Northrop Grumman Corp				2FE	6,631,468		6,611,413	6,200,000	6,626,549		(4,919)			3.500	2.563	MS	63,894	21,000	12/12/2012	03/15/2021
667752-AB-5	NORTHWEST PIPELINE				2FE	11,747,617		12,585,000	10,300,000	11,389,158		(179,310)			6.050	3.858	JD	27,696	623,150	11/17/2010	06/15/2018
677415-CG-4	OHIO POWER CO				2FE	1,588,879		1,655,253	1,590,000	1,589,865		124			4.850	4.858	JJ	35,559	77,115	10/28/2004	01/15/2014
678026-AE-5	Oil States International Inc	1			3FE	407,000		405,500	400,000	406,972		(28)			5.125	4.837	JJ	569		12/18/2012	01/15/2023
681904-AM-0	Omicare Inc				3FE	1,096,950		1,182,150	1,065,000	1,092,168		(3,978)			7.750	7.173	JD	6,878	82,538	09/27/2011	06/01/2020
682330-AL-1	ONCOR ELECTRIC DELIVERY CO				2FE	10,116,525		9,805,646	8,885,000	9,607,467		(324,838)			6.375	2.262	JJ	261,182	438,919	02/02/2012	01/15/2015
682330-AT-4	ONCOR ELECTRIC DELIVERY CO				2FE	656,684		127,1460	700,000	659,688		900			7.000	7.572	MN	8,167	49,000	05/22/2009	05/01/2032
693627-AX-9	DUKE ENERGY INDIANA INC - PSI				2FE	1,983,010		2,062,522	2,000,000	1,998,498		2,044			5.000	5.110	MS	29,444	100,000	10/07/2003	09/15/2013
693628-AS-1	PSEG POWER				2FE	5,125,716		5,646,514	5,000,000	5,081,849		(19,726)			5.320	4.832	MS	78,322	266,000	09/16/2010	09/15/2016
693628-AW-2	PSEG POWER				2FE	5,516,394		6,252,144	5,425,000	5,500,192		(8,458)			5.125	4.896	AO	58,695	278,031	12/16/2010	04/15/2020
693656-AA-8	PVH Corp	1			3FE	404,563		404,000	400,000	404,548		(15)			4.500	4.330	JD	550		12/12/2012	12/15/2022
694308-GD-3	Pacific Gas & Electric Co				1FE	1,406,312		1,472,141	1,405,000	1,405,210		(172)			4.800	4.787	MS	22,480	67,440	10/27/2004	03/01/2014
694308-GN-1	Pacific Gas & Electric Co				1FE	2,413,240		2,714,781	2,000,000	2,280,521		(40,304)			8.250	5.396	AO	34,833	165,000	06/18/2009	10/15/2018
695114-BW-7	PACIFICORP				1FE	1,576,665		1,550,534	1,500,000	1,507,816		(10,671)			5.450	4.689	MS	24,071	81,750	07/07/2005	09/15/2013
698455-AA-0	PANHANDLE EASTERN PIPELINE				2FE	4,372,470		5,013,813	4,190,000	4,308,196		(20,861)			6.200	5.526	MN	43,297	259,780	09/18/2009	11/01/2017
704549-AK-0	Peabody Energy Corp				3FE	392,613		410,125	386,000	392,464		(149)			6.000	5.657	MN	2,959	11,580	10/30/2012	11/15/2018
704549-AM-6	Peabody Energy Corp				3FE	984,002		1,035,938	975,000	983,880		(122)			6.250	6.114	MN	7,786	30,469	10/30/2012	11/15/2021
723787-AJ-6	Pioneer Natural Resources Co				2FE	475,882		607,800	480,000	476,778		335			7.500	7.625	JJ	16,600	36,000	11/09/2009	01/15/2020
72650R-AF-9	PLAINS ALL AMERICAN PIPELINE L				2FE	5,566,400		5,588,000	5,000,000	5,393,389		(100,104)			5.875	3.541	FA	110,972	293,750	03/25/2011	08/15/2016
72650R-AX-0	PLAINS ALL AMERICAN PIPELINE L				2FE	5,202,350		5,387,585	5,000,000	5,118,727		(41,510)			3.950	3.029	MS	58,153	197,500	12/08/2010	09/15/2015
72650R-AY-8	Plains All American Pipeline L				2FE	320,813		115,8350	310,000	319,368		(940)			5.000	4.549	FA	6,458	15,500	05/25/2011	02/01/2021
72650R-AZ-5	Plains All American Pipeline L	1			2FE	1,373,877		1,460,929	1,380,000	1,374,379		502			3.650	3.702	JD	4,198	34,839	03/14/2012	06/01/2022
73102Q-AA-4	POLAR TANKERS INC				1FE	510,210		720,379	600,000	514,661		1,267			5.951	7.197	MN	5,058	35,706	03/06/2009	05/10/2037
743263-AM-7	Progress Energy Inc				2FE	2,512,650		2,653,255	2,500,000	2,503,405		(2,652)			6.000	5.931	MS	44,535	151,250	03/18/2009	03/15/2014
743263-AP-0	Progress Energy Inc				2FE	1,495,165		1,815,849	1,500,000	1,495,446		66			6.000	6.023	JD	7,500	90,000	01/11/2010	12/01/2039
744448-BX-8	PUB SERV CO OF COLORADO				1FE	258,543		265,159	250,000	251,279		(968)			5.500	5.072	AO	3,438	13,750	10/29/2003	04/01/2014
744448-BY-6	PUB SERV CO OF COLORADO				1FE	545,036		533,788	530,000	530,356		(2,097)			4.875	4.463	MS	8,613	25,838	10/26/2004	03/01/2013
744560-AL-0	PUBLIC SERVICE ELEC & GAS				1FE	1,501,419		1,465,606	1,420,000	1,427,336		(10,617)			5.375	4.578	MS	25,442	76,325	10/29/2004	09/01/2013
744560-AN-6	PUBLIC SERVICE ELEC & GAS				1FE	1,008,419		1,060,787	990,000	993,675		(2,131)			5.000	4.759	FA	18,700	49,500	10/27/2004	08/15/2014
74733V-AB-6	QEP Resources Inc	1			3FE	612,305		649,300	604,000	611,826		(479)			5.375	5.201	AO	8,116	18,938	02/27/2012	10/01/2022
74913G-AG-0	QWEST CORP				2FE	337,750		383,072	350,000	345,312		2,397			7.500	8.340	AO	6,563	26,250	07/16/2009	10/01/2014
74913G-AK-1	QWEST CORP				2FE	648,222		718,256	635,000	639,396		(1,581)			7.625	7.312	JD	2,152	48,419	02/10/2006	06/15/2015
75281A-AM-1	Range Resources Corp	1			3FE	80,200		107,000	80,000	80,179		(20)			5.750	5.708	JD	383	4,600	08/12/2011	06/01/2021
75281A-AN-9	Range Resources Corp	1			3FE	1,303,688		1,332,375	1,275,000	1,301,170		(2,517)			5.000	4.658	FA	24,083	27,625	02/24/2012	08/15/2022
755111-BX-8	Raytheon Co				1FE	4,992,900		4,932,110	5,000,000	4,992,932		32			2.500	2.516	JD	9,375		11/29/2012	12/15/2022
75737F-AD-0	REDFIRE INC				2	3,000,000		3,164,709	3,000,000	3,000,000					6.830	6.830	JD	7,968	204,900	06/11/2009	06/17/2014
758202-AG-0	REED ELSEVIER CAPITAL				2FE	5,093,420		5,595,970	4,340,000	4,868,460		(70,263)			8.625	6.178	JJ	172,605	374,325	07/20/2009	01/15/2019
758202-AH-8	REED ELSEVIER CAPITAL	1			2FE	12,028,889		11,874,872	12,070,000	12,029,364		475			3.125	3.165	AO	78,581		11/14/2012	10/15/2022
760761-AB-2	Republic Services Inc				2FE	2,049,374		2,370,148	2,000,000	2,038,554		(4,704)			5.500	5.156	MS	32,389	110,000	08/04/2010	09/15/2019
76169F-AG-8	REYES HOLDINGS LLC				3	1,000,000		984,208	1,000,000	1,000,000					5.130	5.130	JJ	20,520		08/03/2012	07/31/2022
761735-AK-5	REYNOLDS GROUP ISSUER INC / RE	1			4FE	1,650,809		1,729,388	1,605,000	1,646,849		(3,960)			7.125	6.340	AO	24,142	60,678	07/26/2012	04/15/2019
771196-AS-1	ROCHE HOLDINGS INC				1FE	3,160,260		3,734,379	3,000,000	3,111,304		(14,912)			6.000	5.287	MS	60,000	180,000	06/18/2009	03/01/2019
771367-CG-6	ROCHESTER GAS AND ELECTRIC COR				1	750,000		818,432	750,000	750,000					4.100	4.100	JJ	14,179	29,554	07/27/2011	07/29/2021
78412F-AL-8	SESI LLC	1			3FE	768,140		813,200	760,000	766,812		(1,277)			6.375	6.136	MN	8,075	48,450	12/15/2011	05/01/2019
786514-BR-9	Safeway Inc				2FE	5,438,880		5,809,768	5,400,000	5,427,793		(3,475)			5.000	4.908	FA	102,000	270,000	07/31/2009	08/15/2019
811065-AA-9	SCRIPPS NETWORK INTERACTIVE				2FE	1,352,244		1,391,710	1,329,000	1,348,082		(4,162)			2.700	2.318	JD	1,595	37,278	01/25/2012	12/15/2016
816851-AF-6	Sempra Energy				2FE	1,885,288		1,757,481	1,750,000	1,751,522		(17,968)			6.000	4.931	FA	43,750	105,000	03/09/2005	02/01/2013

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York

**SCHEDULE D - PART 1**

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest				Dates		
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	C o d e	F o r e i g n	B o n d C h a r	NAIC Des.	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization) Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
842400-ER-0	SOUTHERN CA EDISON				1FE	751,275	104.4530	783,400	750,000	750,187	(172)				5.000	4.975	JJ	17,292	37,500	03/09/2005	01/15/2014
843452-AZ-6	SOUTHERN NATURAL GAS				2FE	4,850,000	142.5930	7,129,660	5,000,000	4,860,454	2,907				8.000	8.293	MS	133,333	400,000	05/21/2009	03/01/2032
843452-BC-6	SOUTHERN NATURAL GAS				2FE	2,120,400	117.5020	2,679,055	2,280,000	2,182,942	18,972				5.900	7.078	AO	33,630	134,520	05/28/2009	04/01/2017
843830-AD-2	SOUTHERN STAR CENTRAL CORP				3FE	439,875	101.7500	457,875	450,000	445,857	1,131				6.750	7.080	MS	10,125	30,375	09/20/2006	03/01/2016
84611F-AC-5	Sovran Self Storage Inc				2	3,000,000	111.0280	3,330,841	3,000,000	3,000,000					6.380	6.380	AO	34,558	191,400	04/04/2006	04/26/2016
847788-AQ-9	Speedway Motorsports Inc				3FE	528,108	106.0000	551,200	520,000	526,139	(1,278)				6.750	6.417	FA	14,625	35,100	05/17/2011	02/01/2019
85590A-AN-4	Starwood Hotels & Resorts Worl				2FE	341,457	123.5000	432,250	350,000	343,483	697				7.150	7.500	JD	2,085	25,025	11/05/2009	12/01/2019
85915F-AD-3	STERICYCLE INC.				2	153,510	106.5640	159,846	150,000	152,757	(521)				3.890	3.470	AO	1,232	5,835	06/29/2011	10/15/2017
85915F-AE-1	STERICYCLE INC.				2	151,808	109.8720	164,809	150,000	151,574	(167)				4.470	4.310	AO	1,416	6,705	06/29/2011	10/15/2020
85915F-AF-8	STERICYCLE INC.				2Z	2,000,000	98.5170	1,970,341	2,000,000	2,000,000					2.680	2.680	JD	2,829		12/06/2012	12/12/2019
867363-AE-3	SUNGARD DATA				4FE	96,250	103.1250	113,438	110,000	107,861	1,924				4.875	6.847	JJ	2,473	5,363	07/29/2005	01/15/2014
872312-AA-1	TON SUB LLC				2FE	2,169,434	104.9430	2,209,051	2,105,000	2,138,128	(15,588)				3.550	2.751	JJ	34,458	74,728	12/14/2010	01/15/2015
875127-AX-0	TAMPA ELECTRIC CO				1FE	293,185	124.4150	311,037	250,000	282,152	(5,359)				6.100	3.456	MN	1,949	15,250	11/22/2010	05/15/2018
875127-AZ-5	TAMPA ELECTRIC CO				1FE	11,571,340	123.0750	13,292,111	10,800,000	11,466,420	(64,237)				5.400	4.507	MN	74,520	583,200	05/04/2011	05/15/2021
880451-AV-1	KINDER MORGAN ENERGY PARTNERS				2FE	3,388,574	136.6460	5,192,559	3,800,000	3,425,985	11,390				7.000	8.117	AO	56,156	266,000	06/01/2009	10/15/2028
882440-AS-9	TEXAS GAS TRANSMISSION CORP 144A				2FE	4,000,000	108.1770	4,327,096	4,000,000	4,000,000					4.600	4.600	JD	15,333	184,000	05/22/2003	06/01/2015
882587-AY-4	TEXAS-NEW MEXICO PWR COMPANY				2FE	3,123,062	134.0770	3,390,800	2,529,000	2,971,230	(56,618)				9.500	6.093	AO	60,064	240,255	02/24/2010	04/01/2019
88732J-AS-7	Time Warner Cable Inc				2FE	12,714,540	133.0740	15,968,844	12,000,000	12,505,310	(62,747)				8.250	7.389	AO	247,500	990,000	05/12/2009	04/01/2019
88732J-AU-2	Time Warner Cable Inc				2FE	1,467,075	127.1880	1,907,822	1,500,000	1,468,344	412				6.750	6.925	JD	4,500	101,250	06/24/2009	06/15/2039
889175-BE-4	TOLEDO EDISON COMPANY				2FE	2,079,440	127.1170	2,542,332	2,000,000	2,059,410	(6,092)				7.250	6.790	MN	24,167	145,000	05/18/2009	05/01/2020
893570-BW-0	TRANS CONTINENTAL GAS PIPELINE				2FE	4,953,238	115.7570	5,672,098	4,900,000	4,925,873	(6,928)				6.400	6.219	AO	66,204	313,600	04/14/2008	04/15/2016
893570-BY-6	TRANS CONTINENTAL GAS PIPELINE				2FE	544,327	122.4570	563,300	460,000	521,994	(10,202)				6.050	3.328	JD	1,237	27,830	10/01/2010	06/15/2018
90321N-AA-0	UR FINANCING ESCROW CORP				3FE	1,620,249	107.7500	1,686,288	1,565,000	1,614,345	(5,904)				5.750	4.956	JJ	41,494	26,766	10/16/2012	07/15/2018
907818-DG-0	Union Pacific Corp				2FE	1,051,760	112.1050	1,121,051	1,000,000	1,047,719	(4,041)				4.000	3.304	FA	16,667	20,000	03/20/2012	02/01/2021
911684-AD-0	United States Cellular Corp				2FE	2,162,417	104.9240	2,565,394	2,445,000	2,178,649	4,920				6.700	7.758	JD	7,281	163,815	06/12/2009	12/15/2033
912909-AF-5	United States Steel Corp				3FE	663,700	106.0000	673,100	635,000	658,672	(2,464)				7.375	6.717	AO	11,708	46,831	03/31/2011	04/01/2020
913017-BV-0	United Technologies Corp				1FE	1,998,460	105.8990	2,117,976	2,000,000	1,998,537	77				3.100	3.109	JD	5,167	31,000	05/24/2012	06/01/2022
91324P-AM-4	UnitedHealth Group Inc				1FE	2,455,525	108.9810	2,724,513	2,500,000	2,488,205	4,934				4.875	5.104	MS	35,885	121,875	03/11/2005	03/15/2015
91324P-AW-2	UnitedHealth Group Inc				1FE	2,828,820	119.9400	3,598,206	3,000,000	2,893,503	19,775				6.000	6.940	JD	8,000	180,000	06/05/2009	06/15/2017
918790-AK-5	Vail Resorts Inc				3FE	965,926	107.6250	995,531	925,000	964,227	(1,670)				6.500	5.137	MN	10,021	34,125	12/18/2012	05/01/2019
91913V-AR-1	Valero Energy Corp				2FE	1,086,500	121.5990	1,215,985	1,000,000	1,072,196	(8,340)				6.125	4.906	FA	25,521	61,250	03/24/2011	02/01/2020
92343V-AL-8	Verizon Communications Inc				1FE	6,340,523	120.0870	6,514,731	5,425,000	6,229,270	(111,253)				5.500	2.406	FA	112,719	149,188	03/22/2012	02/15/2018
92344X-AB-5	VERIZON NEW YORK INC				1FE	4,198,131	132.4960	4,948,722	3,735,000	4,176,364	(11,547)				7.375	6.306	AO	68,864	275,456	04/06/2011	04/01/2032
927804-FB-5	VIRGINIA ELEC & PWR CO				1FE	3,933,400	131.4330	6,571,625	5,000,000	3,983,075	13,537				6.000	7.892	MN	38,333	300,000	11/14/2008	05/15/2037
929766-AG-6	WACHOVIA BANK NA				1FE	4,676,350	124.0710	6,203,555	5,000,000	4,701,301	5,173				5.850	6.337	FA	121,875	292,500	07/09/2007	02/01/2037
93933W-AA-4	WASHINGTON MUTUAL BANK HENDERS				6	100	0.2000	2,000	1,000,000	100					0.000	139.928	JD			10/27/2003	06/15/2011
94106*-AC-0	Waste Connections Inc				2	2,053,100	102.0140	2,040,277	2,000,000	2,042,092	(11,008)				3.300	2.620	AO	16,500	66,000	01/13/2012	04/01/2016
94106L-AS-8	Waste Management Inc				2FE	2,975,115	120.6660	3,318,312	2,750,000	2,899,858	(24,717)				6.100	4.901	MS	49,393	167,750	10/08/2009	03/15/2018
94106L-AU-3	Waste Management Inc				2FE	2,051,420	127.1550	2,543,106	2,000,000	2,036,483	(4,568)				7.375	7.007	MS	45,069	147,500	04/29/2009	03/11/2019
947074-AK-6	WEATHERFORD INTL INC				2FE	1,457,620	113.3470	2,266,936	2,000,000	1,475,120	5,273				6.800	9.603	JD	6,044	136,000	04/22/2009	06/15/2037
94973V-AM-9	WellPoint Inc				2FE	6,748,715	118.6880	7,002,610	5,900,000	6,578,493	(139,960)				5.875	3.092	JD	15,406	346,625	10/05/2011	06/15/2017
95709T-AA-8	Westar Energy Inc				1FE	6,209,235	107.0870	6,178,903	5,770,000	5,890,338	(70,195)				6.000	4.660	JD	173,100	346,200	11/17/2010	07/01/2014
95709T-AB-6	Westar Energy Inc				1FE	4,421,385	112.7900	5,075,546	4,500,000	4,467,647	7,075				5.150	5.352	JD	115,875	231,750	04/12/2005	01/01/2017
960386-AG-5	Wabtec Corp/DE				3FE	1,109,994	102.7500	1,130,250	1,100,000	1,100,799	(1,310)				6.875	6.745	JJ	31,720	75,625	05/10/2005	07/31/2013
97381W-AF-1	Windsream Corp				3FE	704,375	102.2500	715,750	700,000	701,523	(620)				7.000	6.892	MS	14,428	49,000	05/15/2007	03/15/2019
97381W-AJ-3	Windsream Corp				3FE	385,729	112.5000	438,750	390,000	387,089	476				7.875	8.065	MN	5,119	30,713	02/26/2010	11/01/2017
976656-BX-5	WISCONSIN ELECTRIC POWER COMPA				1FE	1,045,690	101.4820	1,014,823	1,000,000	1,002,048	(5,370)				4.500	3.939	MN	5,750	45,000	06/05/2003	05/15/2013
98385X-AC-0	XTO Energy Inc				1FE	500,000	101.6940	508,468	500,000	500,000					6.250	6.250	AO	6,597	31,250	08/04/2003	04/15/2013
98385X-AN-6	XTO Energy Inc				1FE	1,852,660	101.7870	2,035,740	2,000,000	1,982,886	35,901				4.625	6.565	JD	4,111	92,500		

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York

**SCHEDULE D - PART 1**

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest				Dates		
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	C o d e	F o r e i g n	Bond Char	NAIC Des.	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization) Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
13643E-AG-0	Canadian Oil Sands Ltd	A			2FE	2,278,650	109.1060	2,454,894	2,250,000	2,276,936	(1,714)				4.500	4.341	AO	25,313	51,188	03/30/2012	04/01/2022
13645R-AF-1	CANADIAN PACIFIC RAILWAY COMPA	A			2FE	977,730	120.9170	1,209,172	1,000,000	978,863	370				5.950	6.118	MN	7,603	59,500	01/13/2010	05/15/2037
13645R-AH-7	CANADIAN PACIFIC RAILWAY COMPA	A			2FE	6,276,764	121.2780	6,730,951	5,550,000	6,103,637	(89,733)				6.500	4.392	MN	46,096	360,750	02/18/2011	05/15/2018
13645R-AJ-3	CANADIAN PACIFIC RAILWAY COMPA	A			2FE	6,496,044	124.8150	7,613,703	6,100,000	6,393,309	(36,464)				7.250	6.333	MN	56,510	442,250	10/08/2009	05/15/2019
13645R-AL-8	CANADIAN PACIFIC RAILWAY COMPA	A	2		2	974,876	101.3750	988,282	974,876	974,876					3.880	3.880	AO	7,670	37,825	10/14/2011	10/18/2026
15135U-AF-6	Cenovus Energy Inc	A			2FE	3,192,586	135.3690	4,061,073	3,000,000	3,186,673	(2,628)				6.750	6.268	MN	25,875	202,500	07/08/2010	11/15/2039
292505-AB-0	Encana Corp	A			2FE	3,975,520	103.1370	4,125,461	4,000,000	3,997,626	2,888				4.750	4.828	AO	40,111	190,000	10/27/2003	10/15/2013
292505-AE-4	Encana Corp	A			2FE	4,182,310	127.4850	7,011,648	5,500,000	4,233,613	13,892				6.625	8.957	FA	137,653	364,375	11/10/2008	08/15/2037
292505-AF-1	Encana Corp	A			2FE	1,159,680	119.1040	1,191,038	1,000,000	1,128,580	(23,880)				5.900	3.063	JD	4,917	59,000	09/06/2011	12/01/2017
65334H-AE-2	Nexen Inc	A			2FE	6,957,352	123.6170	12,442,051	10,065,000	7,107,431	41,339				5.875	8.941	MS	182,323	591,319	05/12/2009	03/10/2035
65334H-AG-7	Nexen Inc	A			2FE	739,460	129.2800	1,292,802	1,000,000	748,815	2,828				6.400	8.950	MN	8,178	64,000	04/22/2009	05/15/2037
71644E-AJ-1	Petro-Canada	A			2FE	11,879,170	138.3790	17,729,244	13,000,000	11,912,599	9,410				6.800	7.506	MN	112,956	884,000	11/17/2008	05/15/2038
740212-AC-9	Precision Drilling Corp	A	1		3FE	465,712	107.5000	483,750	450,000	463,035	(1,801)				6.625	6.032	MN	3,809	29,813	06/21/2011	11/15/2020
77509N-AH-6	ROGERS CABLE SYSTEMS 144A	A			2FE	671,108	102.5760	666,747	650,000	651,289	(2,719)				6.250	5.802	JD	1,806	40,625	01/12/2004	06/15/2013
775109-AK-7	Rogers Communications Inc	A			2FE	4,981,790	126.7940	5,705,751	4,500,000	4,824,529	(48,696)				6.800	5.294	FA	115,600	306,000	07/21/2009	08/15/2018
77531Q-AD-0	Rogers Communications Inc	A			2FE	811,706	106.5370	852,294	800,000	801,819	(1,462)				6.375	6.170	MS	17,000	51,000	07/12/2004	03/01/2014
884903-AT-2	Thomson Reuters Corp	A			1FE	3,982,720	102.4140	4,096,568	4,000,000	3,998,650	2,084				5.250	5.306	FA	79,333	210,000	08/05/2003	08/15/2013
884903-AZ-8	Thomson Reuters Corp	A			1FE	7,937,360	108.1040	8,648,328	8,000,000	7,981,908	9,559				5.700	5.838	AO	114,000	456,000	09/27/2007	10/01/2014
884903-BA-2	Thomson Reuters Corp	A			1FE	1,411,876	102.8130	1,542,188	1,500,000	1,488,048	20,989				5.950	7.488	JJ	41,154	89,250	12/16/2008	07/15/2013
884903-BB-0	Thomson Reuters Corp	A			1FE	5,905,050	124.9700	6,248,510	5,000,000	5,772,412	(124,615)				6.500	3.415	JJ	149,861	325,000	12/02/2011	07/15/2018
884903-BH-7	Thomson Reuters Corp	A			1FE	490,350	123.7430	618,713	500,000	490,717	133				5.850	5.989	AO	6,175	29,250	03/23/2010	04/15/2040
89346D-AD-9	TransAlta Corp	A			2FE	6,817,374	105.8460	6,816,478	6,440,000	6,666,979	(107,155)				4.750	2.950	JJ	141,054	305,900	09/14/2011	01/15/2015
89346D-AE-7	TransAlta Corp	A			2FE	1,041,730	103.5850	1,035,853	1,000,000	1,040,679	(566)				6.500	6.189	MS	19,139	65,000	11/12/2010	03/15/2040
89352H-AA-7	TRANSCANADA PIPELINES LTD	A			1FE	993,070	101.6120	1,016,117	1,000,000	999,620	810				4.000	4.085	JD	1,778	40,000	06/09/2003	06/15/2013
92659T-AQ-1	VIDEOTRON - LE GRPE LTD	A			3FE	726,568	104.8750	722,000	722,000	726,417	(151)				5.000	4.919	JJ	16,646	40,000	07/23/2012	07/15/2022
03523T-AN-8	ANHEUSER-BUSCH INBEV WORLDWIDE	F			1FE	1,000,561	121.7030	1,217,034	1,000,000	1,000,687	(49)				5.375	5.363	JJ	24,785	53,750	02/09/2010	01/15/2020
03523T-BE-7	ANHEUSER-BUSCH INBEV WORLDWIDE	F			1FE	6,227,776	133.5620	8,013,690	6,000,000	6,186,712	(24,024)				7.750	7.107	JJ	214,417	465,000	03/15/2011	01/15/2019
03523T-BF-4	ANHEUSER-BUSCH INBEV WORLDWIDE	F			1FE	3,061,803	164.2270	4,926,819	3,000,000	3,061,145	(659)				8.200	8.012	JJ	113,433	246,000	03/15/2011	01/15/2039
03523T-BH-0	ANHEUSER-BUSCH INBEV WORLDWIDE	F			1FE	3,966,140	131.3100	5,252,396	4,000,000	3,972,054	3,074				6.875	7.005	MN	35,139	275,000	03/14/2011	11/15/2019
055451-AA-6	BHP BILLITON	F			1FE	3,275,825	101.2920	3,291,977	3,250,000	3,250,913	(3,091)				4.800	4.701	AO	32,933	156,000	05/14/2003	04/15/2013
05567L-3Q-8	BNP Paribas SA	F			1FE	12,819,898	103.3850	13,272,528	12,838,000	12,825,934	6,036				3.059	3.362	MJSD	13,090	420,384	12/20/2011	12/20/2014
067316-AB-5	BACARDI LIMITED	F			2FE	5,755,100	129.1000	6,713,190	5,200,000	5,597,276	(49,763)				8.200	6.684	AO	106,600	426,400	07/15/2009	04/01/2019
111013-AG-3	British Sky Broadcasting Group	F			2FE	9,127,560	119.4890	10,754,010	9,000,000	9,083,090	(13,611)				6.100	5.888	FA	207,400	549,000	07/16/2009	02/15/2018
111013-AK-4	British Sky Broadcasting Group	F			2FE	6,489,970	99.6520	6,477,380	6,500,000	6,490,054	84				3.125	3.143	MN	19,748	19,748	11/20/2012	11/26/2022
11778B-AA-0	BSKYB FINANCE UK PLC	F			2FE	3,199,819	111.9430	3,470,233	3,100,000	3,182,503	(27,771)				5.625	4.571	AO	36,813	174,375	01/04/2012	10/15/2015
126576-AA-7	CALITTTU HTCE I CV	F	2		1	975,301	105.8640	1,032,495	975,301	975,301				4.130	4.151	FAJO	10,070	20,583	03/28/2012	04/01/2027	
25156P-AL-7	DEUTSCHE TELEKOM INTERNATIONAL	F			2FE	5,570,450	124.1720	6,208,615	5,000,000	5,393,063	(58,780)				6.750	5.126	FA	122,813	337,500	10/16/2009	08/20/2018
25156P-AN-3	DEUTSCHE TELEKOM INTERNATIONAL	F			2FE	1,979,740	121.9530	2,439,058	2,000,000	1,985,562	1,753				6.000	6.136	JJ	57,667	120,000	06/17/2009	07/08/2019
25156P-AP-8	DEUTSCHE TELEKOM INTERNATIONAL	F			2FE	2,842,359	105.7740	3,014,559	2,850,000	2,844,857	1,466				3.125	3.183	AO	19,792	89,063	04/06/2011	04/11/2016
35177P-AT-4	France Telecom SA	F			1FE	3,334,848	117.2090	3,867,904	3,300,000	3,324,698	(3,105)				5.375	5.238	JJ	85,239	177,375	06/30/2009	07/08/2019
423012-AA-1	Heineken NV	F			2FE	9,172,132	104.3070	9,611,872	9,215,000	9,174,809	9,174,809				3.400	3.455	AO	78,328	154,914	04/04/2012	04/01/2022
449786-AA-0	ING BANK NV	F			2FE	5,553,170	104.5220	5,748,735	5,500,000	5,512,949	(5,194)				5.125	5.017	MN	46,979	281,875	07/15/2003	05/01/2015
552081-AD-3	LyondellBasell Industries NV	F	1		3FE	776,468	117.2500	891,100	760,000	775,924	(544)				6.000	5.691	MN	5,827	22,800	07/19/2012	11/15/2021
552081-AK-7	LyondellBasell Industries NV	F			3FE	832,153	117.5000	967,025	823,000	831,928	(226)				5.750	5.616	AO	9,990	24,450	07/19/2012	04/15/2024
65504L-AH-0	NOBLE HOLDING INTERNATIONAL LT	F			2FE	3,277,597	103.2040	3,379,921	3,275,000	3,277,290	(306)				2.500	2.482	MS	24,108	48,898	03/19/2012	03/15/2017
74935*-AA-3	Buzzi Unicem SpA	F			3	3,000,000	99.1590	2,974,767	3,000,000	3,000,000					4.900	4.900	AO	36,750	184,500	03/26/2010	04/01/2016
761655-A8-8	Rexam PLC	F			2Z	2,000,000	103.1370	2,062,741	2,000,000	2,000,000					4.150	4.150	JD	2,997	2,997	12/14/2012	12/18/2020
780097-AL-5	Royal Bank of Scotland Group P	F			3FE	1,555,455	102.7510	1,541,264	1,500,000	1,510,621	(5,707)				5.000	4.574	AO	18,750	75,000	07/11/2003	10/01/2014
780097-AN-1	Royal Bank of Scotland Group P	F																			

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York

**SCHEDULE D - PART 1**

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest				Dates		
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	C o d e	F o r e i g n	B o n d C h a r	N A I C D e s.	A c t u a l C o s t	R a t e U s e d t o O b t a i n F a i r V a l u e	F a i r V a l u e	P a r V a l u e	B o o k / A d j u s t e d C a r r y i n g V a l u e	U n r e a l i z e d V a l u a t i o n I n c r e a s e / ( D e c r e a s e )	C u r r e n t Y e a r ' s ( A m o r t i z a t i o n ) A c c r e t i o n	C u r r e n t Y e a r ' s T e m p o r a r y I m p a i r m e n t R e c o g n i z e d	T o t a l F o r e i g n E x c h a n g e C h a n g e i n B o o k / A d j u s t e d C a r r y i n g V a l u e	R a t e o f	E f f e c t i v e R a t e o f	W h e n P a i d	A d m i t t e d A m o u n t D u e a n d A c c r u e d	A m o u n t R e c e i v e d D u r i n g Y e a r	A c q u i r e d	S t a t e d C o n t r a c t u a l M a t u r i t y D a t e
947075-AF-4	WEATHERFORD INTERNATIONAL LTD	F			2FE	2,414,884		130,4640	2,300,000	2,381,143				(9,535)	9.625	8.870	MS	73,792	221,375	01/14/2009	03/01/2019
947076-AB-1	WEATHERFORD INTERNATIONAL LTD	F			2FE	4,440,120		110,1520	4,000,000	4,315,087				(95,190)	5.500	2.845	FA	83,111	220,000	08/31/2011	02/15/2016
980236-AD-5	WOODSIDE FINANCE	F			2FE	1,486,455		103,3100	1,500,000	1,498,535				1,604	5.000	5.116	MN	9,583	75,000	11/03/2003	11/15/2013
980236-AJ-2	WOODSIDE FINANCE	F			2FE	1,007,350		105,7710	1,000,000	1,002,916				(1,476)	4.500	4.335	MN	6,375	45,000	11/04/2009	11/10/2014
BAX31C-1K-8	CONTINENTAL RUBBER OF AMERICA	F		1	3FE	306,750		102,0000	300,000	306,681				(69)	4.500	3.976	MS	3,638		12/06/2012	09/15/2019
F0164#-AB-8	AIR LIQUIDE FINANCE	F			1Z	2,500,000		100,2570	2,500,000	2,500,000					3.110	3.110	MS	23,325		09/11/2012	09/13/2022
F9731#-AD-9	VICAT SA	F			2	5,000,000		103,8950	5,000,000	5,000,000					5.240	5.240	JD	21,833	262,000	12/17/2010	12/01/2017
G1591#-AK-8	Britvic PLC	F			2	2,000,000		113,8390	2,000,000	2,000,000					5.240	5.240	JD	4,076	104,800	12/14/2009	12/17/2019
G1696#-AY-2	BUNZL FINANCE PLC	F			2Z	6,000,000		100,0110	6,000,000	6,000,000					2.930	2.930	JD	6,348		12/14/2012	01/15/2020
G2044#-AW-5	Compass Group PLC	F			2	2,000,000		103,8510	2,000,000	2,000,000					3.310	3.310	AO	16,550	69,326	09/09/2011	10/01/2018
G2978#-AB-9	ELECTRICITY SUPPLY BOARD /PVT	F			2	2,000,000		101,0270	2,000,000	2,000,000					5.040	5.040	AO	4,480	100,800	12/12/2003	12/15/2013
G5241#-AB-3	KERRY GROUP FINANCIAL SERVICES /PVT	F			2	1,000,000		100,6810	1,000,000	1,000,000					4.990	4.990	AO	8,455	49,900	04/28/2003	04/01/2013
G7815#-AA-9	SAP IRELAND US FINANCIAL SERVI	F			1	1,000,000		101,2210	1,000,000	1,000,000					2.340	2.340	AO	5,005	23,400	10/11/2010	10/14/2015
G7815#-AC-5	SAP IRELAND US FINANCIAL SERVI	F			1	2,000,000		102,5930	2,000,000	2,000,000					2.770	2.770	JD	4,617	55,400	05/26/2011	06/01/2016
G7815#-AE-1	SAP IRELAND US FINANCIAL SERVI	F			1Z	5,000,000		99,5430	5,000,000	5,000,000					2.130	2.130	MN	13,608		11/13/2012	11/15/2017
G9158*-AB-0	UTI Worldwide Inc	F		2	1,000,000	1,000,000		102,9700	1,000,000	1,000,000					8.060	8.063	FA	31,792	80,600	07/07/2009	08/09/2014
L0714#-AB-6	BARILLA FINANCE S.A. /PVT B	F			2	2,000,000		102,6700	2,000,000	2,000,000					5.550	5.550	JD	6,783	111,000	12/05/2003	12/01/2013
W0805#-AK-4	ASSA ABLOY FINANCIAL SERVICES	F			1	5,678,500		112,9950	5,000,000	5,643,120				(35,380)	5.370	3.302	MN	33,563	134,250	08/20/2012	12/21/2018
W0805#-AL-2	ASSA ABLOY FINANCIAL SERVICES	F			1	2,000,000		98,9510	2,000,000	2,000,000					2.940	2.941	MN	7,350	15,843	08/07/2012	08/09/2019
X4761*-AC-9	LANDSBANKI ISLANDS HF	F			6*			0.0000	2,000,000	2,000,000					5.760	1,346.055	MS			03/17/2006	03/30/2011
<b>3299999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations</b>						<b>1,118,321,766</b>	<b>XXX</b>	<b>1,255,962,322</b>	<b>1,097,633,542</b>	<b>1,109,712,244</b>				<b>(4,326,541)</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>16,222,814</b>	<b>55,793,370</b>	<b>XXX</b>	<b>XXX</b>
05531W-AB-2	BCAP_09-RR1 09-RR1 1A2	2			1FIM	402,537		107,3120	501,603	462,143				(11,545)	5.772	11,629	MON	2,413	29,039	03/02/2009	07/26/2037
05531W-AM-8	BCAP_09-RR1 09-RR1 4A1	2			1FIM	300,265		105,0870	370,698	339,936				7,702	5.997	16,232	MON	1,853	22,231	03/12/2009	10/26/2036
05570W-AB-4	BNPP_09-1 09-1 A2	2			1FIM	722,329		104,6570	963,106	816,548				23,582	6.000	17,995	MON	4,816	58,054	04/15/2009	08/27/2037
05946X-C3-5	BAFC_05-G 05-G A3	2			2FIM	4,438,573		100,1000	4,732,900	4,545,052				1,676	5.199	6,449	MON	20,506	246,225	04/24/2008	10/20/2035
05948K-HM-4	BOAA_03-10 03-10 5A1	2			1FIM	125,915		102,3560	141,876	130,508				1,230	4.500	7,997	MON	532	6,385	01/08/2009	12/25/2018
05948K-R3-5	BOAA_05-10 05-10 1CB4	2			3FIM	3,311,666		117,2920	3,577,159	3,311,666				25,663	5.500	0.000	MON		179,180	04/18/2008	11/25/2035
05948K-WD-9	BOANS 03-8 03-8 1A13	2			1FIM	714,543		103,1340	714,543	714,543				(23,568)	5.500	5.348	MON	3,275	39,300	11/20/2009	11/25/2033
07386H-UL-3	BEAR STEARNS ALT-A TRUST BALTA 05-5 24A1	2			4FIM	434,458		83,7770	429,359	433,710				(219)	5.339	5.173	MON	1,910	22,893	07/20/2005	07/25/2035
07387A-BW-4	BSARM_05-4 05-4 B1	2			5FIM	52,769		3,4880	970,645	52,769				128,191	4.981	0.000	MON		3,180	07/08/2005	08/25/2035
12640X-AN-8	CSMC_09-1 09-1R 4A1	2			1FIM	308,240		101,8330	400,060	397,729				13,430	3.663	15,244	MON	1,214	16,423	03/12/2009	07/20/2035
126670-CL-0	CWIL_05-11 2005-11 AF6	2			3FIM	914,589		97,1680	875,152	914,592				207	5.050	5.071	MON	3,849	46,187	09/12/2005	02/25/2036
12667G-SU-3	CWALT_05-43 05-43 1A	2			1FIM	531,604		79,0990	618,609	531,604					2.915	0.000	MON		29,148	07/15/2005	10/25/2035
12667G-R6-2	CWALT_05-41 05-41 1A1	2			5FIM	180,127		64,1360	180,127	180,127				99,447	0.540	0.000	MON		993	07/14/2005	09/25/2035
12668S-AD-8	CIHHEL_05-C 05-C 2A	2			5FIM	190,308		75,2530	190,308	190,308					0.389	0.389	MON		822	07/11/2005	07/15/2035
161630-AS-7	CHASE_07-A1 07-A1 6A1	2			1FIM	568,768		95,7990	644,049	572,565				813	3.032	6.764	MON	1,676	18,904	01/06/2009	02/25/2037
17307G-CK-2	CMLTI_03-1 03-1 WA2	2			1FIM	455,506		105,2500	509,202	483,296				199	6.500	9.141	MON	2,618	31,414	01/08/2009	06/25/2031
173097-AC-0	CMLTI_09-12 09-12 2A1	2			1FIM	418,611		109,0120	441,403	417,701				(211)	5.500	5.336	MON	1,914	22,966	12/03/2009	04/25/2036
173097-AE-6	CMLTI_09-12 09-12 3A1	2			1FIM	717,274		105,9390	748,275	716,379				(413)	5.500	5.345	MON	3,283	39,401	12/17/2009	05/25/2037
173097-AG-1	CMLTI_09-12 09-12 4A1	2			1FIM	274,777		104,7690	284,547	275,121				(121)	5.500	5.445	MON	1,261	15,132	12/18/2009	11/25/2035
173140-AA-5	CMLTI_09-1 09-11 1A1	2			1FIM	497,884		103,0640	503,549	499,081				136	3.003	3.276	MON	1,260	14,677	11/09/2009	02/25/2037
17315A-AA-9	CITIGROUP MORTGAGE LOAN TRUST 09-2 1A1	2			1FIM	552,013		100,9100	723,424	628,791				12,940	5.138	16.164	MON	3,070	38,663	01/30/2009	04/25/2036
17315A-AG-6	CITIGROUP MORTGAGE LOAN TRUST 09-2 4A1	2			1FIM	253,412		100,7940	280,686	274,276				5,773	5.500	10.811	MON	1,276	15,316	02/05/2009	03/25/2036
17315C-AL-1	CMLTI_09-3 09-3-4A2 4A2	2			1FIM	2,227,107		102,1260	2,752,778	2,782,797				65,792	2.508	6.869	MON	5,816	68,444	03/13/2009	10/25/2033
17315G-AG-3	CMLTI_09-5 09-5 4A1	2			1FIM	1,242,298		107,5520	1,471,681	1,285,181				6,118	6.000	9.533	MON	6,902	83,091	04/24/2009	03/25/2037
22943Y-FD-6	CSMC_09-3R 09-3R 9A1	2			1FIM	1,039,638		1,205,453	1,140,892	1,078,857				6,698	6.000	9.408	MON	5,704	68,454	04/24/2009	10/27/2036
22944F-AW-9	CSMC_09-2R 09-2R 1A11	2			1FIM	1,488,049		99,7740	1,764,849	1,768,854				1,600,864	2.626	8.908	MON	3,871	47,022	03/23/2009	09/26/2034
36190D-AA-3	GSNSC_09-2R 09-2R 1A1	2			1FIM	1,492,119		1,728,466	1,720,022	1,591,023				1,200,022	2.468	7.657	MON	3,537	39,729	04/07/2009	02/26/2036
362290-AC-2	GSR_07-AR1 07-AR1 2A1	2			4FIM	3,845,316		75,8730	3,243,398	3,8											

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York

**SCHEDULE D - PART 1**

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest				Dates			
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22	
CUSIP Identification	Description	C o d e	F o r e i g n	B o n d C h a r	NAIC Des.	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization) Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date	
47232C-AC-8	JMAC 09-R1 09-R2 2A1			2	1FIM	23,565		27,876	27,929	27,632		1,205			5.750	15.941	MON	134	1,606	02/02/2009	04/26/2036	
47232C-AE-4	JMAC 09-R1 09-R2 3A1			2	1FIM	452,319		572,147	546,557	487,500		8,012			5.371	12.325	MON	2,446	29,582	02/03/2009	12/26/2036	
47232C-AG-9	JMAC 09-R2 09-R2 4A1			2	1FIM	1,441,316		1,722,350	1,737,766	1,560,966		25,022			5.466	12.667	MON	7,916	95,452	02/12/2009	06/21/2037	
47232C-AJ-3	JMAC 09-R1 09-R1 5A1			2	1FIM	375,103		487,995	468,147	423,809		(6,330)			4.966	14.386	MON	1,938	23,399	02/18/2009	05/21/2036	
59020U-LR-4	MLM 04-A4 04-A4 A2			2	1FIM	3,638,427		4,107,263	4,031,498	3,656,339		5,206			2.578	4.780	MON	8,661	104,727	07/15/2009	08/25/2034	
74160M-DK-5	PRIME 04-CL1 04-CL1 IA1			2	1FIM	556,700		655,800	605,109	558,335		113			6.000	9.074	MON	3,026	36,301	01/07/2009	02/25/2034	
74928G-AM-0	RBSSP 09-13 09-13 4A1			2	1FIM	150,434		101,546	149,500	149,216		(550)			5.500	4.921	MON	685	8,223	11/19/2009	10/26/2035	
74928W-AA-1	RBSSP RESECURITIZATION TRUST R 09-5 1A1			2	1FIM	919,059		993,718	1,012,737	961,551		8,186			2.617	6.243	MON	2,208	40,729	03/17/2009	06/26/2036	
75524M-AJ-9	RBSSP 09-7 09-7 3A1			2	1FIM	1,288,974		1,434,929	1,346,187	1,310,339		4,253			6.000	7.565	MON	6,731	80,894	07/20/2009	03/26/2036	
863579-US-5	SARM 05-15 05-15 3A1			2	2FIM	5,403,960		5,158,934	6,004,401	5,449,179		18,722			2.910	4.518	MON	14,559	243,073	04/22/2009	07/25/2035	
86359B-UP-4	SASC 04-12H 04-12H 1A			2	1FIM	329,939		396,110	396,323	332,555		468			6.000	11.275	MON	1,982	23,079	01/05/2009	05/25/2034	
86359B-WR-8	SARM 04-8 2004-8 5A6			2	1FIM	6,817,344		6,892,986	7,000,000	6,916,928		11,870			2.919	3.166	MON	17,029	198,912	08/04/2004	07/25/2034	
92922F-4M-7	WAMU 05-AR13 05-AR13 A1A			2	1FIM	3,580,716		4,032,524	4,288,283	3,583,566		7,444			0.500	5.842	MON	417	23,167	04/18/2008	10/25/2045	
939336-X6-5	WASHINGTON MUTUAL WAMU 05-AR1 05-AR1 A2A1			2	2FIM	256,471		233,873	256,471	256,471					0.550	0.550	MON	27	1,516	07/14/2005	01/25/2045	
949781-AA-6	WFMS 05-AR1 AR1B 1A1			2	1FIM	300,844		351,048	352,896	303,536		685			2.613	5.906	MON	769	9,214	01/08/2009	02/25/2035	
949832-AP-4	WFMS 05-14 05-14 2A1			2	1FIM	1,083,909		1,419,671	1,361,268	1,096,066		2,317			5.500	10.356	MON	6,239	74,878	02/02/2009	12/25/2035	
94983F-AC-4	WFMS 06-1 06-1 A3			2	1FIM	55,810		102,659	63,151	56,894		200			5.000	9.799	MON	263	3,157	01/05/2009	03/25/2021	
<b>3399999 Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Residential Mortgage-Backed Securities</b>						<b>62,632,449</b>	<b>XXX</b>	<b>66,992,344</b>	<b>70,188,160</b>	<b>64,157,782</b>	<b>412,135</b>	<b>255,432</b>	<b>436,886</b>		<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>195,497</b>	<b>2,629,786</b>	<b>XXX</b>	<b>XXX</b>	
05490A-AA-1	BBUS 12-TFT 12-TFT A			2	1FIM	7,174,980		7,116,767	7,000,000	7,173,367		(1,614)			2.892	2.535	MON	16,871	5,074	11/16/2012	06/05/2030	
05947U-D2-1	BACM 05-1 05-1 ASB			2	1FIM	1,124,673		1,022,287	1,204,217	1,175,059		13,860			5.056	7.800	MON	5,074	61,884	03/25/2009	11/10/2042	
05947U-D3-9	BACM 05-1 05-1 A5			2	1FIM	9,425,454		10,870,588	9,956,000	9,733,055		82,651			5.173	6.337	MON	42,919	523,281	01/29/2009	11/10/2042	
05947U-H7-5	BACM 05-3 05-3 ASB			2	1FIM	4,012,680		4,109,994	3,992,610	3,991,569		(2,037)			4.589	4.526	MON	15,268	183,221	06/29/2005	07/10/2043	
07383F-Q4-3	BSCMS 2004-PWR5 04-PWR5 A4			2	1FIM	1,035,489		1,045,014	1,029,867	1,028,907		(518)			4.831	4.775	MON	4,146	49,753	10/06/2004	07/11/2042	
07387B-AE-3	BSCMS 05-PWR9 05-PWR9 A2			2	1FIM	840,646		906,598	894,981	874,339		7,615			4.735	6.706	MON	3,531	42,377	11/03/2009	09/11/2042	
07387B-CK-7	BSCMS 05-T20 05-T20 AAB			2	1FIM	1,208,341		1,246,538	1,201,745	1,201,140		(693)			5.133	5.066	MON	5,141	62,788	10/20/2005	10/12/2042	
07388Q-AC-3	BSCMS 07-PW17 07-PW17 A3			2	1FIM	2,658,440		3,151,757	3,028,934	2,943,648		73,433			5.736	9.460	MON	14,478	173,740	12/17/2009	06/11/2050	
12527D-AR-1	CFORE 11-C2 11-C2 A4			2	1FIM	5,290,625		5,493,759	5,000,000	5,267,553		(23,072)			3.834	3.108	MON	15,976	143,786	03/06/2012	12/15/2047	
173067-GR-4	CGMT 05-C3 05-C3 ASB			2	1FIM	1,894,430		1,946,449	1,885,023	1,883,448		(1,027)			4.755	4.687	MON	7,469	95,218	06/15/2005	05/15/2043	
201730-AD-0	CIAT 09-C1 09-C1 A4			2	1FIM	2,417,457		2,337,169	2,327,538	2,328,920		(15,892)			6.975	5.658	MON	9,019	161,184	04/23/2009	01/17/2032	
225470-AP-8	CSFB 05-C5 05-C5 A4			2	1FIM	1,713,359		1,097,320	2,000,000	1,891,082		56,324			5.100	8.334	MON	8,500	102,000	01/29/2009	08/15/2038	
23305Y-AD-1	DBUS 11-LC3A 11-LC3 A4			2	1FIM	2,019,863		1,159,947	2,000,000	2,017,357		(1,890)			4.551	4.452	MON	7,585	91,020	08/11/2011	08/10/2044	
36228C-TG-8	GSMSC 2004-GG2 2004-GG2 A5			2	1FIM	3,565,385		3,607,070	3,547,671	3,547,671		(4,171)			5.279	5.244	MON	15,607	187,282	07/29/2004	08/10/2038	
36228C-VR-1	GSMSC 05-GG4 05-GG4 A-ABA			2	1FIM	2,277,754		2,277,296	2,255,278	2,252,753		(4,907)			4.680	4.513	MON	8,796	105,918	06/09/2005	07/10/2039	
36248E-AB-1	GSMSC 10-C2 10-C2 A2			2	1FIM	2,019,890		2,441,541	2,000,000	2,016,169		(1,900)			5.162	5.070	MON	8,603	103,240	12/16/2010	12/10/2043	
36248F-AG-7	GSMSC 11-GC3 11-GC3 A4			2	1FIM	2,605,078		2,931,602	2,500,000	2,591,913		(9,804)			4.753	4.230	MON	9,902	118,825	08/19/2011	03/10/2044	
36828Q-HJ-8	GIACOMSC 04-C2 2004C2 A4			2	1FIM	2,180,469		2,604,683	2,500,000	2,422,515		73,024			4.893	8.329	MON	10,194	122,325	04/21/2009	03/10/2040	
36828Q-KR-6	GECCMC 05-C1 05-C1 A5			2	1FIM	9,435,043		10,217,518	9,475,000	9,456,627		3,194			4.772	4.860	MON	37,679	452,147	03/08/2005	06/10/2048	
36828Q-LX-2	GECCMC 05-C1 05-C1 AAB			2	1FIM	1,977,595		2,037,737	1,987,767	1,984,445		653			4.599	4.713	MON	7,618	91,417	07/11/2005	06/10/2048	
36828Q-PV-2	GECCMC 05-C3 05-C3 AAB			2	1FIM	2,615,971		2,713,393	2,603,084	2,602,884		(1,235)			4.940	4.890	MON	10,716	128,592	08/12/2005	07/10/2045	
46625M-IX-3	JPMCCMSC 03-CBC6 2003CB A2			2	1FIM	4,677,823		4,736,995	4,674,354	4,667,308		(1,279)			5.255	5.281	MON	20,470	245,637	08/22/2003	07/12/2037	
46625Y-GL-1	JPMCC 05-LDP1 05-LDP1 A2			2	1FIM	435,222		453,370	452,602	446,781		3,237			4.625	6.216	MON	3,237	1,744	20,933	04/22/2009	03/15/2046
46625Y-NC-3	JPMCC 05-LDP2 05-LDP2 A4			2	1FIM	5,024,714		5,440,242	5,000,000	4,996,998		(4,263)			4.738	4.690	MON	19,742	236,900	06/10/2005	07/15/2042	
46625Y-QS-5	JPMCCMSC 05-CBC12 05-CBC12 ASB			2	1FIM	2,150,867		2,219,074	2,140,290	2,139,536		(1,100)			4.846	4.786	MON	8,643	103,719	07/20/2005	09/12/2037	
46625Y-UB-7	JPMCCMSC 05-LDP4 05-LDP4 ASB			2	1FIM	2,239,644		2,311,946	2,228,502	2,226,851		(1,158)			4.824	4.754	MON	8,959	107,503	09/22/2005	10/15/2042	
46630V-AE-2	JPMCC 07-CB19 07-CB19 ASB			2	1FIM	2,515,736		2,575,074	2,347,042	2,440,739		(24,737)			5.709	3.572	MON	11,169	142,719	11/18/2010	02/12/2049	
46634G-AB-7	JPMCC 09-WST 09-WST A2			2	1FIM	6,030,000		7,169,362	6,000,000	6,021,640		(2,925)			5.633	5.624	MON	28,165	337,980	12/16/2009	12/05/2027	
46634S-AC-9	JPMCCMSC 12-C6 12-C6 A3			2	1FIM	7,687,463		109,0590	7,500,000	7,675,643		(11,819)			3.507	3.215	MON	21,921	175,370	04/18/2012	05/15/2045	
46635T-CG-5	JPMCC 11-C3A 11-C3 A4			2	1FIM	3,103,687		3,321,150	2,840,000	3,082,762												

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York

**SCHEDULE D - PART 1**

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest				Dates		
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	C o d e	F o r e i g n	B o n d C h a r	NAIC Des.	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization) Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
61745M-T4-5	MSO 04-H04 04-H04 A7			2	1FIM	3,443,047		103,8210	4,152,852	4,000,000		3,859,055		123,444	4.970	8.635	MON	16,567	198,800	04/21/2009	04/14/2040
61745M-W4-1	MSC 05-T17 05-T17 A5			2	1FIM	5,027,730		107,4900	5,374,496	5,000,000		4,996,981		(3,622)	4.780	4.728	MON	19,917	239,000	01/20/2005	12/13/2041
61745M-XB-4	MSC 04-H03 04-H03 A4			2	1FIM	4,064,905		103,0850	4,874,655	4,728,781		4,617,120		138,716	4.800	9.212	MON	18,915	226,981	04/16/2009	01/13/2041
61759L-AA-6	MSFR 10-GG10 10-GG10 AAA			2	1FE	7,871,168		116,6730	8,517,129	7,300,000		7,701,952		(89,277)	5.791	4.334	MON	35,219	429,617	01/20/2011	08/15/2045
61760V-AP-8	MSC 12-C4 12-C4 A4			2	1FIM	5,049,820		105,8550	5,292,766	5,000,000		5,046,002		(3,818)	3.244	3.130	MON	13,517	121,650	03/09/2012	03/15/2045
929766-QV-8	WBCMT 2004-C11 04-C11 A5			2	1FIM	6,025,313		105,0320	6,301,929	6,000,000		6,003,138		(7,139)	5.215	5.124	MON	26,075	312,900	12/16/2009	01/15/2041
929766-R5-4	WBCMT 05-C18 05-C18 A4			2	1FIM	7,436,133		108,4730	8,135,505	7,500,000		7,472,220		5,703	4.935	5.075	MON	30,844	370,125	08/05/2005	04/15/2042
3499999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Commercial Mortgage-Backed Securities						166,147,048	XXX	182,070,729	168,973,028	168,769,960		709,114			XXX	XXX	XXX	659,298	7,837,110	XXX	XXX
05665S-AA-2	Burlington Northern Santa Fe L			2	1FE	1,287,384		116,1370	1,474,881	1,269,948		1,282,854		(967)	5.629	5.402	AO	17,871	17,485	01/17/2007	04/01/2024
12189P-AH-5	Burlington Northern Santa Fe L			2	1FE	1,745,830		117,2330	2,046,697	1,745,830		1,745,830			7.908	7.899	JJ	63,661	138,060	09/19/2000	01/15/2020
125635-AC-6	CLI FUNDING LLC CLIF 06-1 06-1 A			2	1AM	1,058,257		97,9140	1,303,375	1,331,141		1,167,734		39,826	0.389	7.743	MON	201	5,702	02/02/2010	08/18/2021
126650-AI-0	CVS PASS THROUGH TRUST			2	2FE	2,437,634		111,6590	2,973,198	2,662,751		2,464,072		10,453	5.298	6.655	MON	8,225	141,072	02/26/2009	01/11/2027
126650-BP-4	CVS PASS THROUGH TRUST			2	2FE	6,240,117		116,9270	7,377,236	6,309,266		6,265,728		8,677	6.036	6.329	MON	22,215	380,872	10/20/2009	12/10/2028
126659-AA-9	CVS PASS THROUGH TRUST			2	2FE	6,984,949		137,8830	9,040,060	6,556,327		6,847,492		(11,539)	8.353	7.854	MON	31,946	547,650	07/31/2009	07/10/2031
151608-AB-2	CPF 12-2 12-2 A			2	2AM	4,651,002		100,0020	4,651,733	4,651,640		4,651,012		10	2.610	2.628	MON	3,710	10,117	11/20/2012	08/20/2021
22822R-AZ-3	CROWN CASTLE TOWERS LLC COI 10 10-1 B			2	1FE	1,017,200		112,8360	1,128,363	1,000,000		1,013,622		(1,698)	4.883	4.691	MON	2,170	48,830	10/28/2010	08/15/2040
31331F-AQ-4	FedEx Corp			2	2FE	288,339		105,2500	308,119	292,749		292,181		362	7.850	8.118	JJ	9,639	22,981	01/06/2000	01/30/2015
31953*-AL-6	Burlington Northern Santa Fe L			2	1	1,705,665		120,3470	2,052,719	1,705,665		1,705,665			5.960	5.961	MN	12,990	101,658	10/15/2007	10/15/2027
31953*-AM-4	Burlington Northern Santa Fe L			2	1	171,413		120,3470	206,291	171,413		171,413			5.960	5.961	MN	1,305	10,216	10/15/2007	10/15/2027
31953*-AN-2	Burlington Northern Santa Fe L			2	1	1,069,396		120,3470	1,286,987	1,069,396		1,069,396			5.960	5.961	MN	8,144	63,736	10/15/2007	10/15/2027
31953*-AP-7	Burlington Northern Santa Fe L			2	1	433,347		120,3470	521,520	433,347		433,347			5.960	5.961	MN	3,300	25,827	10/15/2007	10/15/2027
31953*-AQ-5	Burlington Northern Santa Fe L			2	1	582,722		120,3470	701,290	582,722		582,722			5.960	5.961	MN	4,438	34,730	10/15/2007	10/15/2027
31953*-AR-3	Burlington Northern Santa Fe L			2	1	512,339		120,3470	616,585	512,339		512,339			5.960	5.961	MN	3,902	30,535	12/11/2007	12/13/2027
34486E-AA-8	NFL FOOTBALL TRUST III			2	1	5,000,000		108,4180	5,420,923	5,000,000		5,000,000			5.240	5.240	OCT	64,044	266,367	10/31/2005	10/05/2015
42805R-AV-1	HERTZ RENTAL CAR HVF 09-2 09-2 A2			2	1FE	1,499,909		108,8450	1,632,678	1,500,000		1,499,960		17	5.290	5.350	MON	1,323	79,350	10/16/2009	03/25/2016
46616V-AA-8	HENDR 12-1A 12-1A A			2	1FE	3,912,985		103,2400	4,040,672	3,913,868		3,912,997		12	4.210	4.249	MON	7,323	124,038	03/13/2012	02/16/2065
64031R-BB-4	NSLC 04-2A 04-2A A5C			2	1FE	8,450,000		86,0000	8,600,000	10,000,000		8,799,791		117,567	1.042	0.000		1,428	96,974	12/29/2011	02/25/2039
74986E-AC-5	RREEF AMERICA REIT II INC			2	1	5,000,000		105,0380	5,251,894	5,000,000		5,000,000			5.290	5.290	AO	55,839	264,500	10/13/2004	10/15/2014
74986E-AH-4	RREEF AMERICA REIT II INC			2	1	4,000,000		108,6790	4,347,175	4,000,000		4,000,000			5.410	5.410	FA	85,358	216,400	01/30/2006	02/09/2016
78442E-JF-4	SLMA 03-10A 03-10A B			2	1FE	1,742,000		75,5000	1,963,000	2,600,000		1,672,876		(3,989)	3.427	0.000		6,085	98,662	11/18/2009	12/17/2046
83546D-AA-6	SONC 11-1A 11-1A A2			2	2AM	1,905,000		112,0780	2,135,092	1,905,000		1,905,000			5.438	5.500	MON	3,165	103,594	05/12/2011	05/20/2041
88088#-AA-4	TERNEUZEN TRUSTS /PVT			2	2	707,646		102,7650	727,211	707,646		707,646			5.600	5.600	JJ	19,704	39,628	01/02/2004	01/02/2014
88088#-AB-2	TERNEUZEN TRUSTS /PVT			2	2	471,764		102,7650	484,807	471,764		471,764			5.600	5.600	JJ	13,136	26,419	01/02/2004	01/02/2014
88088#-AC-0	TERNEUZEN TRUSTS /PVT			2	2	392,057		102,7650	392,057	392,057		392,057			5.600	5.600	JJ	10,917	21,955	06/24/2003	01/02/2014
88088#-AD-8	TERNEUZEN TRUSTS /PVT			2	2	392,057		102,7650	392,057	392,057		392,057			5.600	5.600	JJ	10,917	21,955	06/24/2003	01/02/2014
88576P-AC-7	HENDR 04-A 04-A A1			2	1FE	5,283,156		95,7880	5,591,838	5,837,741		5,294,857		11,701	0.559	1.180	MON	1,541	28,985	03/07/2012	09/15/2045
89678D-AA-3	TCF 12-1A 12-1A A			2	1FE	2,824,651		103,9900	2,937,726	2,825,000		2,824,664		12	4.210	4.250	MON	5,616	66,734	05/16/2012	05/14/2027
90781F-AH-5	UNION PACIFIC RAILROAD COMPANY			2	1	1,091,513		103,3440	1,128,011	1,091,513		1,091,513			4.090	4.090	FA	15,377	44,643	08/20/2009	08/27/2017
90783X-AA-9	UNION PACIFIC RAILROAD CO 2007			2	1FE	8,993,202		123,1620	11,076,165	8,993,202		8,993,202			6.176	6.177	JJ	276,167	555,420	07/19/2007	01/02/2031
883145-AN-4	TNCL 12-1A 12-1A A			2	1FE	4,906,563		104,0650	4,856,371	4,666,667		4,901,453		(5,110)	4.210	3.066	MON	8,732	32,744	10/17/2012	04/15/2027
3599999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Other Loan-Backed and Structured Securities						86,657,797	XXX	96,688,411	89,591,049	87,065,244		165,334			XXX	XXX	XXX	780,393	3,721,794	XXX	XXX
3899999. Total - Industrial and Miscellaneous (Unaffiliated) Bonds						1,433,759,060	XXX	1,601,713,806	1,426,385,779	1,429,705,230	412,135	(3,196,661)	436,886		XXX	XXX	XXX	17,858,002	69,982,060	XXX	XXX
4299999. Subtotal - Bonds - Hybrid Securities - Issuer Obligations								XXX							XXX	XXX	XXX			XXX	XXX
4399999. Subtotal - Bonds - Hybrid Securities - Residential Mortgage-Backed Securities								XXX							XXX	XXX	XXX			XXX	XXX
4499999. Subtotal - Bonds - Hybrid Securities - Commercial Mortgage-Backed Securities								XXX							XXX	XXX	XXX			XXX	XXX

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ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York

**SCHEDULE D - PART 1**

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest					Dates		
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22	
CUSIP Identification	Description	C o d e	F o r e i g n	Bond Char	NAIC Des.	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization) Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date	
4599999.	Subtotal - Bonds - Hybrid Securities - Other Loan-Backed and Structured Securities						XXX								XXX	XXX	XXX				XXX	XXX
4899999.	Total - Hybrid Securities						XXX								XXX	XXX	XXX				XXX	XXX
4999999.	Subtotal - Bonds - Parent, Subsidiaries and Affiliates - Issuer Obligations						XXX								XXX	XXX	XXX				XXX	XXX
5099999.	Subtotal - Bonds - Parent, Subsidiaries and Affiliates - Residential Mortgage-Backed Securities						XXX								XXX	XXX	XXX				XXX	XXX
5199999.	Subtotal - Bonds - Parent, Subsidiaries and Affiliates - Commercial Mortgage-Backed Securities						XXX								XXX	XXX	XXX				XXX	XXX
5299999.	Subtotal - Bonds - Parent, Subsidiaries and Affiliates - Other Loan-Backed and Structured Securities						XXX								XXX	XXX	XXX				XXX	XXX
5599999.	Total - Parent, Subsidiaries and Affiliates Bonds						XXX								XXX	XXX	XXX				XXX	XXX
7799999.	Total - Issuer Obligations					1,208,993,975	XXX	1,366,750,456	1,189,827,653	1,200,429,060		(4,305,063)			XXX	XXX	XXX	17,668,342	61,268,876		XXX	XXX
7899999.	Total - Residential Mortgage-Backed Securities					196,510,488	XXX	209,033,126	201,188,696	197,999,826	412,135	184,686	436,886		XXX	XXX	XXX	660,268	7,638,043		XXX	XXX
7999999.	Total - Commercial Mortgage-Backed Securities					170,556,267	XXX	186,450,585	173,262,857	173,058,242		693,576			XXX	XXX	XXX	678,108	8,059,208		XXX	XXX
8099999.	Total - Other Loan-Backed and Structured Securities					110,422,903	XXX	122,976,085	113,306,393	110,808,887		162,726			XXX	XXX	XXX	1,052,137	4,898,019		XXX	XXX
8399999.	Total Bonds					1,686,483,633	XXX	1,885,210,252	1,677,585,599	1,682,296,015	412,135	(3,264,075)	436,886		XXX	XXX	XXX	20,058,855	81,864,146		XXX	XXX

Schedule D - Part 2 - Section 1 - Preferred Stocks Owned

**N O N E**

Schedule D - Part 2 - Section 2 - Common Stocks Owned

**N O N E**

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York

**SCHEDULE D - PART 3**

Showing All Long-Term Bonds and Stocks ACQUIRED During Current Year

1	2	3	4	5	6	7	8	9
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends
<b>0599999. Subtotal - Bonds - U.S. Governments</b>								
68369E-AC-3	PERTAMINA PT 4.875% 05/03/22	F.	04/26/2012	HONG KONG SHANGHAI BANKING		914,609	920,000	
<b>1099999. Subtotal - Bonds - All Other Governments</b>								
<b>1799999. Subtotal - Bonds - U.S. States, Territories and Possessions</b>								
<b>2499999. Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions</b>								
31292L-XG-5	FREDDIE MAC GOLD C04279 3.000% 10/01/42		10/26/2012	CITIGROUP GLOBAL MARKETS INC		10,440,912	9,968,945	10,800
3132GR-DK-4	FREDDIE MAC GOLD 006106 3.500% 02/01/42		05/16/2012	ROYAL BANK OF SCOTTLAND		9,257,937	8,864,572	10,342
3132GV-MY-5	FREDDIE MAC GOLD 009875 3.000% 07/01/42		09/04/2012	BARCLAYS BANK PLC		10,083,941	9,734,121	9,734
3138AV-U3-7	Federal National Mortgage Asso AJ4201 3.500% 12/01/41		12/13/2011	MORGAN (J.P.) SECURITIES		(21,865)	(21,405)	(23)
31419A-4N-4	Federal National Mortgage Asso AE0828 3.500% 02/01/41		12/21/2011	MORGAN (J.P.) SECURITIES		(97,985)	(95,741)	(102)
<b>3199999. Subtotal - Bonds - U.S. Special Revenues</b>								
00003F-AA-1	A&E TELEVISION NETWORKS LLC 3.110% 08/22/19		08/17/2012	PRIVATE		1,000,000	1,000,000	
00130H-BS-3	AES Corp/VA 7.375% 07/01/21		08/08/2012	Tax Free Exchange		344,116	344,000	2,607
00206R-BJ-0	SBC COMMUNICATIONS INC 4.350% 06/15/45		12/17/2012	Tax Free Exchange		213,516	264,000	
00287Y-AB-5	AbbVie Inc 1.750% 11/06/17		11/09/2012	Various		6,053,440	6,000,000	875
00434N-AA-3	CHESAPEAKE MIDSTREAM PARTNERS 4.875% 05/15/23		12/13/2012	sterne agee leach inc		408,000	400,000	
00508X-AF-1	Actuant Corp 5.625% 06/15/22		12/18/2012	WELLS FARGO BANK		1,072,110	1,038,000	24,296
00508X-AF-1	Actuant Corp 5.625% 06/15/22		09/10/2012	Tax Free Exchange		779,498	779,000	10,346
00817Y-AH-1	Aetna Inc 3.950% 09/01/20		04/11/2012	JEFFRIES & CO.		5,510,289	5,170,000	25,527
040555-CJ-1	ARIZONA PUB SERVICE 6.250% 08/01/16		01/25/2012	Various		4,445,629	3,745,000	116,381
050095-AM-0	Atwood Oceanics Inc 6.500% 02/01/20		12/14/2012	Various		452,223	421,000	7,617
05490A-AA-1	BBUS_12-TFT 12-TFT A 2.892% 06/05/30		11/16/2012	BARCLAYS BANK PLC		7,174,980	7,000,000	1,687
055381-AS-6	B/E Aerospace Inc 5.250% 04/01/22		12/05/2012	Various		2,057,750	1,965,000	9,653
058498-AR-7	Ball Corp 5.000% 03/15/22		02/24/2012	Various		178,544	175,000	
110394-AE-3	Bristow Group Inc 6.250% 10/15/22		12/10/2012	Various		1,751,375	1,700,000	1,675
120111-BL-2	BUILDING MATERIALS CORP OF AME 6.750% 05/01/21		12/18/2012	DEUTSCHE BANK		446,000	400,000	3,750
1248EP-AY-9	CCO HOLDINGS LLC/CCO HOLDINGS 5.250% 09/30/22		12/04/2012	Various		1,764,688	1,750,000	19,797
12527D-AR-1	CFCRE_11-C2 11-C2 A4 3.834% 12/15/47		03/06/2012	CANTOR FITZGERALD & CO.		5,290,625	5,000,000	4,260
151608-AB-2	CPF_12-2 12-2 A 2.610% 08/20/21		11/20/2012	DEUTSCHE BANK		4,651,002	4,651,640	
154051-EA-9	CENTRAL MAINE POWER COMPANY 3.070% 06/15/22		06/12/2012	PRIVATE		2,000,000	2,000,000	
171798-AB-7	Cimarex Energy Co 5.875% 05/01/22		12/18/2012	BARCLAYS BANK PLC		440,000	400,000	3,264
20854P-AH-2	CONSOL Energy Inc 6.375% 03/01/21		03/07/2012	Tax Free Exchange		1,051,789	1,058,000	1,124
210518-BB-4	CONSUMERS ENERGY COMPANY 2.600% 10/15/15		01/24/2012	PRIVATE		2,864,428	2,800,000	22,649
212015-AH-4	CONTINENTAL RESOURCES INC 5.000% 09/15/22		08/16/2012	Tax Free Exchange		382,227	383,000	8,405
241738-AA-7	DE US INC 3.600% 05/15/19		07/02/2012	Tax Free Exchange		5,000,000	5,000,000	23,500
24713E-AC-1	DELPHI CORP 5.875% 05/15/19		05/14/2012	Tax Free Exchange		311,595	313,000	9,143
25470X-AJ-4	DISH DBS CORP 5.875% 07/15/22		12/06/2012	UBS SECURITIES		271,250	250,000	8,364
25470X-AJ-4	DISH DBS CORP 5.875% 07/15/22		10/16/2012	Tax Free Exchange		150,000	150,000	3,672
256882-AD-3	DPL Inc 7.250% 10/15/21		10/23/2012	Tax Free Exchange		213,531	204,000	329
35802X-AF-0	FRESENIUS MEDICAL CARE US FINA 5.875% 01/31/22		12/12/2012	Various		655,625	625,000	6,059
358030-AA-5	FRESENIUS MEDICAL CARE US FINA 5.750% 02/15/21		01/19/2012	WELLS FARGO BANK		152,250	150,000	3,809
36242D-CH-4	GSR_04-8F 04-8F 2A3 6.000% 09/25/34		10/01/2012	Interest Capitalization		62,066	62,066	
404121-AF-2	HCA Inc 4.750% 05/01/23		12/05/2012	Various		402,938	400,000	930
446413-AD-8	Huntington Ingalls Industries 7.125% 03/15/21		02/10/2012	Tax Free Exchange		180,598	175,000	5,022
46616V-AA-8	HENDR_12-1A 12-1A A 4.210% 02/16/65		03/13/2012	BARCLAYS BANK PLC		3,912,985	3,913,868	
46634S-AC-9	JPMCMSC_12-06 12-06 A3 3.507% 05/15/45		04/18/2012	MORGAN (J.P.) SECURITIES		7,687,463	7,500,000	18,268
46635T-CG-5	JPMCC_11-C3A 11-C3 A4 4.717% 02/15/46		03/21/2012	Various		3,103,687	2,840,000	8,032
471109-AE-8	Jarden Corp 6.125% 11/15/22		12/04/2012	BARCLAYS BANK PLC		536,875	500,000	1,872
487836-BE-7	Kellogg Co 3.250% 05/21/18		03/14/2012	WELLS FARGO BANK		3,476,649	3,300,000	35,154
50076Q-AL-0	Kraft Foods Group Inc 6.500% 02/09/40		07/18/2012	Tax Free Exchange		1,584,766	1,500,000	43,063
50076Q-AP-1	Kraft Foods Group Inc 6.875% 01/26/39		07/18/2012	Tax Free Exchange		2,257,582	2,000,000	61,056
50076Q-AV-8	Kraft Foods Group Inc 6.125% 08/23/18		07/18/2012	Tax Free Exchange		5,343,256	5,252,000	149,226
502413-BB-2	L-3 COMMUNICATIONS CORP 3.950% 11/15/16		10/16/2012	EDLMAN SACHS & CO.		2,181,420	2,000,000	33,794
53219L-AK-5	LifePoint Hospitals Inc 6.625% 10/01/20		12/14/2012	CITIGROUP GLOBAL MARKETS INC		456,061	419,000	5,922
539830-AY-5	LOCKHEED CORP 3.350% 09/15/21		12/12/2012	STIFEL NICOLAUS & CO. INC.		5,324,950	5,000,000	42,806
565849-AJ-5	Marathon Oil Corp 0.900% 11/01/15		10/25/2012	NAMURA SECURITIES		5,011,100	5,000,000	125
570506-AQ-8	MARKWEST ENERGY PARTNERS LP/MA 5.500% 02/15/23		12/05/2012	MORGAN (J.P.) SECURITIES		196,560	182,000	3,337
57169*-AQ-0	MARS INC 2.720% 10/11/19		10/09/2012	PRIVATE		10,000,000	10,000,000	
61760V-AP-8	MSC_12-C4 12-C4 A4 3.244% 03/15/45		03/09/2012	MORGAN STANLEY & CO.		5,049,820	5,000,000	12,165
65409Q-AZ-5	NELSEN FINANCE LLC 4.500% 10/01/20		12/04/2012	BARCLAYS BANK PLC		1,897,125	1,900,000	10,336

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York

**SCHEDULE D - PART 3**

Showing All Long-Term Bonds and Stocks ACQUIRED During Current Year

1	2	3	4	5	6	7	8	9
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends
655663-DH-6	Nordson Corp 2.270% 07/26/17		07/25/2012	PRIVATE		5,000,000	5,000,000	
655844-BJ-6	Norfolk Southern Corp 3.000% 04/01/22		03/14/2012	UBS SECURITIES		487,520	500,000	167
666807-BE-1	Northrop Grumman Corp 3.500% 03/15/21		12/12/2012	Various		6,631,468	6,200,000	48,339
678026-AE-5	Oil States International Inc 5.125% 01/15/23		12/18/2012	RBC DOMINION SECURITIES		407,000	400,000	
68233D-AL-1	ONCOR ELECTRIC DELIVERY CO 6.375% 01/15/15		02/02/2012	MORGAN (J.P.) SECURITIES		4,576,080	4,000,000	15,583
693656-AA-8	PVH Corp 4.500% 12/15/22		12/12/2012	Various		404,563	400,000	
704549-AK-0	Peabody Energy Corp 6.000% 11/15/18		10/30/2012	Tax Free Exchange		392,613	386,000	10,615
704549-AM-6	Peabody Energy Corp 6.250% 11/15/21		10/30/2012	Tax Free Exchange		984,002	975,000	27,900
72650R-AZ-5	Plains All American Pipeline L 3.650% 06/01/22		03/14/2012	Various		1,373,877	1,380,000	
74733V-AB-6	QEP Resources Inc 5.375% 10/01/22		02/27/2012	Various		612,305	604,000	
75281A-AN-9	Range Resources Corp 5.000% 08/15/22		02/24/2012	Various		1,303,688	1,275,000	
755111-BX-8	Raytheon Co 2.500% 12/15/22		11/29/2012	MORGAN (J.P.) SECURITIES		4,992,900	5,000,000	
758202-AH-8	REED ELSEVIER CAPITAL 3.125% 10/15/22		11/14/2012	Various		12,028,889	12,070,000	34,447
76169#-AG-8	REYES HOLDINGS LLC 5.130% 07/31/22		08/03/2012	PRIVATE		1,000,000	1,000,000	
761735-AK-5	REYNOLDS GROUP ISSUER INC / RE 7.125% 04/15/19		07/26/2012	Tax Free Exchange		1,650,809	1,605,000	32,083
811065-AA-9	SCRIPPS NETWORK INTERACTIVE 2.700% 12/15/16		01/25/2012	WELLS FARGO BANK		1,352,244	1,329,000	5,881
827048-AP-4	Silgan Holdings Inc 5.000% 04/01/20		12/06/2012	Various		674,625	650,000	3,111
827048-AP-4	Silgan Holdings Inc 5.000% 04/01/20		08/09/2012	Tax Free Exchange		723,000	723,000	13,657
85915#-AF-8	STERICYCLE INC. 2.680% 12/12/19		12/06/2012	PRIVATE		2,000,000	2,000,000	
88576P-AC-7	HENDR 04-A 04-A A1 0.559% 09/15/45		03/07/2012	MORGAN STANLEY & CO.		5,283,156	5,837,741	2,523
89678D-AA-3	TCF 12-1A 12-1A A 4.210% 05/14/27		05/16/2012	WELLS FARGO BANK		2,824,651	2,825,000	
90321N-AA-0	UR FINANCING ESCROW CORP 5.750% 07/15/18		10/16/2012	Various		1,620,249	1,565,000	4,503
907818-DG-0	Union Pacific Corp 4.000% 02/01/21		03/20/2012	DEUTSCHE BANK		1,051,760	1,000,000	5,778
913017-BV-0	United Technologies Corp 3.100% 06/01/22		05/24/2012	BANK OF AMERICA		1,998,460	2,000,000	
91879Q-AK-5	Vail Resorts Inc 6.500% 05/01/19		12/18/2012	CITIGROUP GLOBAL MARKETS INC		432,000	400,000	3,611
92343V-AL-8	Verizon Communications Inc 5.500% 02/15/18		03/22/2012	DEUTSCHE BANK		6,340,523	5,425,000	34,810
94106*-AC-0	Waste Connections Inc 3.300% 04/01/16		01/13/2012	STONECASTLE PARTNERS		2,053,100	2,000,000	23,100
96389B-AM-2	Xcel Energy Inc 4.700% 05/15/20		11/29/2012	Various		6,074,874	5,193,000	13,728
13643E-AG-0	Canadian Oil Sands Ltd 4.500% 04/01/22	A.	03/30/2012	Various		2,278,650	2,250,000	1,406
92658T-AQ-1	VIDEOTRON - LE GRPE LTD 5.000% 07/15/22	A.	07/23/2012	Tax Free Exchange		726,568	722,000	802
05567L-3Q-8	BNP Paribas SA 3.059% 12/20/14	F.	12/20/2011	Taxable Exchange		(2,457)	(2,460)	
111013-AK-4	British Sky Broadcasting Group 3.125% 11/26/22	F.	11/20/2012	Various		6,489,970	6,500,000	
11778B-AA-0	BSKYB FINANCE UK PLC 5.625% 10/15/15	F.	01/04/2012	SUNTRUST CAPITOL MARKETS		1,118,740	1,000,000	13,125
12657#-AA-7	CALITTUM HTCE I CV 4.130% 04/01/27	F.	03/28/2012	PRIVATE		975,301	975,301	
423012-AA-1	Heineken NV 3.400% 04/01/22	F.	04/04/2012	Various		9,172,132	9,215,000	1,375
552081-AD-3	LyondellBasell Industries NV 6.000% 11/15/21	F.	07/19/2012	Tax Free Exchange		776,468	760,000	8,107
552081-AK-7	LyondellBasell Industries NV 5.750% 04/15/24	F.	07/19/2012	Tax Free Exchange		832,153	823,000	13,145
65504L-AH-0	NOBLE HOLDING INTERNATIONAL LT 2.500% 03/15/17	F.	03/19/2012	Various		3,277,597	3,275,000	8,927
761655-A8-8	Rexam PLC 4.150% 12/18/20	F.	12/14/2012	PRIVATE		2,000,000	2,000,000	
883145-AN-4	TMCL 12-1A 12-1A A 4.210% 04/15/27	F.	10/17/2012	BARCLAYS BANK PLC		4,906,563	4,666,667	3,820
BAX3WC-1K-8	CONTINENTAL RUBBER OF AMERICA 4.500% 09/15/19	F.	12/06/2012	BANK OF AMERICA		306,750	300,000	2,888
F0164#-AB-8	AIR LIQUIDE FINANCE 3.110% 09/13/22	F.	09/11/2012	PRIVATE		2,500,000	2,500,000	
G1696#-AY-2	BUNZL FINANCE PLC 2.930% 01/15/20	F.	12/14/2012	PRIVATE		6,000,000	6,000,000	
G7815#-AE-1	SAP IRELAND US FINANCIAL SERVI 2.130% 11/15/17	F.	11/13/2012	PRIVATE		5,000,000	5,000,000	
W0805#-AK-4	ASSA ABLLOY FINANCIAL SERVICES 5.370% 12/21/18	F.	08/20/2012	PRIVATE		5,678,500	5,000,000	71,600
W0805#-AL-2	ASSA ABLLOY FINANCIAL SERVICES 2.940% 08/09/19	F.	08/07/2012	PRIVATE		2,000,000	2,000,000	
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						244,084,672	236,806,823	1,154,958
4899999. Subtotal - Bonds - Hybrid Securities								
5599999. Subtotal - Bonds - Parent, Subsidiaries and Affiliates								
8399997. Total - Bonds - Part 3								
8399998. Total - Bonds - Part 5						274,662,221	266,177,315	1,185,709
8399999. Total - Bonds						11,176,134	11,165,517	12,669
8399999. Total - Bonds						285,838,355	277,342,832	1,198,378
8499999. Subtotal - Preferred Stocks - Industrial and Miscellaneous (Unaffiliated)							XXX	
8599999. Subtotal - Preferred Stocks - Parent, Subsidiaries and Affiliates							XXX	
8999997. Total - Preferred Stocks - Part 3							XXX	
8999998. Total - Preferred Stocks - Part 5							XXX	
8999999. Total - Preferred Stocks							XXX	

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ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York

**SCHEDULE D - PART 3**

Showing All Long-Term Bonds and Stocks ACQUIRED During Current Year

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends
9099999	Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated)						XXX	
9199999	Subtotal - Common Stocks - Parent, Subsidiaries and Affiliates						XXX	
9299999	Subtotal - Common Stocks - Mutual Funds						XXX	
9399999	Subtotal - Common Stocks - Money Market Mutual Funds						XXX	
9799997	Total - Common Stocks - Part 3						XXX	
9799998	Total - Common Stocks - Part 5						XXX	
9799999	Total - Common Stocks						XXX	
9899999	Total - Preferred and Common Stocks						XXX	
9999999	Totals					285,838,355	XXX	1,198,378

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York

**SCHEDULE D - PART 4**

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/Decrease	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date
31290K-5A-3	Federal Home Loan Mortgage Cor 555341 10.000% 06/01/19		12/01/2012	Paydown		528	528	529	527		1		1		528				28	06/01/2019
31346L-SZ-5	Federal Home Loan Mortgage Cor ARM 605036 2.246% 12/01/18		12/01/2012	Paydown		10,607	10,607	10,592	10,584		24		24		10,607				124	12/01/2018
31348S-GY-4	Federal Home Loan Mortgage Cor ARM 785615 2.250% 07/01/26		12/01/2012	Paydown		301	301	299	299		1		1		301				4	07/01/2026
36202F-DW-3	GNMA II 004617 4.500% 01/20/40		12/01/2012	Paydown		1,331,299	1,331,299	1,348,564	1,347,970		(16,671)		(16,671)		1,331,299				34,454	01/20/2040
36202F-EH-5	GNMA II 004636 4.500% 02/20/40		12/01/2012	Paydown		8,160,095	8,160,095	8,284,409	8,280,200		(120,105)		(120,105)		8,160,095				208,457	02/20/2040
36202K-DK-8	GNMA II ARM 8206 2.000% 03/20/17		12/01/2012	Paydown		9,710	9,710	9,521	9,614		96		96		9,710				109	03/20/2017
36202K-EN-1	GNMA II ARM 8241 1.750% 07/20/17		12/01/2012	Paydown		3,426	3,426	3,260	3,321		105		105		3,426				33	07/20/2017
36202K-EZ-4	GNMA II ARM 8252 2.500% 08/20/17		12/01/2012	Paydown		40,162	40,162	39,760	40,004		158		158		40,162				554	08/20/2017
36202K-FB-6	GNMA II ARM 8262 1.750% 09/20/17		12/01/2012	Paydown		20,745	20,745	19,813	20,506		239		239		20,745				217	09/20/2017
36202K-FP-5	GNMA II ARM 8274 2.500% 10/20/17		12/01/2012	Paydown		26,530	26,530	26,307	26,441		89		89		26,530				378	10/20/2017
36202K-GA-7	GNMA II ARM 8293 2.500% 12/20/17		12/01/2012	Paydown		8,192	8,192	8,074	8,152		40		40		8,192				114	12/20/2017
36202K-JH-6	GNMA II ARM 8377 2.500% 07/20/18		12/01/2012	Paydown		6,754	6,754	6,355	6,621		133		133		6,754				104	07/20/2018
36202K-KA-2	GNMA II ARM 8389 2.500% 08/20/18		12/01/2012	Paydown		3,600	3,600	3,436	3,554		45		45		3,600				43	08/20/2018
362181-JN-7	GNMA I 238289 9.500% 06/15/18		12/01/2012	Paydown		908	908	896	899		8		8		908				49	06/15/2018
362200-ME-4	GNMA I 292957 9.500% 11/15/20		12/01/2012	Paydown		159	159	157	157		1		1		159				8	11/15/2020
83162C-HN-0	SBAP 02-20J 2002-20J 4.750% 10/01/22		10/01/2012	Paydown		553,050	553,050	564,758	560,321		(7,272)		(7,272)		553,050				20,897	10/01/2022
83162C-NR-0	SBAP 04-20D 2004-20D 4.770% 04/01/24		10/01/2012	Paydown		905,028	905,028	905,028	905,028						905,028				35,169	04/01/2024
83162C-NT-6	SBAP 04-20E 2004-20E 5.180% 05/01/24		11/01/2012	Paydown		447,720	447,720	447,720	447,720						447,720				16,625	05/01/2024
83162C-PA-5	SBAP 2004-20I 2004-20I 4.990% 09/01/24		09/01/2012	Paydown		804,276	804,276	804,276	804,276						804,276				30,819	09/01/2024
83162C-PB-3	SBAP 2004-20J 04-20J 1 4.860% 10/01/24		10/01/2012	Paydown		236,292	236,292	236,292	236,292						236,292				9,228	10/01/2024
83162C-PE-7	SBAP 04-20L 04-20L 1 4.870% 12/01/24		12/01/2012	Paydown		466,244	466,244	466,244	466,244						466,244				16,532	12/01/2024
83162C-PN-7	SBAP 05-20E 05-20E 1 4.840% 05/01/25		11/01/2012	Paydown		866,987	866,987	866,987	866,987						866,987				30,578	05/01/2025
831641-DT-9	SBIC 2004-10 2004 10A 4.120% 03/10/14		09/01/2012	Paydown		2,228,701	2,228,701	2,228,701	2,228,701						2,228,701				62,717	03/10/2014
831641-DX-0	SBIC 05-10A 05-10A 1 5.038% 03/10/15		09/01/2012	Paydown		321,973	321,973	321,973	321,973						321,973				12,407	03/10/2015
831641-DZ-5	SBIC 05-10B 05-10B 4.941% 09/10/15		09/01/2012	Paydown		905,655	905,655	905,655	905,655						905,655				33,529	09/10/2015
<b>0599999 Subtotal - Bonds - U.S. Governments</b>						<b>17,358,942</b>	<b>17,358,942</b>	<b>17,509,606</b>	<b>17,502,046</b>		<b>(143,108)</b>		<b>(143,108)</b>		<b>17,358,942</b>				<b>513,177</b>	<b>XXX</b>
105756-AT-7	BRAZIL - FEDERATIVE REPUBLIC 0 11.000% 01/11/12	F	01/11/2012	Maturity Redemption	100.0000	250,000	250,000	245,000	249,976		24		24		250,000				13,750	01/11/2012
105756-BH-2	BRAZIL - FEDERATIVE REPUBLIC 0 8.000% 01/15/18	F	07/15/2012	Maturity		65,111	65,111	65,929	65,463		(352)		(352)		65,111				3,907	01/15/2018
195325-AY-1	COLOMBIA REPUBLIC OF 10.000% 01/23/12	F	01/23/2012	Maturity		540,000	540,000	597,028	540,549		(549)		(549)		540,000				27,000	01/23/2012
221597-AH-0	COSTA RICA REPUBLIC OF 144 8.110% 02/01/12	F	02/01/2012	Maturity Redemption	100.0000	95,000	95,000	101,175	95,078		(78)		(78)		95,000				3,852	02/01/2012
78307A-CZ-4	RUSSIAN FEDERATION - THE 7.500% 03/31/30	F	09/30/2012	Maturity		36,000	36,000	35,318	37,089		(1,089)		(1,089)		36,000				2,025	03/31/2030
836205-AG-9	SOUTH AFRICA REPUBLIC OF 7.375% 04/25/12	F	04/25/2012	Maturity		170,000	170,000	201,025	171,340		(1,340)		(1,340)		170,000				6,269	04/25/2012
<b>1099999 Subtotal - Bonds - All Other Governments</b>						<b>1,156,111</b>	<b>1,156,111</b>	<b>1,245,475</b>	<b>1,159,495</b>		<b>(3,384)</b>		<b>(3,384)</b>		<b>1,156,111</b>				<b>56,803</b>	<b>XXX</b>
<b>1799999 Subtotal - Bonds - U.S. States, Territories and Possessions</b>																				<b>XXX</b>
<b>2499999 Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions</b>																				<b>XXX</b>
31283G-JJ-7	FREDDIE MAC GOLD G00265 6.500% 06/01/14		12/01/2012	Paydown		4,763	4,763	4,387	4,631		132		132		4,763				148	06/01/2014
31283G-NK-9	FREDDIE MAC GOLD G00650 7.500% 12/01/25		12/01/2012	Paydown		28,004	28,004	28,175	28,145		(141)		(141)		28,004				1,452	12/01/2025
31283H-J8-9	FREDDIE MAC GOLD G01187 6.500% 09/01/30		12/01/2012	Paydown		51,805	51,805	51,433	51,448		358		358		51,805				1,822	09/01/2030
31287Y-HP-5	FREDDIE MAC GOLD C71554 6.000% 09/01/32		12/01/2012	Paydown		122,624	122,624	126,897	126,612		(3,988)		(3,988)		122,624				1,122	09/01/2032
31288F-YP-3	FREDDIE MAC GOLD C77018 5.500% 03/01/33		12/01/2012	Paydown		711,207	711,207	727,821	726,185		(14,977)		(14,977)		711,207				21,237	03/01/2033
31280U-G7-5	FREDDIE MAC GOLD G30222 6.000% 01/01/23		12/01/2012	Paydown		256,983	256,983	263,448	262,347		(5,364)		(5,364)		256,983				7,935	01/01/2023
31292G-SP-7	FREDDIE MAC GOLD C00854 7.500% 07/01/29		12/01/2012	Paydown		10	10	10	10						10					07/01/2029
31292G-EU-6	FREDDIE MAC GOLD C00147 8.500% 06/01/22		12/01/2012	Paydown		2,927	2,927	3,079	3,046		(119)		(119)		2,927				139	06/01/2022
31292G-SM-9	FREDDIE MAC GOLD C00524 7.500% 06/01/27		12/01/2012	Paydown		3,623	3,623	3,675	3,670		(46)		(46)		3,623				156	06/01/2027
31292G-ST-4	FREDDIE MAC GOLD C00530 7.500% 07/01/27		12/01/2012	Paydown		11,933	11,933	12,103	12,085		(152)		(152)		11,933				454	07/01/2027
31292G-T6-3	FREDDIE MAC GOLD C00573 6.500% 12/01/27		12/01/2012	Paydown		13,893	13,893	13,728	13,740		154		154		13,893				518	12/01/2027
31292H-D4-9	FREDDIE MAC GOLD C01375 6.500% 07/01/32		12/01/2012	Paydown		135,158	135,158	140,163	139,872		(4,714)		(4,714)		135,158				4,514	07/01/2032

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ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York

**SCHEDULE D - PART 4**

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date
312938-K5-1	FREDDIE MAC GOLD A90316 4.500% 12/01/39		12/01/2012	Paydown		1,508,062	1,508,062	1,537,987	1,537,470		(29,409)		(29,409)		1,508,062				35,073	12/01/2039
312930-3J-9	FREDDIE MAC GOLD C18001 6.000% 11/01/28		12/01/2012	Paydown		36,597	36,597	35,973	36,025		573		573		36,597				2,032	11/01/2028
31293V-H5-4	FREDDIE MAC GOLD C30252 7.000% 08/01/29		12/01/2012	Paydown		493	493	486	486		6		6		493				19	08/01/2029
312941-2G-1	FREDDIE MAC GOLD A93475 4.500% 08/01/40		12/01/2012	Paydown		1,234,343	1,234,343	1,259,030	1,258,592		(24,249)		(24,249)		1,234,343				33,939	08/01/2040
312942-NM-3	FREDDIE MAC GOLD A93996 4.500% 09/01/40		12/01/2012	Paydown		6,791,321	6,791,321	6,916,536	6,914,345		(123,024)		(123,024)		6,791,321				165,545	09/01/2040
31294C-TF-0	FREDDIE MAC GOLD C35950 7.500% 01/01/30		12/01/2012	Paydown		22,583	22,583	22,188	22,209		375		375		22,583				1,101	01/01/2030
31295V-UB-4	FREDDIE MAC GOLD A00578 9.000% 10/01/20		12/01/2012	Paydown		1,853	1,853	1,945	1,921		(68)		(68)		1,853				91	10/01/2020
31335H-RM-7	FREDDIE MAC GOLD C90492 6.000% 11/01/21		12/01/2012	Paydown		85,316	85,316	83,916	84,122		1,193		1,193		85,316				2,695	11/01/2021
31339L-WR-7	FREDDIE MAC FHLMC 2391 CMO 2391 QR 5.500% 12/15/16		12/01/2012	Paydown		1,284,470	1,284,470	1,208,606	1,262,258		22,212		22,212		1,284,470				36,848	12/15/2016
31337E-NQ-3	FREDDIE MAC FHLMC 2074 CMO 2074 Z 6.500% 07/15/28		12/01/2012	Paydown		290,261	290,261	276,405	282,342		7,919		7,919		290,261				7,650	07/15/2028
3133X9-YQ-7	FEDERAL HOME LOAN BANK 4.890% 12/23/16		12/25/2012	Paydown		1,601,775	1,601,775	1,608,219	1,605,667		(3,892)		(3,892)		1,601,775				40,877	12/23/2016
3133XC-WF-6	FEDERAL HOME LOAN BANK 9C-2012 5.000% 08/22/12		08/22/2012	Paydown		1,014,831	1,014,831	1,019,746	1,015,517		(686)		(686)		1,014,831				30,250	08/22/2012
313602-BD-5	FNMA 88-4 CMO 88-4 TR 749.425% 03/25/18		12/01/2012	Paydown		9	9	133	133		(124)		(124)		9				31	03/25/2018
313614-VA-4	Federal National Mortgage Asso 50109 10.000% 08/01/18		12/01/2012	Paydown		2,903	2,903	2,899	2,894		10		10		2,903				115	08/01/2018
313614-W3-9	Federal National Mortgage Asso 50166 10.000% 01/01/19		12/01/2012	Paydown		2,500	2,500	2,404	2,427		73		73		2,500				116	01/01/2019
313614-XS-3	Federal National Mortgage Asso 50189 10.000% 05/01/19		12/01/2012	Paydown		1,224	1,224	1,234	1,229		(5)		(5)		1,224				66	05/01/2019
31361W-AT-4	Federal National Mortgage Asso 43218 9.000% 03/01/17		12/01/2012	Paydown		2,790	2,790	2,867	2,818		(28)		(28)		2,790				138	03/01/2017
31365C-4G-9	Federal National Mortgage Asso 124223 8.000% 02/01/22		12/01/2012	Paydown		4,691	4,691	4,620	4,629		62		62		4,691				224	02/01/2022
31368H-E5-8	Federal National Mortgage Asso 190156 6.000% 11/01/13		12/01/2012	Paydown		11,332	11,332	11,317	11,293		39		39		11,332				322	11/01/2013
31371F-GU-0	Federal National Mortgage Asso 250511 6.500% 03/01/26		12/01/2012	Paydown		7,678	7,678	7,459	7,485		193		193		7,678				259	03/01/2026
31371F-SJ-2	Federal National Mortgage Asso 250821 7.500% 02/01/27		12/01/2012	Paydown		6,640	6,640	6,623	6,621		18		18		6,640				320	02/01/2027
31371G-Z9-4	Federal National Mortgage Asso 251968 7.000% 09/01/28		12/01/2012	Paydown		8,135	8,135	8,105	8,104		31		31		8,135				309	09/01/2028
31371H-DU-9	Federal National Mortgage Asso 252215 6.000% 11/01/28		12/01/2012	Paydown		46,841	46,841	46,058	46,120		721		721		46,841				1,502	11/01/2028
31371J-BD-5	Federal National Mortgage Asso 253096 7.000% 02/01/30		12/01/2012	Paydown		16,747	16,747	16,173	16,205		542		542		16,747				583	02/01/2030
31371K-2W-0	Federal National Mortgage Asso 254689 6.000% 03/01/23		12/01/2012	Paydown		218,694	218,694	228,638	226,761		(8,067)		(8,067)		218,694				7,314	03/01/2023
31371K-WD-9	Federal National Mortgage Asso 254544 6.000% 11/01/22		12/01/2012	Paydown		327,377	327,377	334,539	333,158		(5,781)		(5,781)		327,377				10,469	11/01/2022
31374F-YK-9	Federal National Mortgage Asso 313114 7.000% 10/01/26		12/01/2012	Paydown		23,962	23,962	23,542	23,579		384		384		23,962				894	10/01/2026
31377B-XH-3	Federal National Mortgage Asso 372480 7.000% 02/01/27		12/01/2012	Paydown		1,870	1,870	1,824	1,828		42		42		1,870				57	02/01/2027
31377T-SG-2	Federal National Mortgage Asso 386719 5.259% 12/01/13		12/01/2012	Paydown		98,114	98,114	100,855	98,436		(322)		(322)		98,114				2,777	12/01/2013
31380R-MIS-1	Federal National Mortgage Asso 447769 6.500% 02/01/29		12/01/2012	Paydown		24,564	24,564	24,414	24,418		146		146		24,564				863	02/01/2029
31380U-JP-4	Federal National Mortgage Asso 450370 6.500% 01/01/29		12/01/2012	Paydown		30,652	30,652	30,906	30,863		(211)		(211)		30,652				1,111	01/01/2029
31382R-NZ-2	Federal National Mortgage Asso 490108 6.500% 03/01/29		12/01/2012	Paydown		46,523	46,523	46,315	46,316		208		208		46,523				1,349	03/01/2029
31384B-SY-3	Federal National Mortgage Asso 519035 7.000% 10/01/29		12/01/2012	Paydown		10,522	10,522	10,293	10,305		217		217		10,522				542	10/01/2029
31384M-A5-1	Federal National Mortgage Asso 527528 7.000% 12/01/29		12/01/2012	Paydown		1,266	1,266	1,206	1,209		57		57		1,266				46	12/01/2029

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York

**SCHEDULE D - PART 4**

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/Decrease	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date
31384V-P4-8	Federal National Mortgage Asso 535143 7.500% 02/01/30		12/01/2012	Paydown		11,104	11,104	10,897	10,906		198		198		11,104				506	02/01/2030
31384V-RN-4	Federal National Mortgage Asso 535193 7.500% 03/01/30		12/01/2012	Paydown		3,991	3,991	3,938	3,940		51		51		3,991				157	03/01/2030
31385P-H5-6	Federal National Mortgage Asso 548452 6.500% 12/01/28		12/01/2012	Paydown		1,245	1,245	1,242	1,242		4		4		1,245				45	12/01/2028
31385W-TP-4	Federal National Mortgage Asso 555058 4.816% 12/01/12		11/01/2012	Paydown		501,996	501,996	500,898	500,468		1,528		1,528		501,996				18,139	12/01/2012
31385W-V3-0	Federal National Mortgage Asso 555134 6.000% 12/01/32		12/01/2012	Paydown		857,647	857,647	882,170	879,703		(22,056)		(22,056)		857,647				29,839	12/01/2032
31385W-VY-2	Federal National Mortgage Asso 555131 5.061% 01/01/13		12/01/2012	Paydown		22,056,493	22,056,493	22,566,836	22,059,792		(3,300)		(3,300)		22,056,493				959,182	01/01/2013
31386V-2K-5	Federal National Mortgage Asso 575078 6.500% 05/01/31		12/01/2012	Paydown		181,982	181,982	180,672	180,704		1,279		1,279		181,982				5,976	05/01/2031
31387F-3C-6	Federal National Mortgage Asso 583195 6.500% 05/01/31		12/01/2012	Paydown		2,052	2,052	2,018	2,020		33		33		2,052				74	05/01/2031
31387J-SA-5	Federal National Mortgage Asso 585613 6.500% 05/01/31		12/01/2012	Paydown		95,918	95,918	95,332	95,341		577		577		95,918				3,739	05/01/2031
3138AV-U3-7	Federal National Mortgage Asso AJ4201 3.500% 12/01/41		01/11/2012	MORGAN (J.P.) SECURITIES		4,041,342	4,041,342	4,126,589	4,126,589		(85,247)		(85,247)		4,041,342				89,445	12/01/2041
31390N-SU-2	Federal National Mortgage Asso 651659 6.500% 08/01/32		12/01/2012	Paydown		23,581	23,581	24,443	24,380		(799)		(799)		23,581				857	08/01/2032
31391U-2F-1	Federal National Mortgage Asso 677674 6.000% 02/01/33		12/01/2012	Paydown		409,116	409,116	425,736	424,468		(15,352)		(15,352)		409,116				9,058	02/01/2033
31391U-PT-6	Federal National Mortgage Asso 677334 6.000% 01/01/33		12/01/2012	Paydown		418,715	418,715	434,940	433,586		(14,871)		(14,871)		418,715				17,226	01/01/2033
31392B-WU-6	FANNIE MAE FNMA_02-4 CMO 4 BC 6.000% 02/25/17		12/01/2012	Paydown		455,622	455,622	438,820	449,408		6,213		6,213		455,622				14,004	02/25/2017
31392X-KE-7	FREDDIE MAC FHLMC_2523 CMO 2523 GX 5.500% 01/15/22		03/01/2012	Paydown		178,384	178,384	185,937	178,320		64		64		178,384				1,529	01/15/2022
31393G-LU-6	FREDDIE MAC FHLMC_2542 CMO 2542 DM 5.500% 02/15/22		07/01/2012	Paydown		430,240	430,240	439,534	430,074		167		167		430,240				6,932	02/15/2022
31393H-QB-1	FREDDIE MAC FHLMC_2558 CMO 2558 BC 5.000% 04/15/17		03/01/2012	Paydown		220,569	220,569	225,463	220,338		230		230		220,569				1,669	04/15/2017
31393J-LM-8	FREDDIE MAC FHLMC_2557 CMO 2557 QW 5.500% 05/15/22		12/01/2012	Paydown		813,398	813,398	841,104	817,174		(3,776)		(3,776)		813,398				22,792	05/15/2022
31394C-SY-9	FNMA_05-21 05-21 PD 5.000% 09/25/33 Federal National Mortgage Asso 688346		12/01/2012	Paydown		1,335,086	1,335,086	1,418,529	1,398,005		(62,918)		(62,918)		1,335,086				52,013	09/25/2033
31400H-WF-6	Federal National Mortgage Asso 2.955% 6.000% 03/01/33		12/01/2012	Paydown		265,839	265,839	276,680	275,568		(9,729)		(9,729)		265,839				4,263	03/01/2033
31407M-EZ-4	Federal National Mortgage Asso AEO828 08/01/35		12/01/2012	Paydown		184,654	184,654	185,079	185,043		(389)		(389)		184,654				4,786	08/01/2035
31419A-4N-4	Federal National Mortgage Asso AEO828 3.500% 02/01/41		01/11/2012	MORGAN (J.P.) SECURITIES		1,982,568	1,982,568	2,029,034	2,029,034		(46,466)		(46,466)		1,982,568				41,091	02/01/2041
646139-D8-5	NEW JERSEY STATE TRNPK AUTH 4.252% 01/01/16		01/01/2012	Various		730,000	730,000	730,000	730,000						730,000				15,520	01/01/2016
BOAOBZ-X2-8	FEDERAL HOUSING AUTHORITY - FH 7.000% 06/01/14		12/01/2012	Paydown		24,523	24,523	23,675	24,285		238		238		24,523				912	06/01/2014
<b>319999. Subtotal - Bonds - U.S. Special Revenues</b>						<b>51,335,934</b>	<b>51,335,934</b>	<b>52,307,946</b>	<b>51,779,964</b>		<b>(444,023)</b>		<b>(444,023)</b>		<b>51,335,934</b>				<b>1,724,778</b>	<b>XXX</b>
00130H-BR-5	AES Corp/VA 7.375% 07/01/21		08/08/2012	Tax Free Exchange		348,116	344,000	348,466	348,314		(198)		(198)		348,116				29,105	07/01/2021
018804-AL-8	Alliant Techsystems Inc 6.750% 04/01/16		09/07/2012	Call		388,550	380,000	376,200	377,307		382		377,307		388,550		10,861	10,861	23,940	04/01/2016
020002-AN-1	Allstate Corp/The 6.125% 02/15/12		02/15/2012	Maturity		2,000,000	2,000,000	2,170,560	2,002,985		(2,985)		(2,985)		2,000,000				61,250	02/15/2012
032511-AT-4	Anadarko Petroleum Corp 6.125% 03/15/12		03/15/2012	Maturity		1,000,000	1,000,000	1,108,960	1,003,036		(3,036)		(3,036)		1,000,000				30,625	03/15/2012
040555-CD-4	ARIZONA PUB SERVICE 6.500% 03/01/12		03/01/2012	Various		1,900,000	1,900,000	1,886,662	1,899,703		297		297		1,900,000				61,750	03/01/2012
05348E-AG-4	AvalonBay Communities Inc 6.125% 11/01/12		11/01/2012	Maturity		1,054,000	1,054,000	1,138,626	1,065,130		(11,130)		(11,130)		1,054,000				64,558	11/01/2012
05531W-AB-2	BCAP_09-RR1 09-RR1 1A2 5.772% 07/26/37		12/01/2012	Paydown		482,759	482,759	387,414	455,892		26,867		26,867		482,759				11,300	07/26/2037
05531W-AJ-5	BCAP_09-RR1 09-RR1 3A1 6.000% 08/26/37		06/01/2012	Paydown		134,089	134,089	132,748	133,388		701		701		134,089				2,055	08/26/2037
05531W-AM-8	BCAP_09-RR1 09-RR1 4A1 5.997% 10/26/36		12/01/2012	Paydown		209,125	209,125	169,391	187,426		21,699		21,699		209,125				6,266	10/26/2036

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York

**SCHEDULE D - PART 4**

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date
05532L--AN-9	BCAP_09-RR14 09-RR14 6A1 5.500% 10/26/35		11/01/2012	Paydown		227,943	227,943	228,228	227,414		529		529		227,943				7,086	10/26/2035
05565S-AA-2	Burlington Northern Santa Fe L 5.629%		10/01/2012	Redemption	100.0000															
05570W-AB-4	BNPP_09-1 09-1 A2 6.000% 08/27/37		12/01/2012	Paydown		110,630	110,630	112,149	111,839		(1,209)		(1,209)		110,630				3,180	04/01/2024
05946X-C3-5	BAFC_05-G 05-G A3 5.199% 10/20/35		12/01/2012	Paydown		1,306,561	1,306,561	1,225,309	1,229,120		77,441		77,441		1,306,561				31,034	10/20/2035
05947U-D2-1	BACM_05-1 05-1 ASB 5.056% 11/10/42		12/01/2012	Paydown		1,021,894	1,021,894	954,393	985,389		36,505		36,505		1,021,894				40,280	11/10/2042
05947U-R7-5	BACM_05-3 05-3 ASB 4.589% 07/10/43		12/01/2012	Paydown		1,115,708	1,115,708	1,121,317	1,115,987		(278)		(278)		1,115,708				38,421	07/10/2043
05948K-HM-4	BOAA_03-10 03-10 5A1 4.500% 12/25/18		12/01/2012	Paydown		44,728	44,728	39,696	40,757		3,972		3,972		44,728				1,158	12/25/2018
05948K-R3-5	BOAA_05-10 05-10 1CB4 5.500% 11/25/35		12/01/2012	Paydown		826,085	851,747	788,531	768,481		57,604		57,604		826,084				22,048	11/25/2035
05948X-WD-9	BOAMS_03-8 03-8 1A13 5.500% 11/25/33		12/01/2012	Paydown		183,478	183,478	183,478	183,478						183,478				5,236	11/25/2033
06050S-AR-5	Bank of America Corp 4.875% 09/15/12		09/15/2012	Various		5,000,000	5,000,000	5,030,175	5,002,634		(2,634)		(2,634)		5,000,000				243,750	09/15/2012
07383F-Q4-3	BSCMS_2004-PWR5 04-PWR5 A4 4.831%		12/01/2012	Paydown		573,263	573,263	576,392	573,016		246		246		573,263				14,349	07/11/2042
07386H-UL-3	BEAR STEARNS ALT-A TRUST BALTA 05-5 24A1 5.399% 07/25/35		12/01/2012	Paydown		51,266	51,266	51,875	51,812		(546)		(546)		51,266				1,957	07/25/2035
07386H-VY-4	BALTA_05-7 05-7 2B1 5.222% 09/25/35		05/29/2012	COMPANY TRADE		6,470	687,086	6,470	5,911			5,431	(5,431)	6,470		(4,873)	(4,873)	375	09/25/2035	
07386H-VY-4	BALTA_05-7 05-7 2B1 5.222% 09/25/35		05/29/2012	Capital Distribution		251		251	251	9,880			9,880	251					251	09/25/2035
07387A-BW-4	BSARM_05-4 05-4 B1 4.981% 08/25/35		05/25/2012	COMPANY TRADE		677	2,912	677	677					677					4,484	08/25/2035
07387A-BW-4	BSARM_05-4 05-4 B1 4.981% 08/25/35		12/01/2012	Capital Distribution		40,761		40,761	40,761					40,761						08/25/2035
07387B-AE-3	BSCMS_05-PWR9 05-PWR9 A2 4.735% 09/11/42		12/01/2012	Paydown		2,130,173	2,130,173	2,000,848	2,063,055		67,118		67,118		2,130,173				70,528	09/11/2042
07387B-CK-7	BSCMS_05-T20 05-T20 AAB 5.133% 10/12/42		12/01/2012	Paydown		598,676	598,676	601,962	598,720		(44)		(44)		598,676				16,965	10/12/2042
07388Q-AC-3	BSCMS_07-PW17 07-PW17 A3 5.736% 06/11/50		12/01/2012	Paydown		396,066	396,066	347,620	375,312		20,754		20,754		396,066				18,236	06/11/2050
07986Q-AD-4	BELLSOUTH CORP 6.875% 10/15/31		12/17/2012	COMPANY TRADE		58,850		50,765	50,642		(74)		(74)	6,242		8,282	8,282		6,242	10/15/2031
07986Q-AD-4	BELLSOUTH CORP 6.875% 10/15/31		12/17/2012	Tax Free Exchange		214,240	202,259	215,070	214,552		(312)		(312)	214,240					13,905	10/15/2031
12189P-AH-5	Burlington Northern Santa Fe L 7.908%		07/15/2012	Redemption	100.0000	155,547	155,547	155,547	155,547						155,547				7,486	01/15/2020
12563S-AC-6	CLI FUNDING LLC CLIF_06-1 06-1 A 0.389%		12/18/2012	Paydown		363,038	363,038	288,616	307,611		55,427		55,427		363,038				865	08/18/2021
12640B-GB-3	CSX Corp 6.300% 03/15/12		03/15/2012	Maturity		8,400,000	8,400,000	9,089,304	8,424,579		(24,579)		(24,579)		8,400,000				264,600	03/15/2012
12640X-AJ-7	CSMC_09-1 09-1R 3A1 5.500% 02/26/36		09/01/2012	Paydown		212,363	212,363	176,261	202,794		9,569		9,569		212,363				4,278	02/26/2036
12640X-AN-8	CSMC_09-1 09-1R 4A1 3.663% 07/20/35		12/01/2012	Paydown		259,010	259,010	200,733	222,268		36,742		36,742		259,010				5,521	07/20/2035
126650-AW-0	CVS PASS THROUGH TRUST 5.298% 01/11/27		12/10/2012	Various		127,534	127,534	116,752	117,517		10,016		10,016		127,534				3,690	01/11/2027
126650-BP-4	CVS PASS THROUGH TRUST 6.036% 12/10/28		12/10/2012	Redemption	100.0000	230,273	230,273	227,749	228,367		1,906		1,906		230,273				7,598	12/10/2028
126659-AA-9	CVS PASS THROUGH TRUST 8.353% 07/10/31		12/10/2012	Redemption	100.0000	143,155	143,155	150,330	149,764		(6,609)		(6,609)		143,155				6,559	07/10/2031
126670-CL-0	CIVL_05-11 2005-11 AF6 5.050% 02/25/36		12/01/2012	Paydown		118,889	118,889	118,889	118,768		121		121		118,889				3,779	02/25/2036
126676-SU-3	CWALT_05-43 05-43 1A 2.915% 10/25/35		12/25/2012	COMPANY TRADE		73,593	102,900	73,593	73,593					73,593					26,461	10/25/2035
126676-SU-3	CWALT_05-43 05-43 1A 2.915% 10/25/35		12/25/2012	Capital Distribution		3,024		3,024	3,024					3,024						10/25/2035
126676-R6-2	CWALT_05-41 05-41 1A1 0.540% 09/25/35		11/26/2012	Paydown		16,552	16,552	16,552	16,552					16,552					47	09/25/2035
126685-AD-8	CWHEL_05-C 05-C 2A 0.389% 07/15/35		12/17/2012	Paydown		35,177	35,177	35,177	35,177					35,177					84	07/15/2035
16132N-AV-8	CHARTER ONE BANK NA 6.375% 05/15/12		05/15/2012	Various		2,500,000	2,500,000	2,771,725	2,513,571		(13,571)		(13,571)	2,500,000					79,688	05/15/2012
16163Q-AS-7	CHASE_07-A1 07-A1 6A1 3.032% 02/25/37		12/01/2012	Paydown		115,090	115,090	98,689	99,207		15,883		15,883	115,090					1,784	02/25/2037
165087-AP-2	VERIZON VIRGINIA 7.625% 12/01/12		12/01/2012	Maturity		500,000	500,000	610,325	512,998		(12,998)		(12,998)	500,000					38,125	12/01/2012
172070-CN-2	DUKE ENERGY OHIO INC 5.700% 09/15/12		09/15/2012	Various		2,755,000	2,755,000	3,008,471	2,778,530		(23,530)		(23,530)	2,755,000					157,035	09/15/2012
172967-BJ-9	Otigroup Inc 6.000% 02/21/12		02/21/2012	Maturity		1,000,000	1,000,000	1,086,160	1,001,700		(1,700)		(1,700)	1,000,000					30,000	02/21/2012
172967-BP-5	Otigroup Inc 5.625% 08/27/12		08/27/2012	Maturity		9,000,000	9,000,000	9,638,555	9,053,844		(53,844)		(53,844)	9,000,000					506,250	08/27/2012
173067-GR-4	CGONT_05-C3 05-C3 ASB 4.755% 05/15/43		12/01/2012	Paydown		1,082,437	1,082,437	1,087,838	1,082,121		315		315		1,082,437				27,702	05/15/2043
17307G-CK-2	CMLTI_03-1 03-1 WA2 6.500% 06/25/31		12/01/2012	Paydown		297,946	297,946	280,814	281,363		16,583		16,583		297,946				11,736	06/25/2031
173097-AC-0	CMLTI_09-12 09-12 2A1 5.500% 04/25/36		12/01/2012	Paydown		142,563	142,563	142,919	142,680		(118)		(118)		142,563				3,927	04/25/2036
173097-AE-6	CMLTI_09-12 09-12 3A1 5.500% 05/25/37		12/01/2012	Paydown		356,444	356,444	356,889	356,301		143		143		356,444				10,235	05/25/2037
173097-AG-1	CMLTI_09-12 09-12 4A1 5.500% 11/25/35		12/01/2012	Paydown		453,503	453,503	452,936	452,585		918		918		453,503				12,193	11/25/2035
17314Q-AA-5	CMLTI_09-1 09-11 1A1 3.003% 02/25/37		12/01/2012	Paydown		146,638	146,638	144,988	145,297		1,341		1,341		146,638				1,669	02/25/2037
17315A-AA-9	OTIGROUP MORTGAGE LOAN TRUST 09-2 1A1 5.138% 04/25/36		12/01/2012	Paydown		371,839	371,839	286,316	319,428		52,412		52,412		371,839				8,619	04/25/2036

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York

**SCHEDULE D - PART 4**

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/Decrease	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date
17315A-AE-1	CTIGROUP MORTGAGE LOAN TRUST 09-2 3A1		09/19/2012	CTIGROUP GLOBAL MARKETS INC		485,347	466,680	427,012	451,302		5,089		5,089		456,392		28,955	28,955	21,836	05/25/2036
17315A-AE-1	CTIGROUP MORTGAGE LOAN TRUST 09-2 3A1		09/01/2012	Paydown		520,575	520,575	476,326	503,422		17,153		17,153		520,575				12,484	05/25/2036
17315A-AG-6	5.500% 03/25/36		12/01/2012	Paydown		749,456	749,456	682,005	722,622		26,834		26,834		749,456				22,043	03/25/2036
17315C-AB-3	CMILT 09-3 09-3-1A2 1A2 5.250% 09/25/35		08/01/2012	Paydown		412,932	412,932	335,894	398,100		14,832		14,832		412,932				6,910	09/25/2035
17315C-AL-1	CMILT 09-3 09-3-4A2 4A2 2.508% 10/25/33		12/01/2012	Paydown		467,203	467,203	373,909	414,616		52,587		52,587		467,203				8,964	10/25/2033
17315G-AG-3	CMILT 09-5 09-5 4A1 6.000% 03/25/37		12/01/2012	Paydown		312,008	312,008	280,807	289,118		22,890		22,890		312,008				10,027	03/25/2037
201730-AD-0	CMAT 99-C1 99-C1 A4 6.975% 01/17/32		12/11/2012	Paydown		2,439,629	2,439,629	2,533,879	2,457,734		(18,105)		(18,105)		2,439,629				118,253	01/17/2032
20854P-AG-4	CONSOL Energy Inc 6.375% 03/01/21		03/07/2012	Tax Free Exchange		1,051,789	1,058,000	1,051,528	1,051,696		93		93		1,051,789				34,848	03/01/2021
210518-BV-7	CONSUMERS ENERGY COMPANY 5.375% 04/15/13		06/08/2012	Various		6,800,163	6,525,000	6,733,070	6,559,871		(11,575)		(11,575)		6,548,296		251,867	251,867	226,993	04/15/2013
22943Y-FD-6	CSMC 09-3R 09-3R 9A1 6.000% 10/27/36		12/01/2012	Paydown		330,578	330,578	301,239	310,662		19,916		19,916		330,578				9,615	10/27/2036
22944F-AW-9	CSMC 09-2R 09-2R 1A11 2.626% 09/26/34		12/01/2012	Paydown		725,509	725,509	610,335	647,716		77,793		77,793		725,509				10,709	09/26/2034
24713E-AA-5	DELPHI CORP 5.875% 05/15/19		05/14/2012	Tax Free Exchange		311,595	313,000	311,435	311,536		59		59		311,595				9,143	05/15/2019
25466K-FL-8	DCMT 05-4 05-4 A2 0.299% 06/16/15		12/17/2012	Paydown		2,000,000	2,000,000	1,650,000	1,901,970		98,030		98,030		2,000,000				6,797	06/16/2015
254683-AD-7	DCENT 07-A2 07-A2 A2 0.648% 06/15/15		12/15/2012	Paydown		1,335,000	1,335,000	1,126,406	1,276,686		58,314		58,314		1,335,000				11,042	06/15/2015
256882-AC-5	DOLPHIN SUBSIDIARY II INC 7.250% 10/15/21		10/23/2012	Tax Free Exchange		213,531	204,000	214,200	214,145		(614)		(614)		213,531				15,612	10/15/2021
257867-AM-3	RR Donnelley & Sons Co 4.950% 04/01/14		03/13/2012	Various		5,813,100	5,750,000	5,752,990	5,750,894		4		4		5,750,898		62,202	62,202	117,802	04/01/2014
260543-BR-3	Dow Chemical Co/The 6.000% 10/01/12		10/01/2012	Various		1,500,000	1,500,000	1,629,075	1,512,586		(12,586)		(12,586)		1,500,000				90,000	10/01/2012
264399-DW-3	DUKE ENERGY CAROLINAS LLC 6.250% 01/15/12		01/15/2012	Maturity		1,500,000	1,500,000	1,680,050	1,500,968		(968)		(968)		1,500,000				46,875	01/15/2012
30224X-AL-8	ESA 10-ESHA 10-ESH B 4.221% 11/05/27		12/01/2012	Paydown		6,500,000	6,500,000	6,499,974	6,499,355		645		645		6,500,000				274,339	11/05/2027
31331F-AE-1	FedEx Corp 7.150% 09/28/12		09/28/2012	Various		5,403	5,403	5,403	5,403						5,403				359	09/28/2012
31331F-AQ-4	FedEx Corp 7.850% 01/30/15		01/30/2012	Redemption 100.0000		117,833	117,833	115,937	117,459		374		374		117,833				4,625	01/30/2015
31953*-AL-6	Burlington Northern Santa Fe L 5.960% 10/15/27		11/15/2012	Redemption 100.0000		113,711	113,711	113,711	113,711						113,711				5,083	10/15/2027
31953*-AM-4	Burlington Northern Santa Fe L 5.960% 10/15/27		11/15/2012	Redemption 100.0000		11,428	11,428	11,428	11,428						11,428				511	10/15/2027
31953*-AN-2	Burlington Northern Santa Fe L 5.960% 10/15/27		11/15/2012	Redemption 100.0000		79,470	79,470	79,470	79,470						79,470				3,667	10/15/2027
31953*-AP-7	Burlington Northern Santa Fe L 5.960% 10/15/27		11/15/2012	Redemption 100.0000		30,160	30,160	30,160	30,160						30,160				1,366	10/15/2027
31953*-AQ-5	Burlington Northern Santa Fe L 5.960% 10/15/27		11/15/2012	Redemption 100.0000		38,848	38,848	38,848	38,848						38,848				1,737	10/15/2027
31953*-AR-3	Burlington Northern Santa Fe L 5.960% 12/13/27		11/15/2012	Redemption 100.0000		35,519	35,519	35,519	35,519						35,519				1,607	12/13/2027
32051G-QD-0	FHAMS 05-AA6 05-AA6 B1 2.552% 08/25/35		11/26/2012	COMPANY TRADE		2,301	344,169	2,301	1,586		1,060		(1,060)		2,301		(345)	(345)	60	08/25/2035
32051G-QD-0	FHAMS 05-AA6 05-AA6 B1 2.552% 08/25/35		11/26/2012	Capital Distribution		918		918							918					08/25/2035
346091-BE-0	Forest Oil Corp 8.500% 02/15/14		10/19/2012	Call 110.2363		523,623	475,000	458,161	465,432		3,392		3,392		468,824		54,799	54,799	47,553	02/15/2014
36190D-AA-3	GSMS 09-2R 09-2R 1A1 2.468% 02/26/36		12/01/2012	Paydown		562,699	562,699	488,141	515,789		46,910		46,910		562,699				7,150	02/26/2036
36228C-TG-8	GSMS 2004-GG2 2004-GG2 A5 5.279% 08/10/38		12/01/2012	Paydown		1,452,329	1,452,329	1,459,580	1,452,699		(370)		(370)		1,452,329				50,263	08/10/2038
36228C-TG-8	GSMS 05-GG4 05-GG4 A-ABA 4.680% 07/10/39		12/01/2012	Paydown		930,446	930,446	939,719	931,429		(983)		(983)		930,446				23,418	07/10/2039
362290-AC-2	GSR 07-AR1 07-AR1 2A1 2.856% 03/25/47		12/25/2012	COMPANY TRADE		724,737		475,503	475,503						475,503				117,586	03/25/2047
362341-FR-5	GSR 05-AR4 05-AR4 6A1 5.250% 07/25/35		12/01/2012	Paydown		1,183,550	1,183,550	1,100,702	1,103,276		80,274		80,274		1,183,550				31,237	07/25/2035
36242D-CH-4	GSR 04-BF 04-BF 2A3 6.000% 09/25/34		12/01/2012	Paydown		222,868	222,868	220,819	220,661		2,207		2,207		222,868				8,349	09/25/2034
362525-AA-5	GS MORTGAGE SECURITIES CORPORA 09-1R 1A1 5.221% 11/25/35		12/01/2012	Paydown		782,424	782,424	672,486	716,299		66,125		66,125		782,424				22,961	11/25/2035
368280-LX-2	GECMC 05-C1 05-C1 AAB 4.599% 06/10/48		12/01/2012	Paydown		1,534,004	1,534,004	1,526,154	1,530,936		3,068		3,068		1,534,004				40,892	06/10/2048
368280-NT-9	GECMC 05-C3 05-C3 A4 5.046% 07/10/45		12/01/2012	Paydown		1,788,353	1,788,353	1,636,920	1,784,159		4,193		4,193		1,788,353				64,799	07/10/2045
368280-PV-2	GECMC 05-C3 05-C3 AAB 4.940% 07/10/45		12/01/2012	Paydown		1,079,971	1,079,971	1,085,318	1,080,400		(429)		(429)		1,079,971				28,239	07/10/2045
370334-AS-3	General Mills Inc 6.000% 02/15/12		02/15/2012	Maturity		1,286,000	1,286,000	1,281,730	1,285,931		69		69		1,286,000				38,580	02/15/2012
381317-AQ-9	GOLDEN WEST FINANCIAL CORP 4.750% 10/01/12		10/01/2012	Maturity		425,000	425,000	420,185	424,513		487		487		425,000				20,188	10/01/2012
41161P-RQ-8	HVILT 05-B 05-B 2A2A 1.666% 09/19/35		12/19/2012	COMPANY TRADE		17,921	18,772	17,932	17,908		14		14		17,924				3,492	09/19/2035

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York

**SCHEDULE D - PART 4**

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Con- sideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date
41161P-RQ-8	HVMLT_05-8 05-8 2A2A 1.666% 09/19/35		06/01/2012	Paydown		20,977	20,977	21,186	21,160			(183)	(183)		20,977				100	09/19/2035
41161P-RQ-8	HVMLT_05-8 05-8 2A2A 1.666% 09/19/35		12/19/2012	Capital Distribution		973		973	973						973					09/19/2035
41161P-SK-0	HVMLT_05-9 05-9 2A2A 0.551% 06/20/35		12/20/2012	Paydown		19,489	19,489	19,489	19,489						19,489				67	06/20/2035
42307T-AF-5	HEINZ H.J. FINANCE CO VAR 6.000% 03/15/12		03/15/2012	Various		2,000,000	2,000,000	2,299,660	2,008,208		(8,208)		(8,208)		2,000,000				60,000	03/15/2012
428040-BZ-1	HERTZ CORPORATION - THE 8.875% 01/01/14		03/15/2012	Various		52,000	52,000	54,860	52,000						52,000				3,256	01/01/2014
446413-AC-0	Huntington Ingalls Industries 7.125% 03/15/21		02/10/2012	Tax Free Exchange		180,598	175,000	181,125	180,665		(67)		(67)		180,598				5,022	03/15/2021
46625H-AN-0	JPMORGAN CHASE & CO 6.625% 03/15/12		03/15/2012	Maturity		1,400,000	1,400,000	1,404,942	1,400,142		(142)		(142)		1,400,000				46,375	03/15/2012
46625M-WW-5	JPMCMSC_03-CBC6 4.393% 07/12/37		09/01/2012	Paydown		1,605,875	1,605,875	1,613,881	1,604,741		1,134		1,134		1,605,875				36,296	07/12/2037
46625M-WX-3	JPMCMSC_03-CBC6 2003CB A2 5.255% 07/12/37		12/01/2012	Paydown		325,646	325,646	325,888	325,244		402		402		325,646				16,671	07/12/2037
46625Y-GL-1	JPMCC_05-LDP1 05-LDP1 A2 4.625% 03/15/46		12/01/2012	Paydown		204,134	204,134	196,295	200,048		4,085		4,085		204,134				5,087	03/15/2046
46625Y-QS-5	JPMCMSC_05-LDP4 05-LDP4 ASB 4.824% 09/12/37		12/01/2012	Paydown		993,585	993,585	998,495	993,746		(161)		(161)		993,585				26,096	09/12/2037
46625Y-UB-7	JPMCMSC_05-LDP4 05-LDP4 ASB 4.824% 10/15/42		12/01/2012	Paydown		1,092,044	1,092,044	1,097,503	1,091,802		242		242		1,092,044				28,700	10/15/2042
46630V-AE-2	JPMCC-07-CB19 07-CB19 ASB 5.711% 02/12/49		12/01/2012	Paydown		446,958	446,958	479,083	469,512		(22,554)		(22,554)		446,958				16,418	02/12/2049
46633H-AC-4	JPMRR_09-3 09-3 2A1 5.500% 02/26/36		12/01/2012	Paydown		502,533	502,533	452,048	479,390		23,143		23,143		502,533				14,068	02/26/2036
47232C-AC-8	JMAC_09-R1 09-R2 2A1 5.750% 04/26/36		12/01/2012	Paydown		736,154	736,154	621,130	696,569		39,585		39,585		736,154				22,147	04/26/2036
47232C-AE-4	JMAC_09-R1 09-R2 3A1 5.371% 12/26/36		12/01/2012	Paydown		230,238	230,238	190,540	201,988		28,249		28,249		230,238				6,873	12/26/2036
47232C-AG-9	JMAC_09-R2 09-R2 4A1 5.466% 06/21/37		12/01/2012	Paydown		705,207	705,207	584,904	623,305		81,902		81,902		705,207				20,338	06/21/2037
47232C-AJ-3	JMAC_09-R1 09-R1 5A1 4.966% 05/21/36		12/01/2012	Paydown		331,965	331,965	331,965	305,014		26,952		26,952		331,965				7,324	05/21/2036
48666K-AH-2	KB Home 5.750% 02/01/14		02/16/2012	Various		2,009,000	2,050,000	2,038,411	2,046,858		177		177		2,047,036		(38,036)	(38,036)	63,849	02/01/2014
49228R-AE-3	KERN RIVER FUNDING CORP 4.893% 04/30/18		12/31/2012	Various		190,500	190,500	190,522	190,506		(6)		(6)		190,500				5,049	04/30/2018
50075N-AR-5	Mondelez International Inc 7.000% 08/11/37		07/18/2012	Tax Free Exchange		2,257,582	2,000,000	2,269,560	2,259,991		(2,409)		(2,409)		2,257,582				192,111	08/11/2037
50075N-AU-8	Mondelez International Inc 6.125% 02/01/18		07/18/2012	Tax Free Exchange		5,343,256	5,252,000	5,382,512	5,350,809		(7,554)		(7,554)		5,343,256				459,295	02/01/2018
50075N-AZ-7	Mondelez International Inc 6.500% 02/09/40		07/18/2012	Tax Free Exchange		1,584,766	1,500,000	1,587,240	1,585,415		(649)		(649)		1,584,766				134,875	02/09/2040
52108H-4U-5	LBUBSCMT_05-C3 05-C3 AAB 4.664% 07/15/30		12/11/2012	Paydown		823,125	823,125	827,234	823,076		49		49		823,125				26,978	07/15/2030
52108H-E3-4	LBUBSCMT_04-C4 04-C4 A3 5.383% 06/15/29		12/11/2012	Paydown		869,016	869,016	871,867	869,836		(821)		(821)		869,016				33,919	06/15/2029
52108H-LH-5	A4 4.563% 09/15/26		01/11/2012	Paydown		1,653,952	1,653,952	1,655,438	1,653,155		796		796		1,653,952				6,289	09/15/2026
52108H-RB-2	LBUBSCMT_04-C4 04-C4 A3 5.383% 06/15/29		10/11/2012	Paydown		3,218,503	3,218,503	3,198,010	3,215,468		3,035		3,035		3,218,503				82,953	05/15/2027
532776-AJ-0	LIN TELEVISION CORP 6.500% 05/15/13		01/20/2012	Various		347,000	347,000	345,931	346,790		8		8		346,797				4,072	05/15/2013
59001A-AK-8	Meritage Homes Corp 6.250% 03/15/15		04/10/2012	Various		200,600	200,000	182,075	192,335		586		586		192,921		7,679	7,679	7,118	03/15/2015
59020U-LR-4	MLM_04-A4 04-A4 A2 2.578% 08/25/34		12/01/2012	Paydown		733,354	733,354	661,852	664,163		69,191		69,191		733,354				9,981	08/25/2034
59022H-HH-8	MERRILL LYNCH MORTGAGE TRUST M 05-MCP1 ASB 4.674% 06/12/43		12/01/2012	Paydown		1,089,781	1,089,781	1,095,730	1,089,874		(93)		(93)		1,089,781				29,737	06/12/2043
61745M-YB-4	MSC_04-HQ3 04-HQ3 A4 4.800% 01/13/41		12/01/2012	Paydown		271,219	271,219	233,142	256,858		14,360		14,360		271,219				6,830	01/13/2041
629377-AX-0	NRG Energy Inc 7.375% 01/15/17		09/24/2012	COMPANY TRADE		1,516,875	1,500,000	1,530,000	1,528,330		(6,293)		(6,293)		1,522,037		(5,162)	(5,162)	131,828	01/15/2017
629855-AQ-0	NALCO COMPANY 6.625% 01/15/19		01/10/2012	Call	113,1232	627,834	555,000	569,500	567,637		(50)		(50)		567,587		60,247	60,247	17,869	01/15/2019
651290-AH-1	Newfield Exploration Co 6.625% 09/01/14		04/30/2012	Various		353,865	350,000	354,721	350,519		(255)		(255)		350,263		3,601	3,601	15,394	09/01/2014
65332V-BJ-1	NEXTEL COMMUNICATIONS INC 5.950% 03/15/14		11/19/2012	Various		7,270,000	7,270,000	7,270,000	7,270,000						7,270,000				509,465	03/15/2014
68233D-AS-6	ONCOR ELECTRIC DELIVERY CO 6.375% 05/01/12		05/01/2012	Maturity		2,130,000	2,130,000	2,367,645	2,142,333		(12,333)		(12,333)		2,130,000				67,894	05/01/2012
704549-AJ-3	Peabody Energy Corp 6.000% 11/15/18		10/30/2012	Tax Free Exchange		210,067	211,000	209,945	209,957		110		110		210,067				12,133	11/15/2018
704549-AL-8	Peabody Energy Corp 6.250% 11/15/21		10/30/2012	Tax Free Exchange		801,169	800,000	801,250	801,244		(75)		(75)		801,169				47,917	11/15/2021
74153W-AS-8	PRICOA GLOBAL FUNDING I 4.625% 06/25/12		06/25/2012	Various		4,000,000	4,000,000	3,992,640	3,999,414		586		586		4,000,000				92,500	06/25/2012
74160M-DK-5	PRIME_04-CL1 04-CL1 IA1 6.000% 02/25/34		12/01/2012	Paydown		147,156	147,156	135,384	135,754		11,402		11,402		147,156				4,496	02/25/2034
74444B-BU-4	PUB SERV CO OF COLORADO 7.875% 10/01/12		10/01/2012	Maturity		1,500,000	1,500,000	1,870,710	1,536,214		(36,214)		(36,214)		1,500,000				118,125	10/01/2012
74928G-AM-0	RBSSP_09-13 09-13 4A1 5.500% 10/26/35		12/01/2012	Paydown		460,370	460,370	463,248	461,191		(821)		(821)		460,370				13,403	10/26/2035
74928W-AA-1	RBSSP RESECURITIZATION TRUST R 09-5 1A1 2.617% 06/26/36		12/01/2012	Paydown		312,010	312,010	283,149	293,718		18,292		18,292		312,010				7,921	06/26/2036
74959G-AA-8	REYNOLDS GROUP ISSUER INC / RE 7.125% 04/15/19		07/26/2012	Tax Free Exchange		1,650,809	1,605,000	1,666,413	1,656,121		(5,312)		(5,312)		1,650,809				92,405	04/15/2019

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York

**SCHEDULE D - PART 4**

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	
75524M-AJ-9	RBSSP 09-7 09-7 3A1 6.000% 03/26/36		12/01/2012	Paydown		361,800	361,800	346,424	351,023		10,777		10,777		361,800				12,098	03/26/2036	
75913M-AA-7	REGIONS BANK 6.450% 06/26/37		05/11/2012	Various		11,104,200	11,160,000	11,216,231	11,213,426		(402)		(402)		11,213,024		(108,824)	(108,824)	250,869	06/26/2037	
78387G-AK-9	SBC TELECOMMUNICATIONS INC 5.875% 08/15/12		03/30/2012	Call 102.0390		2,908,112	2,850,000	3,079,775	2,868,541		(7,315)		(7,315)		2,861,226		46,886	46,886	104,648	08/15/2012	
78401F-AC-1	SBA TELECOMMUNICATIONS INC 8.000% 08/15/16		08/29/2012	Call 106.7012		1,296,420	1,215,000	1,230,862	1,226,973		(1,863)		(1,863)		1,225,110		71,310	71,310	88,105	08/15/2016	
805901-AQ-8	SCANA Corp 6.250% 02/01/12		02/01/2012	Maturity Redemption 100.0000		3,000,000	3,000,000	2,999,816	2,999,998		2		2		3,000,000				93,750	02/01/2012	
83367F-AB-5	COMMONWEALTH EDISON 7.340% 01/29/13		01/29/2012			188,766	188,766	188,766	188,766						188,766				6,928	01/29/2013	
83546D-AA-6	SONIC 11-1A 11-1A A2 5.438% 05/20/41		12/20/2012	Paydown		60,000	60,000	60,000	60,000						60,000				1,767	05/20/2041	
83612J-AB-0	SVHE_06-EQ1 06-EQ1 A2 0.327% 10/25/36		10/25/2012	Paydown		1,093,804	1,093,804	1,003,565	1,084,748		9,057		9,057		1,093,804				1,708	10/25/2036	
842434-CA-8	SOUTHERN CA GAS CO 4.800% 10/01/12		10/01/2012	Maturity		3,000,000	3,000,000	3,047,610	3,004,541		(4,541)		(4,541)		3,000,000				144,000	10/01/2012	
863579-US-5	SARM_05-15 05-15 3A1 2.910% 07/25/35		12/01/2012	Paydown		608,135	608,135	547,322	550,005		58,130		58,130		608,135				16,285	07/25/2035	
86359B-UP-4	SASC_04-12H 04-12H 1A 6.000% 05/25/34		12/01/2012	Paydown		90,100	90,100	75,008	75,496		14,603		14,603		90,100				2,613	05/25/2034	
893570-BT-7	TRANS CONTINENTAL GAS PIPELINE 8.875% 07/15/12		07/15/2012	Various		5,000,000	5,000,000	5,099,330	5,017,978		(17,978)		(17,978)		5,000,000				443,750	07/15/2012	
90781F-AH-5	UNION PACIFIC RAILROAD COMPANY 4.090% 08/27/17		08/27/2012	Redemption 100.0000		290,428	290,428	290,428	290,428						290,428				9,319	08/27/2017	
90783X-AA-9	UNION PACIFIC RAILROAD CO 2007 6.176% 01/02/31		07/02/2012	Redemption 100.0000		351,714	351,714	351,714	351,714						351,714				15,511	01/02/2031	
912909-AF-5	United States Steel Corp 7.375% 04/01/20		01/26/2012	MORGAN (J.P.) SECURITIES		350,750	350,000	349,563	349,636		3		3		349,639		9,111	9,111	8,604	04/01/2020	
92345N-AA-8	VERIZON VIRGINIA 4.625% 03/15/13		12/10/2012	Call 101.1290		3,033,870	3,000,000	2,994,990	2,999,269		568		568		2,999,837		34,033	34,033	171,510	03/15/2013	
92922F-4M-7	WAMU_05-AR13 05-AR13 A1A 0.500% 10/25/45		12/25/2012	Paydown		447,381	447,381	373,563	373,084		74,297		74,297		447,381				1,362	10/25/2045	
92922F-UR-7	WASHINGTON MUTUAL WAMU_04-S3 2004S3 1A2 5.000% 07/25/34		04/01/2012	Paydown		578,840	578,840	584,809	578,268		571		571		578,840				7,017	07/25/2034	
939336-X6-5	WASHINGTON MUTUAL WAMU_05-AR1 05-AR1 A2A1 0.550% 01/25/45		12/25/2012	Paydown		32,817	32,817	32,817	32,817						32,817				108	01/25/2045	
949781-AA-6	WFMS 05-AR1 AR1B 1A1 2.613% 02/25/35		12/01/2012	Paydown		54,339	54,339	46,324	46,633		7,706		7,706		54,339				798	02/25/2035	
949832-AP-4	WFMS 05-14 05-14 2A1 5.500% 12/25/35		12/01/2012	Paydown		252,001	252,001	200,656	202,478		49,524		49,524		252,001				7,487	12/25/2035	
94983F-AC-4	WFMS 06-1 06-1 A3 5.000% 03/25/21		12/01/2012	Paydown		49,822	49,822	44,031	44,728		5,094		5,094		49,822				1,111	03/25/2021	
98385X-AK-2	XTO Energy Inc 5.900% 08/01/12		08/01/2012	Maturity		1,490,000	1,490,000	1,454,732	1,483,720		6,280		6,280		1,490,000				87,910	08/01/2012	
988498-AA-9	Yum! Brands Inc 7.700% 07/01/12		07/01/2012	Maturity		2,250,000	2,250,000	2,492,813	2,272,403		(22,403)		(22,403)		2,250,000				173,250	07/01/2012	
13645R-AL-8	CANADIAN PACIFIC RAILWAY COMPA 3.880% 10/18/26		10/18/2012	Redemption 100.0000		25,124	25,124	25,124	25,124						25,124				733	10/18/2026	
66977M-AF-6	NOVA Chemicals Corp 6.500% 01/15/12		01/15/2012	Various		1,400,000	1,400,000	1,420,000	1,400,121		(121)		(121)		1,400,000				45,500	01/15/2012	
781903-AG-4	RUSSEL METALS 6.375% 03/01/14		05/25/2012	Various		1,150,000	1,150,000	1,090,250	1,132,950		2,961		2,961		1,135,911		14,089	14,089	53,763	03/01/2014	
92658T-AG-3	VIDEOTRON - LE GRPE LTD 6.875% 01/15/14		03/30/2012	Various		424,000	424,000	433,540	424,058		(58)		(58)		424,000				20,648	01/15/2014	
05567L-3Q-8	BNP Paribas SA 3.059% 12/20/14		01/07/2012	Various		(2,457)	(2,460)	(2,457)	(2,457)		(175)		(175)		(2,457)					12/20/2014	
404280-AB-5	HSBC Holdings PLC 5.250% 12/12/12		12/12/2012	Maturity		1,500,000	1,500,000	1,567,345	1,508,433		(8,433)		(8,433)		1,500,000				78,750	12/12/2012	
48121C-C*-5	ELSEVIER FINANCE SA 4.750% 02/01/12		02/01/2012	Maturity		5,000,000	5,000,000	5,000,000	5,000,000						5,000,000				118,750	02/01/2012	
552081-AC-5	LyondellBasell Industries NV 6.000% 11/15/21		07/19/2012	Tax Free Exchange		776,468	760,000	777,263	777,170		(702)		(702)		776,468				31,033	11/15/2021	
96008Y-AB-1	Westfield Group 5.125% 11/15/14		10/23/2012	Various		5,397,450	5,000,000	4,992,100	4,997,358		707		707		4,998,065		399,385	399,385	240,590	11/15/2014	
69158*-AB-0	UTI Worldwide Inc 8.060% 08/09/14		08/09/2012	Redemption 100.0000		500,000	500,000	500,000	500,000						500,000				30,225	08/09/2014	
3899999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					203,346,035	203,107,965	203,878,729	201,054,007	9,880	1,379,085	6,491	1,382,474		202,434,549		906,270	906,270	8,420,928	XXX	
4899999	Subtotal - Bonds - Hybrid Securities																				XXX
5599999	Subtotal - Bonds - Parent, Subsidiaries and Affiliates																				XXX
8399997	Total - Bonds - Part 4					273,197,022	272,958,952	274,941,756	271,495,512	9,880	788,570	6,491	791,959		272,285,536		906,270	906,270	10,715,686	XXX	
8399998	Total - Bonds - Part 5					11,211,342	11,165,517	11,176,134			35,041		35,041		11,211,171		171	171	151,397	XXX	
8399999	Total - Bonds					284,408,364	284,124,469	286,117,890	271,495,512	9,880	823,611	6,491	827,000		283,496,707		906,441	906,441	10,867,083	XXX	
8499999	Subtotal - Preferred Stocks - Industrial and Miscellaneous (Unaffiliated)							XXX													XXX

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York

**SCHEDULE D - PART 4**

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1 CUSIP Identi- fication	2 Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Con- sideration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Con- tractual Maturity Date
										11 Unrealized Valuation Increase/ Decrease	12 Current Year's (Amor- tization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recognized	14 Total Change in Book/ Adjusted Carrying Value (11+12-13)	15 Total Foreign Exchange Change in Book/ Adjusted Carrying Value						
8599999	Subtotal - Preferred Stocks - Parent, Subsidiaries and Affiliates						XXX												XXX	
8999997	Total - Preferred Stocks - Part 4						XXX												XXX	
8999998	Total - Preferred Stocks - Part 5						XXX												XXX	
8999999	Total - Preferred Stocks						XXX												XXX	
9099999	Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated)						XXX												XXX	
9199999	Subtotal - Common Stocks - Parent, Subsidiaries and Affiliates						XXX												XXX	
9299999	Subtotal - Common Stocks - Mutual Funds						XXX												XXX	
9399999	Subtotal - Common Stocks - Money Market Mutual Funds						XXX												XXX	
9799997	Total - Common Stocks - Part 4						XXX												XXX	
9799998	Total - Common Stocks - Part 5						XXX												XXX	
9799999	Total - Common Stocks						XXX												XXX	
9899999	Total - Preferred and Common Stocks						XXX												XXX	
9999999	Totals					284,408,364	XXX	286,117,890	271,495,512	9,880	823,611	6,491	827,000	283,496,707		906,441	906,441	10,867,083	XXX	

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York

**SCHEDULE D - PART 5**

Showing All Long-Term Bonds and Stocks ACQUIRED During Year and Fully DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	11	Change in Book/Adjusted Carrying Value					17	18	19	20	21
											12	13	14	15	16					
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Disposal Date	Name of Purchaser	Par Value (Bonds) or Number of Shares (Stock)	Actual Cost	Consideration	Book/ Adjusted Carrying Value at Disposal	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Valu (12 + 13 - 14)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Interest and Dividends Received During Year	Paid for Accrued Interest and Dividends
0599999	Subtotal - Bonds - U.S. Governments																			
1099999	Subtotal - Bonds - All Other Governments																			
1799999	Subtotal - Bonds - U.S. States, Territories and Possessions																			
2499999	Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions																			
31292L-XG-5	FREDDIE MAC GOLD C04279 3.000% 10/01/42		10/26/2012	CITIGROUP GLOBAL MARKETS INC	12/01/2012	Paydown	16,691	17,481	16,691	16,691		(790)		(790)					42	18
3132GR-DK-4	FREDDIE MAC GOLD 006106 3.500% 02/01/42		05/16/2012	ROYAL BANK OF SCOTTLAND	12/01/2012	Paydown	751,877	785,242	751,877	751,877		(33,365)		(33,365)					7,776	877
3132GV-HY-5	FREDDIE MAC GOLD 009975 3.000% 07/01/42		09/04/2012	BARCLAYS BANK PLC	12/01/2012	Paydown	180,688	187,182	180,688	180,688		(6,493)		(6,493)					1,146	181
3199999	Subtotal - Bonds - U.S. Special Revenues																			
00206R-BJ-0	SBC COMMUNICATIONS INC 4.350% 06/15/45		12/17/2012	Tax Free Exchange	12/17/2012	COMPANY TRADE	895	724	895	724							171	171		
00508X-AE-4	Actuant Corp 5.625% 06/15/22		04/23/2012	Various	09/10/2012	Tax Free Exchange	779,000	797,278	796,498	796,498		(779)		(779)					17,528	156
151608-AB-2	CPF 12-2 12-2 A 2.610% 08/20/21		11/20/2012	DEUTSCHE BANK	12/20/2012	Paydown	48,360	48,353	48,360	48,360		7		7					105	
212015-AG-6	CONTINENTAL RESOURCES INC 5.000% 09/15/22		05/18/2012	Various	08/16/2012	Tax Free Exchange	383,000	382,189	382,227	382,227		39		39					8,405	2,623
25470X-AG-0	DISH DBS CORP 5.875% 07/15/22		05/07/2012	DEUTSCHE BANK	10/16/2012	Tax Free Exchange	150,000	150,000	150,000	150,000									3,672	
36242D-CH-4	GSR_04-8F 04-8F 2A3 6.000% 09/25/34		10/01/2012	Interest Capitalization	12/01/2012	Paydown	3,105	3,105	3,105	3,105									79	
46616V-AA-8	HENDR 12-1A 12-1A A 4.210% 02/16/65		03/13/2012	BARCLAYS BANK PLC	12/15/2012	Paydown	86,132	86,113	86,132	86,132		19		19					1,705	
704549-AJ-3	Peabody Energy Corp 6.000% 11/15/18		01/26/2012	MORGAN STANLEY & CO.	10/30/2012	Tax Free Exchange	175,000	183,313	182,546	182,546		(766)		(766)					10,063	2,217
704549-AL-8	Peabody Energy Corp 6.250% 11/15/21		01/23/2012	WELLS FARGO BANK	10/30/2012	Tax Free Exchange	175,000	183,313	182,833	182,833		(480)		(480)					10,482	2,157
803111-H*-7	Hilshire Brands Co 3.600% 05/15/19		05/11/2012	PRIVATE	07/02/2012	Tax Free Exchange	5,000,000	5,000,000	5,000,000	5,000,000									45,000	
827048-AN-9	Silgan Holdings Inc 5.000% 04/01/20		03/09/2012	BANK OF AMERICA	08/09/2012	Tax Free Exchange	723,000	723,000	723,000	723,000									13,657	
88576P-AC-7	HENDR 04-A 04-A A1 0.559% 09/15/45		03/07/2012	MORGAN STANLEY & CO.	12/15/2012	Paydown	864,737	782,587	864,737	864,737		82,150		82,150					2,533	374
89678D-AA-3	TCF 12-1A 12-1A A 4.210% 05/14/27		05/16/2012	WELLS FARGO BANK	12/14/2012	Paydown	175,000	174,978	175,000	175,000		22		22					2,292	
92658T-AP-3	VIDEOTRON - LE GRPE LTD 5.000% 07/15/22	A	04/23/2012	Various	07/23/2012	Tax Free Exchange	722,000	726,744	726,568	726,568		(176)		(176)					12,936	700
126576-AA-7	CALITUM HTCE I CV 4.130% 04/01/27	F	03/28/2012	PRIVATE	10/01/2012	Redemption	100,000	24,699	24,699	24,699									392	
552081-AH-4	LyondellBasell Industries NV 5.750% 04/15/24	F	05/31/2012	Various	07/19/2012	Tax Free Exchange	823,000	832,216	832,153	832,153		(63)		(63)					13,145	3,298
883145-AN-4	TMCL 12-1A 12-1A A 4.210% 04/15/27	F	10/17/2012	BARCLAYS BANK PLC	12/15/2012	Paydown	83,333	87,617	83,333	83,333		(4,284)		(4,284)					439	68
3899999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)																			
4899999	Subtotal - Bonds - Hybrid Securities																			
5599999	Subtotal - Bonds - Parent, Subsidiaries and Affiliates																			
8399998	Total - Bonds																			
8499999	Subtotal - Preferred Stocks - Industrial and Miscellaneous (Unaffiliated)																			
8599999	Subtotal - Preferred Stocks - Parent, Subsidiaries and Affiliates																			
8999998	Total - Preferred Stocks																			
9099999	Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated)																			
9199999	Subtotal - Common Stocks - Parent, Subsidiaries and Affiliates																			

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ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York

**SCHEDULE D - PART 5**

Showing All Long-Term Bonds and Stocks ACQUIRED During Year and Fully DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	11	Change in Book/Adjusted Carrying Value					17	18	19	20	21								
											12	13	14	15	16													
CUSIP Identi- fication	Description	For- eign	Date Acquired	Name of Vendor	Disposal Date	Name of Purchaser	Par Value (Bonds) or Number of Shares (Stock)	Actual Cost	Consid- eration	Book/ Adjusted Carrying Value at Disposal	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amort- ization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Valu (12 + 13 - 14)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Interest and Dividends Received During Year	Paid for Accrued Interest and Dividends								
9299999. Subtotal - Common Stocks - Mutual Funds																												
9399999. Subtotal - Common Stocks - Money Market Mutual Funds																												
9799998. Total - Common Stocks																												
9899999. Total - Preferred and Common Stocks																												
9999999 - Totals											11,176,134	11,211,342	11,211,171			35,041		35,041				171		171	151,397	12,669		

Schedule D-Part 6-Section 1-Valuation of Shares of Subsidiary, Controlled or Affiliated Companies

**N O N E**

Schedule D - Part 6 - Section 2

**N O N E**

Schedule DA - Part 1 - Short-Term Investments Owned

**N O N E**

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York

**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of December 31 of Current Year

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	
Description	Description of Items Hedged or Used for Income Generation	Schedule/ Exhibit Identifier	Type(s) of Risk(s)	Exchange or Counterparty	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Prior Year Initial Cost of Premium (Received) Paid	Current Year Initial Cost of Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Year-end (a)	
<b>0079999. Subtotal - Purchased Options - Hedging Effective</b>																							
Call option on SPTR	Variable annuities	Exhibit 5	Equity/Index...	Credit Suisse International	10/17/2011	10/18/2021	13,000	26,670,072	5269		202,739		659,407	XXX	659,407	(354,821)						XXX	XXX
Call option on SPTR	Variable annuities	Exhibit 5	Equity/Index...	Goldman Sachs International	11/29/2007	11/30/2017	8,000	18,404,000	8410.9	505,006	126,251		(590,776)		(590,776)	7,752							00001
Call option on SPX	Variable annuities	Exhibit 5	Equity/Index...	Goldman Sachs International	06/19/2008	06/19/2023	10,807	14,450,904	3613.78	636,475	181,850		(1,443,842)		(1,443,842)	12,916							00001
Call option on SPX	Variable annuities	Exhibit 5	Equity/Index...	Goldman Sachs International	06/24/2008	06/29/2018	1,469	1,943,737	2590	102,984	29,424		(133,741)		(133,741)	(7,796)							00001
Call option on SPX	Variable annuities	Exhibit 5	Equity/Index...	International	06/24/2008	06/30/2023	2,353	3,113,419	3410	145,565	41,590		(319,453)		(319,453)	(337)							00001
Call option on SPX	Variable annuities	Exhibit 5	Equity/Index...	HSC Bank U.S.A., NA	09/21/2012	09/16/2022	51,700	75,489,755	2800				(866,538)		(866,538)	(866,538)							00001
Call option on SPX	Variable annuities	Exhibit 5	Equity/Index...	HSC Bank U.S.A., NA	10/03/2012	10/21/2022	67,400	97,796,726	3200				(301,837)		(301,837)	(301,837)							00001
Call option on NDDUEAFE	Variable annuities	Exhibit 5	Equity/Index...	J.P. Morgan Chase Bank, N.A.	10/30/2008	10/30/2015	5,750	15,894,000	7700	1,039,800	346,600		(873,492)		(873,492)	88,298							00001
Call option on SPX	Variable annuities	Exhibit 5	Equity/Index...	Wells Fargo Bank, NA	11/03/2011	12/19/2014	40,000	50,446,000	1650	2,063,600			1,850,857		1,850,857	(107,482)							00001
Call option on SPX	Variable annuities	Exhibit 5	Equity/Index...	Wells Fargo Bank, NA	11/09/2012	12/20/2013	44,500	61,403,325	1350		5,106,375		5,989,700		5,989,700	883,325							00001
Call option on SPX	Indexed universal life	Exhibit 5	Equity/Index...	HSC Bank U.S.A., NA	07/23/2012	07/19/2013	1	135,052	1363		8,765		9,580		9,580	815							00002
Call option on SPX	Indexed universal life	Exhibit 5	Equity/Index...	HSC Bank U.S.A., NA	11/21/2012	11/20/2013	1	139,103	1388		8,455		9,913		9,913	1,458							00002
Call option on SPX	Indexed universal life	Exhibit 5	Equity/Index...	HSC Bank U.S.A., NA	12/21/2012	12/20/2013	4	572,060	1436		35,440		33,664		33,664	(1,776)							00002
Call option on SPX	Indexed universal life	Exhibit 5	Equity/Index...	Wells Fargo Bank, NA	08/21/2012	08/16/2013	1	141,317	1418		10,169		7,257		7,257	(2,912)							00002
Call option on SPX	Indexed universal life	Exhibit 5	Equity/Index...	Wells Fargo Bank, NA	09/21/2012	09/17/2013	5	730,075	1460		48,700		29,599		29,599	(19,101)							00002
Call option on SPX	Indexed universal life	Exhibit 5	Equity/Index...	Wells Fargo Bank, NA	10/23/2012	10/21/2013	3	423,933	1434		24,684		22,918		22,918	(1,766)							00002
<b>0089999. Subtotal - Purchased Options - Hedging Other - Call Options and Warrants</b>																							
Put option on SPTR	Variable annuities	Exhibit 5	Equity/Index...	Barclay's Bank PLC	04/08/2010	04/07/2017	41,000	80,594,561	1550	4,493,430	6,171,042		4,083,216	XXX	4,083,216	(669,802)						XXX	XXX
Put option on SPTR	Variable annuities	Exhibit 5	Equity/Index...	Barclay's Bank PLC	05/04/2010	05/04/2020	19,000	36,962,068	1575	2,014,514	1,343,010		(492,996)		(492,996)	(5,695,863)							00001
Put option on SPTR	Variable annuities	Exhibit 5	Equity/Index...	Barclay's Bank PLC	05/04/2010	05/04/2015	21,000	40,852,812	1675	1,615,581	1,077,054		(994,205)		(994,205)	(3,137,886)							00001
Put option on SPTR	Variable annuities	Exhibit 5	Equity/Index...	Barclay's Bank PLC	06/28/2010	06/28/2017	17,000	30,394,980	1791	1,693,236	1,128,824		(1,597,062)		(1,597,062)	(2,827,646)							00001
Put option on SPTR	Variable annuities	Exhibit 5	Equity/Index...	Barclay's Bank PLC	07/14/2010	07/15/2019	13,000	23,709,712	2280	1,090,371	1,090,371		(1,775,627)		(1,775,627)	(2,549,905)							00001
Put option on SPTR	Variable annuities	Exhibit 5	Equity/Index...	Barclay's Bank PLC	07/16/2010	07/15/2016	20,000	35,468,500	1461	1,192,367	1,192,367		(2,885,629)		(2,885,629)	(2,548,633)							00001
Put option on SPTR	Variable annuities	Exhibit 5	Equity/Index...	Barclay's Bank PLC	07/16/2010	07/15/2019	20,000	35,468,500	1461	938,656	938,656		(2,892,433)		(2,892,433)	(2,714,413)							00001
Put option on SPX	Variable annuities	Exhibit 5	Equity/Index...	Barclay's Bank PLC	05/22/2012	06/19/2015	85,400	112,440,202	900		8,309,420		3,895,075		3,895,075	(4,414,345)							00001
Put option on SPTR	Variable annuities	Exhibit 5	Equity/Index...	Goldman Sachs International	11/29/2007	11/30/2017	17,000	39,108,500	1268	1,048,108	262,027		390,228		390,228	(1,783,257)							00001
Put option on SPTR	Variable annuities	Exhibit 5	Equity/Index...	Goldman Sachs International	11/29/2007	06/29/2016	10,303	23,729,550	1987	1,610,554	357,901		491,019		491,019	(1,477,725)							00001
Put option on SPTR	Variable annuities	Exhibit 5	Equity/Index...	Goldman Sachs International	11/29/2007	06/29/2016	2,584	5,951,389	1940	385,203	85,601		217,010		217,010	(461,493)							00001
Put option on SPTR	Variable annuities	Exhibit 5	Equity/Index...	Goldman Sachs International	11/29/2007	06/29/2017	5,548	12,777,982	2104	897,124	199,361		759,075		759,075	(1,042,856)							00001
Put option on SPTR	Variable annuities	Exhibit 5	Equity/Index...	Goldman Sachs International	11/29/2007	06/29/2017	1,391	3,203,708	2057	216,000	48,000		177,141		177,141	(255,967)							00001
Put option on SPX	Variable annuities	Exhibit 5	Equity/Index...	Goldman Sachs International	06/19/2008	06/19/2023	8,145	10,891,331	1677	738,836	211,096		2,464,958		2,464,958	(671,355)							00001
Put option on SPX	Variable annuities	Exhibit 5	Equity/Index...	Goldman Sachs International	06/24/2008	06/29/2018	3,639	4,815,016	1420	376,775	107,650		641,833		641,833	(397,387)							00001
Put option on SPX	Variable annuities	Exhibit 5	Equity/Index...	Goldman Sachs International	06/24/2008	06/30/2023	1,771	2,343,334	1677	163,359	46,674		528,253		528,253	(146,414)							00001
Put option on SPTR	Variable annuities	Exhibit 5	Equity/Index...	International	07/02/2008	06/29/2018	56,000	114,220,736	1250	2,817,245	804,927		1,605,139		1,605,139	(5,949,455)							00001
Put option on SXSE	Variable annuities	Exhibit 5	Equity/Index...	HSC Bank U.S.A., NA	05/24/2012	12/20/2013	54,300	146,748,512	1600		9,079,707		1,655,657		1,655,657	(7,919,899)	495,849						00001
Put option on NDDUEAFE	Variable annuities	Exhibit 5	Equity/Index...	J.P. Morgan Chase Bank, N.A.	10/30/2008	10/30/2015	11,500	31,788,001	1300	866,400	288,800		(598,534)		(598,534)	(604,776)							00001
Put option on NKY	Variable annuities	Exhibit 5	Equity/Index...	J.P. Morgan Chase Bank, N.A.	04/16/2010	04/16/2025	270,000	32,529,831	7800	692,097	456,459		1,315,986		1,315,986	(751,145)	(112,397)						00001
Put option on SXSE	Variable annuities	Exhibit 5	Equity/Index...	Societe Generale	12/15/2011	12/18/2020	30,000	86,877,505	1350		1,361,146		(2,817,865)		(2,817,865)	(3,257,518)	38,792						00001
Put option on SPX	Variable annuities	Exhibit 5	Equity/Index...	Wells Fargo Bank, NA	11/03/2011	12/19/2014	40,000	50,446,000	900	4,836,800			1,297,471		1,297,471	(3,043,412)							00001
Put option on SPX	Indexed universal life	Exhibit 5	Equity/Index...	HSC Bank U.S.A., NA	07/23/2012	07/19/2013	1	135,052	1513		(2,865)		(2,472)		(2,472)	393							00002
Put option on SPX	Indexed universal life	Exhibit 5	Equity/Index...	HSC Bank U.S.A., NA	11/21/2012	11/20/2013	1	139,103	1527		(2,800)		(3,740)		(3,740)	(940)							00002
Put option on SPX	Indexed universal life	Exhibit 5	Equity/Index...	HSC Bank U.S.A., NA	12/21/2012	12/20/2013	4	572,060	1580		(11,912)		(10,767)		(10,767)	1,145							00002

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York

**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of December 31 of Current Year

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23														
Description	Description of Items Hedged or Used for Income Generation	Schedule/ Exhibit Identifier	Type(s) of Risk(s)	Exchange or Counterparty	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Prior Year Initial Cost of Premium (Received) Paid	Current Year Initial Cost of Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Year-end (a)														
Put option on SPX	Indexed universal life	Exhibit 5	Equity/Index	Wells Fargo Bank, NA	08/21/2012	08/16/2013	1	141,317	1574		(3,248)		(1,091)		(1,091)	2,157						00002														
Put option on SPX	Indexed universal life	Exhibit 5	Equity/Index	Wells Fargo Bank, NA	09/21/2012	09/17/2013	5	730,075	1621		(14,970)		(4,371)		(4,371)	10,599						00002														
Put option on SPX	Indexed universal life	Exhibit 5	Equity/Index	Wells Fargo Bank, NA	10/23/2012	10/21/2013	3	423,933	1577		(8,156)		(5,916)		(5,916)	2,242						00002														
0099999. Subtotal - Purchased Options - Hedging Other - Put Options										24,112,073	28,957,664		1,158,166	XXX	1,158,166	(54,328,409)	422,244			XXX	XXX															
0149999. Subtotal - Purchased Options - Hedging Other										28,605,503	35,128,706		5,241,382	XXX	5,241,382	(54,998,211)	422,244			XXX	XXX															
0219999. Subtotal - Purchased Options - Replications														XXX							XXX	XXX														
0289999. Subtotal - Purchased Options - Income Generation														XXX								XXX	XXX													
0359999. Subtotal - Purchased Options - Other														XXX									XXX	XXX												
0369999. Total Purchased Options - Call Options and Warrants										4,493,430	6,171,042		4,083,216	XXX	4,083,216	(669,802)								XXX	XXX											
0379999. Total Purchased Options - Put Options										24,112,073	28,957,664		1,158,166	XXX	1,158,166	(54,328,409)	422,244							XXX	XXX											
0389999. Total Purchased Options - Caps														XXX										XXX	XXX											
0399999. Total Purchased Options - Floors														XXX											XXX	XXX										
0409999. Total Purchased Options - Collars														XXX												XXX	XXX									
0419999. Total Purchased Options - Other														XXX												XXX	XXX									
0429999. Total Purchased Options										28,605,503	35,128,706		5,241,382	XXX	5,241,382	(54,998,211)	422,244									XXX	XXX									
0499999. Subtotal - Written Options - Hedging Effective														XXX													XXX	XXX								
0569999. Subtotal - Written Options - Hedging Other														XXX														XXX	XXX							
0639999. Subtotal - Written Options - Replications														XXX															XXX	XXX						
0709999. Subtotal - Written Options - Income Generation														XXX																XXX	XXX					
0779999. Subtotal - Written Options - Other														XXX																		XXX	XXX			
0789999. Total Written Options - Call Options and Warrants														XXX																		XXX	XXX			
0799999. Total Written Options - Put Options														XXX																			XXX	XXX		
0809999. Total Written Options - Caps														XXX																				XXX	XXX	
0819999. Total Written Options - Floors														XXX																				XXX	XXX	
0829999. Total Written Options - Collars														XXX																					XXX	XXX
0839999. Total Written Options - Other														XXX																					XXX	XXX
0849999. Total Written Options														XXX																					XXX	XXX
0909999. Subtotal - Swaps - Hedging Effective														XXX																					XXX	XXX
INT RATE SWAP - 3M LIBOR	Variable annuities	Exhibit 5	Interest	Goldman Sachs International	06/28/2007	07/02/2027	1	8,000,000	5.86 / (3MLIB)			431,201	3,929,753		3,929,753	(76,746)				152,345		00001														
INT RATE SWAP - 3M LIBOR	Variable annuities	Exhibit 5	Interest	Goldman Sachs International	09/26/2007	09/28/2027	1	5,000,000	5.5 / (3MLIB)			251,592	2,166,934		2,166,934	(40,848)				95,996		00001														
INT RATE SWAP - 3M LIBOR	Variable annuities	Exhibit 5	Interest	Goldman Sachs International	11/29/2007	12/03/2027	1	7,000,000	4.87 / (3MLIB)			308,704	2,388,182		2,388,182	(34,023)				135,215		00001														
INT RATE SWAP - 3M LIBOR	Variable annuities	Exhibit 5	Interest	Credit Suisse International	06/20/2008	06/24/2013	1	5,000,000	4.43 / (3MLIB)			197,176	102,913		102,913	(176,870)				17,381		00001														
INT RATE SWAP - 3M LIBOR	Variable annuities	Exhibit 5	Interest	Credit Suisse International	06/24/2008	06/26/2028	1	8,000,000	5.05 / (3MLIB)			365,933	2,934,676		2,934,676	(64,966)				157,424		00001														
INT RATE SWAP - 3M LIBOR	Variable annuities	Exhibit 5	Interest	J.P. Morgan Chase Bank, N.A.	03/30/2009	04/01/2019	1	50,000,000	2.86 / (3MLIB)			1,194,176	5,696,991		5,696,991	1,228,557				625,139		00001														
INT RATE SWAP - 3M LIBOR	Variable annuities	Exhibit 5	Interest	J.P. Morgan Chase Bank, N.A.	03/31/2009	04/02/2019	1	50,000,000	2.86 / (3MLIB)			1,194,258	5,694,496		5,694,496	1,229,044				625,278		00001														
INT RATE SWAP - 3M LIBOR	Variable annuities	Exhibit 5	Interest	Barclay's Bank PLC	06/16/2009	06/18/2016	1	60,000,000	3.51 / (3MLIB)			1,820,384	6,222,751		6,222,751	(224,219)				558,570		00001														
INT RATE SWAP - 3M LIBOR	Variable annuities	Exhibit 5	Interest	Wells Fargo Bank, NA	09/07/2011	09/09/2026	1	47,000,000	2.71 / (3MLIB)			1,056,386	3,139,540		3,139,540	680,423				869,553		00001														
INT RATE SWAP - 3M LIBOR	Variable annuities	Exhibit 5	Interest	Barclay's Bank PLC	09/13/2011	09/15/2026	1	53,000,000	2.65 / (3MLIB)			1,157,737	3,084,685		3,084,685	786,595				981,156		00001														
INT RATE SWAP - 3M LIBOR	Variable annuities	Exhibit 5	Interest	Wells Fargo Bank, NA	09/26/2011	09/28/2018	1	75,000,000	1.61 / (3MLIB)			849,625	2,891,175		2,891,175	2,543,762				898,784		00001														
INT RATE SWAP - 3M LIBOR	Variable annuities	Exhibit 5	Interest	HSBC Bank U.S.A., NA	10/04/2011	10/06/1931	1	86,000,000	2.43 / (3MLIB)			1,683,369	(1,038,172)		(1,038,172)	(347,019)				1,862,782		00001														
INT RATE SWAP - 3M LIBOR	Variable annuities	Exhibit 5	Interest	Credit Suisse International	10/17/2011	10/19/2021	1	6,000,000	2.39 / (3MLIB)			115,658	421,292		421,292	186,012				89,008		00001														
INT RATE SWAP - 3M LIBOR	Variable annuities	Exhibit 5	Interest	Credit Suisse International	12/12/2011	12/14/2026	1	39,000,000	3MLIB / (2.53)			(803,040)	(1,320,096)		(1,320,096)	(569,757)				728,464		00001														
INT RATE SWAP - 3M LIBOR	Variable annuities	Exhibit 5	Interest	HSBC Bank U.S.A., NA	09/21/2012	09/25/2022	1	7,000,000	1.79 / (3MLIB)			26,374	51,011		51,011	17,959				109,210		00001														
INT RATE SWAP - 3M LIBOR	Variable annuities	Exhibit 5	Interest	HSBC Bank U.S.A., NA	10/03/2012	10/05/2022	1	4,500,000	1.7 / (3MLIB)			14,398	(13,004)		(13,004)	(27,401)				70,306		00001														
INT RATE SWAP - 3M LIBOR	Variable annuities	Exhibit 5	Interest	Wells Fargo Bank, NA	10/04/2012	10/09/2027	1	15,000,000	2.23 / (3MLIB)			63,864	(136,644)		(136,644)	(200,508)				288,287		00001														
INT RATE SWAP - 3M LIBOR	Variable annuities	Exhibit 5	Interest	HSBC Bank U.S.A., NA	10/22/2012	10/24/2027	1	8,000,000	2.34 / (3MLIB)			29,924	32,651		32,651	2,727				153,970		00001														
INT RATE SWAP - 3M LIBOR	Variable annuities	Exhibit 5	Interest	HSBC Bank U.S.A., NA	11/28/2012	11/30/2027	1	22,000,000	2.15 / (3MLIB)			34,684	(514,938)		(514,938)	(549,621)				424,843		00001														

E18.1

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York

**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of December 31 of Current Year

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	
Description	Description of Items Hedged or Used for Income Generation	Schedule/ Exhibit Identifier	Type(s) of Risk(s)	Exchange or Counterparty	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Prior Year Initial Cost of Premium (Received) Paid	Current Year Initial Cost of Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Year-end (a)	
0919999	Subtotal - Swaps - Hedging Other - Interest Rate											9,992,403	35,734,196	XXX	35,734,196	4,363,101					8,843,711	XXX	XXX
0969999	Subtotal - Swaps - Hedging Other											9,992,403	35,734,196	XXX	35,734,196	4,363,101					8,843,711	XXX	XXX
1029999	Subtotal - Swaps - Replication													XXX								XXX	XXX
1089999	Subtotal - Swaps - Income Generation													XXX								XXX	XXX
1149999	Subtotal - Swaps - Other													XXX								XXX	XXX
1159999	Total Swaps - Interest Rate											9,992,403	35,734,196	XXX	35,734,196	4,363,101					8,843,711	XXX	XXX
1169999	Total Swaps - Credit Default													XXX								XXX	XXX
1179999	Total Swaps - Foreign Exchange													XXX								XXX	XXX
1189999	Total Swaps - Total Return													XXX								XXX	XXX
1199999	Total Swaps - Other													XXX								XXX	XXX
1209999	Total Swaps											9,992,403	35,734,196	XXX	35,734,196	4,363,101					8,843,711	XXX	XXX
1269999	Subtotal - Forwards													XXX								XXX	XXX
1399999	Subtotal - Hedging Effective													XXX								XXX	XXX
1409999	Subtotal - Hedging Other									28,605,503	35,128,706	9,992,403	40,975,578	XXX	40,975,578	(50,635,110)	422,244				8,843,711	XXX	XXX
1419999	Subtotal - Replication													XXX								XXX	XXX
1429999	Subtotal - Income Generation													XXX								XXX	XXX
1439999	Subtotal - Other													XXX								XXX	XXX
1449999	Totals									28,605,503	35,128,706	9,992,403	40,975,578	XXX	40,975,578	(50,635,110)	422,244				8,843,711	XXX	XXX

(a)

Code	Financial or Economic Impact of the Hedge at the End of the Reporting Period
0001 .....	Economically hedge the variable annuities living benefits exposure. ....
0002 .....	Economically hedge the indexed universal life exposure. ....

E18.2



ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York

**SCHEDULE DB - PART A - SECTION 2**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Terminated During Current Year

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25
Description	Description of Hedged Item(s)	Schedule / Exhibit Identifier	Type(s) of Risk(s)	Exchange or Counterparty	Trade Date	Date of Maturity or Expiration	Termination Date	Indicate Exercise, Expiration, Maturity or Sale	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Prior Year Initial Cost of Premium (Received) Paid	Current Year Initial Cost of Premium (Received) Paid	Consideration Received (Paid) on Termination	Current Year Income	Book/ Adjusted Carrying Value	Code	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Gain (Loss) on Termination Recognized	Adjustment to Carrying Value of Hedged Item	Gain (Loss) on Termination Deferred	Hedge Effectiveness at Inception and at Termination (a)
1409999. Subtotal - Hedging Other												28,689,468	641,191	14,424,384			XXX	8,515,029	526,754		(14,705,715)			XXX
1419999. Subtotal - Replication																	XXX							XXX
1429999. Subtotal - Income Generation																	XXX							XXX
1439999. Subtotal - Other																	XXX							XXX
1449999 - Totals												28,689,468	641,191	14,424,384			XXX	8,515,029	526,754		(14,705,715)			XXX

(a)

Code	Financial or Economic Impact of the Hedge at the End of the Reporting Period
0001 .....	Economically hedge the variable annuities living benefits exposure. ....

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York

**SCHEDULE DB - PART B - SECTION 1**

Future Contracts Open December 31 of Current Year

1 Ticker Symbol	2 Number of Contracts	3 Notional Amount	4 Description	5 Description of Hedged Item(s)	6 Schedule/ Exhibit Identifier	7 Type(s) of Risk(s)	8 Date of Maturity or Expiration	9 Exchange	10 Trade Date	11 Transaction Price	12 Reporting Date Price	13 Fair Value	14 Book/ Adjusted Carrying Value	Change in Variation Margin				19 Potential Exposure	20 Hedge Effective-ness at Inception and at Year-end (a)
														15 Cumulative	16 Gain (Loss) Recognized in Current Year	17 Gain (Loss) Used to Adjust Basis of Hedged Item	18 Deferred		
VGH3	314	3,140	Euro STOXX50 Futures	Variable annuities	Exhibit 5	Equity/Index	03/15/2013	EUX	12/17/2012	3,453.7817	3,451.6713	(6,627)		(6,627)	(6,627)			842,669	00001
1289999. Subtotal - Long Futures - Hedging Other													(6,627)		(6,627)			842,669	XXX
1329999. Subtotal - Long Futures													(6,627)		(6,627)			842,669	XXX
VGH3	(30)	(300)	Euro STOXX50 Futures	Variable annuities	Exhibit 5	Equity/Index	03/15/2013	EUX	12/18/2012	3,462.8501	3,451.6713	3,354		3,354	3,354			80,510	00001
ESH3	(153)	(7,650)	S&P 500 Mini Futures	Variable annuities	Exhibit 5	Equity/Index	03/15/2013	CME	12/14/2012	1,412.0560	1,420.1000	(61,537)		(61,537)	(61,537)			535,500	00001
NHH3	(9)	(4,500)	Nikkei 225 Futures	Variable annuities	Exhibit 5	Equity/Index	03/07/2013	CME	12/13/2012	116.1193	121.7176	(25,192)		(25,192)	(25,192)			20,749	00001
RTAH3	(18)	(1,800)	Russell 2000 Mini Futures	Variable annuities	Exhibit 5	Equity/Index	03/15/2013	NYF-ICE	12/13/2012	825.8780	846.6000	(37,300)		(37,300)	(37,300)			72,000	00001
ECH3	(3)	(375,000)	Euro FX currency Futures	Variable annuities	Exhibit 5	Currency	03/18/2013	CME	12/13/2012	1.3093	1.3208	(4,305)		(4,305)	(4,305)			7,500	00001
ZH3	(5)	(50)	FTSE 100 Index Futures	Variable annuities	Exhibit 5	Equity/Index	03/15/2013	LIF-NYSE	12/17/2012	9,465.3893	9,499.7831	(1,720)		(1,720)	(1,720)			24,384	00001
JYH3	(3)	(3,750)	Yen FX currency Futures	Variable annuities	Exhibit 5	Currency	03/20/2013	CME	12/13/2012	120.2970	115.5000	17,989		17,989	17,989			8,250	00001
BPH3	(5)	(3,125)	BP FX currency Futures	Variable annuities	Exhibit 5	Currency	03/20/2013	CME	12/13/2012	161.4941	162.4000	(2,831)		(2,831)	(2,831)			6,000	00001
ESH3	(789)	(39,450)	S&P 500 Mini Futures	Variable annuities	Exhibit 5	Equity/Index	03/15/2013	CME	12/14/2012	1,412.0560	1,420.1000	(317,336)		(317,336)	(317,336)			2,761,500	00001
NHH3	(56)	(28,000)	Nikkei 225 Futures	Variable annuities	Exhibit 5	Equity/Index	03/07/2013	CME	12/12/2012	116.0563	121.7176	(158,516)		(158,516)	(158,516)			129,107	00001
RTAH3	(163)	(16,300)	Russell 2000 Mini Futures	Variable annuities	Exhibit 5	Equity/Index	03/15/2013	NYF-ICE	12/13/2012	825.8780	846.6000	(337,769)		(337,769)	(337,769)			652,000	00001
ECH3	(94)	(11,750,000)	Euro FX currency Futures	Variable annuities	Exhibit 5	Currency	03/18/2013	CME	12/11/2012	1.2951	1.3208	(301,745)		(301,745)	(301,745)			235,000	00001
ZH3	(148)	(1,480)	FTSE 100 Index Futures	Variable annuities	Exhibit 5	Equity/Index	03/15/2013	LIF-NYSE	12/17/2012	9,465.3893	9,499.7831	(50,903)		(50,903)	(50,903)			721,766	00001
JYH3	(65)	(81,250)	Yen FX currency Futures	Variable annuities	Exhibit 5	Currency	03/20/2013	CME	12/11/2012	121.5620	115.5000	492,534		492,534	492,534			178,750	00001
1349999. Subtotal - Short Futures - Hedging Other													(785,277)		(785,277)			5,433,016	XXX
1389999. Subtotal - Short Futures													(785,277)		(785,277)			5,433,016	XXX
1399999. Subtotal - Hedging Effective																			XXX
1409999. Subtotal - Hedging Other													(791,904)		(791,904)			6,275,685	XXX
1419999. Subtotal - Replication																			XXX
1429999. Subtotal - Income Generation																			XXX
1439999. Subtotal - Other																			XXX
1449999 - Totals													(791,904)		(791,904)			6,275,685	XXX

NONE

Broker Name	Net Cash Deposits
Total Net Cash Deposits	

(a)	Code	Financial or Economic Impact of the Hedge at the End of the Reporting Period
	0001	Economically hedge the variable annuities living benefits exposure.

E20

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York

**SCHEDULE DB - PART B - SECTION 2**

Future Contracts Terminated December 31 of Current Year

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	Change in Variation Margin			19
															16	17	18	
Ticker Symbol	Number of Contracts	Notional Amount	Description	Description of Hedged Item(s)	Schedule/ Exhibit Identifier	Type(s) of Risk(s)	Date of Maturity or Expiration	Exchange	Trade Date	Transaction Price	Termination Date	Termination Price	Indicate Exercise, Expiration, Maturity or Sale	Book/ Adjusted Carrying Value At Termination	Gain (Loss) Recognized in Current Year	Gain (Loss) Used to Adjust Basis of Hedged Item in Current Year	Deferred	Hedge Effectiveness at Inception and at Termination (a)
ESU2	139	6,950	S&P 500 Mini Futures	Variable annuities	Exhibit 5	Equity/Index	09/21/2012	AME	06/12/2012	1,300,300	09/13/2012	1,439,500	closing sale		966,759			00001
ESZ2	1,278	63,900	S&P 500 Mini Futures	Variable annuities	Exhibit 5	Equity/Index	12/21/2012	AME	12/14/2012	1,428,550	12/21/2012	1,433,500	closing sale		315,090			00001
NH22	202	101,000	Nikkei 225 Futures	Variable annuities	Exhibit 5	Equity/Index	12/14/2012	AME	12/12/2012	112,403	12/14/2012	116,230	closing sale		385,534			00001
RTAM2	80	8,000	Russell 2000 Mini Futures	Variable annuities	Exhibit 5	Equity/Index	06/15/2012	NYSE-ICE	06/11/2012	770,700	06/12/2012	749,600	closing sale		(169,192)			00001
VGU2	2,103	21,030	Euro STOXX50 Futures	Variable annuities	Exhibit 5	Equity/Index	09/21/2012	EUX	06/12/2012	2,665,812	09/21/2012	3,122,671	closing sale		9,599,618			00001
Z H2	110	1,100	FTSE 100 Index Futures	Variable annuities	Exhibit 5	Equity/Index	03/19/2012	LIF-NYSE	03/06/2012	9,022,451	03/16/2012	9,310,330	closing sale		316,269			00001
Z M2	750	7,500	FTSE 100 Index Futures	Variable annuities	Exhibit 5	Equity/Index	06/18/2012	LIF-NYSE	06/12/2012	8,424,734	06/15/2012	8,935,607	closing sale		3,828,878			00001
1289999. Subtotal - Long Futures - Hedging Other															15,242,956			XXX
1329999. Subtotal - Long Futures															15,242,956			XXX
EQH2	(94)	(11,750,000)	Euro FX currency Futures	Variable annuities	Exhibit 5	Currency	03/21/2012	AME	12/12/2011	1,384	03/07/2012	1,318	closing purchase		234,388			00001
EQM2	(94)	(11,750,000)	Euro FX currency Futures	Variable annuities	Exhibit 5	Currency	06/20/2012	AME	03/07/2012	1,312	06/12/2012	1,249	closing purchase		813,017			00001
EQJ2	(94)	(11,750,000)	Euro FX currency Futures	Variable annuities	Exhibit 5	Currency	09/19/2012	AME	06/12/2012	1,250	09/14/2012	1,286	closing purchase		(561,171)			00001
EQZ2	(94)	(11,750,000)	Euro FX currency Futures	Variable annuities	Exhibit 5	Currency	12/19/2012	AME	09/14/2012	1,296	12/11/2012	1,293	closing purchase		66,261			00001
ESH2	(185)	(9,250)	S&P 500 Mini Futures	Variable annuities	Exhibit 5	Equity/Index	03/16/2012	AME	02/09/2012	1,349,000	03/06/2012	1,364,400	closing purchase		(143,357)			00001
ESM2	(509)	(25,450)	S&P 500 Mini Futures	Variable annuities	Exhibit 5	Equity/Index	06/15/2012	AME	06/12/2012	1,325,698	06/15/2012	1,333,649	closing purchase		(204,953)			00001
ESU2	(185)	(9,250)	S&P 500 Mini Futures	Variable annuities	Exhibit 5	Equity/Index	09/21/2012	AME	06/11/2012	1,322,000	06/12/2012	1,300,300	closing purchase		199,819			00001
JYH2	(129)	(161,250)	Yen FX currency Futures	Variable annuities	Exhibit 5	Currency	03/21/2012	AME	12/12/2011	129,307	03/07/2012	123,840	closing purchase		881,554			00001
JYM2	(129)	(161,250)	Yen FX currency Futures	Variable annuities	Exhibit 5	Currency	06/20/2012	AME	03/07/2012	123,950	06/11/2012	125,840	closing purchase		(304,911)			00001
JYJ2	(129)	(161,250)	Yen FX currency Futures	Variable annuities	Exhibit 5	Currency	09/19/2012	AME	06/11/2012	125,985	09/14/2012	129,130	closing purchase		(508,086)			00001
JYZ2	(129)	(161,250)	Yen FX currency Futures	Variable annuities	Exhibit 5	Currency	12/19/2012	AME	09/14/2012	129,225	12/11/2012	123,216	closing purchase		967,926			00001
NH22	(119)	(59,500)	Nikkei 225 Futures	Variable annuities	Exhibit 5	Equity/Index	03/09/2012	AME	12/05/2011	114,224	03/07/2012	119,837	closing purchase		(333,991)			00001
NHM2	(119)	(59,500)	Nikkei 225 Futures	Variable annuities	Exhibit 5	Equity/Index	06/12/2012	AME	03/07/2012	118,959	06/07/2012	112,534	closing purchase		380,337			00001
NHJ2	(119)	(59,500)	Nikkei 225 Futures	Variable annuities	Exhibit 5	Equity/Index	09/14/2012	AME	06/07/2012	112,102	09/12/2012	113,326	closing purchase		(73,209)			00001
NHZ2	(119)	(59,500)	Nikkei 225 Futures	Variable annuities	Exhibit 5	Equity/Index	12/14/2012	AME	09/12/2012	112,426	10/04/2012	112,901	closing purchase		(29,143)			00001
RTAH2	(506)	(50,600)	Russell 2000 Mini Futures	Variable annuities	Exhibit 5	Equity/Index	03/15/2012	NYSE-ICE	12/14/2011	714,475	03/07/2012	786,600	closing purchase		(3,649,500)			00001
RTAM2	(506)	(50,600)	Russell 2000 Mini Futures	Variable annuities	Exhibit 5	Equity/Index	06/15/2012	NYSE-ICE	03/07/2012	782,800	06/11/2012	770,010	closing purchase		644,898			00001
RTAU2	(455)	(45,500)	Russell 2000 Mini Futures	Variable annuities	Exhibit 5	Equity/Index	09/21/2012	NYSE-ICE	06/11/2012	766,350	09/12/2012	822,918	closing purchase		(2,576,155)			00001
RTAZ2	(375)	(37,500)	Russell 2000 Mini Futures	Variable annuities	Exhibit 5	Equity/Index	12/21/2012	NYSE-ICE	09/12/2012	835,350	12/21/2012	833,944	closing purchase		50,496			00001
VGH2	(509)	(5,090)	Euro STOXX50 Futures	Variable annuities	Exhibit 5	Equity/Index	03/19/2012	EUX	12/13/2011	2,804,157	03/06/2012	3,257,235	closing purchase		(2,306,164)			00001
VGM2	(1,498)	(14,980)	Euro STOXX50 Futures	Variable annuities	Exhibit 5	Equity/Index	06/18/2012	EUX	06/12/2012	2,842,808	06/15/2012	2,806,403	closing purchase		536,413			00001
VGZ2	(612)	(6,120)	Euro STOXX50 Futures	Variable annuities	Exhibit 5	Equity/Index	12/21/2012	EUX	12/17/2012	3,385,432	12/21/2012	3,483,672	closing purchase		(603,695)			00001
Z M2	(110)	(1,100)	FTSE 100 Index Futures	Variable annuities	Exhibit 5	Equity/Index	06/18/2012	LIF-NYSE	03/06/2012	8,940,616	06/12/2012	8,424,734	closing purchase		567,072			00001
Z U2	(860)	(8,600)	FTSE 100 Index Futures	Variable annuities	Exhibit 5	Equity/Index	09/24/2012	LIF-NYSE	06/12/2012	8,357,350	09/17/2012	9,282,648	closing purchase		(7,960,587)			00001
Z Y2	(860)	(8,600)	FTSE 100 Index Futures	Variable annuities	Exhibit 5	Equity/Index	12/21/2012	LIF-NYSE	09/17/2012	9,238,543	12/21/2012	9,550,156	closing purchase		(2,683,414)			00001
BPZ2	(5)	(312,500)	BP FX currency Futures	Variable annuities	Exhibit 5	Currency	12/19/2012	AME	10/22/2012	1,602	12/13/2012	1,619	closing purchase		(4,382)			00001
ECZ2	(3)	(375,000)	Euro FX currency Futures	Variable annuities	Exhibit 5	Currency	12/19/2012	AME	10/22/2012	1,307	12/13/2012	1,308	closing purchase		(173)			00001
ESZ2	(153)	(7,650)	S&P 500 Mini Futures	Variable annuities	Exhibit 5	Equity/Index	12/21/2012	AME	10/22/2012	1,438,734	12/14/2012	1,418,100	closing purchase		157,115			00001
JYZ2	(3)	(3,750)	Yen FX currency Futures	Variable annuities	Exhibit 5	Currency	12/19/2012	AME	10/22/2012	125,310	12/13/2012	120,210	closing purchase		19,102			00001
NH22	(9)	(4,500)	Nikkei 225 Futures	Variable annuities	Exhibit 5	Equity/Index	12/14/2012	AME	10/22/2012	113,936	12/13/2012	121,407	closing purchase		(33,701)			00001
RTAZ2	(18)	(1,800)	Russell 2000 Mini Futures	Variable annuities	Exhibit 5	Equity/Index	12/21/2012	NYSE-ICE	10/22/2012	818,000	12/13/2012	829,200	closing purchase		(20,239)			00001
VGZ2	(30)	(300)	Euro STOXX50 Futures	Variable annuities	Exhibit 5	Equity/Index	12/21/2012	EUX	10/22/2012	3,299,521	12/18/2012	3,472,267	closing purchase		(51,945)			00001
Z Z2	(5)	(500)	FTSE 100 Index Futures	Variable annuities	Exhibit 5	Equity/Index	12/21/2012	LIF-NYSE	10/22/2012	9,387,815	12/17/2012	9,529,395	closing purchase		(7,100)			00001
1349999. Subtotal - Short Futures - Hedging Other															(16,534,478)			XXX
1389999. Subtotal - Short Futures															(16,534,478)			XXX
1399999. Subtotal - Hedging Effective																		XXX
1409999. Subtotal - Hedging Other															(1,291,522)			XXX
1419999. Subtotal - Replication																		XXX
1429999. Subtotal - Income Generation																		XXX
1439999. Subtotal - Other																		XXX
1449999 - Totals															(1,291,522)			XXX

Broker Name	Net Cash Deposits
Total Net Cash Deposits	

**NONE**

E21

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE RiverSource Life Insurance Co. of New York

(a) Code	Financial or Economic Impact of the Hedge at the End of the Reporting Period
0001 .....	Economically hedge the variable annuities living benefits exposure. ....



Schedule DL - Part 1 - Reinvested Collateral Assets Owned

**N O N E**

Schedule DL - Part 2 - Reinvested Collateral Assets Owned

**N O N E**





**SCHEDULE E - PART 3 - SPECIAL DEPOSITS**

States, Etc.	1 Type of Deposit	2 Purpose of Deposit	Deposits For the Benefit of All Policyholders		All Other Special Deposits	
			3 Book/Adjusted Carrying Value	4 Fair Value	5 Book/Adjusted Carrying Value	6 Fair Value
1. Alabama	AL					
2. Alaska	AK					
3. Arizona	AZ					
4. Arkansas	AR					
5. California	CA					
6. Colorado	CO					
7. Connecticut	CT					
8. Delaware	DE					
9. District of Columbia	DC					
10. Florida	FL					
11. Georgia	GA					
12. Hawaii	HI					
13. Idaho	ID					
14. Illinois	IL					
15. Indiana	IN					
16. Iowa	IA					
17. Kansas	KS					
18. Kentucky	KY					
19. Louisiana	LA					
20. Maine	ME					
21. Maryland	MD					
22. Massachusetts	MA					
23. Michigan	MI					
24. Minnesota	MN					
25. Mississippi	MS					
26. Missouri	MO					
27. Montana	MT					
28. Nebraska	NE					
29. Nevada	NV					
30. New Hampshire	NH					
31. New Jersey	NJ					
32. New Mexico	NM					
33. New York	NY	B Ins code 1314	265,360	306,914		
34. North Carolina	NC					
35. North Dakota	ND					
36. Ohio	OH					
37. Oklahoma	OK					
38. Oregon	OR					
39. Pennsylvania	PA					
40. Rhode Island	RI					
41. South Carolina	SC					
42. South Dakota	SD					
43. Tennessee	TN					
44. Texas	TX					
45. Utah	UT					
46. Vermont	VT					
47. Virginia	VA					
48. Washington	WA					
49. West Virginia	WV					
50. Wisconsin	WI					
51. Wyoming	WY					
52. American Samoa	AS					
53. Guam	GU					
54. Puerto Rico	PR					
55. U.S. Virgin Islands	VI					
56. Northern Mariana Islands	MP					
57. Canada	CAN					
58. Aggregate Alien and Other	OT	XXX				
59. Subtotal	XXX	XXX	265,360	306,914		
DETAILS OF WRITE-INS						
5801.						
5802.						
5803.						
5898. Summary of remaining write-ins for Line 58 from overflow page	XXX	XXX				
5899. Totals (Lines 5801 thru 5803 plus 5898)(Line 58 above)	XXX	XXX				

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